

Finiteness properties of mod p étale cohomology of the Drinfeld tower

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Based on a joint work with Pierre Colmez and Gabriel Dospinescu

Factorization, cont.

Proof

(1) **Key new input:** Show that $H_{\text{ét}}^1(\mathcal{M}_{n,K}^P, \mathbf{F}_p)$ is a compact representation of G , of **finite length** (more precisely, dual of a smooth representation, of finite length as a $\mathbf{Z}_p[G]$ -module)

(2) Use:

(a) **Gabriel's** bloc decomposition for $H_{\text{ét}}^1(\mathcal{M}_{n,K}^P, \mathbf{F}_p)$

(b) **Paškūnas** local $R = T$ theorem

(c) the "pointwise" computations of $H_{\text{ét}}^1(\mathcal{M}_{n,K}^P, \mathbf{Q}_p)$ in CDN1

Finiteness theorems

Theorem Let $[K : F] < \infty$, $X_K := \mathcal{M}_{n,K}^{\varpi}$. Then

- $H_{\text{ét}}^1(X_K, \mathbf{F}_p)^{\vee}$ is a smooth repr. of $G = \text{GL}_2(F)$;
- $H_{\text{ét}}^1(X_K, \mathbf{F}_p)^{\vee}$ is of finite presentation;
- if $F = \mathbf{Q}_p$, $H_{\text{ét}}^1(X_K, \mathbf{F}_p)^{\vee}$ is of finite length;
- if $F \neq \mathbf{Q}_p$, and $n \geq 1$, then $H_{\text{ét}}^1(X_K, \mathbf{F}_p)^{\vee}$ is neither admissible nor of finite length.

In applications we use the following version:

Corollary Let $[L : \mathbf{Q}_p] < \infty$, $X_C := \mathcal{M}_n^{\varpi}$, $\bar{\rho} : \mathcal{G}_F \rightarrow \text{GL}_2(k_L)$ – cont. repr. Then

- $\text{Hom}_{\mathcal{G}_F}(\bar{\rho}, H_{\text{ét}}^1(X_C, k_L))^{\vee}$ is a smooth repr. of G ;
- $\text{Hom}_{\mathcal{G}_F}(\bar{\rho}, H_{\text{ét}}^1(X_C, k_L))^{\vee}$ is of finite presentation;
- if $F = \mathbf{Q}_p$, $\text{Hom}_{\mathcal{G}_{\mathbf{Q}_p}}(\bar{\rho}, H_{\text{ét}}^1(X_C, k_L))^{\vee}$ is of finite length.

Smooth repr. of $GL_2(\mathbf{Q}_p)$, after Barthel-Livné, Breuil

Notation: $G = GL_2(\mathbf{Q}_p)$, B – upper Borel, $Z \simeq \mathbf{Q}_p^*$ – center, $K = GL_2(\mathbf{Z}_p)$.

- *Serre weight:* irred. k_L -repr. of K . Has form:

$$\mathrm{Sym}^r(k_L^2) \otimes \det^a, \quad (r, a) \in \{0, 1, \dots, p-1\} \times \{0, 1, \dots, p-2\}$$

- σ – Serre weight, $\delta : Z \rightarrow k_L^*$ – smooth character compatible with σ .

$$I(\sigma) := \mathrm{c}\text{-Ind}_{KZ}^G(\sigma).$$

- $\mathrm{End}_G(I(\sigma)) \simeq k_L[T]$, T – Hecke operator
- $I(\sigma)$ – free module over $k_L[T]$.

Classification of Barthel-Livné and Breuil

Smooth irreducible repr. of G over $\overline{\mathbf{F}}_p$ with a central character:

- smooth characters $\delta : G \rightarrow \overline{\mathbf{F}}_p^*$.
- Principal series $\text{Ind}_B^G(\chi_1 \otimes \chi_2)$, $\chi_1 \neq \chi_2$ smooth characters of \mathbf{Q}_p^* .
- special series $\text{St} \otimes \delta$, with Steinberg $\text{St} = \text{Ind}_B^G(1 \otimes 1)/\mathbf{1}$
- supersingular representations $I(\sigma)/(T)$; a finite number of them.

Remarks:

(1) All are admissible even though we did not impose it (false for some $\text{GL}_2(F)$, $F \neq \mathbf{Q}_p$ – counterexamples by **Daniel Le**)

(2) works for $\text{GL}_2(F)$, by **Barthe-Livné**, with the exception of finite number of supersingulars

Representations of finite presentation

G – locally profinite group, k_L – coefficients

Definition π – smooth repr. of G .

- π is of *finite type* = π is a ft $k_L[G]$ -module. Equivalently, π is a quotient of $\text{c-Ind}_K^G(\sigma)$ for a smooth fin. dim. repr. σ of a compact open $K \subset G$.
- π is of *finite presentation* = \exists a short exact sequence of k_L -modules

$$\text{c-Ind}_{K_1}^G(\sigma_1) \rightarrow \text{c-Ind}_{K_2}^G(\sigma_2) \rightarrow \pi \rightarrow 0$$

for compact open $K_1, K_2 \subset G$ and finite dimensional σ_1, σ_2 .

Representations of finite presentation, cont.

k_L – coefficients

- **Shotton**: If $G = G' := \mathrm{GL}_2(F)/\varpi_F^{\mathbf{Z}} \Rightarrow$ the category of repr. of fin. pres. is abelian, closed under extensions (in smooth repr.).
- **Schraen, Wu**: if $F \neq \mathbf{Q}_p$, supersingular repr. of $\mathrm{GL}_2(F)$ are not of finite presentation.
- **Vignéras**: smooth, *admissible* repr. of $\mathrm{GL}_2(F)$, of finite presentation is finite length and all its subquotients are admissible.

Corollary $F \neq \mathbf{Q}_p$. π – representation of $\mathrm{GL}_2(F)$ of finite presentation. If π has an irreducible supersingular subquotient, then π is neither admissible nor of finite length.

- **CDN**: If $F \neq \mathbf{Q}_p$, $H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\varpi}, \mathbf{F}_p)^{\vee}$ is of finite presentation and has irreducible supersingular subquotients hence it can not be admissible or of finite length

$H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\varpi}, \mathbf{F}_p)^{\vee}$ is smooth, fin. pres.

Step 1

- Can pass to a finite extension of K
- Can assume \exists equivariant semistable (formal) model X of $\mathcal{M}_{n,K}^{\varpi}$ over \mathcal{O}_K
- Y – special fiber of X . \exists a sequence of closed (resp. open) subschemes $Y_s, s \in \mathbf{N}$, (resp. $U_s, s \in \mathbf{N}$) of Y such that:
 - (i) Y_s is a union of finite number of irreducible components,
 - (ii) $Y_s \subset U_s \subset Y_{s+1}$ and their union is Y ,
 - (iii) the tubes $\{U_{s,\eta} := U_s[X]\}, s \in \mathbf{N}$, form a Stein covering of X_K .
 Equip X, Y, U_s, Y_s with log-structures induced from Y .

Step 2

$$E_2^{i,j} = H_{\text{ét}}^i(Y, R^j \Psi \mathbf{F}_p) \Rightarrow H_{\text{ét}}^{i+j}(X_K, \mathbf{F}_p)$$

\Rightarrow

$$0 \rightarrow H_{\text{ét}}^1(Y, R^0 \Psi \mathbf{F}_p) \rightarrow H_{\text{ét}}^1(X_K, \mathbf{F}_p) \rightarrow H_{\text{ét}}^0(Y, R^1 \Psi \mathbf{F}_p) \rightarrow H_{\text{ét}}^2(Y, R^0 \Psi \mathbf{F}_p)$$

Claim: all groups are profinite

use **Bloch-Kato-Hyodo** filtration on $\mathcal{F} := R^1\Psi\mathbf{F}_p$:

$$0 = \mathcal{F}_d \subset \dots \subset \mathcal{F}_1 \subset \mathcal{F}_0 = \mathcal{F},$$

such that

- For $r > 0$, $\mathcal{F}_r/\mathcal{F}_{r+1}$ are \mathcal{O}_Y , $\Omega_{Y/k}^1$, or $\mathcal{O}_Y/Z_{Y/k}^0$.
- For $r = 0$, we have an exact sequence

$$0 \rightarrow \mathbf{F}_p \rightarrow \mathcal{F}_0/\mathcal{F}_1 \rightarrow \Omega_{Y/k,\log}^1 \rightarrow 0.$$

Moreover

$$0 \rightarrow \mathcal{O}_Y \xrightarrow{\varphi} \mathcal{O}_Y \rightarrow \mathcal{O}_Y/Z_{Y_k}^0 \rightarrow 0,$$

$$0 \rightarrow \Omega_{\log}^1 \rightarrow \Omega_{Y/k}^1 \xrightarrow{C-1} \Omega_{Y/k}^1 \rightarrow 0,$$

$$0 \rightarrow \mathbf{F}_p \rightarrow \mathcal{O}_Y \xrightarrow{1-\varphi} \mathcal{O}_Y \rightarrow 0$$

- Category PF is abelian \Rightarrow it suffices to show that $\{H_{\text{ét}}^i(U_s, \Omega_{Y/k}^j)\}_s$ is profinite, for $j = 0, 1$ and all i .

Claim: all groups are profinite, cont.

- But $\{H_{\text{ét}}^i(U_s, \Omega_{Y/k}^j)\}_s \simeq \{H_{\text{ét}}^i(Y_s, \Omega_{Y/k}^j \otimes_{\mathcal{O}_Y} \mathcal{O}_{Y_s})\}$
- $H_{\text{ét}}^i(Y_s, \Omega_{Y/k}^j \otimes_{\mathcal{O}_Y} \mathcal{O}_{Y_s})$ is finite:
 - (1) Y_s – proper
 - (2) $\Omega_{Y/k}^j \otimes_{\mathcal{O}_Y} \mathcal{O}_{Y_s}$ – locally free of finite rank.

Finite presentation, cont.

everything profinite \Rightarrow strictly exact ($\Lambda := \mathbf{F}_p$):

$$0 \rightarrow H_{\text{ét}}^1(Y, R^0\Psi\Lambda) \rightarrow H_{\text{ét}}^1(X_K, \Lambda) \rightarrow H_{\text{ét}}^0(Y, R^1\Psi\Lambda) \rightarrow H_{\text{ét}}^2(Y, R^0\Psi\Lambda).$$

Dualize:

$$0 \leftarrow H_{\text{ét}}^1(Y, R^0\Psi\Lambda)^\vee \leftarrow H_{\text{ét}}^1(X_K, \Lambda)^\vee \leftarrow H_{\text{ét}}^0(Y, R^1\Psi\Lambda)^\vee \leftarrow H_{\text{ét}}^2(Y, R^0\Psi\Lambda)^\vee$$

\Rightarrow It suffices to show

$$H_{\text{ét}}^1(Y, R^0\Psi\mathbf{F}_p)^\vee, H_{\text{ét}}^0(Y, R^1\Psi\mathbf{F}_p)^\vee, H_{\text{ét}}^2(Y, R^0\Psi\mathbf{F}_p)^\vee$$

are smooth, of finite presentation.

Bloch-Kato-Hyodo filtration \Rightarrow it suffices $H_{\text{ét}}^s(Y, \Omega_{Y/k}^t)^\vee$ are smooth of finite presentation, for all s and $t = 0, 1$.

Closed Mayer-Vietoris

- $(C_j)_{j \in J}$ irreducible components of Y . Closed Mayer-Vietoris exact sequence, $C_{i,j} := C_i \cap C_j$

$$\begin{aligned} \prod_j H_{\text{ét}}^{s-1}(C_j, \Omega_{C_j}^t) &\rightarrow \prod_{i < j} H_{\text{ét}}^{s-1}(C_{i,j}, \Omega_{C_{i,j}}^t) \rightarrow H_{\text{ét}}^s(Y, \Omega_{Y/k}^t) \\ &\rightarrow \prod_j H_{\text{ét}}^s(C_j, \Omega_{C_j}^t) \rightarrow \prod_{i < j} H_{\text{ét}}^s(C_{i,j}, \Omega_{C_{i,j}}^t) \end{aligned}$$

Here: $\Omega_{C_j}^t := \Omega_{Y/k}^t \otimes \mathcal{O}_{C_j}$ et $\Omega_{C_{i,j}}^t := \Omega_{Y/k}^t \otimes \mathcal{O}_{C_{i,j}}$.

- it suffices to show that (for fin. dim. repr. W_1, W_2 of K, l) :

$$\prod_j H_{\text{ét}}^*(C_j, \Omega_{C_j}^t) \simeq \text{Ind}_K^{G'}(W_1^\vee), \quad \prod_{i,j} H_{\text{ét}}^*(C_{i,j}, \Omega_{C_{i,j}}^t) \simeq \text{Ind}_l^{G'}(W_2^\vee)$$

- Use:

- (1) $H_{\text{ét}}^*(C_j, \Omega_{C_j}^t)$ – fin. dim. over k
- (2) The stabilizer of C_j is an open subgroup
- (3) Properties of the Bruhat-Tits building of $\text{PGL}_2(F)$

$F = \mathbf{Q}_p, H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\overline{}}, \mathbf{F}_p)^\vee$ is smooth, finite length

Step 1 Reductions:

- Can pass to a finite extension of K
- **Cosocle trick:**
(1) shown: the G -module

$$V := H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\overline{}}, k_L)^\vee$$

is smooth and of finite type.

(2) Since V is a G' -module, $G' = G/p^{\mathbf{Z}}$, it suffices to show that the cosocle of V has finite length,

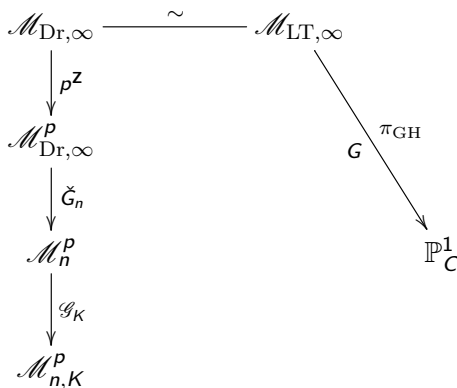
(3) equivalently: for all smooth irreducible $k_L[G']$ -modules π ,

$$\text{Hom}_{k_L[G']}^{\text{cont}}(V, \pi) \simeq \text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\overline{}}, k_L))$$

is finite dimensional over k_L , and zero for almost all π .

Say: the functor $\text{Hom}_{k_L[G']}^{\text{cont}}((-)^\vee, H_{\text{ét}}^1(\mathcal{M}_{n,K}^{\overline{}}, k_L))$ is *finite*.

Step 2: $\mathrm{Hom}_{k_L[G']}^{\mathrm{cont}}((-)^{\vee}, H_{\acute{e}t}^1(\mathcal{M}_{n,K}^{\overline{\omega}}, k_L))$ is finite



- $\mathrm{Hom}_{k_L[G']}^{\mathrm{cont}}(\pi^{\vee}, H_{\acute{e}t}^1(\mathcal{M}_{n,K}^p, k_L)) \Rightarrow \mathrm{Hom}_{k_L[G']}^{\mathrm{cont}}(\pi^{\vee}, H_{\acute{e}t}^1(\mathcal{M}_{\mathrm{Dr},\infty}, k_L))^{\mathcal{G}_K \times \check{G}_n}$
 $\Rightarrow S^1(\pi)^{W_K \times \check{G}_n}$
- Study $S^1(\pi)^{\mathcal{G}_K \times \check{G}_n}$

Going up the Drinfeld tower

• From $X_K := \mathcal{M}_{n,K}^P$ to $X_C := \mathcal{M}_n^P$.

(1) Hochschild-Serre spectral sequence \Rightarrow

$$0 \rightarrow H^1(\mathcal{G}_K, H_{\text{ét}}^0(X_C, k_L)) \rightarrow H_{\text{ét}}^1(X_K, k_L) \rightarrow H_{\text{ét}}^1(X_C, k_L)^{\mathcal{G}_K}.$$

(2) apply $\text{Hom}_{k_L[G]}^{\text{cont}}(\pi^\vee, -) \Rightarrow$

$$\begin{aligned} 0 \rightarrow \text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H^1(\mathcal{G}_K, H_{\text{ét}}^0(X_C, k_L))) &\rightarrow \text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H_{\text{ét}}^1(X_K, k_L)) \\ &\rightarrow \text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H_{\text{ét}}^1(X_C, k_L))^{\mathcal{G}_K}. \end{aligned}$$

(3) need to control

$$\text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H^1(\mathcal{G}_K, H_{\text{ét}}^0(X_C, k_L)))$$

But $\pi_0(X_K) < \infty \Rightarrow$ suffices to show

$\text{Hom}_{k_L[G']}^{\text{cont}}((-)^\vee, H_{\text{ét}}^1(\mathcal{M}_n^P, k_L))^{\mathcal{G}_K}$ is finite.

Going up the Drinfeld tower, cont.

• from \mathcal{M}_n^p to $\mathcal{M}_{\text{Dr},\infty}^p$:

(1) Pro-étale \check{G}_n -torsor $\mathcal{M}_{\text{Dr},\infty}^p \rightarrow \mathcal{M}_n^p$; need to control

$$\text{Hom}_{k_L[G']}^{\text{cont}}(\pi^\vee, H^1(\check{G}_n, H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}^p, k_L)))^{\mathcal{G}_K}$$

(2) **Strauch:**

$$H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}, k_L) \simeq \mathcal{C}^0(\mathbf{Q}_p^\times, k_L), \quad H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}^p, k_L) \simeq \mathcal{C}^0(\mathbf{Q}_p^\times/p^{\mathbf{Z}}, k_L).$$

– $\mathcal{C}^0(\mathbf{Q}_p^\times/p^{\mathbf{Z}}, k_L)$ – smooth admissible repr. of \check{G}_n (action by reduced norm)

– \check{G}_n compact p -adic Lie group, without torsion,

– then $H^i(\check{G}_n, H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}^p, k_L))$ are fin. dim. over k_L , for all $i \geq 0$.

(3) It suffices to show that $\text{Hom}_{k_L[G']}((-\)^\vee, H_{\text{ét}}^1(\mathcal{M}_{\text{Dr},\infty}^p, k_L))^{\mathcal{G}_K \times \check{G}_n}$ is finite

Going up the Drinfeld tower, cont.

• from $\mathcal{M}_{\text{Dr},\infty}^p$ to $\mathcal{M}_{\text{Dr},\infty}$:

(1) étale $p^{\mathbf{Z}}$ -torsor $\mathcal{M}_{\text{Dr},\infty} \rightarrow \mathcal{M}_{\text{Dr},\infty}^p$; need to control

$$H^1(p^{\mathbf{Z}}, H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}, k_L))$$

(2) But

$$H^1(p^{\mathbf{Z}}, H_{\text{ét}}^0(\mathcal{M}_{\text{Dr},\infty}, k_L)) \simeq H^1(p^{\mathbf{Z}}, \mathcal{C}^0(\mathbf{Q}_p^\times, k_L)) = 0$$

(3) It suffices to show that

$$\text{Hom}_{k_L[G]}^{\text{cont}}((-)^{\vee}, H_{\text{ét}}^1(\mathcal{M}_{\text{Dr},\infty}, k_L))^{W_K \times \check{G}_n}$$

is finite

Scholze's functor $S^1(-)$

Definition:

- π – $k_L[G]$ -module, discrete topology
- $\check{\pi}_{\text{GH}} : \check{\mathcal{M}}_{\text{LT}, \infty} \rightarrow \mathbb{P}_{\check{F}}$ – Gross-Hopkins period map
- \mathcal{F}_{π} – "principal D^* -bundle" on $\mathbb{P}_{\check{F}}$
- Set $S^i(\pi) := H_{\text{ét}}^i(\mathbb{P}_C, \mathcal{F}_{\pi})$; inherits D^* and \mathcal{G}_F actions

Properties:

Assume π is admissible. Then:

- $S^i(\pi)$ is admissible
- $S^i(\pi) = 0$ for $i > 2$

$$\mathrm{Hom}_{k_L[G]}^{\mathrm{cont}}(\pi^\vee, H_{\mathrm{\acute{e}t}}^1(\mathcal{M}_{\mathrm{Dr},\infty}, k_L)) \sim S^1(\pi)$$

Theorem $\pi - k_L[G]$ -module smooth admissible, irreducible. \exists natural morphism

$$S^1(\pi) \rightarrow \mathrm{Hom}_{k_L[G]}^{\mathrm{cont}}(\pi^\vee, H_{\mathrm{\acute{e}t}}^1(\mathcal{M}_{\mathrm{Dr},\infty}, k_L)) :$$

- it is an isomorphism if π does not belong to a twist $\{\chi, \mathrm{St} \otimes \chi, \Pi_{\chi, \chi\omega}\}$ of the Steinberg bloc,
- its kernel and cokernel are of finite rank over k_L if π belongs to a twist of the Steinberg bloc.

Proof: We have a spectral sequence

$$E_2^{i,j} = H^i(G, \mathrm{Hom}_{\mathbf{Z}_p}^{\mathrm{cont}}(\pi^\vee, H_{\mathrm{\acute{e}t}}^j(\mathcal{M}_{\mathrm{Dr},\infty}, k_L))) \implies S^{i+j}(\pi)$$

$$\mathrm{Hom}_{k_L[G]}^{\mathrm{cont}}(\pi^\vee, H_{\acute{\mathrm{e}}\mathrm{t}}^1(\mathcal{M}_{\mathrm{Dr}, \infty}, k_L)) \sim S^1(\pi), \text{ cont.}$$

- Get exact sequence

$$0 \rightarrow V_1(\pi) \xrightarrow{f_\pi} S^1(\pi) \rightarrow \mathrm{Hom}_{k_L[G]}^{\mathrm{cont}}(\pi^\vee, H_{\acute{\mathrm{e}}\mathrm{t}}^1(\mathcal{M}_{\mathrm{Dr}, \infty}, k_L)) \rightarrow V_2(\pi),$$

where

$$V_i(\pi) := H^i(G, \mathrm{Hom}_{k_L}^{\mathrm{cont}}(\pi^\vee, H_{\acute{\mathrm{e}}\mathrm{t}}^0(\mathcal{M}_{\mathrm{Dr}, \infty}, k_L)))$$

- Have an isomorphism

$$\mathrm{Hom}_{k_L}^{\mathrm{cont}}(\pi^\vee, H_{\acute{\mathrm{e}}\mathrm{t}}^0(\mathcal{M}_{\mathrm{Dr}, \infty}, k_L)) \simeq \mathrm{Ind}_{\mathrm{SL}_2(\mathbf{Q}_p)}^G(\pi).$$

Shapiro lemma $\Rightarrow V_i(\pi) \simeq H^i(\mathrm{SL}_2(\mathbf{Q}_p), \pi)$.

- **Fust:** $V_i(\pi)$ are finite and trivial outside twists of the Steinberg bloc

$S^1(-)^{\mathcal{G}_K \times \check{G}_n}$ is finite

- **Scholze:** $S^1(\pi)^{\check{G}_n}$ is finite dim. over k_L
- **Fact:** The set of smooth, irreducible $\overline{\mathbf{F}}_p[G']$ -modules π such that $S^1(\pi)^{\mathcal{G}_K} \neq 0$ is finite

Why ? if you believe that $S^1(-)$ incodes pLL \Rightarrow there is a finite number of Galois representations of dim 2 which have \mathcal{G}_K -invariants once we fix the determinant

we use: local-global compatibility + **Scholze, Ludwig, Paškūnas**