

# Some reflections on the work of Udi Hrushovski

*From Geometric Stability Theory to Tame Geometry*  
Fields Institute

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# Udi Hrushovski 1959–...



Ehud Hrushovski

# Part I: Strongly Minimal Expansions of $\mathbb{C}$

## Theorem

Suppose  $X \subset \mathbb{C}^n$  is non-constructible and  $\mathbb{M} = (\mathbb{C}, +, \dots, X)$  is strongly minimal. Then

- i) there is  $f : \mathbb{C} \rightarrow \mathbb{C}$  definable in  $\mathbb{M}$  but non-constructible;
- ii) for any irreducible algebraic curve  $C \subset \mathbb{C}^2$  the intersection of  $C$  with the graph of  $f$  is finite.

Let  $n$  be minimal such that there is  $X \subset \mathbb{C}^n$  definable in  $\mathbb{M}$  and non-constructible.

For all  $a \in \mathbb{C}$  let  $X_a = \{\mathbf{x} \in \mathbb{C}^{n-1} : (a, \mathbf{x}) \in X\}$ .

By assumption  $X_a$  is constructible and is defined by some field formula  $\phi_a(x, \mathbf{b}_a)$ .

By saturation we only need finitely many such formulas so we can get by with a single formula  $\phi(x, y_1, \dots, y_m)$ .

Consider

$$\mathbf{cEd} \Leftrightarrow \forall x (\phi(x, \mathbf{c}) \leftrightarrow \phi(x, \mathbf{d})).$$

By elimination of imaginaries there is a constructible  $g : \mathbb{C}^m \rightarrow \mathbb{C}^l$  such that

$$\mathbf{cEd} \Leftrightarrow g(\mathbf{c}) = g(\mathbf{d}).$$

In  $\mathbb{M}$  define  $F : \mathbb{C} \rightarrow \mathbb{C}^l$  by

$$F(a) = \mathbf{b} \Leftrightarrow \exists \mathbf{c} X_a = \phi(\mathbb{M}, \mathbf{c}) \wedge g(\mathbf{c}) = \mathbf{b}.$$

i.e.,  $F(a)$  is a canonical parameter for  $X_a$ . But then

$$(a, \mathbf{x}) \in X \Leftrightarrow \exists \mathbf{c} g(\mathbf{c}) = F(a) \wedge \phi(\mathbf{x}, \mathbf{c}).$$

So  $F$  is non-constructible.

There is  $f : \mathbb{C} \rightarrow \mathbb{C}$  a coordinate function of  $F$  that is definable in  $\mathbb{M}$  and non-constructible.

ii) Let  $X$  be the intersection of  $C$  with the graph of  $f$ . Suppose for contradiction that  $X$  is infinite. Since  $f$  is non-constructible,  $C \setminus X$  must also be infinite.

Without loss of generality we may assume  $C$  is a smooth projective curve with  $X \subset C$  definable, infinite and co-infinite.

If  $C$  has genus 0, there is  $h : \mathbb{C} \rightarrow C$  birational and  $h^{-1}(X)$  is infinite and co-infinite, a contradiction.

Suppose  $C$  has genus  $g = 1$ . Then  $C$  is an elliptic curve, a divisible abelian group.

There are generic types of  $C$  containing  $v \in X$  and others containing  $v \notin X$ .

Thus  $C$  has Morley degree at least 2.

But then  $C$  has a proper definable subgroup  $C^0$  of finite index.

But  $C$  is divisible and has no subgroups of finite index.

If  $g > 1$  a similar argument works using the embedding  $j : C \rightarrow \text{Jac}(C)$  into the Jacobian.

- $\text{Jac}(C)$  is a divisible commutative group;
- $(x_1, \dots, x_g) \mapsto j(x_1) \oplus \dots \oplus j(x_g)$  is a birational map between  $C^{(g)} = C^g / \text{Sym}_g$  and the Jacobian.
- If  $x_1, \dots, x_g$  are independent generics in  $X$  and  $y_1, \dots, y_g$  are independent generics in  $C \setminus X$ , then  $j(x_1) \oplus \dots \oplus j(x_g)$  and  $j(y_1) \oplus \dots \oplus j(y_g)$  are distinct generics in  $\text{Jac}(C)$ .

It follows that  $\text{Jac}(C)$  has Morley degree  $> 1$  and has proper finite index definable subgroups, contradicting divisibility.

# Semialgebraic Expansions of $\mathbb{C}$

Suppose  $X \subset \mathbb{R}^{2n}$ . Let

$$\widehat{X} = \{(x_1 + x_2i, \dots, x_{2n-1} + x_{2n}i) \in \mathbb{C}^n : (x_1, \dots, x_n) \in X\}.$$

## Theorem (Marker)

*If  $X$  is semialgebraic either  $\widehat{X}$  is constructible or  $\mathbb{R}$  is definable in  $(\mathbb{C}, +, \cdot, \widehat{X})$ .*

- If  $X \subset \mathbb{R}^2$  and  $\widehat{X} \subset \mathbb{C}$  is non-constructible we can define  $\mathbb{R}$ .
- Suppose  $(\mathbb{C}, +, \cdot, X)$  defines no non-constructible subsets of  $\mathbb{C}$ . Since o-minimality  $\Rightarrow$  uniform bounding,  $(\mathbb{C}, +, \cdot, X)$  is strongly minimal.

By Udi's result there is a non-constructible definable  $f : \mathbb{C} \rightarrow \mathbb{C}$ .

Let  $f : \mathbb{C} \rightarrow \mathbb{C}$  be a non-constructible function definable in  $(\mathbb{C}, +, \cdot, \widehat{X})$ .

Let  $X_0 = \{(a, b) \in \mathbb{R}^2 : \exists c f(a) = b + ci\}$  and  
 $X_1 = \{(a, b) \in \mathbb{R}^2 : \exists d f(a) = d + bi\}$ .

These are graphs of semialgebraic functions, thus there are  $p_i(x, y) \in \mathbb{R}[x, y]$  such that  $X_i$  is contained in the curve  $p_i(x, y) = 0$ .

For all  $x \in \mathbb{R}$

$(x, f(x)) \in V = \{(x, y) : \exists z_0 \exists z_1 p_0(x, z_0) = 0 \wedge p_1(x, z_1) = 0 \wedge y = z_0 + z_1 i\}$

a 1-dimension constructible set. It follows that except for a finite set the graph of  $f$  is contained in an irreducible component of  $V$ , contradicting Hrushovski's result.



# Strongly minimal expansions of algebraically closed fields

## Theorem

*There is a strongly minimal  $(K, +, \cdot, \oplus, \otimes)$  such that  $(K, +, \cdot)$  is an algebraically closed field of characteristic 0 and  $(K, \oplus, \otimes)$  is an algebraically closed field of characteristic  $p > 0$ .*

Proved by a Hrushovski fusion construction.

Key idea & difficulty: assign and enforce bounds on cardinalities of intersections of 1-dimensional sets

## Part II: Hrushovski's work on differentially closed fields

1) Hrushovski–Soklović: *Minimal subsets of differentially closed fields*

We work in  $(\mathbb{K}, +, \cdot, \delta)$  a differentially closed field of characteristic zero.

The field of constants is  $C = \{x \in \mathbb{K} : \delta(x) = 0\}$ .

### Theorem

*If  $X$  is a strongly minimal set then (perhaps after removing finitely many points),  $X$ , equipped with the Kolchin topology on  $X^n$  for all  $n$ , is a Zariski geometry.*

### Corollary

*If  $X$  is a non-locally modular strongly minimal set, then  $X$  is non-orthogonal to the constants  $C$ .*

# Manin kernels

What about non-trivial locally modular sets?

Expanding on the work of Manin and Buium...

## Theorem

*Let  $A$  be a simple abelian variety not isomorphic to a variety defined over  $C$ . There is a nontrivial differential algebraic group homomorphism  $\mu : A \rightarrow \mathbb{K}^d$  for some  $d$ , such that  $A^\sharp = \ker \mu$  is a minimal infinite differential algebraic subgroup of  $A$ .*

*$A^\sharp$  is strongly minimal and locally modular.*

## Theorem

*If  $A$  and  $B$  are abelian varieties that are not isomorphic to abelian varieties defined over  $C$ , then  $A^\sharp$  and  $B^\sharp$  are non-orthogonal if and only if  $A$  and  $B$  are isogenous, i.e., there is an algebraic homomorphism  $f : A \rightarrow B$  with finite kernel.*

# Classification of non-trivial strongly minimal sets

## Theorem

*If  $X$  is any non-trivial locally modular strongly minimal set definable in  $\mathbb{K}$ , then  $X$  is non-orthogonal to  $A^\sharp$  for some simple abelian variety  $A$  not isomorphic to a variety defined over  $C$ .*

Rely's on Hrushovski's result that non-trivial locally modular strongly minimal sets are non-orthogonal to interpretable strongly minimal groups.

# Vaught's Conjecture for DCF

## Corollary

*There are  $2^{\aleph_0}$  non-isomorphic countable models of DCF.*

$A^\# \supseteq \text{Tor}(A)$  so the generic type of  $A^\#$  is non-isolated.

So, the strongly minimal set  $A^\#$  can have different finite dimensions.

Since we can find many orthogonal Manin-kernels we have eni-dop and can code graphs into differentially closed fields.

## Diophantine applications

A warm up to the function field Mordell–Lang conjecture in characteristic zero.

Let  $k$  be an algebraically closed field,  $K/k$  finitely generated,  $A$  a simple abelian variety defined over  $K$  not isomorphic to an abelian variety defined over  $k$ ,  $V \subset A$  a proper subvariety of  $A$ .

**claim**  $V \cap \text{Tor}(A)$  is finite.

Construct a derivation  $D : K \rightarrow K$  with constants  $k$

Work in  $L$  a differential closure of  $K$ . Note  $C(L) = k$ .

Construct  $A^\sharp(L) \supseteq \text{Tor}(A)$ .

By strong minimality  $A^\sharp(L) \cap V$  must be finite (else  $V$  is a coset of a proper abelian subvariety).

Combined with additional ingredients—Hrushovski–Pillay results on 1-based groups, work on structure of finite Morley rank groups—leads to Hrushovski’s proof of function field Mordell–Lang in characteristic 0 and inspired the proof for characteristic  $p$ .

# Applications of Jouanolou's Theorem

2) untitled unpublished notes

## Theorem

*Let  $X$  be a strongly minimal set of transcendence degree 1 that is orthogonal to the constants. Then  $X$  is  $\aleph_0$ -categorical, indeed, (after perhaps throwing out finitely many points) there is a definable  $\widehat{X}$  and a definable finite-to-one  $f : X \rightarrow \widehat{X}$ , such that  $\widehat{X}$  is a set with no structure.*

transcendence degree 1 = if  $X$  is defined over  $k$  and  $x$  is a generic point of  $X/k$  then  $k\langle x \rangle$  has transcendence degree 1.

For example,  $X$  could be defined by a first order equation  $f(x, x') = 0$  or could be a  $D$ -variety living on a curve.

## Theorem

Suppose  $V$  is a Kolchin closed set of transcendence degree  $d$  defined over the constants  $C$ . Either:

- i) there are only finitely many Kolchin closed subvarieties of  $V$  of transcendence degree  $d - 1$  defined over  $\mathbb{C}$ , or*
- ii) there is a non-trivial differential algebraic  $f : V \rightarrow C$ .*

Hrushovski proved this using an extension of a theorem of Jounalou on differential forms

Freitag and Moosa have proved extensions of Hrushovski's results.



## Other $\omega$ -stable differential fields

### 3) Hrushovski–Itai, *On model complete differential fields*

#### Theorem

*There are  $2^{\aleph_0}$  theories of differential fields that are  $\omega$ -stable and eliminate quantifiers.*

Introduced important tools for:

- constructing disintegrated strongly minimal sets living on algebraic curves  $C$  of genus  $g \geq 2$  defined over the constants;
- proved results on definability of orthogonality in families

## Further work on differential fields

### 4) *Computing the Galois group of a linear differential equation*

Gives an algorithm for computing the Galois group of an ordinary linear differential equation over  $\mathbb{Q}(t)$ .

### 5) (with Anand Pillay) *Effective bounds for the number of transcendental points on subvarieties of semi-abelian varieties*

Let  $A$  be an abelian variety and let  $X \subset A$  be a subvariety, both defined over a number field, such that there are no infinite subvarieties  $X_1, X_2 \subset X$  with  $X_1 + X_2 \subseteq X$ .

Let  $\Gamma \subset A$  be a finitely generated group. Give doubly exponential bounds on the size of  $X \cap \Gamma \setminus A(\mathbb{Q}^{\text{alg}})$ .

### 6) (with Tom Scanlon) *Lascar and Morley ranks differ in differentially closed fields.*

▶ End?

## Part III: Other favorites

- 1 Detecting the presence of algebraic structure
  - ▶ non-trivial locally modular sets arise from groups;
  - ▶ detecting a group from a generic associative operation;
  - ▶ the group configuration;
  - ▶ finding a field in an ample Zariski geometry (with Zilber)
- 2 model theory of difference fields (with Chatzidakis and Peterzil) and the new proof of the Manin–Mumford conjecture
- 3 model theory of the Frobenius automorphism and generalization of Lang–Weil bounds.
- 4 model theory of ACVF
  - ▶ elimination of imaginaries, stable domination... (with Haskell and Macpherson)
  - ▶ model theoretic construction of Berkovich space (with Loeser)
- 5 approximate subgroups and connections to combinatorics

**Happy Birthday Udi!**

