

# Supercritical equilibrium states of the right $ax + b$ system and their factorizations

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Based on joint work with Marcelo Laca

COSy 51, Western University  
May 23, 2023

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- symmetries of equilibrium states;
- partition functions,  $Z(\beta)$ .

# The $ax + b$ Systems

[Laca-Raeburn] The *left  $ax + b$  system* is the universal  $C^*$ -algebra  $\mathcal{T}(\mathbb{Z} \rtimes \mathbb{N}^\times)$  generated by a unitary  $U$  (addition) and isometries  $V_a$  (multiplication), satisfying the relations:

- 1  $V_a V_b = V_{ab}$
- 2  $V_a V_b^* = V_b^* V_a$  when  $\gcd(a, b) = 1$
- 3L  $V_a U = U^a V_a$
- 4L  $V_a^* U^k V_a = 0$  if  $1 \leq k < a$ ,

spanned by elements of the form  $U^n V_a V_b^* U^m$ ,  $n, m \in \mathbb{Z}$  and  $a, b \in \mathbb{N}^\times$ , with the  $\mathbb{R}$ -action defined on spanning elements by

$$\sigma_t(U^n V_a V_b^* U^m) = \left(\frac{a}{b}\right)^{it} U^n V_a V_b^* U^m.$$

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$$3R \quad UV_a = V_a U^a$$

Spanned by elements of the form  $V_a U^n V_b^*$ ,  $n \in \mathbb{Z}$  and  $a, b \in \mathbb{N}^\times$ , with the  $\mathbb{R}$ -action defined on spanning elements by

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Let  $A$  be a  $C^*$ -algebra,  $\sigma_t$  a strongly-continuous  $\mathbb{R}$ -action, and  $\beta \in \mathbb{R}$ .

### Definition

A  $\text{KMS}_\beta$  state on  $(A, \sigma_t)$  is a state  $\phi$  such that

$$\phi(xy) = \phi(y\sigma_{i\beta}(x))$$

for all  $x, y$  in a dense subalgebra of  $A$ .

$\beta$  is the inverse temperature. The set of  $\text{KMS}_\beta$  states is a Choquet simplex.



(Left) The simplex of  $\text{KMS}_\beta$  states is affinely isomorphic to:

- [LR] probability measures on the circle  $\mathbb{T}$ , when  $\beta \in (2, \infty)$ ;
- [LR] a point, when  $\beta \in [1, 2]$ ;
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(Right) The simplex of  $\text{KMS}_\beta$  states is affinely isomorphic to:

- [aHLR] probability measures on the circle  $\mathbb{T}$ , when  $\beta \in (1, \infty)$ .
- Open for  $\beta \leq 1$ .

We provide a partial answer for  $\beta \leq 1$ .

## Theorem (Laca-S.)

*For  $\beta \in (0, 1]$ , there is a simplex of  $\text{KMS}_\beta$  states affinely isomorphic to the simplex of probability measures on the compact space  $\mathbb{N}^\times \cup \{\infty\}$ .*

*The extremal  $\text{KMS}_\beta$  states  $\psi_{n,\beta}$  corresponding to  $\delta_n$ ,  $n \in \mathbb{N}^\times$  are also extreme in the simplex of all  $\text{KMS}_\beta$  states, and the GNS representation is a Type  $\text{III}_1$  factor.*

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Tentatively, this simplex contains every  $\text{KMS}_\beta$  state if the *periodic zeta function*

$$\zeta(\beta, z) = \sum_{n=1}^{\infty} \frac{z^n}{n^\beta},$$

converges conditionally at  $\beta = 1$  for all  $z \in \mathbb{T} \setminus \{1\}$  (awaiting some details).

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- When  $a|b$ ,  $f_{a,b} : X_b \rightarrow X_a$ ,  $f_{a,b}(z, d) = (z^{d/\gcd(a,d)}, \gcd(a, d))$ .  
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## Proposition (LS)

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The isometries  $V_a U^n V_a^*$  are functions supported on  $f_a^{-1}(\mathbb{T} \times \{a\})$  and the co/isometries  $V_a$  and  $V_a^*$  act by partial homeomorphisms of  $X$ .



This bears a striking resemblance to the Bost-Connes System  $(C_{\mathbb{Q}}, \sigma_t)$ , wherein  $\text{KMS}_{\beta}$  states factor through a conditional expectation to  $C(\hat{\mathbb{Z}})$ ,  $\hat{\mathbb{Z}} = \varprojlim \mathbb{Z}/a\mathbb{Z}$ .

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### Proposition (LS)

*The extremal  $\text{KMS}_{\beta}$  state  $\psi_{n,\beta}$  factors through a composition of  $\mathbb{R}$ -equivariant  $*$ -homomorphisms*

$$\mathcal{T}(\mathbb{N}^{\times} \rtimes \mathbb{Z}) \rightarrow \mathcal{T}(\mathbb{N}^{\times} \rtimes (\mathbb{Z}/n\mathbb{Z})) \rightarrow C_{\mathbb{Q}},$$

where  $\mathcal{T}(\mathbb{N}^{\times} \rtimes (\mathbb{Z}/m\mathbb{Z}))$  is the universal  $C^*$ -algebra gen. by  $U$  and  $V_a$  with the extra relation

$$4R \quad U^n = 1.$$

## Theorem (LS)

For every  $m \in \mathbb{N}^\times$ , the simplex of  $\text{KMS}_\beta$  states of  $(\mathcal{T}(\mathbb{N}^\times \rtimes (\mathbb{Z}/m\mathbb{Z})), \sigma_t)$  is affinely isomorphic to

- probability measures on  $\mathbb{Z}/m\mathbb{Z}$  for  $\beta \in (1, \infty)$ ;
- probability measures on  $\Delta_m$  for  $\beta \in (0, 1]$ .

The group of the units  $(\mathbb{Z}/m\mathbb{Z})^*$  acts on  $\mathcal{T}(\mathbb{N}^\times \rtimes (\mathbb{Z}/m\mathbb{Z}))$  by  $\mathbb{R}$ -equivariant isomorphisms. The induced action on the  $\text{KMS}_\beta$  states leaves states invariant when  $\beta \in (0, 1]$  and acts transitively on extremal  $\text{KMS}_\beta$  with the same  $\gcd(m, \cdot)$  when  $\beta \in (1, \infty)$ .

(Spontaneous symmetry-breaking, the Galois action on  $C_{\mathbb{Q}}$  lifts).

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Thank you!