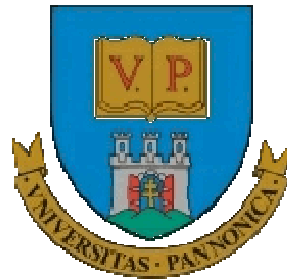


# Reactions, mechanisms and simplexes

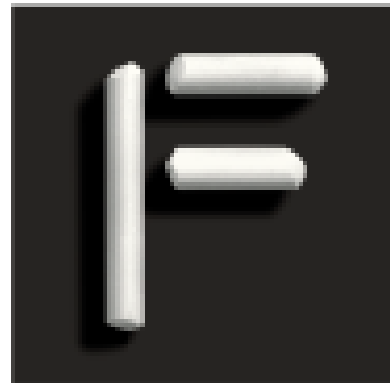


István Szalkai

*Pannon University, Veszprém, Hungary*

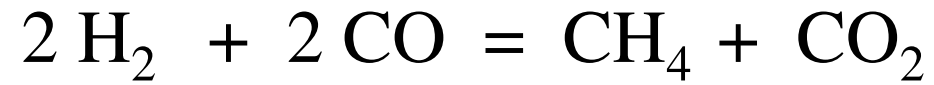
*Department of Mathematics*

Many thanks to



FIELDS

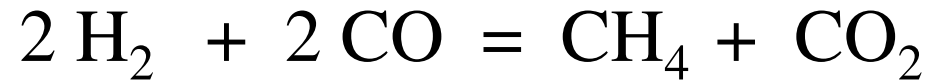
**(1) Chemical reactions :**



**Linear combination**

$$\begin{array}{l} \text{H:} \\ \text{C:} \\ \text{O:} \end{array} \quad \begin{array}{c} |2| \\ 2*|0| \\ |0| \end{array} + \begin{array}{c} |0| \\ 2*|1| \\ |1| \end{array} - \begin{array}{c} |4| \\ |1| \\ |0| \end{array} - \begin{array}{c} |0| \\ |1| \\ |2| \end{array} = \begin{array}{c} |0| \\ |0| \\ |0| \end{array}$$

(1) Chemical reactions :

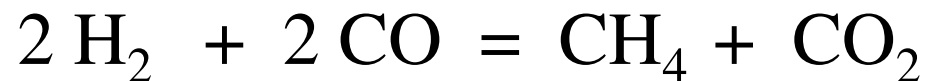


Linear combination

$$\begin{array}{l} \text{H:} \\ \text{C:} \\ \text{O:} \end{array} \quad \begin{array}{c} |2| \\ 2*|0| \\ |0| \end{array} + \begin{array}{c} |0| \\ 2*|1| \\ |1| \end{array} - \begin{array}{c} |4| \\ |1| \\ |0| \end{array} - \begin{array}{c} |0| \\ |1| \\ |2| \end{array} = \begin{array}{c} |0| \\ |0| \\ |0| \end{array}$$

**Minimal**: none of them can be omitted.

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Linear combination

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**Minimal**: none of them can be omitted.

(also for ions, e<sup>-</sup>, cathalysts, etc.)

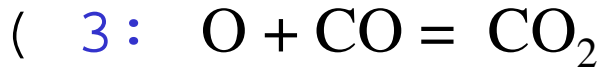
## (2) Mechanisms :



$$\underline{\underline{X}}_1 = [1, 1, -1, 0]$$



$$\underline{\underline{X}}_2 = [1, 2, 0, -1]$$



$$\underline{\underline{X}}_3 = [0, 1, 1, -1] )$$



$$\underline{\underline{X}}_4 = [1, 0, -2, 1]$$

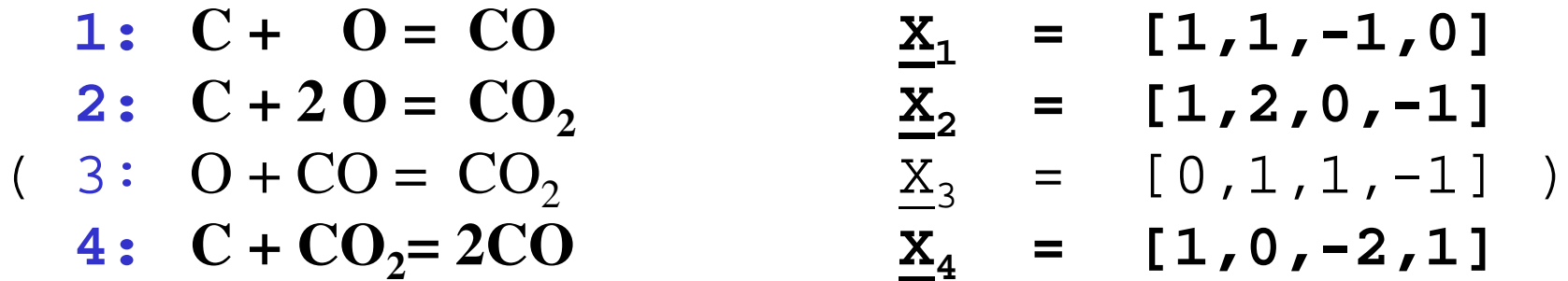
~~~~~

$$2 * \underline{\underline{X}}_1 - \underline{\underline{X}}_2 = \underline{\underline{X}}_4$$

Linear combination

$$2 * \underline{\underline{X}}_1 - \underline{\underline{X}}_2 - \underline{\underline{X}}_4 = \underline{\underline{0}}$$

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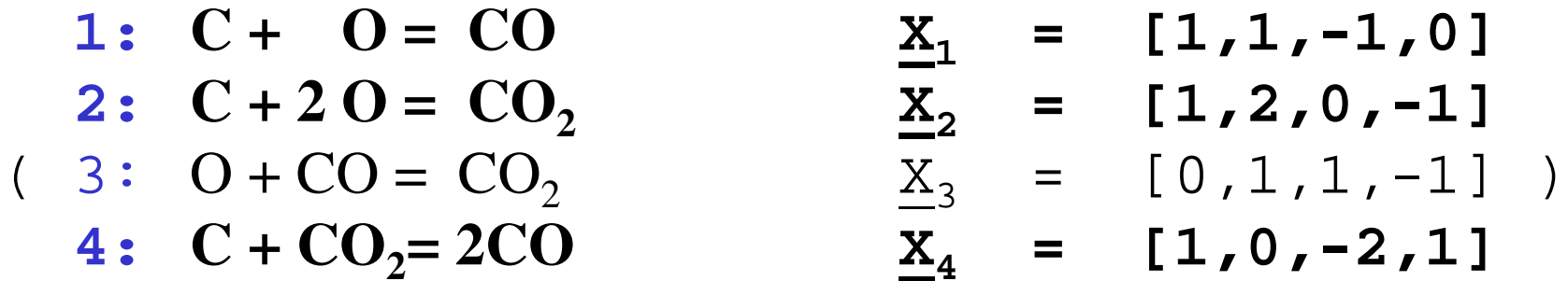
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Minimal: none of them can be omitted.

Prescribed: input-, output- materials



**(3) Physical quantities (measure units/"dimension analysis"):**

[ 1, 0, 0, 0, 0, 0 ]

[ 0, 1,-1, 0, 0, 0 ]

[-3, 0, 0, 1, 0, 0 ]

[-1, 0,-1, 1, 0, 0 ]

[ 0, 0,-2, 0, 1,-1 ]

[ 0, 0,-3, 1, 0,-1 ]

[ 1, 0,-3, 1, 0,-1 ]

**Minimal** connection:  $v \cdot \kappa = \mu \cdot c$  /for some  $c \in \mathbb{R}$ /

**(0) Homogeneous linear equations:**

$$\underline{A} \cdot \underline{x} = \underline{0}$$

(0) Homogeneous linear equations:

$$\underline{A} \cdot \underline{x} = \underline{0}$$

Find all **minimal** solutions

*Acta Mathematica Academiae Scientiarum Hungaricae*  
*Tomus 18 (1—2), 1967, pp. 19—23.*

ON A CLASS OF SOLUTIONS OF ALGEBRAIC  
HOMOGENEOUS LINEAR EQUATIONS

By

Á. PETHŐ (Budapest)

## Main Definition:

$S = \{ \underline{s}_1, \underline{s}_2, \dots, \underline{s}_k \} \subset \mathbb{R}^n$  is an algebraic simplex  
iff  $S$  is **minimal** dependent.  $\square$

iff

$S$  is dependent and  $S \setminus \{ \underline{s}_i \}$  is independent for all  $i \leq k$ .  $\square$

i.e.

$$\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$$

and **none** of them can be omitted :  $\alpha_i \neq 0$  for all  $i \leq k$ .  $\square$

(*minimal* reactions, mechanisms, etc. )

**Reminder:**  $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$  is an algebraic **simplex** iff  $S$  is dependent and  $S \setminus \{\underline{s}_i\}$  is independent for all  $i \leq k$ .  $\square$

i.e.  $\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$  and none of them can be omitted.  
(minimal reactions, mechanisms, etc.)

## TASK 1:

Algorithm for generating all simplexes  $S \subset H$  in a given  $H \subset \mathbb{R}^n$ .

(all reactions, mechanisms, etc.)

+ Applications

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Algorithm for generating all simplexes  $S \subset H$  in a given  $H \subset \mathbb{R}^n$ .

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+ Applications

**Result:** polynomial algorithm

✓ [1991] Hung. J. Ind. Chem. 289-292.

✓ [2000] J. Math. Chem. 1-34.

E.g.

The species:

1st speci:  $H_2$

2nd speci:  $O_2$

3st speci:  $HO$

4th speci:  $HO_2$

5th speci:  $H_2O$

6th speci:  $H_2O_2$

$\Rightarrow$

1.  $+ \frac{1}{2}H_2 + \frac{1}{2}O_2 - 1HO = 0$
2.  $+ \frac{1}{2}H_2 + 1O_2 - 1HO_2 = 0$
3.  $+ 1H_2 + \frac{1}{2}O_2 - 1H_2O = 0$
4.  $+ 1H_2 + 1O_2 - 1H_2O_2 = 0$
5.  $- \frac{1}{2}H_2 + 2HO_2 - 1HO_2 = 0$
6.  $+ \frac{1}{2}H_2 + 1HO - 1H_2O = 0$
7.  $+ \frac{3}{4}H_2 + \frac{1}{2}HO_2 - 2H_2O = 0$
8.  $+ \frac{1}{2}H_2 + 1HO_2 - 1H_2O_2 = 0$
9.  $- 1H_2 + 2H_2O - 1H_2O_2 = 0$
10.  $+ \frac{1}{2}O_2 + 1HO - 1HO_2 = 0$
11.  $+ \frac{1}{2}O_2 + 2HO - 1H_2O = 0$
12.  $+ \frac{3}{2}O_2 + 2HO_2 - 1H_2O = 0$
13.  $- 1O_2 + 2HO_2 - 1H_2O_2 = 0$
14.  $+ \frac{1}{2}O_2 + 1H_2O - 1H_2O_2 = 0$
15.  $+ 3OH - 1HO_2 - 1H_2O = 0$
16.  $+ 2OH - 1H_2O_2 = 0$
17.  $+ \frac{2}{3}OH_2 + \frac{2}{3}H_2O - 1H_2O_2 = 0$

**Reminder:**  $S = \{\underline{s}_1, \underline{s}_2, \dots, \underline{s}_k\} \subset \mathbb{R}^n$  is an algebraic **simplex** iff  $S$  is dependent and  $S \setminus \{\underline{s}_i\}$  is independent for all  $i \leq k$ .  $\square$

i.e.  $\alpha_1 \cdot \underline{s}_1 + \alpha_2 \cdot \underline{s}_2 + \dots + \alpha_k \cdot \underline{s}_k = \underline{0}$  and none of them can be omitted.  
(minimal reactions, mechanisms, etc.)

## Task 2:

**Question:** For given  $H \subset \mathbb{R}^n$  how many simplexes  $S \subset H$  could be in  $H$  if  $|H|=m$  is given and  $H$  spans  $\mathbb{R}^n$  ?

(*how many* reactions, mechanisms, etc.)



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(*how many* reactions, mechanisms, etc.)

**Notation:**

$\text{simp}(H) :=$  the *number* of simplexes  $S \subset H$  .  $\square$

Assuming:  $|H|=m$ ,  $H$  spans  $\mathbb{R}^n$

**Theorem 1 [1995]** (Laflamme-Szalkai)

$$\text{simp}(H) \leq \binom{m}{n+1} = O(m^{n+1})$$

*and  $\text{simp}(H)$  is maximal iff every  $n$ -element subset of  $H$  is independent.  $\square$*

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**Note:**

Sperner's theorem is not enough: what is the structure of  $H$ ?

$|H|=m$  ,  $H$  spans  $\mathbb{R}^n$

**Theorem 2 [1995]** (Laflamme-Szalkai)

$$O(m^2) = n \cdot \binom{m/n}{2} \leq \text{simp}(H)$$

and  $\text{simp}(H)$  is minimal *iff*  $m/n$  elements of  $H$  are *parallel* to  $\underline{b}_i$  where  $\{\underline{b}_1, \dots, \underline{b}_n\}$  is any base of .  $\square$

(parallel = isomers, multiple doses,...)

$|H|=m$  ,  $H$  spans  $\mathbb{R}^n$

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(parallel = isomers, multiple doses,...)

**Open Question:**

if no parallel elements are in  $H$  ?

**General Conjecture (1998)** (Laflamme, Meng, Szalkai)  
**no parallel => the minimal configurations in  $\mathbb{R}^n$  are:**

? 1) *If  $n$  is even =>  $H$  contains  $n$  linearly independent vectors  $\{\underline{u}_i : i = 1, \dots, n\}$  and the remaining of  $H$  is divided as evenly as possible between the planes  $[\underline{u}_i, \underline{u}_{i+1}]$  for  $i = 1, 3, \dots, n - 1$ .  $\square$*

? 2) *If  $n$  is odd =>  $H$  again contains  $n$  linearly independent vectors  $\{\underline{u}_i : i = 1, \dots, n\}$ , one extra vector in the plane  $[\underline{u}_{n-1}, \underline{u}_n]$  and finally the remaining vectors are divided as evenly as possible between the planes  $[\underline{u}_i, \underline{u}_{i+1}]$  for  $i = 1, 3, \dots, n - 2$  with lower indices having precedence.  $\square$*

***LATER !***

## Reducing the dimension (n=3):

$\mathbb{R}^3$

$\mathbb{R}^2$

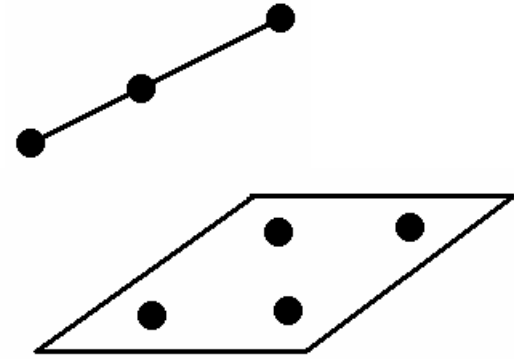
**vectors => points, 2D-planes => lines**

So, after the reduction we get:

**Definition:** (affine) simplexes in  $\mathbb{R}^2$  are

- i) 3 colinear points,
- ii) 4 general points: no three colinear,

□



*Elementary question in  $\mathbb{R}^2$  :*

What is the **minimal** number of (total) simplexes if the number of points (spanning  $\mathbb{R}^2$ ) is  $m$  ?



$|H|=m$ ,  $H$  spans  $\mathbb{R}^n$ , no parallel elements

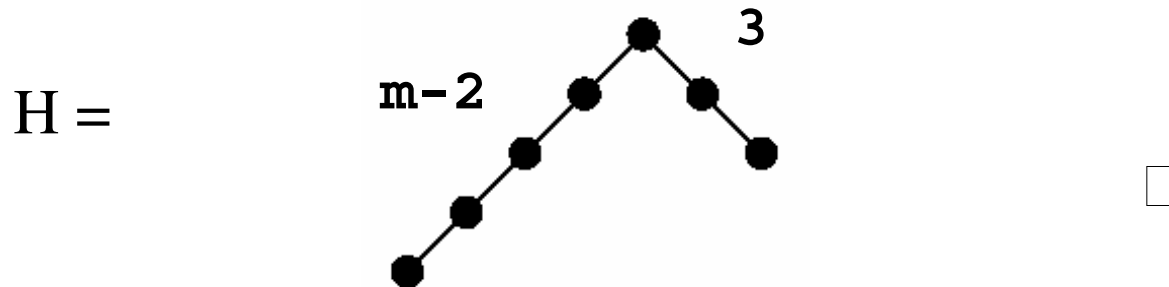
$n=3$

**Theorem 3 [1998]** (Laflamme-Szalkai)

For  $H \subset \mathbb{R}^3$

$$\binom{m-2}{3} + 1 + \binom{m-3}{2} \leq \text{simp}(\mathcal{H})$$

and for  $m \geq 8$ :  $\text{simp}(H)$  is minimal iff



( vectors = points, planes = lines )

## Reducing the dimension (n=4):

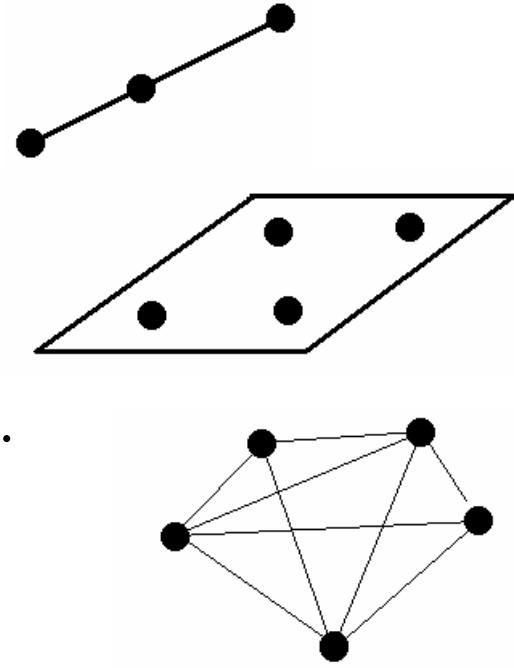
**vectors => points, 2D-planes => lines, h.-planes => 2D-planes**

So, after the reduction we get:

**Definition:** (affine) simplexes in  $\mathbb{R}^3$  are

- i) 3 colinear points,
- ii) 4 coplanar, no three colinear,
- iii) 5 general points: no three or four as above.

□

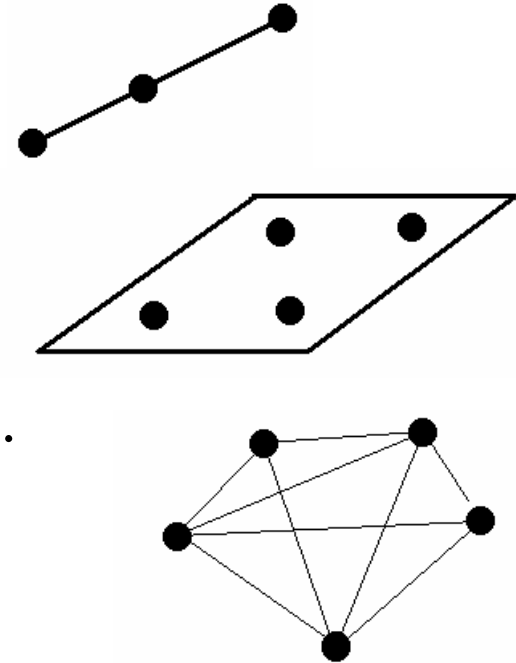


So, after the reduction we get:

**Definition: (affine) simplexes in  $\mathbb{R}^3$  are**

- i) 3 colinear points,
- ii) 4 coplanar, no three colinear,
- iii) 5 general points: no three or four as above.

□



*Still elementary question in  $\mathbb{R}^3$  :*

What is the **minimal** number of (total) simplexes if the number of points (spanning  $\mathbb{R}^3$ ) is  $m$  ?

$|H|=m$ ,  $H$  spans  $\mathbb{R}^n$ , no parallel elements

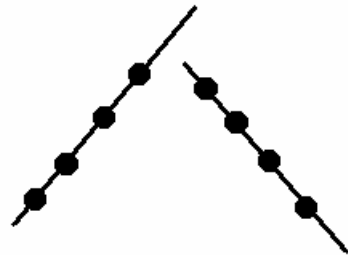
$n=4$

**Theorem 4 [2010]** (Balázs Szalkai - I.Szalkai)

For  $H \subset \mathbb{R}^4$

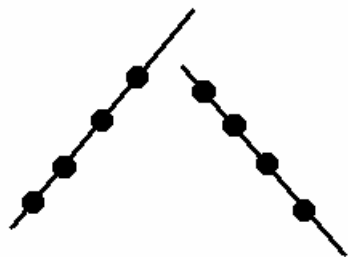
$$\text{simp}(\mathcal{H}) \geq \binom{\lfloor m/2 \rfloor}{3} + \binom{\lceil m/2 \rceil}{3}$$

and for  $m \geq 24$   $\text{simp}(H)$  is minimal iff  $H$  is placed into two (skew) detour line



**General Conjecture (1998)** (Laflamme, Meng, Szalkai)  
**no parallel => the only minimal configurations in  $\mathbb{R}^n$  are:**

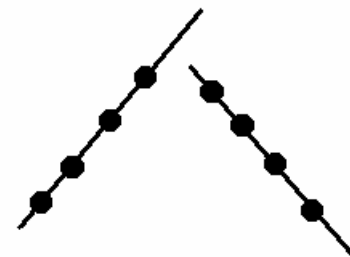
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$[\underline{u}_1, \underline{u}_2]$

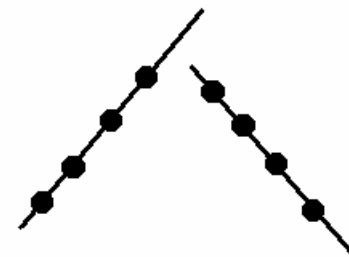
$[\underline{u}_3, \underline{u}_4]$

$\dots$



$[\underline{u}_i, \underline{u}_{i+1}]$

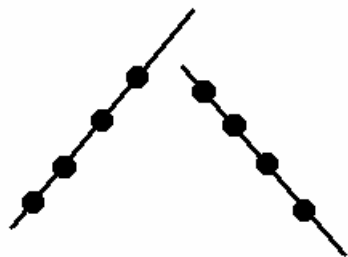
$\dots$



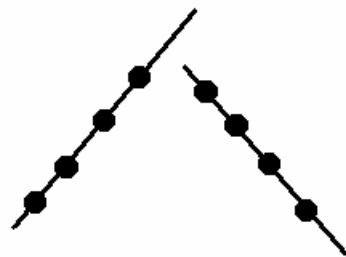
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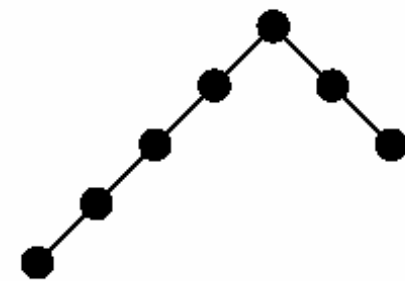
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$[\underline{u}_1, \underline{u}_2]$      $[\underline{u}_3, \underline{u}_4]$



$\dots$      $[\underline{u}_i, \underline{u}_{i+1}]$      $\dots$



$[\underline{u}_{n-2}, \underline{u}_{n-1}]$ ,  $[\underline{u}_{n-1}, \underline{u}_n]$

**Matroids (hypergraphs) :**

*What is the minimal and maximal number of cycles and bases in a matroid of size **m** and given rank **n** ?*



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√ [2006] (Laflamme, Dósa, Szalkai) :

**Theorem 5** *If  $m > n+1$  then only the uniform matroid  $U_{m,n}$  contains the maximum number of circuits:  $\binom{m}{n+1}$*

*If  $m = n+1$  then all matroids of size  $m$  and of rank  $n$  contain exactly 1 circuit. □*

**Theorem 6** *If  $m > n$  then only the uniform matroid  $U_{m,n}$  contains the maximum number of bases:  $\binom{m}{n}$*

□

**Matroids (hypergraphs) :**

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√ [2006] (Laflamme, Dósa, Szalkai) :

**Theorem 7** *For each  $m$  and  $n$  there is a unique matroid  $M_0$  of size  $m$  and of rank  $n$  containing the minimum number of bases, namely **1** when we allow loops in the matroid. □*

**Theorem 8** *Any matroid  $M$  of size  $m$  and of rank  $n$  contains the minimum number  **$m-n$**  circuits if and only if the circuits of the matroid are pairwise disjoint. □*

Many thanks to

You