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theory	

Romain Tessera

Quantitative ergodic theory

Romain Tessera

CNRS, Université Paris Cité et Sorbonne Université

20/02/24

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- A a countable group (examples: \mathbb{Z} , \mathbb{Z}^d , free group on k generators F_k),
- (X, μ) probability space (example: (S^1, λ) where λ is Lebesgue measure, $\{0, 1\}^{\Lambda}$, equipped with the product measure),

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Exemples

• Rotations: $\mathbb{Z} \curvearrowright (S^1, \lambda)$ generated by an irrational rotation,

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Context:

- Ergodic theory,
- Representation theory,
- Operator algebras,
- Percolation theory (probabilities),
- Lattices in Lie groups...

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Definition (Isomorphism)

Two pmp actions $\Lambda \curvearrowright (X, \mu)$ and $\Gamma \curvearrowright (Y, \nu)$ are **isomorphic**, if there exist isomorphisms $\Psi : (X, \mu) \rightarrow (Y, \nu)$, and $\theta : \Lambda \rightarrow \Gamma$ such that

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$$\Psi(\lambda \cdot x) = \theta(\lambda) \cdot \Psi(x).$$

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Definition (Orbit equivalence)

Two (free) pmp actions $\Lambda \curvearrowright (X, \mu)$ and $\Gamma \curvearrowright (Y, \nu)$ are orbit equivalent (OE), if there exists an isomorphism (of measure spaces) $\Psi : (X, \mu) \rightarrow (Y, \nu)$ such that for a.e. $x \in X$,

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• $\mathbb{Z} \curvearrowright (S^1, \lambda)$ and $\mathbb{Z} \curvearrowright \{0, 1\}^{\mathbb{Z}}$ are *not* isomorphic (spectrum);

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- $\mathbb{Z} \curvearrowright \{0,1\}^{\mathbb{Z}}$ and $\mathbb{Z} \curvearrowright \{0,1,2\}^{\mathbb{Z}}$ are *not* isomorphic (Kolmogorov-Sinai).
- Any two ergodic pmp actions of Z are OE (Dye 59).

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Definition

A countable group Λ is **amenable** if it admits a sequence of "almost-invariant finite subsets" $A_n \subset \Lambda$, i.e. such that for all $\lambda \in \Lambda$,

$$\frac{|A_n\lambda \bigtriangleup A_n|}{|A_n|} \to 0.$$

 $((A_n)$ is called a right Følner sequence)

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Exemples

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- \mathbb{Z}^d , with $A_n = [-n, n]^d$;
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- free groups F_k on $k \ge 2$ generators are not amenable.

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Theorem (Ornstein-Weiss 80)

Let Λ and Γ be two (infinite) countable amenable groups. Then any pmp ergodic actions $\Lambda \curvearrowright (X, \mu)$ and $\Gamma \curvearrowright (Y, \nu)$ are OE.

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Things are very different for non-amenable groups. For instance

Theorem (Gaboriau 00)

If F_k and $F_{k'}$ have OE pmp actions, then k = k'.

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Problem

Is-this the end of the story for amenable groups?

To try to answer (negatively) this question, we address the following points:

 quantify orbit equivalence: add "constraints" on the orbit-equivalence relation.

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Orbit equivalence cocycles Quantitative ergodic theory **Problem:** find a substitute for the lack of isomorphism between Λ and Γ . Romain Definition (Cocycle) $\Lambda, \Gamma \curvearrowright X$ with (a.e.) same orbits. Define $\alpha : \Lambda \times X \to \Gamma$ by: $\alpha(\lambda, x) \cdot x = \lambda \cdot x,$ for a.e. $x \in X$, $\lambda \in \Lambda$.

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Problem: Given generating sets S_{Λ} and S_{Γ} , quantify the "average distortion" of the "random" map $\alpha(\cdot, x) : \Lambda \to \Gamma$.

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Quantifying orbit equivalence

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Definition (φ orbit equivalence)

Let $\varphi, \psi : \mathbb{R}_+ \to \mathbb{R}_+$ be increasing functions tending to ∞ . Assume $\Lambda, \Gamma \curvearrowright (X, \mu)$ with same orbits.

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• for all $\lambda \in \Lambda$,

$$x \mapsto \varphi(|\alpha(x,\lambda)|_{S_{\Gamma}})$$

is integrable,

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Remark

• Note that for $\varphi(t) = \psi(t) = t^p$, this means in L^p -OE.

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$$(L^{\infty} - OE) \Rightarrow (L^2 - OE)$$

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$$(L^{\infty} - OE) \Rightarrow (L^2 - OE) \Rightarrow (L^1 - OE) \Rightarrow (L^{1/2} - OE) \Rightarrow (\log - OE).$$

Quantifying orbit equivalence: other point of view

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Definition (Word distance on X)

Let Λ be a group generated by a finite subset S and let assume Λ acts freely on (X, μ) , then the word distance on X associated to S is

$$d_{\mathcal{S}}(x,x') = \min\{n \in \mathbb{N} \mid x' = s_1^{\pm 1} \dots s_n^{\pm 1} \cdot x\},\$$

where $s_i \in S$ if x' and x lie in a same orbit,

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Let Λ be a group generated by a finite subset S and let assume Λ acts freely on (X, μ) , then the word distance on X associated to S is

$$d_{\mathcal{S}}(x,x') = \min\{n \in \mathbb{N} \mid x' = s_1^{\pm 1} \dots s_n^{\pm 1} \cdot x\},\$$

where $s_i \in S$ if x' and x lie in a same orbit, and $d_S(x, x') = \infty$ otherwise.

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Quantifying orbit equivalence: other point of view

Quantitative ergodic theory

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We use the measure μ to compare the word distances associated to two distinct pmp actions as follows:

Proposition (φ -integrable orbit equivalence)

Assume $\Lambda, \Gamma \curvearrowright (X, \mu)$ with same orbits. The actions are (φ, ψ) -OE iff for all $\lambda \in S_{\Lambda}$,

$$\int_X \varphi(d_{\mathcal{S}_{\Gamma}}(x,\lambda\cdot x))d\mu(x) < \infty,$$

and all $\gamma \in S_{\Gamma}$,

$$\int_X \psi(d_{S_{\Lambda}}(x,\gamma \cdot x))d\mu(x) < \infty,$$

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Let Λ be a group generated by a finite subset S. Define the growth function of Λ

$$V_{\Lambda}(n) = |S^n| = \left\{g \in \Lambda \mid g = s_1^{\pm 1} \dots s_n^{\pm 1}, \ s_i \in S\right\}.$$

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Exemples

- $V_{\mathbb{Z}^d}(n) \approx n^d$.
- Recall that the Heisenberg group $\mathbb{H}(\mathbb{Z})$ is the 2-step torsion-free nilpotent group that can be defined as the group of triples $(x, y, z) \in \mathbb{Z}^3$ equipped with the group operation

$$(x, y, z) \cdot (x', y', z') = (x + x', y + y', z + z' + yx').$$

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Quantitative ergodic theory

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Theorem (Bowen 16)

If Λ and Γ are L^1 -OE, then $V_\Lambda \approx V_\Gamma$.

Hence \mathbb{Z}^d is L^1 -0E to $\mathbb{Z}^{d'}$, then d = d'.

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If there exists a (φ, L^0) -OE from Λ to Γ , then $V_{\Lambda} \circ \varphi \preccurlyeq V_{\Gamma}$.

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- If Λ has exponential growth and if Λ and \mathbb{Z} are are φ -OE, then $\varphi(n) \lesssim \log n$.

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Theorem (Austin 16)

If $\Lambda = \mathbb{Z}^d$, and if Γ is L^1 -OE to Λ , then Γ is virtually \mathbb{Z}^d .

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If $\Lambda = \mathbb{Z}^d$, and if Γ is L^1 -OE to Λ , then Γ is virtually \mathbb{Z}^d .

For instance $\mathbb{H}(\mathbb{Z})$ and \mathbb{Z}^4 are not L^1 -OE, although they have same growth.

Quantitative ergodic theory

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Definition

Let Λ be a group generated by a finite subset S. Define its Følner function

$$F lat{sl}(n) = \min \left\{ |A| \mid rac{|As riangle A|}{|A|} \leq 1/n, \ orall s \in S
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• Λ is amenable iff $F \emptyset I < \infty$. The general philosophy is: the faster $F \emptyset I_{\Lambda}$ the less amenable is Λ .

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For lamplighter groups (Erschler 06): $F \wr \mathbb{Z}^d = \bigoplus_{\mathbb{Z}^d} F \rtimes \mathbb{Z}^d$, $F \emptyset l(n) \approx e^{n^d}$.

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Theorem (Delabie-Koivisto-Le Maître-Tessera 20)

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Let $d, k \in \mathbb{N}$. If there exists an (L^p, L^0) -OE from $F \wr \mathbb{Z}^{d+k}$ to $F \wr \mathbb{Z}^d$, then $p \leq d/(d+k)$.

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Corollary (No quantitative version of OW's theorem)

For all Λ amenable, and all increasing unbounded φ , there exists another amenable group Γ that is not φ -OE to Λ .

Based on constructions of Brieussel-Zheng (2021).

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What about a converse? Quantitative ergodic theory Romain The previous result are nearly optimal in a number of situation. For instance Theorem (Delabie-Koivisto-Le Maître-Tessera 20) • Let $d, k' \in \mathbb{N}$. Then \mathbb{Z}^d and \mathbb{Z}^{d+k} are L^p -OE for all p < d/(d+k).

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- The lamplighter group and \mathbb{Z} are $\log n^{1-\varepsilon}$ -OE for all $\varepsilon > 0$.

New method of Explicit construction of OE-couplings for a given pair of amenable groups.

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Quantitative ergodic theory

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Preliminaries:

The 2-odometer: consider the action of $\mathbb Z$ on the $\{0,1\}^{\mathbb N},$ defined as follows.

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Preliminaries:

- The 2-odometer: consider the action of Z on the {0,1}^N, defined as follows. The generator *a* of Z acts as:
 a · (0,0,0,1,...) = (1,0,0,1...)
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- The 4-odometer: : consider the action of \mathbb{Z} on the $\{0, 1, 2, 3\}^{\mathbb{N}}$, defined as follows. $a \cdot (1, 2, 0, 3, \ldots) = (2, 2, 0, 3, \ldots)$ $a \cdot (3, 1, 2, 0, \ldots) =$

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These actions preserve the product measure on $\{0,1\}^{\mathbb{N}}$ and $\{0,1,2,3\}^{\mathbb{N}}$.

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Two sequences belong to the **same orbit** if and only if they differ by at most finitely many coordinates.

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The *d*-odometer is the action of \mathbb{Z} by translation on \mathbb{Z}_d (the ring of d-adic numbers).

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Quantitative ergodic theory

Romain Tessera **The actions** of \mathbb{Z} and \mathbb{Z}^2 :

• We let \mathbb{Z} acts on the 4-odometer: $\{0, 1, 2, 3\}^{\mathbb{N}}$

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Romain Tessera The actions of \mathbb{Z} and \mathbb{Z}^2 :

- We let \mathbb{Z} acts on the 4-odometer: $\{0, 1, 2, 3\}^{\mathbb{N}}$
- We let \mathbb{Z}^2 acts on a product of 2-odometers: $\{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}}$.

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Romain Tessera The actions of \mathbb{Z} and \mathbb{Z}^2 :

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The orbit equivalence: $F: \{0,1\}^{\mathbb{N}} \times \{0,1\}^{\mathbb{N}} \to \{0,1,2,3\}^{\mathbb{N}}$ is defined

$$F(x,y)=x+2y.$$

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Romain Tessera The actions of $\mathbb Z$ and $\mathbb Z^2 {:}$

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Example: if x = (0, 1, 1, ...), y = (1, 0, 1, ...), then

$$F(x,y) = (0+2, 1+0, 1+2, \ldots) = (2, 1, 3, \ldots).$$

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