

# Universal Rigidity of bar frameworks in General Position

A.Y. Alfakih

(joint work with Y. Ye (Stanford) )

Dept of Math and Statistics  
University of Windsor

Rigidity Workshop, Fields Institute, Oct 2011

# Bar Frameworks

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

# Bar Frameworks

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

# Bar Frameworks

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

A bar framework has two aspects: a geometric one ( $p$ ) and a combinatorial one ( $G$ ).

# Bar Frameworks

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

A bar framework has two aspects: a geometric one ( $p$ ) and a combinatorial one ( $G$ ).

Bar frameworks have important applications in:

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

A bar framework has two aspects: a geometric one ( $p$ ) and a combinatorial one ( $G$ ).

Bar frameworks have important applications in:

- Molecular conformations.

# Bar Frameworks

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

A bar framework has two aspects: a geometric one ( $p$ ) and a combinatorial one ( $G$ ).

Bar frameworks have important applications in:

- Molecular conformations.
- Multidimensional scaling.

## Definition

A bar framework in  $\mathbb{R}^r$ , denoted by  $G(p)$ , is a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$  together with a graph  $G$  on the vertices  $1, \dots, n$ .

We assume that  $r = \dim(\text{affine hull of } p^1, \dots, p^n)$ .

A bar framework has two aspects: a geometric one ( $p$ ) and a combinatorial one ( $G$ ).

Bar frameworks have important applications in:

- Molecular conformations.
- Multidimensional scaling.
- Wireless sensor network localization problems.



# Equivalent Frameworks and Affine Actions

## Definition

A framework  $G(p)$  in  $\mathbb{R}^r$  is **equivalent** to framework  $G(q)$  in  $\mathbb{R}^s$  if

$$\|q^i - q^j\| = \|p^i - p^j\| \text{ for every edge } (i, j) \in E(G).$$

# Equivalent Frameworks and Affine Aotions

## Definition

A framework  $G(p)$  in  $\mathbb{R}^r$  is **equivalent** to framework  $G(q)$  in  $\mathbb{R}^s$  if

$$\|q^i - q^j\| = \|p^i - p^j\| \text{ for every edge } (i, j) \in E(G).$$

## Definition

A framework  $G(p)$  in  $\mathbb{R}^r$  has an **affine motion** if there exists a framework  $G(q)$  in  $\mathbb{R}^r$  that is **equivalent**, but not congruent, to  $G(p)$  such that  $q^i = Ap^i + b$  for all  $i = 1, \dots, n$ .

# Dimensional Rigidity

## Definition

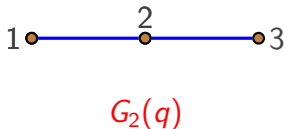
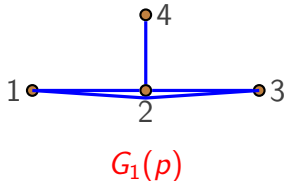
A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **dimensionally rigid** if there does not exist another framework  $G(q)$ , equivalent to  $G(p)$ , in  $\mathbb{R}^s$  where  $s \geq r + 1$ .

# Dimensional Rigidity

## Definition

A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **dimensionally rigid** if there does not exist another framework  $G(q)$ , equivalent to  $G(p)$ , in  $\mathbb{R}^s$  where  $s \geq r + 1$ .

$G_1(p)$  in  $\mathbb{R}^2$  is dim rigid while  $G_2(q)$  is dim flexible.

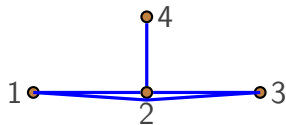


# Dimensional Rigidity

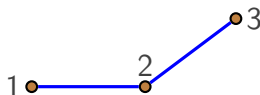
## Definition

A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **dimensionally rigid** if there does not exist another framework  $G(q)$ , equivalent to  $G(p)$ , in  $\mathbb{R}^s$  where  $s \geq r + 1$ .

$G_1(p)$  in  $\mathbb{R}^2$  is dim rigid while  $G_2(q)$  is dim flexible.



$G_1(p)$



$G_2(q)$

# Universal Rigidity

## Definition

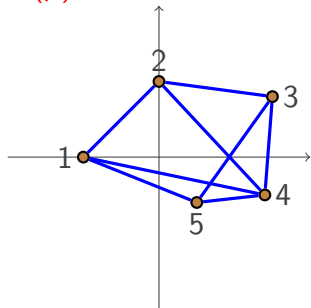
A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **universally rigid** if every other framework  $G(q)$  of  $G$  in **any space  $\mathbb{R}^s$**  that is equivalent to  $G(p)$  is also congruent to  $G(p)$ .

# Universal Rigidity

## Definition

A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **universally rigid** if every other framework  $G(q)$  of  $G$  in **any space  $\mathbb{R}^s$**  that is equivalent to  $G(p)$  is also congruent to  $G(p)$ .

The following framework  
 $G(p)$  in  $\mathbb{R}^2$  is universally rigid.

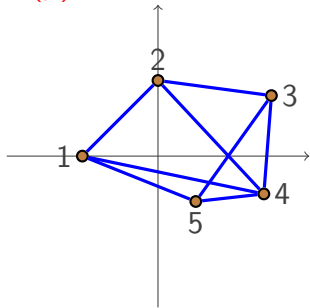


# Universal Rigidity

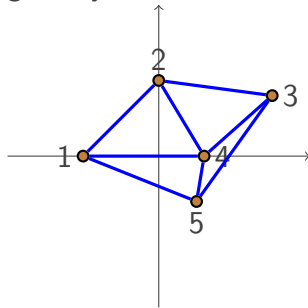
## Definition

A given framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **universally rigid** if every other framework  $G(q)$  of  $G$  in **any space**  $\mathbb{R}^s$  that is equivalent to  $G(p)$  is also congruent to  $G(p)$ .

The following framework  $G(p)$  in  $\mathbb{R}^2$  is universally rigid.



The following framework  $G(q)$  is globally, **but not** universally rigid.





# Alternative Way to Introduce Universal Rigidity:

- Given a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$ , define the matrix

$$D_p = (d_{ij} = \|p^i - p^j\|^2).$$

$D_p$  is called the **Euclidean distance matrix (EDM)** generated by  $p$ .

# Alternative Way to Introduce Universal Rigidity:

- Given a configuration  $p = (p^1, \dots, p^n)$  in  $\mathbb{R}^r$ , define the matrix

$$D_p = (d_{ij} = \|p^i - p^j\|^2).$$

$D_p$  is called the **Euclidean distance matrix (EDM)** generated by  $p$ .

- Given an EDM  $D_p$ , universal rigidity is the problem of deciding whether a **strict subset** of the entries of  $D_p$  suffices to **uniquely determine all entries** of  $D_p$ , i.e., to **recover**  $p$  up to a rigid motion.

# In This Talk:

- I'll present a **sufficient condition** for universal rigidity of bar frameworks in **general position**.

## Theorem (A '07)

Let  $G(p)$  be a given framework on  $n$  nodes in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . Then  $G(p)$  is *universally rigid* if and only if:

- 1  $G(p)$  is *dimensionally rigid*, and
- 2  $G(p)$  *does not have an affine motion*.

# Stress Matrices

- A **stress** of a bar framework  $G(p)$  is a real-valued function  $\omega$  on  $E(G)$  such that:

$$\sum_{j:(i,j) \in E(G)} \omega_{ij}(p^i - p^j) = 0 \text{ for all } i = 1, \dots, n.$$

# Stress Matrices

- A **stress** of a bar framework  $G(p)$  is a real-valued function  $\omega$  on  $E(G)$  such that:

$$\sum_{j:(i,j) \in E(G)} \omega_{ij}(p^i - p^j) = 0 \text{ for all } i = 1, \dots, n.$$

- Given a stress  $\omega$ , the **stress matrix** associated with  $\omega$  is the  $n \times n$  symmetric matrix  $S = (s_{ij})$  where

$$s_{ij} = \begin{cases} -\omega_{ij} & \text{if } (i, j) \in E(G), \\ 0 & \text{if } (i, j) \notin E(G), \\ \sum_{k:(i,k) \in E(G)} \omega_{ik} & \text{if } i = j. \end{cases}$$

# Stress Matrices

- A **stress** of a bar framework  $G(p)$  is a real-valued function  $\omega$  on  $E(G)$  such that:

$$\sum_{j:(i,j) \in E(G)} \omega_{ij}(p^i - p^j) = 0 \text{ for all } i = 1, \dots, n.$$

- Given a stress  $\omega$ , the **stress matrix** associated with  $\omega$  is the  $n \times n$  **symmetric matrix**  $S = (s_{ij})$  where

$$s_{ij} = \begin{cases} -\omega_{ij} & \text{if } (i, j) \in E(G), \\ 0 & \text{if } (i, j) \notin E(G), \\ \sum_{k:(i,k) \in E(G)} \omega_{ik} & \text{if } i = j. \end{cases}$$

- Note the resemblance of  $S$  to the **Laplacian of  $G$** .

# Gale Matrices

- A **Gale matrix** of  $G(p)$  in  $\mathbb{R}^r$  is any  $n \times (\bar{r} = n - 1 - r)$  matrix  $Z$  such that the **columns of  $Z$  form a basis of the null space** of :

$$\begin{bmatrix} p^1 & p^2 & \cdots & p^n \\ 1 & 1 & \cdots & 1 \end{bmatrix} = \begin{bmatrix} P^T \\ e^T \end{bmatrix}.$$



# Gale Matrices

- A **Gale matrix** of  $G(p)$  in  $\mathbb{R}^r$  is any  $n \times (\bar{r} = n - 1 - r)$  matrix  $Z$  such that the **columns of  $Z$**  form a basis of the null space of :  
$$\begin{bmatrix} p^1 & p^2 & \cdots & p^n \\ 1 & 1 & \cdots & 1 \end{bmatrix} = \begin{bmatrix} P^T \\ e^T \end{bmatrix}.$$
- In Polytope theory, the **rows** of  $Z$  are called **Gale transforms** of  $p^1, \dots, p^n$ .

# Gale Matrices

- A **Gale matrix** of  $G(p)$  in  $\mathbb{R}^r$  is any  $n \times (\bar{r} = n - 1 - r)$  matrix  $Z$  such that the **columns of  $Z$**  form a basis of the null space of :  
$$\begin{bmatrix} p^1 & p^2 & \cdots & p^n \\ 1 & 1 & \cdots & 1 \end{bmatrix} = \begin{bmatrix} P^T \\ e^T \end{bmatrix}.$$
- In Polytope theory, the **rows** of  $Z$  are called **Gale transforms** of  $p^1, \dots, p^n$ .
- A Gale matrix  $Z$  encodes the **affine dependencies** among the points  $p^1, \dots, p^n$ .

# Gale Matrix $Z$ and Stress Matrix $S$

## Lemma (A '07)

Let  $S$  and  $Z$  be, respectively, a stress matrix and a Gale matrix of  $G(p)$ . Then

$$S = Z\Psi Z^T \text{ for some symmetric matrix } \Psi.$$

On the other hand, let  $\Psi'$  be any symmetric matrix such that

$$z^i{}^T \Psi' z^j = 0 \text{ for all } (i, j) \notin E,$$

where  $z^i$  is the  $i$ th row of  $Z$ . Then  $Z\Psi' Z^T$  is a stress matrix of  $G(p)$ .

# Sufficiency Results

## Theorem (A '07)

Let  $G(p)$  be a given framework on  $n$  nodes in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . Let  $Z$  be a Gale matrix of  $G(p)$  and let  $z^i$  be the  $i$ th row of  $Z$ .

If  $\exists \Psi \succ 0 : z^i \Psi z^j = 0 \quad \forall (i,j) \notin E$ , or equivalently,

if  $\exists$  a semidefinite stress matrix  $S$  of rank  $= \bar{r} = n - 1 - r$ .

Then  $G(p)$  is *dimensionally rigid*.

# Sufficiency Results

## Theorem (A '07)

Let  $G(p)$  be a given framework on  $n$  nodes in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . Let  $Z$  be a Gale matrix of  $G(p)$  and let  $z^i$  be the  $i$ th row of  $Z$ .

If  $\exists \Psi \succ 0 : z^i \Psi z^j = 0 \quad \forall (i,j) \notin E$ , or equivalently,  
if  $\exists$  a semidefinite stress matrix  $S$  of rank  $= \bar{r} = n - 1 - r$ .

Then  $G(p)$  is *dimensionally rigid*.

## Corollary (A '07, Connelly '82 and '99)

Let  $G(p)$  be a given framework on  $n$  nodes in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . If

1-  $\exists \Psi \succ 0 : z^i \Psi z^j = 0 \quad \forall (i,j) \notin E$ , or equivalently,

$\exists$  a semidefinite stress matrix  $S$  of rank  $= \bar{r} = n - 1 - r$ , and

2-  $G(p)$  does not have an affine motion.

Then  $G(p)$  is *universally rigid*.

# Frameworks with Affine Motions

Let  $V$  be such that  $V^T e = 0$  and  $V^T V = I_{n-1}$ .

$E^{ij}$  is the matrix with 1s in the  $ij$ th and  $ji$ th entries and 0s elsewhere.

$Z$  is a Gale matrix of  $G(p)$ .

# Frameworks with Affine Motions

Let  $V$  be such that  $V^T e = 0$  and  $V^T V = I_{n-1}$ .

$E^{ij}$  is the matrix with 1s in the  $ij$ th and  $ji$ th entries and 0s elsewhere.

$Z$  is a Gale matrix of  $G(p)$ .

## Lemma (Connelly '05, A. '07)

*The following statements are equivalent:*

- 1  $G(p)$  in  $\mathbb{R}^r$  has an affine motion.
- 2 There exists a non-zero  $r \times r$  symmetric matrix  $\Phi$  such that  $(p^i - p^j)^T \Phi (p^i - p^j) = 0$  for all  $(i, j) \in E$ .
- 3 There exists  $y = (y_{ij}) \neq 0$  such that  $V^T \sum_{(i,j) \in E} y_{ij} E^{ij} Z = 0$ ,

# Frameworks in General Position

## Definition

A framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **in general position** if **no  $r + 1$  of the points  $p^1, \dots, p^n$  are affinely dependent**. For example no 3 collinear points in the plane. etc.



# Frameworks in General Position

## Definition

A framework  $G(p)$  in  $\mathbb{R}^r$  is said to be **in general position** if **no  $r + 1$  of the points  $p^1, \dots, p^n$  are affinely dependent**. For example no 3 collinear points in the plane. etc.

## Theorem

Let  $G(p)$  be a given framework on  $n$  nodes in **general position** in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . Let  $Z$  be a Gale matrix of  $G(p)$  and let  $z^i{}^T$  be the  $i$ th row of  $Z$ . Then

**Every subset of  $\{z^1, \dots, z^n\}$  of cardinality  $\leq \bar{r} = n - 1 - r$  is linearly independent.**

# Universal Rigidity in General Position

## Theorem (A. and Ye '10)

Let  $G(p)$  be a framework on  $n$  nodes in *general position* in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . If  $G(p)$  admits a *positive semidefinite stress matrix  $S$  of rank  $n - 1 - r$* . Then  $G(p)$  does not have an affine motion.

# Universal Rigidity in General Position

## Theorem (A. and Ye '10)

Let  $G(p)$  be a framework on  $n$  nodes in *general position* in  $\mathbb{R}^r$ ,  $r \leq n - 2$ . If  $G(p)$  admits a *positive semidefinite stress matrix  $S$  of rank  $n - 1 - r$* . Then  $G(p)$  does not have an affine motion.

## Corollary (A. and Ye '10)

Let  $G(p)$  be a framework on  $n$  nodes in *general position* in  $\mathbb{R}^r$ ,  $r \leq n - 2$ , and let  $Z$  be a Gale matrix of  $G(p)$ . If:

$\exists \Psi \succ 0 : z^i{}^T \Psi z^j = 0 \quad \forall (i, j) \notin E$ , or equivalently, if

$\exists$  a *positive semidefinite stress matrix  $S$  of rank  $n - 1 - r$* ,

Then  $G(p)$  is *universally rigid*.

# Proof of the Theorem

- We need to prove that if  $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} Z = 0$ , then  $y = 0$ .

# Proof of the Theorem

- We need to prove that if  $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} Z = 0$ , then  $y = 0$ .
- By the Theorem's assumption,  $\forall i \in V(G)$ ,  $\deg(i) \geq r + 1$ .  
Thus

$$|\bar{N}(i)| = |\{j \in V(G) : i \neq j, (i,j) \notin E(G)\}| \leq \bar{r} - 1.$$

# Proof of the Theorem

- We need to prove that if  $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} Z = 0$ , then  $y = 0$ .
- By the Theorem's assumption,  $\forall i \in V(G)$ ,  $\deg(i) \geq r + 1$ .  
Thus

$$|\bar{N}(i)| = |\{j \in V(G) : i \neq j, (i,j) \notin E(G)\}| \leq \bar{r} - 1.$$

- Thus  $\sum_{(i,j) \notin E} y_{ij} E^{ij} Z = \sum_{j \in \bar{N}(i)} y_{ij} z^j = 0$  implies that  $y = 0$ .

# Proof of the Theorem

- We need to prove that if  $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} Z = 0$ , then  $y = 0$ .
- By the Theorem's assumption,  $\forall i \in V(G)$ ,  $\deg(i) \geq r + 1$ .  
Thus

$$|\bar{N}(i)| = |\{j \in V(G) : i \neq j, (i,j) \notin E(G)\}| \leq \bar{r} - 1.$$

- Thus  $\sum_{(i,j) \notin E} y_{ij} E^{ij} Z = \sum_{j \in \bar{N}(i)} y_{ij} z^j = 0$  implies that  $y = 0$ .
- Therefore, if we can show that  $V^T$  is redundant, then we are done. The choice of  $Z$  is critical in this regard.

# Proof of the Theorem Cont'd

- If  $G(p)$  is in **general position** and if  $G(p)$  admits a **stress matrix**  $S$  of rank  $\bar{r}$ , then there exists a **Gale matrix**  $\hat{Z}$  with the following property:

$$\hat{z}_{ij} = 0 \text{ for all } j = 1, \dots, \bar{r} \text{ and } i \in \overline{N}(j + r + 1).$$



# Proof of the Theorem Cont'd

- If  $G(p)$  is in **general position** and if  $G(p)$  admits a **stress matrix**  $S$  of rank  $\bar{r}$ , then there exists a **Gale matrix**  $\hat{Z}$  with the following property:

$$\hat{z}_{ij} = 0 \text{ for all } j = 1, \dots, \bar{r} \text{ and } i \in \overline{N}(j + r + 1).$$

- $\hat{Z}$  is just the matrix consisting of the last  $\bar{r}$  columns of  $S$ .

# Proof of the Theorem Cont'd

- If  $G(p)$  is in **general position** and if  $G(p)$  admits a **stress matrix**  $S$  of rank  $\bar{r}$ , then there exists a **Gale matrix**  $\hat{Z}$  with the following property:

$$\hat{z}_{ij} = 0 \text{ for all } j = 1, \dots, \bar{r} \text{ and } i \in \bar{N}(j + r + 1).$$

- $\hat{Z}$  is just the matrix consisting of the last  $\bar{r}$  columns of  $S$ .
- $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} \hat{Z} = 0$  iff  $\sum_{(i,j) \notin E} y_{ij} E^{ij} \hat{Z} = e\xi^T$ .

# Proof of the Theorem Cont'd

- If  $G(p)$  is in **general position** and if  $G(p)$  admits a **stress matrix**  $S$  of rank  $\bar{r}$ , then there exists a **Gale matrix**  $\hat{Z}$  with the following property:

$$\hat{z}_{ij} = 0 \text{ for all } j = 1, \dots, \bar{r} \text{ and } i \in \bar{N}(j + r + 1).$$

- $\hat{Z}$  is just the matrix consisting of the last  $\bar{r}$  columns of  $S$ .
- $V^T \sum_{(i,j) \notin E} y_{ij} E^{ij} \hat{Z} = 0$  iff  $\sum_{(i,j) \notin E} y_{ij} E^{ij} \hat{Z} = e\xi^T$ .
- To complete the proof, it suffices to show that  $\xi = 0$ .

# Proof of the Theorem Cont'd

- The  $(r + 2, 1)$ th entry of  $e\xi^T$  is  $\xi_1 =$

$$[0 \cdots y_{r+2,j_1} \cdots 0 \cdots y_{r+2,j_2} \cdots] \begin{bmatrix} \hat{z}_{11} \\ \vdots \\ \hat{z}_{r+2,1} \\ \vdots \\ \hat{z}_{n,1} \end{bmatrix} = 0,$$

since  $\hat{z}_{i1} = 0$  for all  $i \in \overline{N}(r + 2)$ .

# Proof of the Theorem Cont'd

- The  $(r + 2, 1)$ th entry of  $e\xi^T$  is  $\xi_1 =$

$$[0 \cdots y_{r+2,j_1} \cdots 0 \cdots y_{r+2,j_2} \cdots] \begin{bmatrix} \hat{z}_{11} \\ \vdots \\ \hat{z}_{r+2,1} \\ \vdots \\ \hat{z}_{n,1} \end{bmatrix} = 0,$$

since  $\hat{z}_{i1} = 0$  for all  $i \in \overline{N}(r + 2)$ .

- By considering the entries  $(r + 3, 2), (r + 4, 3), \dots, (n, \bar{r})$  we get  $\xi = 0$ .

Thank You