False theta functions and their modularity properties

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1. Modular forms and mock modular forms

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- 2. False theta functions

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 $f:\mathbb{H} o\mathbb{C}$ holomorphic is modular of weight k if for all $\left(egin{array}{c}a&b\\c&d\end{array}
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plus growth condition

Fourier expansion $(q := e^{2\pi i \tau}, \tau \in \mathbb{H})$

$$f(\tau) = \sum_{n \in \mathbb{Z}} c(n)q^n$$



▶ Dedekind η -function:

$$\eta(au) := q^{rac{1}{24}} \prod_{n \geq 1} \left(1 - q^n
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Modularity:

$$\eta\left(au+1
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► Theta function:

$$\Theta(au) := \sum_{n \in \mathbb{Z}} q^{n^2}.$$



Identity of Gauss

Write

$$\Theta(\tau)^3 =: \sum_{n \geq 0} r(n)q^n.$$

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Then

$$r(n) = 12\big(H(4n) - 2H(n)\big)$$

with

 $H(n) := \#\{\text{equivalence classes of integral binary quadratic forms}$ of discriminant $n\}$.

Harmonic Maass forms

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 $F: \mathbb{H} \to \mathbb{C}$ real-analytic is a weight k harmonic Maass form if it is modular of weight k and



J. Bruinier



J. Funke



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 $F: \mathbb{H} \to \mathbb{C}$ real-analytic is a weight k harmonic Maass form if it is modular of weight k and

$$\Delta_k(F) = 0$$

with
$$(\tau = \tau_1 + i\tau_2)$$

$$\Delta_k := -\tau_2^2 \left(\frac{\partial^2}{\partial \tau_1^2} + \frac{\partial^2}{\partial \tau_2^2} \right) + ik\tau_2 \left(\frac{\partial}{\partial \tau_1} + i\frac{\partial}{\partial \tau_2} \right)$$



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weight 2 Eisenstein series:

$$\widehat{E}_2(\tau) := E_2(\tau) - \frac{3}{\pi \tau_2}$$
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$$E_2(\tau) := 1 - 24 \sum_{n \geq 1} \sigma(n) q^n$$

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► Class number generating function:

$$\widehat{\mathcal{H}}(\tau) := \sum_{\substack{n \geq 0 \\ n \equiv 0.3 \pmod{4}}} H(n)q^n + \frac{i}{8\sqrt{2}\pi} \int_{-\overline{\tau}}^{i\infty} \frac{\Theta(w)}{\left(-i(\tau+w)\right)^{\frac{3}{2}}} dw.$$



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shadow

 ${\mathcal F}$ harmonic Maass form

$$\mathcal{F}$$
 = \mathcal{F}^+ + \mathcal{F}^-

 ${\mathcal F}$ harmonic Maass form

$$\mathcal{F} = \mathcal{F}^+ + \mathcal{F}^-$$

holomorphic
part

non-holomorphic
part

 \mathcal{F} harmonic Maass form

$$\mathcal{F} \qquad = \quad \begin{array}{cccc} \mathcal{F}^+ & + & \mathcal{F}^- \\ & \uparrow & & \uparrow \\ & \text{holomorphic} & \text{non-holomorphic} \\ & \text{part} & & \text{part} \end{array}$$

with

$$\mathcal{F}^+(\tau) := \sum_{n \gg -\infty} c^+(n) q^n$$

$$\mathcal{F}^-(\tau) := \sum_{n \geq 1} c^-(n) \Gamma(k-1; 4\pi | n | \tau_2) q^n$$



\mathcal{F} harmonic Maass form

$$\mathcal{F} = \mathcal{F}^+ + \mathcal{F}^ \uparrow \qquad \qquad \qquad \uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

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$$\uparrow \text{incomplete gamma function}$$



Alternative representation

The non-holomorphic part has the shape

$$\int_{-\bar{\tau}}^{i\infty} g(w)(\tau+w)^{2-k}dw.$$

Alternative representation

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modular, weight $2-k$

Ramanujan's last letter

"I am extremely sorry for not writing you a single letter up to now. I recently discovered very interesting functions which I call "Mock" ϑ -functions. Unlike the "False" ϑ -functions they enter into mathematics as beautifully as the theta functions. I am sending you with this letter some examples."



S. Ramanujan



Mock theta functions

These mock theta functions are 22 peculiar q-series.

Mock theta functions

These mock theta functions are 22 peculiar *q*-series.

Example:

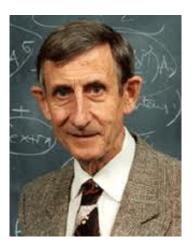
$$f(q) := \sum_{n \ge 0} \frac{q^{n^2}}{(-q; q)_n^2}$$

with

$$(a;q)_n := \prod_{m=0}^{n-1} (1 - aq^m)$$

Dyson's challenge for the future

"The mock theta-functions give us tantalizing hints of a grand synthesis still to be discovered. Somehow it should be possible to build them into a coherent. group-theoretical structure, analogous to the structure of modular forms which Hecke built around the old theta functions of Jacobi. This remains a challenge for the future..."



F. Dyson



Mock modularity of f(q)

Theorem (Zwegers)

The function f(q) is a mock modular form.



S. Zwegers

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Rogers false theta functions

Wrong sgn-factors prevent modularity.



Rogers false theta functions

Wrong sgn-factors prevent modularity.

Example:

$$\sum_{n\in\mathbb{Z}} (-1)^n\operatorname{sgn}\left(n+rac{1}{2}
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Quantum modular forms

Definition:

 $f: \mathcal{Q} \to \mathbb{H}$ $(\mathcal{Q} \subset \mathbb{Q})$ is a quantum modular form of weight k if for $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$

$$f(\tau) - (c\tau + d)^{-k} f\left(\frac{a\tau + b}{c\tau + d}\right)$$

is "nice".



D. Zagier

Quantum modular forms

Definition: quantum set

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Examples: false theta functions



D. Zagier



Strange function

Let

$$\widetilde{\eta}(au) := -rac{1}{2} \sum_{n \in \mathbb{Z}} \left(rac{12}{n}
ight) |n| q^{rac{n^2-1}{24}}$$



M. Kontsevich



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Legendre symbol



M. Kontsevich

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"Strange identity":

$$\widetilde{\eta}(au)$$
 " $=$ " $K(q):=1+\sum_{n\geq 1}(q;q)_n$



M. Kontsevich

Background:

Vertex algebras originate from physics, generalize affine Kac-Moody algebras and the Virasoro algebra.

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- Singlet vertex algebras are non-rational, characters are 1-dimensional false theta functions.
- ▶ Triplet algebras lead to higher-dimensional theta functions.

Class of functions:
$$(0 \le s < N \in \mathbb{N})$$

$$\frac{F_{N-s,N}(N\tau)}{\eta(\tau)}$$



A. Milas

Class of functions: $(0 \le s < N \in \mathbb{N})$

$$\frac{F_{N-s,N}(N\tau)}{\eta(\tau)}$$

with

$$F_{j,N}(au) := \sum_{n \equiv j \pmod{2N}} \operatorname{sgn}(n) q^{\frac{n^2}{4N}}$$



A. Milas

False theta functions in combinatorics

Definition:

Sequence $\{a_j\}_{j=1}^s$ with

$$a_1 \leq a_2 \leq \ldots \leq a_k \geq a_k \geq \ldots \geq a_s$$

and $a_1 + \ldots + a_s = n$ is a unimodal sequence.

False theta functions in combinatorics

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u(n) := #unimodal sequence of size n.

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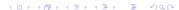
Generating function:

$$U(q) := \sum_{n \ge 0} u(n)q^n = \frac{1}{(q;q)_{\infty}^2} \sum_{n \ge 1} (-1)^{n+1} q^{\frac{n(n+1)}{2}}$$



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Define

$$\psi(z;\tau) := i \sum_{n \in \mathbb{Z}} (-1)^n \operatorname{sgn}\left(n + \frac{1}{2}\right) q^{\frac{1}{2}\left(n + \frac{1}{2}\right)^2} \zeta^{n + \frac{1}{2}}.$$

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Remark:

Removing the sgn yields a Jacobi form. The sgn breaks the inversion property.

Jacobi forms

Definition:

Holomorphic Jacobi forms of weight $k \in \mathbb{Z}$ and index $m \in \mathbb{N}_0$ are holomorphic functions



M. Eichler

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 $\phi: \mathbb{C} \times \mathbb{H} \to \mathbb{C}$ satisfying, for $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ and $\lambda, \mu \in \mathbb{Z}$,

$$\phi\left(\frac{z}{c\tau+d};\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k e^{\frac{2\pi i cmz^2}{c\tau+d}}\phi(z;\tau),$$

$$\phi(z+\lambda\tau+\mu;\tau) = e^{-2\pi i m\left(\lambda^2\tau+2\lambda z\right)}\phi(z;\tau).$$



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 $\phi: \mathbb{C} \times \mathbb{H} \to \mathbb{C}$ satisfying, for $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ and $\lambda, \mu \in \mathbb{Z}$,

$$\begin{split} \phi\left(\frac{z}{c\tau+d};\frac{a\tau+b}{c\tau+d}\right) &= (c\tau+d)^k \mathrm{e}^{\frac{2\pi i \mathrm{cm} z^2}{c\tau+d}} \phi(z;\tau), \\ \phi(z+\lambda\tau+\mu;\tau) &= \mathrm{e}^{-2\pi i \mathrm{m} \left(\lambda^2\tau+2\lambda z\right)} \phi(z;\tau). \end{split}$$



M. Eichler

plus growth conditions



Idea:

"Complete" ψ to obtain a function transforming like Jacobi forms.

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Completion: $w \in \mathbb{H}$, $z_2 := \text{Im}(z)$

$$\widehat{\psi}(z;\tau,w) := i \sum_{n \in \mathbb{Z}} \operatorname{erf} \left(-i \sqrt{\pi i (w - \tau)} \left(n + \frac{1}{2} + \frac{z_2}{\tau_2} \right) \right) \times (-1)^n q^{\frac{1}{2} \left(n + \frac{1}{2} \right)^2} \zeta^{n + \frac{1}{2}}$$

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Note that, for $-\frac{1}{2}<\frac{z_2}{ au_2}<\frac{1}{2}$ and arepsilon>0,

$$\lim_{t\to\infty}\widehat{\psi}(z;\tau,\tau+it+\varepsilon)=\psi(z;\tau)$$



Modularity

Theorem 1 (B.-Nazaroglu)

The function $\widehat{\psi}$ transforms like a Jacobi form.



C. Nazaroglu

Modularity

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Sketch of proof:

Poisson summation.



C. Nazaroglu

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Applications

2 Applications:

asymptotics for unimodal sequences

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- asymptotics for unimodal sequences
- ightharpoonup quantum modularity of $F_{j,N}$

Partitions

A partition of $n \in \mathbb{N}_0$ is a nonincreasing sequence of positive integers whose sum is n.



L. Euler

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Denote

p(n) := # of partitions of n



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A partition of $n \in \mathbb{N}_0$ is a nonincreasing sequence of positive integers whose sum is n.

Denote

$$p(n) := \#$$
 of partitions of n

Generating function:

$$P(q) := \sum_{n>0} p(n)q^n = \prod_{n>1} \frac{1}{1-q^n}$$



L. Euler

$$p(10) = 42$$



G. Hardy

$$p(10) = 42$$

$$p(50) = 204226$$



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G. Hardy

Asymptotic behavior: (Hardy-Ramanujan)

$$p(n) \sim \frac{1}{4\sqrt{3}n}e^{\pi\sqrt{\frac{2n}{3}}} \qquad (n \to \infty)$$



Kloosterman sums:

$$A_k(n) := \sum_{h \pmod{k}^*} \omega_{h,k} e^{-\frac{2\pi i h n}{k}}$$

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Bessel function of order α :

$$I_{\alpha}(x) := \sum_{m \geq 0} \frac{1}{m!\Gamma(m+\alpha+1)} \left(\frac{x}{2}\right)^{2m+\alpha}$$

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Rademacher formula:

$$p(n) = \frac{2\pi}{(24n-1)^{\frac{3}{4}}} \sum_{k>1} \frac{A_k(n)}{k} I_{\frac{3}{2}} \left(\frac{\pi\sqrt{24n-1}}{6k} \right)$$



Asymptotics: (Auluck, Wright)

$$u(n) \sim \frac{1}{8 \cdot 3^{\frac{3}{4}} n^{\frac{5}{4}}} e^{2\pi \sqrt{\frac{n}{3}}} \qquad (n \to \infty)$$

Asymptotics: (Auluck, Wright)

$$u(n) \sim \frac{1}{8 \cdot 3^{\frac{3}{4}} n^{\frac{5}{4}}} e^{2\pi \sqrt{\frac{n}{3}}} \qquad (n \to \infty)$$

Notation: $K_k(n)$, $K_k(n,r)$ Kloosterman sums

Theorem 2 (B.-Nazaroglu)

We have

$$u(n) = \frac{2\pi}{12n - 1} \sum_{k \ge 1} \frac{K_k(n)}{k} I_2 \left(\frac{\pi}{3k} \sqrt{12n - 1} \right)$$

$$- \frac{\pi}{2^{\frac{3}{4}} \sqrt{3} (24n + 1)^{\frac{3}{4}}} \sum_{k \ge 1} \sum_{r \pmod{2k}} \frac{K_k(n, r)}{k^2}$$

$$\times \int_{-1}^{1} \left(1 - x^2 \right)^{\frac{3}{4}} \cot \left(\frac{\pi}{2k} \left(\frac{x}{\sqrt{6}} - r - \frac{1}{2} \right) \right) I_{\frac{3}{2}} \left(\frac{\pi}{3\sqrt{2}k} \sqrt{(1 - x^2)(24n + 1)} \right) dx.$$

Theorem 2 (B.-Nazaroglu)

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$$u(n) = \frac{2\pi}{12n - 1} \sum_{k \ge 1} \frac{K_k(n)}{k} I_2 \left(\frac{\pi}{3k} \sqrt{12n - 1} \right)$$

$$- \frac{\pi}{2^{\frac{3}{4}} \sqrt{3} (24n + 1)^{\frac{3}{4}}} \sum_{k \ge 1} \sum_{r \pmod{2k}} \frac{K_k(n, r)}{k^2}$$

$$\times \int_{-1}^{1} \left(1 - x^2 \right)^{\frac{3}{4}} \cot \left(\frac{\pi}{2k} \left(\frac{x}{\sqrt{6}} - r - \frac{1}{2} \right) \right) I_{\frac{3}{2}} \left(\frac{\pi}{3\sqrt{2}k} \sqrt{(1 - x^2)(24n + 1)} \right) dx.$$

Idea of proof: Use Circle Method and modular completion.



Recall

$$F_{j,N}(\tau) \doteq \sum_{n \equiv j \pmod{2N}} \operatorname{sgn}(n) q^{\frac{n^2}{4N}}.$$

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Asymptotics

$$egin{aligned} F_{j,N}\left(it+rac{h}{k}
ight) &\sim \sum_{m\geq 0} a_{h,k}(m)t^m \quad \left(t
ightarrow 0^+
ight), \ F_{j,N}^*\left(it-rac{h}{k}
ight) &\sim \sum_{m\geq 0} a_{h,k}(m)(-t)^m \quad \left(t
ightarrow 0^+
ight), \end{aligned}$$

where

$$F_{j,N}^*(\tau) := \sum_{\substack{n \in \mathbb{Z} \\ n \equiv j \pmod{2N}}} \operatorname{sgn}(n) \Gamma\left(\frac{1}{2}; \frac{\pi n^2 \tau_2}{p}\right) q^{-\frac{n^2}{4N}}.$$

where

$$F_{j,N}^*(\tau) := \sum_{\substack{n \in \mathbb{Z} \\ n \equiv j \pmod{2N}}} \operatorname{sgn}(n) \Gamma\left(\frac{1}{2}; \frac{\pi n^2 \tau_2}{p}\right) q^{-\frac{n^2}{4N}}.$$

Note that

$$F_{j,N}^*(\tau) \doteq \int_{-\overline{\tau}}^{i\infty} \frac{f_{j,N}(w)}{(-i(w+\tau))^{\frac{1}{2}}} dw,$$

where

$$f_{j,N}(\tau) := \frac{1}{2N} \sum_{n \equiv j \pmod{2N}} nq^{\frac{n^2}{4N}}.$$



False transformation properties

Theorem 3 (B.-Nazaroglu)

For $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$, we have

$$F_{j,N}(\tau) - \operatorname{sgn}(c\tau_1 + d)(c\tau + d)^{-\frac{1}{2}} \sum_{r=1}^{N-1} \psi_{j,r} \left(M^{-1} \right) F_{r,N} \left(\frac{a\tau + b}{c\tau + d} \right)$$
$$= -i\sqrt{2N} \int_{-\frac{d}{c}}^{i\infty} \frac{f_{j,N}(\mathfrak{z})}{\sqrt{-i(\mathfrak{z} - \tau)}} d\mathfrak{z}.$$

False transformation properties

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False transformation properties

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Corollary 4

 $F_{j,N}$ are vector-valued quantum modular forms of weight $\frac{1}{2}$ with quantum set \mathbb{Q} .



Outline

- 1. Modular forms and mock modular forms
- 2. False theta functions
- 3. Modularity properties of false theta functions
- 4. Applications
- 5. Higher-dimensional false theta functions



Arise from triplet algebras

- ► Arise from triplet algebras
- ► A typical example:

$$F(q) := \sum_{\boldsymbol{\alpha} \in \mathscr{S}} \varepsilon(\boldsymbol{\alpha}) \sum_{\boldsymbol{n} \in \mathbb{N}_0^2 + \boldsymbol{\alpha}} q^{Q(\boldsymbol{n})} + \frac{1}{2} \sum_{\boldsymbol{n} \in \mathbb{Z}} \operatorname{sgn}\left(\boldsymbol{n} + \frac{1}{N}\right) q^{\left(\boldsymbol{n} + \frac{1}{N}\right)^2},$$

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where
$$\mathbf{n} = (n_1, n_2), \quad Q(\mathbf{n}) := 3n_1^2 + 3n_1n_2 + n_2^2,$$

$$\begin{split} \mathscr{S} := \left\{ \left(1 - \tfrac{1}{N}, \tfrac{2}{N}\right), \left(\tfrac{1}{N}, 1 - \tfrac{2}{N}\right), \left(1, \tfrac{1}{N}\right), \left(0, 1 - \tfrac{1}{N}\right), \\ \left(\tfrac{1}{N}, 1 - \tfrac{1}{N}\right), \left(1 - \tfrac{1}{N}, \tfrac{1}{N}\right) \right\}, \end{split}$$

$$\varepsilon(\alpha) := \begin{cases} -2 & \text{if } \alpha \in \left\{ \left(1 - \frac{1}{N}, \frac{2}{N}\right), \left(\frac{1}{N}, 1 - \frac{2}{N}\right) \right\}, \\ 1 & \text{otherwise.} \end{cases}$$

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Note that

$$F(q) = rac{1}{2} \sum_{m{lpha} \in \mathcal{S}^*} arepsilon(m{lpha}) \sum_{m{n} \in \mathbb{Z}^2 + m{lpha}} \operatorname{sgn}(n_2) \left(\operatorname{sgn}(n_1) + \operatorname{sgn}(n_2) \right) q^{Q(m{n})},$$

$$\varepsilon(\pmb{\alpha}) := \begin{cases} -2 & \text{if } \pmb{\alpha} \in \left\{ \left(1 - \frac{1}{N}, \frac{2}{N}\right), \left(\frac{1}{N}, 1 - \frac{2}{N}\right) \right\}, \\ 1 & \text{otherwise}. \end{cases}$$

Note that

$$F(q) = \frac{1}{2} \sum_{\boldsymbol{\alpha} \in \mathcal{S}^*} \varepsilon(\boldsymbol{\alpha}) \sum_{\boldsymbol{n} \in \mathbb{Z}^2 + \boldsymbol{\alpha}} \operatorname{sgn}(n_2) \left(\operatorname{sgn}(n_1) + \operatorname{sgn}(n_2) \right) q^{Q(\boldsymbol{n})},$$

where

$$\mathcal{S}^* := \left\{ \left(\tfrac{1}{N}, 1 - \tfrac{2}{N} \right), \left(0, 1 - \tfrac{1}{N} \right), \left(\tfrac{1}{N}, 1 - \tfrac{1}{N} \right) \right\}.$$



Jacobi forms

<u>Idea:</u> View F as coefficient of a (meromorphic) Jacobi form.

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<u>Classical fact:</u> Fourier coefficients of holomorphic Jacobi forms are modular forms.

Fourier coefficients: $(z_0 \in \mathbb{C})$

$$h_{\ell}(\tau) = h_{\ell,z_0}(\tau) := q^{-\frac{\ell^2}{4m}} \int_{z_0}^{z_0+1} \phi(z;\tau) e^{-2\pi i \ell z} dz$$

Theorem 5 (B.-Rolen-Zwegers)

Let $m\in -\frac{1}{2}\mathbb{N},\ \phi:\ \mathbb{C}\to\mathbb{C}$ meromorphic function satisfying for $\lambda,\mu\in\mathbb{Z}$

$$\phi(z+\lambda\tau+\mu)=(-1)^{2m\mu+\lambda\varepsilon}e^{-2\pi im\left(\lambda^2\tau+2\lambda z\right)}\phi(z)$$

with $\varepsilon \in \{0,1\}$.



L. Rolen

We have for $\ell \in \mathbb{Z} + m$

$$h_{\ell,z_0}(\tau) = \sum_{w \in S_{z_0,\tau}} \sum_{n \in \mathbb{N}} \frac{D_{-n,w}(\tau)}{(n-1)!} \left[\left(\frac{1}{2\pi i} \frac{\partial}{\partial z} \right)^{n-1} \vartheta_{\ell,\varepsilon,-m}^+(z;\tau) \right]_{z=w},$$

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set of representative of poles of $\boldsymbol{\phi}$

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Laurent cofficients of ϕ

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set of representative of poles of $\boldsymbol{\phi}$

with the partial theta function

$$\vartheta_{\ell,\varepsilon,M}^+(z;\tau) := \sum_{n>0} (-1)^{n\varepsilon} q^{\frac{2Mn-\ell^2}{4M}} \zeta^{2Mn-\ell}.$$

F and Jacobi forms

Theorem 6 (B.-Kaszian-Milas-Zwegers)

We have

$$F(q) = \frac{\eta(\tau)^5}{\eta(2\tau)} \operatorname{CT}_{[\zeta_1, \zeta_2]} \frac{\vartheta(z_1; 2\tau)\vartheta(z_2; 2\tau)\vartheta(z_1 + z_2; 2\tau)}{\vartheta(z_1; \tau)\vartheta(z_2; \tau)\vartheta(z_1 + z_2; \tau)},$$
constant term



J. Kaszian

F and Jacobi forms

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constant term



J. Kaszian

where
$$\zeta_j := e^{2\pi i z_j}$$
 satisfy $|q| < |\zeta_j| < 1$, $|q| < |\zeta_1 \zeta_2| < 1$ and

$$\vartheta(z;\tau) := -iq^{\frac{1}{8}}\zeta^{-\frac{1}{2}}\prod_{n\geq 1} (1-q^n) \left(1-\zeta q^{n-1}\right) \left(1-\zeta^{-1}q^n\right).$$

Character of vacuum vertex algebra: g simple Lie algebra

Character of vacuum vertex algebra: g simple Lie algebra

universal vertex operator algebra

$$\operatorname{ch}[V_k(\mathfrak{g})](\zeta;q)] = rac{1}{(q;q)_\infty^n \prod_{lpha \in \Delta_+} (\zeta^lpha q;q)_\infty \prod_{lpha \in \Delta_+} (\zeta^{-lpha} q;q)_\infty}.$$

Character of vacuum vertex algebra: g simple Lie algebra

 $\begin{array}{c} \text{universal vertex operator algebra} \\ \downarrow \\ \operatorname{ch}[V_k(\mathfrak{g})](\zeta;q)] = \frac{1}{(q;q)_\infty^n \prod_{\alpha \in \Delta_+} (\zeta^\alpha q;q)_\infty \prod_{\alpha \in \Delta_+} (\zeta^{-\alpha} q;q)_\infty}. \\ \\ \text{charge variable} \end{array}$

Character of vacuum vertex algebra: g simple Lie algebra

$$\begin{array}{c} \text{universal vertex operator algebra} \\ \downarrow \\ \operatorname{ch}[V_k(\mathfrak{g})](\zeta;q)] = \frac{1}{(q;q)_\infty^n \prod_{\alpha \in \Delta_+} (\zeta^\alpha q;q)_\infty \prod_{\alpha \in \Delta_+} (\zeta^{-\alpha} q;q)_\infty}. \\ \text{charge variable} \\ & \text{positive roots} \end{array}$$

Character of vacuum vertex algebra: g simple Lie algebra

$$\begin{array}{c} \text{universal vertex operator algebra} \\ & \downarrow \\ \operatorname{ch}[V_k(\mathfrak{g})](\zeta;q)] = \frac{1}{(q;q)_\infty^n \prod_{\alpha \in \Delta_+} (\zeta^\alpha q;q)_\infty \prod_{\alpha \in \Delta_+} (\zeta^{-\alpha} q;q)_\infty}. \\ & \quad \text{charge variable} \end{array}$$

Character of parafermionic vertex algebra:

$$(q;q)_{\infty}^{n}\mathrm{CT}_{[\zeta]}\mathrm{ch}[V_{k}(\mathfrak{g})](\zeta;q)$$



A simple example

Goal: Characterize CT for Lie algebras of type A_2 , B_2 .

A simple example

Goal: Characterize CT for Lie algebras of type A_2, B_2 .

Example: $g = sl_2$:

$$\operatorname{CT}_{[\zeta]}\left(\frac{1}{(\zeta q;q)_{\infty}(\zeta^{-1}q;q)_{\infty}}\right) = \frac{1}{(q;q)_{\infty}^{2}}\left(-1 + 2\sum_{n \geq 0}(-1)^{n}q^{\frac{n(n+1)}{2}}\right)$$

A₂ parafermionic character

Let

$$G(\zeta) := \frac{1}{\left(\zeta_{1}q, \zeta_{1}^{-1}q, \zeta_{2}q, \zeta_{2}^{-1}q, \zeta_{1}\zeta_{2}q, \zeta_{1}^{-1}\zeta_{2}^{-1}q; q\right)_{\infty}}.$$

A₂ parafermionic character

Let

$$G(\zeta) := \frac{1}{\left(\zeta_{1}q, \zeta_{1}^{-1}q, \zeta_{2}q, \zeta_{2}^{-1}q, \zeta_{1}\zeta_{2}q, \zeta_{1}^{-1}\zeta_{2}^{-1}q; q\right)_{\infty}}.$$

Relation to Jacobi forms:

$$G(\zeta) = iq^{\frac{1}{4}}\eta^3 \frac{\zeta_1^{-1}\zeta_2^{-1}(1-\zeta_1)(1-\zeta_2)(1-\zeta_1\zeta_2)}{\vartheta(z_1)\vartheta(z_2)\vartheta(z_1+z_2)}$$

Let

$$\begin{split} & G_0(\tau) := 3 \sum_{n \in \mathbb{Z}} |n| q^{n^2} - 6 q^{-\frac{1}{4}} \sum_{n \in \mathbb{Z} + \frac{1}{2}} |n| q^{n^2}, \\ & \Psi(\tau) := \sum_{\boldsymbol{n} \in \mathbb{Z}^2 + \left(\frac{1}{3}, \frac{1}{3}\right)} \operatorname{sgn}(n_1) \operatorname{sgn}(n_2) n_1 q^{Q_A(\boldsymbol{n})}, \text{ where } Q_A(\boldsymbol{n}) := n_1^2 + n_1 n_2 + n_2^2. \end{split}$$

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Theorem 7 (B.-Kaszian-Milas-Nazaroglu)

For
$$|q| < |\zeta_1|, |\zeta_2|, |\zeta_1\zeta_2| < 1$$
 we have

$$\mathrm{CT}_{[\zeta]} \mathcal{G} \left(\zeta
ight) = rac{q^{rac{1}{4}}}{\eta(au)^6} + rac{q^{rac{1}{4}}}{\eta(au)^6} \mathcal{G}_0(au) + rac{9q^{-rac{1}{12}}}{\eta(au)^6} \Psi(au).$$



Let

$$h(\boldsymbol{w}) := \vartheta_{3,1}^{[1]}(w_1)\vartheta_{1,1}(w_2) - \vartheta_{3,2}^{[1]}(w_1)\vartheta_{1,0}(w_2),$$

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where for $m \in \mathbb{Z}$

$$\vartheta^{[k]}_{\textit{m,r}}(\tau) := \left[\left(\frac{1}{4\pi \textit{im}} \frac{\partial}{\partial z} \right)^k \vartheta_{\textit{m,r}}(z;\tau) \right]_{z=0}, \text{ with } \vartheta_{\textit{m,r}}(z;\tau) := \sum_{\textit{n} \in \mathbb{Z} + \frac{r}{2m}} q^{\textit{mn}^2} \zeta^{2\textit{mn}}.$$

Regularized integral: $(w_1 \in \mathbb{H} \setminus \{\tau\})$

$$\int_{\tau}^{w_1} \frac{f(w_2)}{(i(w_2-\tau))^{\frac{3}{2}}} dw_2 := \lim_{\mathfrak{z}\to\tau} \left(\int_{\mathfrak{z}}^{w_1} \frac{f(w_2)}{(i(w_2-\tau))^{\frac{3}{2}}} dw_2 + 2i \frac{f(\tau)}{\sqrt{i(\mathfrak{z}-\tau)}} \right)$$

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Integral representation:

Lemma 8

We have

$$\Psi(\tau) = \frac{\sqrt{3}}{2\pi} \int_{\tau}^{\tau+i\infty} \int_{\tau}^{w_1} \frac{h(\mathbf{w})}{\sqrt{i(w_1 - \tau)}(i(w_2 - \tau))^{\frac{3}{2}}} dw_2 dw_1.$$

Sign lemma

The key ingredient:

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Lemma 9

Let
$$\ell_1, \ell_2 \in \mathbb{R}$$
, $\kappa \in \mathbb{R}$, with $(\ell_1, \ell_2 + \kappa \ell_1) \neq (0, 0)$. Then

$$\begin{split} \text{sgn}(\ell_1) \text{sgn}(\ell_2 + \kappa \ell_1) q^{\frac{\ell_1^2}{2} + \frac{\ell_2^2}{2}} \\ &= \int_{\tau}^{\tau + i \infty} \frac{\ell_1 e^{\pi i \ell_1^2 w_1}}{\sqrt{i(w_1 - \tau)}} \int_{\tau}^{w_1} \frac{\ell_2 e^{\pi i \ell_2^2 w_2}}{\sqrt{i(w_2 - \tau)}} dw_2 dw_1 \\ &+ \int_{\tau}^{\tau + i \infty} \frac{m_1 e^{\pi i m_1^2 w_1}}{\sqrt{i(w_1 - \tau)}} \int_{\tau}^{w_1} \frac{m_2 e^{\pi i m_2^2 w_2}}{\sqrt{i(w_2 - \tau)}} dw_2 dw_1 \\ &+ \frac{2}{\pi} \arctan(\kappa) q^{\frac{\ell_1^2}{2} + \frac{\ell_2^2}{2}}, \end{split}$$

where
$$m_1:=rac{\ell_2+\kappa\ell_1}{\sqrt{1+\kappa^2}}$$
 and $m_2:=rac{\ell_1-\kappa\ell_2}{\sqrt{1+\kappa^2}}.$



Completion:

Define

$$\widehat{\Psi}(\tau, w) := \frac{\sqrt{3}}{2\pi} \int_{\tau}^{w} \int_{\tau}^{w_{1}} \frac{h(w)}{\sqrt{i(w_{1} - \tau)}(i(w_{2} - \tau))^{\frac{3}{2}}} dw_{2} dw_{1}$$

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Note

$$\Psi(\tau) = \lim_{w \to \tau + i\infty} \widehat{\Psi}(\tau, w).$$

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Note

$$\Psi(\tau) = \lim_{w \to \tau + i\infty} \widehat{\Psi}(\tau, w).$$

Proposition 10

For
$$M = \left(\begin{smallmatrix} a & b \\ c & d \end{smallmatrix} \right) \in \mathsf{SL}_2(\mathbb{Z})$$
 we have

$$\widehat{\Psi}\left(rac{a au+b}{c au+d},rac{aw+b}{cw+d}
ight)=
u_{\eta}(M)^{8}(c au+d)^{2}\widehat{\Psi}(au,w).$$



B_2 parafermionic character

Let

$$F(\zeta) := \frac{1}{\left(\zeta_1 q, \zeta_1^{-1} q, \zeta_2 q, \zeta_2^{-1} q, \zeta_1 \zeta_2 q, \zeta_1^{-1} \zeta_2^{-1} q, \zeta_1^2 \zeta_2 q, \zeta_1^{-2} \zeta_2^{-1} q; q\right)_{\infty}}.$$

B₂ parafermionic character

Let

$$F(\zeta) := \frac{1}{\left(\zeta_1 q, \zeta_1^{-1} q, \zeta_2 q, \zeta_2^{-1} q, \zeta_1 \zeta_2 q, \zeta_1^{-1} \zeta_2^{-1} q, \zeta_1^2 \zeta_2 q, \zeta_1^{-2} \zeta_2^{-1} q; q\right)_{\infty}}.$$

Relation to Jacobi forms:

$$F(\zeta) = q^{\frac{1}{3}} \eta^4 \frac{\zeta_1^{-2} \zeta_2^{-\frac{3}{2}} (1 - \zeta_1)(1 - \zeta_2)(1 - \zeta_1 \zeta_2) (1 - \zeta_1^2 \zeta_2)}{\vartheta(z_1)\vartheta(z_2)\vartheta(z_1 + z_2)\vartheta(2z_1 + z_2)}.$$

Define

$$\Phi(\tau) := \Phi_1(\tau) + \Phi_2(\tau),$$

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where, with $Q_B(\mathbf{n}) := \frac{3}{2}n_1^2 + 3n_1n_2 + 3n_2^2$

$$\begin{split} \Phi_1(\tau) := \sum_{\boldsymbol{n} \in \mathbb{Z}^2 + \left(\frac{1}{3}, \frac{1}{6}\right)} (-1)^{n_1 - \frac{1}{3}} \left(\operatorname{sgn}(n_2) + \operatorname{sgn}(n_1 + n_2) \right) \operatorname{sgn}(n_1) \\ & \times \left(\left(n_1 + 2n_2 \right)^2 - \frac{E_2(\tau)}{18} \right) q^{Q_B(\boldsymbol{n})}, \end{split}$$

$$\Phi_2(\tau) := \sum_{\boldsymbol{n} \in \mathbb{Z}^2 + \left(\frac{1}{3}, \frac{1}{6}\right)} (-1)^{n_1 - \frac{1}{3}} \operatorname{sgn}(n_1 + n_2) \operatorname{sgn}(n_2) n_1(n_1 + 2n_2) q^{Q_B(\boldsymbol{n})}.$$



For $\boldsymbol{a} \in \mathbb{Z}^2 \setminus \{(0,0)\}$, let

$$\Lambda_{\pmb{a}}(\tau) := \sum_{\pmb{n} \in \mathbb{Z}^2 + \left(\frac{1}{3}, \frac{s_1}{2} + \frac{1}{6}\right)} (-1)^{\left(s_2 + 1\right)\left(n_1 - \frac{1}{3}\right)} \operatorname{sgn}(n_1) \operatorname{sgn}(n_1 + 2n_2) q^{Q_B(\pmb{n})}.$$

For $\mathbf{a} \in \mathbb{Z}^2 \setminus \{(0,0)\}$, let

$$\Lambda_{\boldsymbol{a}}(\tau) := \sum_{\boldsymbol{n} \in \mathbb{Z}^2 + \left(\frac{1}{3}, \frac{s_1}{2} + \frac{1}{6}\right)} (-1)^{(s_2+1)\left(n_1 - \frac{1}{3}\right)} \operatorname{sgn}(n_1) \operatorname{sgn}(n_1 + 2n_2) q^{Q_B(\boldsymbol{n})}.$$

Moreover

$$\begin{split} F_0(\tau) &:= \frac{E_2(\tau) + 2}{4} + \frac{\eta(\tau)^6}{\vartheta\left(\frac{1}{2};\tau\right)^2} + 6q^{-\frac{1}{24}}\mathcal{D}_{\frac{1}{2}}(\omega_1(\tau)) - 6q^{-\frac{3}{8}}\mathcal{D}_{\frac{1}{2}}(\omega_0(\tau)) \\ &+ q^{-\frac{1}{12}}\left(6\mathcal{D}_{\frac{1}{2}} - \frac{\eta(\tau)^6}{\vartheta\left(\frac{1}{2};\tau\right)^2} + q^{-\frac{1}{2}}\frac{\eta(\tau)^6}{\vartheta\left(\frac{\tau}{2};\tau\right)^2} - q^{-\frac{1}{2}}\frac{\eta(\tau)^6}{\vartheta\left(\frac{\tau+1}{2};\tau\right)^2}\right)(\phi_1(\tau)) \\ &- q^{-\frac{1}{3}}\left(6\mathcal{D}_{\frac{1}{2}} + \frac{\eta(\tau)^6}{\vartheta\left(\frac{1}{2};\tau\right)^2} + \frac{\eta(\tau)^6}{\vartheta\left(\frac{\tau}{2};\tau\right)^2} + \frac{\eta(\tau)^6}{\vartheta\left(\frac{\tau+1}{2};\tau\right)^2}\right)(\phi_2(\tau)), \end{split}$$

with

$$\phi_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{6}} \mathrm{sgn}(n) q^{3n^2} \quad \text{and} \quad \omega_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{3} + \frac{1}{2}} (-1)^{n - \frac{r}{3} - \frac{1}{2}} \, \mathrm{sgn}(n) q^{\frac{3n^2}{2}}.$$

with

$$\phi_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{6}} \mathrm{sgn}(n) q^{3n^2} \quad \text{and} \quad \omega_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{3} + \frac{1}{2}} (-1)^{n - \frac{r}{3} - \frac{1}{2}} \, \mathrm{sgn}(n) q^{\frac{3n^2}{2}}.$$

Serre derivative: $\mathcal{D}_k := \frac{1}{2\pi i} \frac{\partial}{\partial \tau} - \frac{k}{12} E_2(\tau)$

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Theorem 11 (B.-Kaszian-Milas-Nazaroglu)

We have for $|q| < |\zeta_1^2|, |\zeta_2|, |\zeta_1^2\zeta_2| < 1$

$$\begin{split} \mathsf{CT}_{[\zeta]} \, F(\zeta) &= \frac{q^{\frac{1}{3}}}{\eta(\tau)^8} F_0(\tau) + \frac{9q^{-\frac{1}{12}}}{2\eta(\tau)^8} \Phi(\tau) \\ &\quad + \frac{q^{-\frac{1}{12}}}{\eta(\tau)^2} \left(\frac{\Lambda_{0,1}(\tau)}{\vartheta\left(\frac{1}{2};\tau\right)^2} + \frac{q^{-\frac{1}{4}}\Lambda_{1,0}(\tau)}{\vartheta\left(\frac{\tau}{2};\tau\right)^2} - \frac{q^{-\frac{1}{4}}\Lambda_{1,1}(\tau)}{\vartheta\left(\frac{\tau+1}{2};\tau\right)^2} \right). \end{split}$$



with

$$\phi_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{6}} \mathrm{sgn}(n) q^{3n^2} \quad \text{and} \quad \omega_r(\tau) := \sum_{n \in \mathbb{Z} + \frac{r}{3} + \frac{1}{2}} (-1)^{n - \frac{r}{3} - \frac{1}{2}} \, \mathrm{sgn}(n) q^{\frac{3n^2}{2}}.$$

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Theorem 11 (B.-Kaszian-Milas-Nazaroglu)

We have for $|q|<|\zeta_1^2|, |\zeta_2|, |\zeta_1^2\zeta_2|<1$

$$\begin{split} \mathsf{CT}_{[\zeta]} \, F(\zeta) &= \frac{q^{\frac{1}{3}}}{\eta(\tau)^8} F_0(\tau) + \frac{9q^{-\frac{1}{12}}}{2\eta(\tau)^8} \Phi(\tau) \\ &\quad + \frac{q^{-\frac{1}{12}}}{\eta(\tau)^2} \left(\frac{\Lambda_{0,1}(\tau)}{\vartheta\left(\frac{1}{2};\tau\right)^2} + \frac{q^{-\frac{1}{4}}\Lambda_{1,0}(\tau)}{\vartheta\left(\frac{\tau}{2};\tau\right)^2} - \frac{q^{-\frac{1}{4}}\Lambda_{1,1}(\tau)}{\vartheta\left(\frac{\tau+1}{2};\tau\right)^2} \right). \end{split}$$

Very painful!!!



Define

$$\begin{split} f_0(\boldsymbol{w}) &:= \vartheta_{3,1}^{[1]}(w_1)\vartheta_{3,2}^{[1]}(w_2) - \vartheta_{3,2}^{[1]}(w_1)\vartheta_{3,1}^{[1]}(w_2), \\ g_0(\boldsymbol{w}) &:= \vartheta_{\frac{3}{2},1}^{[1]}(w_1)\vartheta_{\frac{3}{2},0}^{[1]}(w_2) - \vartheta_{\frac{3}{2},0}^{[1]}(w_1)\vartheta_{\frac{3}{2},1}^{[1]}(w_2). \end{split}$$

Define

$$\begin{split} f_0(\boldsymbol{w}) &:= \vartheta_{3,1}^{[1]}(w_1)\vartheta_{3,2}^{[1]}(w_2) - \vartheta_{3,2}^{[1]}(w_1)\vartheta_{3,1}^{[1]}(w_2), \\ g_0(\boldsymbol{w}) &:= \vartheta_{\frac{3}{2},1}^{[1]}(w_1)\vartheta_{\frac{3}{2},0}^{[1]}(w_2) - \vartheta_{\frac{3}{2},0}^{[1]}(w_1)\vartheta_{\frac{3}{2},1}^{[1]}(w_2). \end{split}$$

Lemma 12

We have

$$\Phi(\tau) = \frac{1}{\pi} \int_{\tau}^{\tau+i\infty} \int_{*}^{w_{1}} \frac{(4f_{0}(\boldsymbol{w}) + g_{0}(\boldsymbol{w}))}{\sqrt{i(w_{1} - \tau)}(i(w_{2} - \tau))^{\frac{3}{2}}} \times \frac{(1 - \frac{\pi i}{6}(w_{2} - \tau)E_{2}(\tau))}{\sqrt{i(w_{1} - \tau)}(i(w_{2} - \tau))^{\frac{3}{2}}} dw_{2}dw_{1}.$$

Completion:

$$\widehat{\Phi}(\tau, w) := \frac{1}{\pi} \int_{\tau}^{w} \int_{\tau}^{w_{1}} \frac{\left(4f_{0}(w) + g_{0}(w)\right)\left(1 - \frac{\pi i}{6}(w_{2} - \tau)E_{2}(\tau)\right)}{\sqrt{i(w_{1} - \tau)(i(w_{2} - \tau)^{\frac{3}{2}})}} dw_{2} dw_{1}$$

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Proposition 13

For
$$M = \left(\begin{smallmatrix} a & b \\ c & d \end{smallmatrix} \right) \in \mathsf{SL}_2(\mathbb{Z})$$
 we have

$$\widehat{\Phi}\left(rac{a au+b}{c au+d},rac{aw+b}{cw+d}
ight)=
u_{\eta}(M)^{10}(c au+d)^{3}\,\widehat{\Phi}(au,w).$$



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- Build a theory of Fourier coefficients of multi-variable Jacobi forms.

Happy Birthday Steve

