

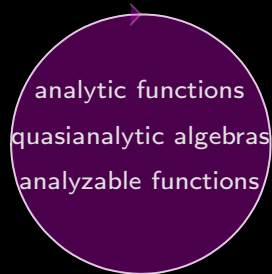
Embedding Hardy fields with composition into transseries

March 15, 2022

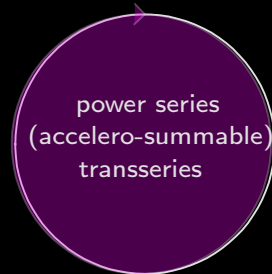
including joint work with ELLIOT KAPLAN and JORIS VAN DER HOEVEN.

geometric realm

formal realm

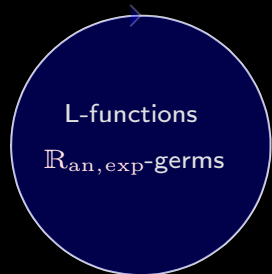


Correspondences

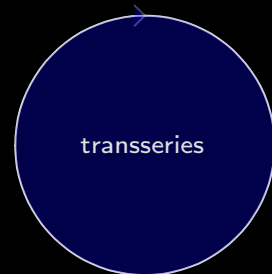


Constructions of large Hardy fields
and \mathcal{o} -minimal expansions of \mathbb{R}

Analysis of Dulac germs



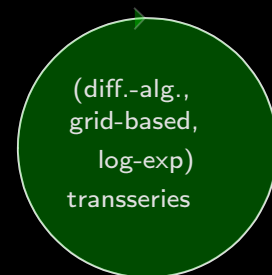
Embeddings



Description of growth orders of germs



Model theoretic likeness



Description of maximal Hardy fields

Germ (at $+\infty$)

Identify two functions $f, g: \mathbb{R} \rightarrow \mathbb{R}$ if for $t \gg 1$, we have $f(t) = g(t)$. Equivalence classes are called **germs**. \mathcal{G} is the ring of germs with pointwise sum and product.

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Further structure for $f, g \in \mathcal{G}$.

Ordering. $f < g$ if $f(t) < g(t)$ for $t \gg 1$. So $g > \mathbb{R}$ if $\lim_{t \rightarrow +\infty} g(t) = +\infty$.

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Composition. If $g > \mathbb{R}$, then the germ $f \circ g$ of $t \mapsto f(g(t))$ only depends on f and g . We have a *composition law*

$$\circ: \mathcal{G} \times \mathcal{G}^{>\mathbb{R}} \longrightarrow \mathcal{G}.$$

For fixed $g \in \mathcal{G}^{>\mathbb{R}}$, the function $\mathcal{G} \rightarrow \mathcal{G}; f \mapsto f \circ g$ is a strictly increasing morphism of rings.

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Hardy fields with composition

A **Hardy field with composition** is a Hardy field \mathcal{H} which contains id and which is closed under compositions $(f, g) \mapsto f \circ g$ with $f \in \mathcal{H}$ and $g \in \mathcal{H}^{>\mathbb{R}}$.

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Examples:

- $\mathbb{R}(\text{id})$: germs of rational functions.
- HARDY's field of L -functions: closure of $\mathbb{R}(\text{id})$ under semialgebraic functions, \exp and \log .
- BOSHERNITZAN's field $\mathcal{B} := \bigcap \{M : M \text{ is a maximal Hardy field}\}$.

Conjecture on (\mathcal{H}, \circ)

Let \mathcal{H} be a Hardy field with composition and let $f, g \in \mathcal{H}^{>\mathbb{R}}$.

Conjecture 1. For all $\delta \in \mathcal{H}$ with $\delta \prec g$ and $(f' \circ g) \delta \prec (f \circ g)$, we have

$$f \circ (g + \delta) \sim f \circ g.$$

Conjecture 2. The centralizer $\mathcal{C}(f) := \{h \in \mathcal{H}^{>\mathbb{R}} : h \circ f = f \circ h\}$ is commutative.

Conjecture 3. If $f > \underset{\text{(iterates)}}{g^{[\mathbb{N}]}} > \text{id}$, then $f \circ g > g \circ f$.

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Conjecture 1 holds whenever \mathcal{H} contains \exp , but has no transexponential germ.

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- $\mathcal{H}_{\mathcal{R}}$ as the set of germs of functions $\mathbb{R} \longrightarrow \mathbb{R}$ that are *definable* with parameters in \mathcal{R} .
- $\mathcal{T}_{\mathcal{R}}$ as the subset of \mathcal{H} of *germs of unary functions* $r \mapsto t(r)$ for all arity ≤ 1 terms $t[u]$.

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We will consider in particular $\mathcal{R} = \mathbb{R}_{\text{an,exp}}$, and we write $\mathcal{H}_{\text{an,exp}} = \mathcal{H}_{\mathbb{R}_{\text{an,exp}}}$.

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Then any definable function $f: \mathbb{R}^n \longrightarrow \mathbb{R}$ is given piecewise by (a finite list of) terms. In particular for $n = 1$, the germ of f lies in $\mathcal{T}_{\mathcal{R}}$. So $\mathcal{T}_{\mathcal{R}} = \mathcal{H}_{\mathcal{R}}$.

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Both conditions are satisfied for $\mathcal{R} = \mathbb{R}_{\text{an,exp}}$ (vDDMM, '94).

\mathcal{L} : group of germs $\prod_{k < n} (\log_k x)^{l_k}$ for $l_0, \dots, l_{n-1} \in \mathbb{Z}$. The ordering on \mathcal{L} is lexicographic.

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Logarithmic transseries

\mathbb{T}_L is the field $\mathbb{R}[[\mathfrak{L}]]$ of Hahn series with real coefficients and monomial group \mathfrak{L} . E.g.

$$f_0 = x + \pi (\log x)^3 + \frac{1}{\log x} + \frac{1}{(\log x)^2} + \frac{1}{(\log x)^3} + \dots + \frac{2(\log x)^2}{x}$$

$$f_1 = x + \log x + \log \log x + \dots$$

We have a logarithm $\log: \mathbb{T}_L^{>0} \longrightarrow \mathbb{T}_L$:

$$\log \left(\prod_{\substack{k < n \\ \in \mathfrak{L}}} (\log_k x)^{l_k} \right) :=$$

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$$\log(\iota r (1 + \varepsilon)) := \log(\iota) + \log r + \sum_{k > 0} \frac{(-1)^{k+1}}{k} \varepsilon^k.$$

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Exponential extensions

$\log: \mathbb{T}_L^{>0} \longrightarrow \mathbb{T}_L$ is not surjective, but \mathbb{T}_L can be closed under exponentials: iteratively adjoin formal monomials e^φ for certain transseries φ as long as $\exp(\varphi)$ is undefined.

Transseries, introduced by DAHN-GÖRING and ÉCALLE, can be declined in several forms: grid-based, log-exp (\mathbb{T}_{LE}), exp-log, ...

We consider *generalized transseries*, which form a class sized Hahn series field $\mathbb{R}\langle\langle x \rangle\rangle \supsetneq \mathbb{T}_{LE}$ closed under exp and log.

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Derivation and composition (SCMHELING, BERARDUCCI-MANTOVA)

The field $\mathbb{R}\langle\langle x \rangle\rangle$ is equipped with a derivation $\partial: \mathbb{R}\langle\langle x \rangle\rangle \longrightarrow \mathbb{R}\langle\langle x \rangle\rangle$ and a composition law $\circ: \mathbb{R}\langle\langle x \rangle\rangle \times (\mathbb{R}\langle\langle x \rangle\rangle)^{>\mathbb{R}} \longrightarrow \mathbb{R}\langle\langle x \rangle\rangle$ whose properties mimic those of Hardy fields with composition.

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$$f'_0 = 1 + \frac{\pi}{x} - \frac{1}{x (\log x)^2} - \frac{2}{x (\log x)^3} - \dots - \frac{4x (\log x) - 2x (\log x)^2}{x^2}$$

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$$f_1 = x + \log x + \log \log x + \dots$$

$$f_1 \circ (\log x) = \log x + \log \log x + \log \log \log x + \dots$$

Theorem (VDDRIES-MACINTYRE-MARKER, 1997 and 2001)

There is a unique $\mathcal{L}_{\text{an,exp}}$ -embedding $\mathcal{H}_{\text{an,exp}} \longrightarrow \mathbb{R}\langle\langle x \rangle\rangle$ which sends id to x . This embedding also preserves ∂ and \circ .

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Using this, vDDMM showed that:

- the inverse of $t \mapsto (\log t) (\log \log t)$ is not an L -function (as conjectured by HARDY).
- no primitive $\int_a^t e^s ds$ of $t \mapsto e^{t^2}$ is definable in $\mathbb{R}_{\text{an,exp}}$.

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We will see that the field $\mathcal{H}_{\text{an,exp}}$ satisfies the conjecture.

Consider the functional, conjugation equation

$$E(t+1) = e^{E(t)}, \quad \text{for } t \gg 1. \quad (1)$$

in E , called **Abel's equation** for \exp . Continuous solutions of (1) are transexponential.

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KNESER showed in 1949 that (2) has an analytic solution \exp_ω , say with $\exp_\omega(0) = 1$. The (germ of the) functional inverse \log_ω satisfies the dual equation

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Those functions induce a flow of **real-iterates** of \exp , i.e. a strictly increasing morphism

$$\begin{aligned} \exp^{[\cdot]}: (\mathbb{R}, +, <) &\longrightarrow (\mathcal{G}, \circ, <) \\ r &\longmapsto \exp^{[r]} := \exp_\omega \circ (\log_\omega + r). \end{aligned}$$

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$$E(t+1) = e^{E(t)}, \quad \text{for } t \gg 1. \quad (4)$$

in E , called **Abel's equation** for \exp . Continuous solutions of (4) are transexponential.

KNESER showed in 1949 that (4) has an analytic solution \exp_ω , say with $\exp_\omega(0) = 1$. The (germ of the) functional inverse \log_ω satisfies the dual equation

$$\log_\omega(\log t) = \log_\omega(t) - 1 \quad \text{for } t \gg 1.$$

Those functions induce a flow of **real-iterates** of \exp , i.e. a strictly increasing morphism

$$\begin{aligned} \exp^{[\cdot]}: (\mathbb{R}, +, <) &\longrightarrow (\mathcal{G}, \circ, <) \\ r &\longmapsto \exp^{[r]} := \exp_\omega \circ (\log_\omega + r). \end{aligned}$$

Theorem [PADGETT, '22]

There is a Hardy field \mathcal{T}_ω with composition which contains \exp_ω and \log_ω .

Exp-log classes

Given an ordered exponential field F and $a \in F^{>\mathbb{R}}$, the **exp-log class** $\text{EL}(a)$ of $a \in F$ is its equivalence class for

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$$\mathcal{E} := \{\exp^{[n]} \circ (\log^{[n]} \pm 1) : n \in \mathbb{N}\} \subseteq \mathcal{H}^{>\mathbb{R}}.$$

Then each $\text{EL}(f)$ is the convex hull of $\mathcal{E} \circ f = \{g \circ f : g \in \mathcal{E}\}$.

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MARKER-MILLER: EL classes in $\mathcal{H}_{\text{an,exp}}$ and $\mathbb{R}\langle\langle x \rangle\rangle$ are parametrized by integers. Each f lies in $\text{EL}(\exp^{[n]})$ for a unique $n \in \mathbb{Z}$ called the **level** of f . Write $\lambda_n = \text{EL}(f)$.

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Note that $\log \lambda_n = \lambda_{n-1}$ for all $n \in \mathbb{Z}$.

Let us come back to the field \mathcal{T}_ω . Write

$$\lambda_\omega := \text{EL}(\exp_\omega), \quad \lambda_{-\omega} := \text{EL}(\log_\omega), \quad \text{and } \lambda_r := \text{EL}(\exp^{[r]}) \text{ for all } r \in \mathbb{R}.$$

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We have

$$\lambda_\omega > \lambda_{\mathbb{Z}}, \quad \lambda_{-\omega} < \lambda_{\mathbb{Z}}, \quad \text{and } \forall r, s \in \mathbb{R}, (\lambda_r < \lambda_s \iff r < s).$$

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We also have levels $\omega - 1, \omega + 1, \dots$ with

$$\lambda_{\mathbb{R}} < \lambda_{\omega-1} = \log(\lambda_\omega) < \lambda_\omega < \lambda_{\omega+1} = \exp(\lambda_\omega)$$

and so on...

For $\varphi, \psi \in \mathcal{T}_{\mathbb{P}}^{\mathbb{R}}$, we have

$$\begin{aligned} \text{EL}(\exp_{\omega} \circ \varphi) < \text{EL}(\exp_{\omega} \circ \psi) &\iff \mathcal{E} \circ (\exp_{\omega} \circ \varphi) < \mathcal{E} \circ (\exp_{\omega} \circ \psi) \\ &\iff (\log_{\omega} \circ \mathcal{E} \circ \exp_{\omega}) \circ \varphi < (\log_{\omega} \circ \mathcal{E} \circ \exp_{\omega}) \circ \psi. \end{aligned}$$

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Set $g = \exp^{[n]} \circ (\log^{[n]} + 1) \in \mathcal{E}$. Since $\log'_\omega \approx 1/\text{id}$, the mean value theorem for \log_ω gives

$$\text{id} + \frac{1}{\log^{[n-1]} \circ \exp_\omega} < \log_\omega \circ g \circ \exp_\omega < \text{id} + \frac{1}{\log^{[n]} \circ \exp_\omega}.$$

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If $\varphi + \left(\frac{1}{\log^{[N]} \circ \exp_\omega \circ \varphi} \right) < \psi$, then $\text{EL}(\exp_\omega \circ \varphi) < \text{EL}(\exp_\omega \circ \psi)$. The EL class $\lambda_{1/\omega}$ of

$$\exp_\omega \circ \left(\log_\omega + \frac{1}{\log_\omega} \right)$$

is “infinitesimal”, i.e. larger than λ_0 but smaller than each λ_r for $r \in \mathbb{R}^>$.

Tentative description of all possible levels in models of \mathbb{R}_{exp} using Conway's field \mathbf{No} of surreal numbers:

Theorem (BERARDUCCI-MANTOVA, 2015)

EL classes in $(\mathbf{No}, \text{exp})$ are in canonical order isomorphism with $(\mathbf{No}, <)$ itself.

There is an order embedding $\mathbf{No} \longrightarrow \mathbf{No}^{>\mathbb{R}}$; $z \mapsto \lambda_z$ such that each surreal number lies in $\text{EL}(\lambda_z)$ for a unique $z \in \mathbf{No}$.

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They defined a canonical derivation ∂ on \mathbf{No} such that (\mathbf{No}, ∂) is a Liouville-closed H-field. It is an elementary extension of \mathbb{T}_{LE} (ASHCENBRENNER-VDDRIES-VDHOEVEN, '17).

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Question. Is any real-closed Hardy field H closed under exp and log an elementary extension of \mathbb{R}_{exp} ?

BERARDUCCI-MANTOVA (2017) defined a composition law

$$\circ: \mathbb{R}\langle\langle x \rangle\rangle \times \mathbf{No}^{>\mathbb{R}} \longrightarrow \mathbf{No}.$$

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→ Do we also get a formal version of the conjecture for those fields?

Let us build a structure $(\mathbb{L}, \partial, \circ)$ which contains a solution $\ell_\omega x$ to

$$\ell_\omega x - 1 = (\ell_\omega x) \circ (\ell_1 x). \quad (5)$$

Let us build a structure $(\mathbb{L}, \partial, \circ)$ which contains a solution $l_\omega x$ to

$$l_\omega x - 1 = (l_\omega x) \circ (l_1 x). \quad (6)$$

We gather symbols $l_\gamma x$, $\gamma < \omega^2$ with $l_0 x = x$ is the identity, $l_1 x$ is the logarithm, and

$$\forall m, n \in \mathbb{N}, l_{\omega m + n} x = (l_1 x)^{[n]} \circ (l_\omega x)^{[m]}.$$

Let us build a structure $(\mathbb{L}, \partial, \circ)$ which contains a solution $\ell_\omega x$ to

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Differentiating (7), we get

$$(\ell_\omega x)' = \frac{1}{x} \times (\ell_\omega x)' \circ (\ell_1 x)$$

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Differentiating (8), we get

$$\begin{aligned} (\ell_\omega x)' &= \frac{1}{x} \times (\ell_\omega x)' \circ (\ell_1 x) \\ &= \frac{1}{x (\ell_1 x) \cdots (\ell_n x)} \times (\ell_\omega x)' \circ (\ell_{n+1} x) \end{aligned}$$

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Differentiating (10), we get

$$(\ell_\omega x)' \stackrel{?}{=} \prod_{n < \omega} (\ell_n x)^{-1}.$$

So one needs to have, as basic symbols, formal products

$$\mathfrak{t} := \prod_{\gamma < \omega^2} (\mathfrak{t}_\gamma x)^{\mathfrak{t}_\gamma}, \quad \text{for } (\mathfrak{t}_\gamma)_{\gamma < \omega^2} \in \mathbb{R}^{\omega^2}.$$

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Gathering those in a lexicographically ordered group $\mathfrak{L}_{<\omega^2}$ yields a Hahn series field

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The derivation is defined by extending the rule $(\mathfrak{l}_\omega x)' = \prod_{n < \omega} (\mathfrak{l}_n x)^{-1}$.

For any ordinal α , we similarly have a field $\mathbb{L}_{<\alpha}$, and a class sized field

$$\mathbb{L} := \bigcup_{\alpha \in \mathbf{On}} \mathbb{L}_{<\alpha}$$

called the field of **logarithmic hyperseries** (VDD-VDH-KAPLAN).

Theorem [vDDRIES-vdHOEVEN-KAPLAN - 2018]

There is a composition law $\circ: \mathbb{L} \times \mathbb{L}^{>\mathbb{R}} \longrightarrow \mathbb{L}$ with $\ell_{\omega^{\mu+1}} x - 1 = (\ell_{\omega^{\mu+1}} x) \circ (\ell_{\omega^\mu} x)$ for all ordinals μ . (\mathbb{L}, ∂) is an H -field with small derivation and surjective derivation. We have the chain rule for (\circ, ∂) .

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Theorem [vDDRIES-vDHOEVEN-KAPLAN - 2018]

For $f, \delta \in \mathbb{L}$ and $g \in \mathbb{L}^{>\mathbb{R}}$ with $\delta \prec g$, we have the following Taylor expansion around g :

$$f \circ (g + \delta) = \sum_{k \in \mathbb{N}} \frac{f^{(k)} \circ g}{k!} \delta^k.$$

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In particular

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Write L_ω for the strictly increasing function $\mathbb{L}_{<\omega^2}^{>\mathbb{R}} \longrightarrow \mathbb{L}_{<\omega^2}^{>\mathbb{R}}; f \mapsto (\ell_\omega x) \circ f$.

Write L_ω for the strictly increasing function $\mathbb{L}_{<\omega^2}^{\geq\mathbb{R}} \longrightarrow \mathbb{L}_{<\omega^2}^{\geq\mathbb{R}}; f \mapsto (\ell_\omega x) \circ f$.

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When should $E_\omega(\varphi)$ be a new monomial e_ω^φ ? If $E_\omega(\varphi)$ is defined and

$$\varepsilon \prec \frac{1}{(\ell_n x) \circ E_\omega(\varphi)}$$

for some $n \in \mathbb{N}$, then $E_\omega(\varphi + \varepsilon)$ is given by Taylor expansions around φ .

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→ It is enough to add E_ω^φ for representatives φ in each convex hull

$$\mathcal{L}(g) := \text{Conv} \left(\left\{ g \pm \frac{1}{(L_n x) \circ E_\omega^\varphi} : n \in \mathbb{N} \right\} \right).$$

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$$\mathcal{L}(g) := \text{Conv} \left(\left\{ g \pm \frac{1}{(L_n x) \circ E_\omega^\varphi} : n \in \mathbb{N} \right\} \right).$$

For any two distinct representatives φ, ψ , the EL-classes of e_ω^φ and e_ω^ψ should be disjoint. This determines an ordering of the extension of $\mathfrak{L}_{<\omega^2}$ by monomials e_ω^φ .

Theorem [B.-VDHOEVEN-KAPLAN]

There are a minimal extension $\tilde{\mathbb{L}}$ of \mathbb{L} , and an extension $\circ: \mathbb{L} \times \tilde{\mathbb{L}}^{>\mathbb{R}} \longrightarrow \tilde{\mathbb{L}}$ of the composition law on \mathbb{L} , for which each $L_{\omega^\mu}: \tilde{\mathbb{L}}^{>\mathbb{R}} \longrightarrow \tilde{\mathbb{L}}^{>\mathbb{R}}$ for ordinals μ is bijective.

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There is a composition law $\tilde{\circ}: \tilde{\mathbb{L}} \times \tilde{\mathbb{L}}^{>\mathbb{R}} \longrightarrow \tilde{\mathbb{L}}$ such that $(\tilde{\partial}, \tilde{\circ})$ satisfies the chain rule.

The derivation and composition (on the right) should be strongly linear, i.e. commute with transfinite sums. For instance

$$\left(\sum_{n \in \mathbb{N}} n! e_{\omega}^{\sum_{k \geq n} \ell_k x} \right) \circ \left(x + \frac{1}{e_{\omega}^x} \right) = \sum_{n \in \mathbb{N}} n! E_{\omega} \left(\sum_{k \geq n} (\ell_k x) \circ \left(x + \frac{1}{e_{\omega}^x} \right) \right).$$

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Idea: Hahn series fields are “formal” Banach spaces. Two results of VAN DER HOEVEN:

- If $\Psi: \mathbb{R}[[\mathfrak{M}]] \rightarrow \mathbb{R}[[\mathfrak{M}]]$ is strongly linear with $\Psi(s) \prec s$ for all $s \neq 0$, then $\text{Id} + \Psi$ has a strongly linear functional inverse

$$(\text{Id} + \Psi)^{[-1]}(s) = \sum_{k \in \mathbb{N}} (-1)^k \Psi^{[k]}(s).$$

- We have a strongly linear implicit function theorem.

Work in progress [B.]: bi-ordered group of hyperseries

The class $(\tilde{\mathbb{L}}^{>\mathbb{R}}, \circ, x, <)$ is a linearly bi-ordered group: $f \in \tilde{\mathbb{L}}^{>\mathbb{R}}$ has an inverse in $\tilde{\mathbb{L}}^{>\mathbb{R}}$ and each function $\tilde{\mathbb{L}}^{>\mathbb{R}} \longrightarrow \tilde{\mathbb{L}}^{>\mathbb{R}}; g \mapsto f \circ g$ is strictly increasing.

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Work in progress [B.]: conjugacy

Any two series $f, g \in \tilde{\mathbb{L}}^{>\mathbb{R}}$ with $f, g > x$ are conjugate, i.e. satisfy

$$V \circ f = g \circ V$$

for a certain $V \in \tilde{\mathbb{L}}^{>\mathbb{R}}$.

For instance, the series e^x and $x + 1$ are conjugate via $V = \ell_\omega x$:

$$(\ell_\omega x) \circ e^x = \ell_\omega x + 1.$$

Work in progress [B.]: Taylor expansions

For all $f, g, \delta \in \tilde{\mathbb{L}}$ with $g > \mathbb{R}$, if $\delta \prec g$ and $(\mathfrak{m}' \circ g) \delta \prec \mathfrak{m} \circ g$ for all $\mathfrak{m} \in \text{supp } f$, then

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Work in progress [B.]: real iterates

For each $x \neq f \in \tilde{\mathbb{L}}^{>\mathbb{R}}$, there is a unique isomorphism $(\mathbb{R}, +, <) \longrightarrow (\mathcal{C}(f), \circ, <)$ sending 1 to f . This is defined by conjugating f with $x \pm 1$: indeed $\mathcal{C}(x + 1) = x + \mathbb{R}$.

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For all $f, g \in \tilde{\mathbb{L}}^{>\mathbb{R}}$ $f > g^{[\mathbb{N}]} > x$, we have $f \circ g > g \circ f$.

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Any Hardy field with composition which embeds into $\tilde{\mathbb{L}}$ satisfies the conjecture.

Thanks to these:

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and thank you!