

# Commutant lifting and Nevanlinna-Pick interpolation in several variables

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# Notation

- 1  $\mathcal{H}, \mathcal{E}, \mathcal{E}_*$ , etc. : Hilbert spaces (separable and over  $\mathbb{C}$ ).
- 2 Linear operators are bounded:  $T \in \mathcal{B}(\mathcal{H})$ .
- 3  $\mathbb{D}^n = \{\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{C}^n : |z_i| < 1, i = 1, \dots, n\}$ : open polydisc.
- 4  $\mathbb{B}^n = \{\mathbf{z} \in \mathbb{C}^n : \sum_{i=1}^n |z_i|^2 < 1\}$ : open ball.
- 5  $H^\infty(\Omega) = \{\varphi \in \mathcal{O}(\Omega) : \|\varphi\| := \sup_{z \in \Omega} |\varphi(z)| < \infty\}$ . Here  $\Omega = \mathbb{B}^n$  or  $\mathbb{D}^n$ .
- 6  $\mathcal{S}(\Omega) = \{\varphi \in H^\infty(\Omega) : \|\varphi\| \leq 1\}$ .
- 7  $P_{\mathcal{S}}$  = orthogonal projection onto  $\mathcal{S}$ , where  $\mathcal{S} \subseteq \mathcal{H}$  is a closed subspace.
- 8  $i_{\mathcal{S}} : \mathcal{S} \hookrightarrow \mathcal{H}$  is the inclusion map.
- 9 RKHS = Reproducing kernel Hilbert space.

# Road map

- 1 The classical Nevanlinna–Pick interpolation theorem
- 2 Sarason's commutant lifting theorem
- 3 Dilations
- 4 A halt at the bidisc
- 5 Commutant lifting theorem
- 6 Factorizations, and
- 7 Nevanlinna-Pick interpolation on  $\mathbb{B}^n$ .

# Nevanlinna–Pick interpolation

## Theorem 1 (Nevanlinna (1919) and Pick (1916))

Given distinct  $p$  points  $\{z_i\}_{i=1}^p \subseteq \mathbb{D}$  and  $p$  points  $\{w_i\}_{i=1}^p \subseteq \mathbb{D}$ , there exists a  $\varphi \in \mathcal{S}(\mathbb{D})$  such that

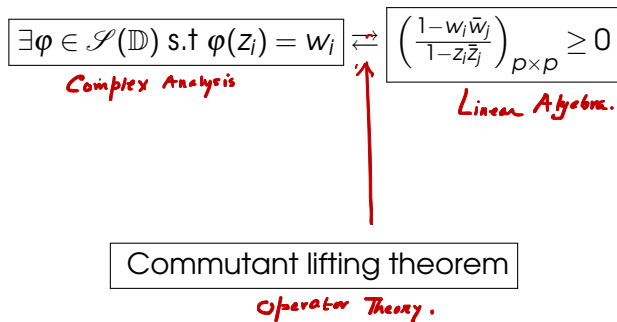
$$\varphi(z_i) = w_i \quad (i = 1, \dots, p),$$

if and only if the Pick matrix

$$\left( \frac{1 - w_i \bar{w}_j}{1 - z_i \bar{z}_j} \right)_{p \times p} \geq 0.$$

$$\boxed{\exists \varphi \in \mathcal{S}(\mathbb{D}) \text{ s.t. } \varphi(z_i) = w_i} \iff \boxed{\left( \frac{1 - w_i \bar{w}_j}{1 - z_i \bar{z}_j} \right)_{p \times p} \geq 0}$$

# NP interpolation and Sarason



Note: See the article by J. McCarthy. Pick's theorem—what's the big deal? 2003.

# CLT: Hardy space

The Hardy space over  $\mathbb{D}$ :

$$H^2(\mathbb{D}) := \left\{ f = \sum_{m=0}^{\infty} a_m z^m \in \mathcal{O}(\mathbb{D}) : \|f\| = \left( \sum_{m=0}^{\infty} |a_m|^2 \right)^{\frac{1}{2}} < \infty \right\}.$$

And, the shift  $M_z$  on  $H^2(\mathbb{D})$  is the multiplication operator

$$M_z f = z f \quad (f \in H^2(\mathbb{D})).$$

Note:

- 1  $S$  on  $\ell^2(\mathbb{Z}_+) \cong M_z$  on  $H^2(\mathbb{D})$ .
- 2  $\{M_z\}' = \{M_\varphi : \varphi \in H^\infty(\mathbb{D})\}$ .
- 3  $H^2(\mathbb{D})$  is a RKHS corresponding to the Szegő kernel

$$s(z, w) = (1 - z\bar{w})^{-1} \quad (z, w \in \mathbb{D}).$$

- 4  $M_\varphi^* s(\cdot, w) = \overline{\varphi(w)} s(\cdot, w)$  for all  $\varphi \in H^\infty(\mathbb{D})$  and  $w \in \mathbb{D}$ .

# CLT (and Sarason)

## Theorem 2 (Sarason, 1967)

Suppose  $\mathcal{Q}_1$  and  $\mathcal{Q}_2$  are  $M_z^*$ -invariant closed subspaces of  $H^2(\mathbb{D})$ . Let  $X \in \mathcal{B}(\mathcal{Q}_1, \mathcal{Q}_2)$  and  $\|X\| \leq 1$ . If  $X(P_{\mathcal{Q}_1} M_z|_{\mathcal{Q}_1}) = (P_{\mathcal{Q}_2} M_z|_{\mathcal{Q}_2})X$ , then there exists a  $\varphi \in \mathcal{S}(\mathbb{D})$  such that

$$X = P_{\mathcal{Q}_2} M_\varphi|_{\mathcal{Q}_1}.$$

That is, we have the following commutative diagram:

$$\begin{array}{ccc} H^2(\mathbb{D}) & \xrightarrow{\quad M_\varphi \quad} & H^2(\mathbb{D}) \\ \downarrow P_{\mathcal{Q}_1} & & \downarrow P_{\mathcal{Q}_2} \\ \mathcal{Q}_1 & \xrightarrow{\quad X \quad} & \mathcal{Q}_2 \end{array}$$



Sarason's CLT yields a simple and elegant proof of the interpolation theorem.

**The easy direction:** If  $\varphi(z_i) = w_i$  for  $\varphi \in \mathcal{S}(\mathbb{D})$ , then  $\|M_\varphi\| \leq 1$  and  $M_\varphi^* s(\cdot, w) = \overline{\varphi(w)} s(\cdot, w)$ ,  $w \in \mathbb{D} \Rightarrow$  the Pick matrix  $\geq 0$ .

**The not so easy direction:** Suppose the Pick matrix  $\geq 0$ . Then one proceeds as follows:

- 1 Consider the  $M_Z^*$ -invariant finite dimensional subspace

$$\mathcal{Q} = \text{span} \{s(\cdot, z_i) : i = 1, \dots, p\}.$$

- 2 Define  $X : \mathcal{Q} \rightarrow \mathcal{Q}$  by  $Xs(\cdot, z_i) = \bar{w}_i s(\cdot, z_i)$ ,  $i = 1, \dots, p$ .
- 3 Positivity of the Pick matrix  $\Rightarrow X$  is a contraction.
- 4 On the other hand,  $X(P_{\mathcal{Q}} M_Z|_{\mathcal{Q}}) = (P_{\mathcal{Q}} M_Z|_{\mathcal{Q}}) X$ .
- 5 Apply the CLT to get a solution  $\varphi \in \mathcal{S}(\mathbb{D})$ .

# Interconnections

- 1 Commutant lifting theorem
- 2 Nevanlinna–Pick interpolation
- 3 Dilations
- 4 von Neumann inequality
- 5 Invariant subspaces
- 6 Toeplitz corona (if not corona) theorem, etc.

# Groundwork

# Drury-Arveson space

## Definition 3

The Drury-Arveson space  $H_n^2$  is a RKHS corresponding to the kernel

$$k_1(\mathbf{z}, \mathbf{w}) = \left(1 - \sum_{i=1}^n z_i \bar{w}_i\right)^{-1} \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

## Remark 4

$(M_{z_1}, \dots, M_{z_n})$  defines a row contraction on  $H_n^2$ , that is

$$\sum_{i=1}^n M_{z_i} M_{z_i}^* \leq I_{H_n^2}.$$

# Unification and more examples

## Definition 5

Let  $k : \mathbb{B}^n \times \mathbb{B}^n \rightarrow \mathbb{C}$  be a kernel such that  $k$  is analytic in the first variables  $\{z_1, \dots, z_n\}$ . We say that  $k$  is **regular** if there exists a kernel  $\tilde{k}$  on  $\mathbb{B}^n$  such that

$$k(\mathbf{z}, \mathbf{w}) = k_1(\mathbf{z}, \mathbf{w})\tilde{k}(\mathbf{z}, \mathbf{w}) \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

The RKHS  $\mathcal{H}_k \subseteq \mathcal{O}(\mathbb{B}^n)$  corresponding to the kernel  $k$  will be referred as a **regular RKHS**.

The above factorization essentially implies that  $(M_{z_1}, \dots, M_{z_n})$  defines a commuting row contraction on  $\mathcal{H}_k$  (cf. Douglas - Misra - S. 2012):

$$\sum_{i=1}^n M_{z_i} M_{z_i}^* \leq I_{\mathcal{H}_k}.$$

## Example 6

For each  $\lambda > 1$ ,  $\mathcal{H}_{k_\lambda}$  is a regular RKHS, where

$$k_\lambda(\mathbf{z}, \mathbf{w}) = \left(1 - \sum_{i=1}^n z_i \bar{w}_i\right)^{-\lambda} \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

Note that  $\mathcal{H}_{k_\lambda}$  is the Hardy/Bergman/weighted Bergman space for  $\lambda = n$ ,  $n+1$ , and  $n+1+\alpha$  for any  $\alpha > 0$ , respectively.

**Vector-valued spaces:** If  $\mathcal{E}$  is a Hilbert space and  $k$  is a regular kernel, then we simply say that  $\mathcal{H}_k \otimes \mathcal{E}$  is a (vector-valued) regular RKHS.

## Definition 7

Suppose  $T = (T_1, \dots, T_n)$  is a commuting tuple on  $\mathcal{H}$ . We say that  $T$  dilates to  $(M_{z_1} \otimes I_{\mathcal{E}_*}, \dots, M_{z_n} \otimes I_{\mathcal{E}_*})$  on  $H_n^2 \otimes \mathcal{E}_*$  if there exists an isometry  $\Pi : \mathcal{H} \rightarrow H_n^2 \otimes \mathcal{E}_*$  such that

$$\Pi T_i^* = (M_{z_i} \otimes I_{\mathcal{E}_*})^* \Pi \quad (i = 1, \dots, n).$$

We often say that  $\Pi : \mathcal{H} \rightarrow H_n^2 \otimes \mathcal{E}_*$  is a *dilation* of  $T$ .

**From now on:** We assume that  $\mathcal{H}_k$  is a regular RKHS.

Theorem 8 (Muller-Vasilescu, Arveson, Athavale, Douglas-Misra-S, Kumari-Sarkar-S-Timotin)

$(M_{z_1} \otimes I_{\mathcal{E}}, \dots, M_{z_n} \otimes I_{\mathcal{E}})$  on  $\mathcal{H}_k \otimes \mathcal{E}$  dilates to  $(M_{z_1} \otimes I_{\mathcal{E}_*}, \dots, M_{z_n} \otimes I_{\mathcal{E}_*})$  on  $H_n^2 \otimes \mathcal{E}_*$  for some Hilbert space  $\mathcal{E}_*$ .

More specifically: There exist a Hilbert space  $\mathcal{E}_*$  and an isometry

$$\Pi_k : \mathcal{H}_k \otimes \mathcal{E} \rightarrow H_n^2 \otimes \mathcal{E}_*,$$

such that

$$\Pi_k (M_{z_i} \otimes I_{\mathcal{E}})^* = (M_{z_i} \otimes I_{\mathcal{E}_*})^* \Pi_k \quad (i = 1, \dots, n).$$



## Definition 9

Given regular RKHSs  $\mathcal{H}_{k_1} \otimes \mathcal{E}_1$  and  $\mathcal{H}_{k_2} \otimes \mathcal{E}_2$ , a function  $\Phi : \mathbb{B}^n \rightarrow \mathcal{B}(\mathcal{E}_1, \mathcal{E}_2)$  is called a *multiplier* from  $\mathcal{H}_{k_1} \otimes \mathcal{E}_1$  to  $\mathcal{H}_{k_2} \otimes \mathcal{E}_2$  if

$$\Phi(\mathcal{H}_{k_1} \otimes \mathcal{E}_1) \subseteq \mathcal{H}_{k_2} \otimes \mathcal{E}_2.$$

In this case,  $M_\Phi f = \Phi f$  defines a bounded linear operator from  $\mathcal{H}_{k_1} \otimes \mathcal{E}_1$  to  $\mathcal{H}_{k_2} \otimes \mathcal{E}_2$ .

The **multiplier space**  $\mathcal{M}(\mathcal{H}_{k_1} \otimes \mathcal{E}_1, \mathcal{H}_{k_2} \otimes \mathcal{E}_2)$  is the set of all multipliers from  $\mathcal{H}_{k_1} \otimes \mathcal{E}_1$  to  $\mathcal{H}_{k_2} \otimes \mathcal{E}_2$ .

## Theorem 10 (cf. S: 2016)

Suppose  $X \in \mathcal{B}(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$ . Then

$$X(M_{z_i} \otimes I_{\mathcal{E}_1}) = (M_{z_i} \otimes I_{\mathcal{E}_2})X \quad (i = 1, \dots, n).$$

if and only if  $X = M_\Phi$  for some  $\Phi \in \mathcal{M}(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$ .

## Proof.

Consider  $(M_{z_i}^* \otimes I_{\mathcal{E}_1})X^* = X^*(M_{z_i} \otimes I_{\mathcal{E}_2})^*$ , and use the fact that

$$\bigcap_{i=1}^n \ker(M_{z_i} - w_i I_{H_n^2})^* = \mathbb{C}k_1(\cdot, w) \quad (w \in \mathbb{B}^n).$$



Denote by

$$\mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2) = \{\Phi \in \mathcal{M}(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2) : \|M_\Phi\| \leq 1\}.$$

## Definition 11

A closed subspace  $\mathcal{Q} \subseteq \mathcal{H}_k \otimes \mathcal{E}$  is said to be **quotient module** if  $(M_{z_i} \otimes I_{\mathcal{E}})^* \mathcal{Q} \subseteq \mathcal{Q}$ ,  $i = 1, \dots, n$ .

## Theorem 12 (Ambrozie-Timotin, Ball-Trent-Vinnikov)

Suppose  $\mathcal{Q}_1 \subseteq H_n^2 \otimes \mathcal{E}_1$  and  $\mathcal{Q}_2 \subseteq H_n^2 \otimes \mathcal{E}_2$  be quotient modules, and  $X \in \mathcal{B}(\mathcal{Q}_1, \mathcal{Q}_2)$  be a contraction. If

$$X(P_{\mathcal{Q}_1}(M_{z_i} \otimes I_{\mathcal{E}_1})|_{\mathcal{Q}_1}) = (P_{\mathcal{Q}_2}(M_{z_i} \otimes I_{\mathcal{E}_2})|_{\mathcal{Q}_2})X \quad (i = 1, \dots, n),$$

then there exists  $\Phi \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, H_n^2 \otimes \mathcal{E}_2)$  such that  $P_{\mathcal{Q}_2} M_{\Phi}|_{\mathcal{Q}_1} = X$ .

Also see: Davidson and Le, Commutant lifting for commuting row contractions. 2010.

## Theorem 13 (Agler)

Given  $p$  points  $\{(\alpha_i, \beta_i)\}_{i=1}^p \subseteq \mathbb{D}^2$  and  $p$  points  $\{w_i\}_{i=1}^p \subseteq \mathbb{D}$ , there exists a  $\varphi \in \mathcal{S}(\mathbb{D}^2)$  such that

$$\varphi((\alpha_i, \beta_i)) = w_i \quad (i = 1, \dots, p),$$

if and only if there exist positive  $p \times p$  matrices  $\Gamma$  and  $\Delta$  such that

$$(1 - \bar{w}_i w_j) = (1 - \bar{\alpha}_i \alpha_j) \Gamma_{ij} + (1 - \bar{\beta}_i \beta_j) \Delta_{ij}.$$

**Note 1:**  $\mathbb{D}^n$ ,  $n \geq 2$ , is another story and with different kinds of challenges (and surprises)! (However, see: Kosiński and Zwonek, Nevanlinna-Pick interpolation problem in the ball. 2018.)

**Note 2:** See the paper: J. McCarthy, Shining a Hilbertian lamp on the bidisk. 2012.

# On today's agenda

# Dilations and a variation of the $H_n^2$ -CLT

The following is a variation, in terms of dilations, of the  $H_n^2$ -CLT (Theorem 12).

## Theorem 14

Suppose  $T = (T_1, \dots, T_n)$  and  $\hat{T} = (\hat{T}_1, \dots, \hat{T}_n)$  are commuting tuples on  $\mathcal{H}$  and  $\hat{\mathcal{H}}$ , respectively,  $X \in \mathcal{B}(\mathcal{H}, \hat{\mathcal{H}})$ ,  $\|X\| \leq 1$ , and

$$XT_i = \hat{T}_i X \quad (i = 1, \dots, n).$$

If  $\Pi : \mathcal{H} \rightarrow H_n^2 \otimes \mathcal{E}$  and  $\hat{\Pi} : \hat{\mathcal{H}} \rightarrow H_n^2 \otimes \hat{\mathcal{E}}$  are dilations of  $T$  and  $\hat{T}$ , respectively, then there exists  $\Phi \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}, H_n^2 \otimes \hat{\mathcal{E}})$  such that

$$X = \hat{\Pi}^* M_\Phi \Pi.$$

Proof: We need to chase the following commutative diagram:

$$\begin{array}{ccc}
 \mathcal{H} & \xrightarrow{\Pi} & H_n^2 \otimes \mathcal{E} \\
 \downarrow X & & \downarrow M_\Phi \\
 \hat{\mathcal{H}} & \xrightarrow{\hat{\Pi}} & H_n^2 \otimes \hat{\mathcal{E}}
 \end{array}$$

Consider the quotient modules  $\mathcal{Q} := \Pi \mathcal{H} \subseteq H_n^2 \otimes \mathcal{E}$  and  $\hat{\mathcal{Q}} := \hat{\Pi} \hat{\mathcal{H}} \subseteq H_n^2 \otimes \hat{\mathcal{E}}$ . If

$$\tilde{X} = \hat{\Pi} X \Pi^*|_{\mathcal{Q}},$$

then a little computation shows that  $\tilde{X} \in \mathcal{B}(\mathcal{Q}, \hat{\mathcal{Q}})$  and

$$\tilde{X}(P_{\mathcal{Q}}(M_{z_i} \otimes I_{\mathcal{E}})|_{\mathcal{Q}}) = (P_{\hat{\mathcal{Q}}}(M_{z_i} \otimes I_{\hat{\mathcal{E}}})|_{\hat{\mathcal{Q}}})\tilde{X} \quad (i = 1, \dots, n).$$

It then follows from the  $H_{\hat{n}}^2$ -CLT that

$$\tilde{X} = P_{\hat{\mathcal{Q}}} M_{\Phi}|_{\mathcal{Q}},$$

for some  $\Phi \in \mathcal{M}_1(H_{\hat{n}}^2 \otimes \mathcal{E}, H_{\hat{n}}^2 \otimes \hat{\mathcal{E}})$ . By a repeated application of  $P_{\mathcal{Q}} = \Pi \Pi^*$  and  $\mathcal{Q} = \text{ran } \Pi = \text{ran } \Pi \Pi^*$ , it follows that  $X = \hat{\Pi}^* M_{\Phi} \Pi$ .  $\square$



# Prologue (to the CLT)

## Definition 15 (Dilations of $\mathcal{Q}$ )

Let  $\mathcal{Q} \subseteq \mathcal{H}_k \otimes \mathcal{E}$  be a quotient module. An isometry  $\Pi : \mathcal{Q} \rightarrow H_n^2 \otimes \mathcal{E}_*$  is said to be a dilation of  $\mathcal{Q}$  if  $\Pi$  is a dilation of  $(P_{\mathcal{Q}}M_{z_1}|_{\mathcal{Q}}, \dots, P_{\mathcal{Q}}M_{z_n}|_{\mathcal{Q}})$ .

## Lemma 16

Let  $\mathcal{Q} \subseteq \mathcal{H}_k \otimes \mathcal{E}$  be a quotient module. If  $\Pi : \mathcal{H}_k \otimes \mathcal{E} \rightarrow H_n^2 \otimes \mathcal{E}_*$  is a dilation of  $\mathcal{H}_k \otimes \mathcal{E}$ , then

$$\Pi_{\mathcal{Q}} := \Pi \circ i_{\mathcal{Q}} : \mathcal{Q} \rightarrow H_n^2 \otimes \mathcal{E}_*,$$

is a dilation  $\mathcal{Q}$ .

## Proof.

Some elementary computations with projections and inclusions. Essentially, we have the following:

$$\mathcal{Q} \hookrightarrow \mathcal{H}_k \otimes \mathcal{E} \xrightarrow{\Pi} H_n^2 \otimes \mathcal{E}_*.$$



# The CLT

## Theorem 17

Let  $\mathcal{Q}_1 \subseteq H_n^2 \otimes \mathcal{E}_1$  and  $\mathcal{Q}_2 \subseteq \mathcal{H}_k \otimes \mathcal{E}_2$  be quotient mouldles. Let  $X \in \mathcal{B}(\mathcal{Q}_1, \mathcal{Q}_2)$ ,  $\|X\| \leq 1$ , and let

$$X(P_{\mathcal{Q}_1}(M_{Z_i} \otimes I_{\mathcal{E}_1})|_{\mathcal{Q}_1}) = (P_{\mathcal{Q}_2}(M_{Z_i} \otimes I_{\mathcal{E}_2})|_{\mathcal{Q}_2})X,$$

for all  $i$ . Then there exists  $\Phi \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$  such that

$$X = P_{\mathcal{Q}_2} M_{\Phi}|_{\mathcal{Q}_1}.$$

Proof: Clearly,  $i_{\mathcal{Q}_1} : \mathcal{Q}_1 \hookrightarrow H_n^2 \otimes \mathcal{E}_1$  is a dilation of  $\mathcal{Q}_1$ . Let  $\Pi_k : \mathcal{H}_k \otimes \mathcal{E}_2 \rightarrow H_n^2 \otimes \hat{\mathcal{E}}$  be a dilation of  $\mathcal{H}_k \otimes \mathcal{E}_2$  (assured by Theorem 8). By Lemma 16:

$$\Pi_{\mathcal{Q}_2} = \Pi_k i_{\mathcal{Q}_2} : \mathcal{Q}_2 \rightarrow H_n^2 \otimes \hat{\mathcal{E}},$$

is a dilation of  $\mathcal{Q}_2$ . That is:

$$\begin{array}{ccc}
 \mathcal{Q}_1 & \xrightarrow{i_{\mathcal{Q}_1}} & H_n^2 \otimes \mathcal{E}_1 \\
 \downarrow X & & \vdots M_{\Phi_1} \\
 \mathcal{Q}_2 & \xrightarrow{\Pi_{\mathcal{Q}_2}} & H_n^2 \otimes \hat{\mathcal{E}}
 \end{array}$$

Then Theorem 14 yields  $X = \Pi_{\mathcal{Q}_2}^* M_{\Phi_1} i_{\mathcal{Q}_1}$  for some  $\Phi_1 \in \mathcal{M}(H_n^2 \otimes \mathcal{E}_1, H_n^2 \otimes \hat{\mathcal{E}})$ . Hence

$$X = i_{\mathcal{Q}_2}^* (\Pi_k^* M_{\Phi_1}) i_{\mathcal{Q}_1}.$$

One checks that  $\Pi_k^* M_{\Phi_1} : H_n^2 \otimes \mathcal{E}_1 \rightarrow \mathcal{H}_k \otimes \mathcal{E}_2$  intertwines the shifts, that is

$$\Pi_k^* M_{\Phi_1} = M_{\Phi},$$

for some  $\Phi \in \mathcal{M}(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$ . Then  $X = i_{\mathcal{Q}_2}^* M_{\Phi} i_{\mathcal{Q}_1}$ , and thus  
 .....  $X = P_{\mathcal{Q}_2} M_{\Phi}|_{\mathcal{Q}_1}$ . □

# The diagram

That is, the following diagram commutes:

$$\begin{array}{ccc} & & H_n^2 \otimes \hat{\mathcal{E}} \\ & \nearrow^{M_{\Phi_1}} & \nearrow^{\Pi_k} \\ H_n^2 \otimes \mathcal{E}_1 & \cdots \xrightarrow{M_{\Phi}} & \mathcal{H}_k \otimes \mathcal{E}_2 \\ \downarrow P_{\mathcal{Q}_1} & & \downarrow P_{\mathcal{Q}_2} \\ \mathcal{Q}_1 & \xrightarrow{\chi} & \mathcal{Q}_2 \\ & & \nearrow^{\Pi_{\mathcal{Q}_2}} \end{array}$$

## Theorem 18

Suppose  $\mathcal{H}_{\tilde{k}}$  is the RKHS corresponding to  $\tilde{k}$ , where  $k = k_1 \tilde{k}$ . If  $\mathcal{E}$  is a Hilbert space, then there exists a co-isometric multiplier  $\Psi_k \in \mathcal{M}(H_n^2 \otimes (\mathcal{H}_{\tilde{k}} \otimes \mathcal{E}), \mathcal{H}_k \otimes \mathcal{E})$  such that

$$k(\mathbf{z}, \mathbf{w})|_{\mathcal{E}} = \frac{\Psi_k(\mathbf{z})\Psi_k(\mathbf{w})^*}{1 - \langle \mathbf{z}, \mathbf{w} \rangle} \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

## Factorizations - I (Example)

Let  $m > 1$  be an integer. Set

$$k_m(\mathbf{z}, \mathbf{w}) = \left(1 - \sum_{i=1}^n z_i \bar{w}_i\right)^{-m} \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

Then  $\tilde{k}_m(\mathbf{z}, \mathbf{w}) = k_{m-1}(\mathbf{z}, \mathbf{w})$ , and  $\Psi_{k_m}(\mathbf{w})^* : \mathcal{E} \rightarrow \mathcal{H}_{k_{m-1}} \otimes \mathcal{E}$  is given by

$$\Psi_{k_m}(\mathbf{w})^* \eta = k_{m-1}(\cdot, \mathbf{w}) \otimes \eta,$$

for all  $\mathbf{z}, \mathbf{w} \in \mathbb{B}^n$  and  $\eta \in \mathcal{E}$ .

## Theorem 19

Let  $\mathcal{E}_1$  and  $\mathcal{E}_2$  be Hilbert spaces, and let  $\Theta : \mathbb{B}^n \rightarrow \mathcal{B}(\mathcal{E}_1, \mathcal{E}_2)$  be an analytic function. In the setting of Theorem 18, the following conditions are equivalent:

- (i)  $\Theta \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$ ,
- (ii) there exists  $\tilde{\Theta} \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, H_n^2 \otimes (\mathcal{H}_k \otimes \mathcal{E}_2))$  such that

$$M_\Theta = M_{\Psi_k} M_{\tilde{\Theta}}.$$

Also see (the scalar case): Ball - Bolotnikov (2017); and Aleman - Hartz - McCarthy - Richter (2019).



More specifically, the multiplier  $\Psi_k$  makes the following diagram commutative:

$$\begin{array}{ccc}
 & & H_n^2 \otimes (\mathcal{H}_k \otimes \mathcal{E}_2) \\
 & \nearrow^{M_{\tilde{\Theta}}} & \downarrow M_{\Psi_k} \\
 H_n^2 \otimes \mathcal{E}_1 & \xrightarrow{M_{\Theta}} & \mathcal{H}_k \otimes \mathcal{E}_2
 \end{array}$$

# NP interpolation

Let  $\mathcal{B}_1(\mathcal{E}_1, \mathcal{E}_2) = \{A \in \mathcal{B}(\mathcal{E}_1, \mathcal{E}_2) : \|A\| < 1\}$ .

**Problem:** Given  $\{\mathbf{z}_i\}_{i=1}^m \subseteq \mathbb{B}^n$ ,  $\{W_i\}_{i=1}^m \subseteq \mathcal{B}_1(\mathcal{E}_1, \mathcal{E}_2)$ , find necessary and sufficient conditions for the existence of a multiplier  $\Phi \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$  such that

$$\Phi(\mathbf{z}_i) = W_i \quad (i = 1, \dots, m). \quad (1)$$

## Theorem 20

Let  $\{\mathbf{z}_i\}_{i=1}^m \subseteq \mathbb{B}^n$  and  $\{W_i\}_{i=1}^m \subseteq \mathcal{B}_1(\mathcal{E}_1, \mathcal{E}_2)$ . TFAE:

(i) There exists  $\Phi \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, \mathcal{H}_k \otimes \mathcal{E}_2)$  such that  $\Phi(\mathbf{z}_i) = W_i$ ,  $i = 1, \dots, m$ .

(ii)  $\sum_{1 \leq i, j \leq m} \left\langle \left( k(\mathbf{z}_i, \mathbf{z}_j) I_{\mathcal{E}_2} - \frac{W_i W_j^*}{1 - \langle \mathbf{z}_i, \mathbf{z}_j \rangle} \right) \eta_j, \eta_i \right\rangle$  for all  $\eta_1, \dots, \eta_m \in \mathcal{E}_2$ .

(iii) There exists  $\tilde{\Phi} \in \mathcal{M}_1(H_n^2 \otimes \mathcal{E}_1, H_n^2 \otimes (\mathcal{H}_k \otimes \mathcal{E}_2))$  such that

$$\Psi_k(\mathbf{z}_i) \tilde{\Phi}(\mathbf{z}_i) = W_i \quad (i = 1, \dots, m).$$

In the case of scalar-valued multipliers:

(i)  $\Leftrightarrow$  (ii) is due to Aleman, Hartz, McCarthy and Richter (2019).

In addition, if  $n = 1$  and  $\tilde{k}(z, w) = (1 - z\bar{w})^{-m}$ ,  $m \in \mathbb{N}$ , then (i)  $\Leftrightarrow$  (ii) was proved by Ball and Bolotnikov (2017).

# NP interpolation - Example

Consider the RKHS  $\mathcal{H}_m$ ,  $m > 1$ , corresponding to the kernel

$$k_m(\mathbf{z}, \mathbf{w}) = \left(1 - \sum_{i=1}^n z_i \bar{w}_i\right)^{-m} \quad (\mathbf{z}, \mathbf{w} \in \mathbb{B}^n).$$

Then we have the following:

Given distinct  $m$  points  $\{\mathbf{z}_i\}_{i=1}^m \subseteq \mathbb{B}^n$  and  $m$  points  $\{w_i\}_{i=1}^m \subseteq \mathbb{D}$ , there exists a  $\varphi \in \mathcal{M}_1(H_n^2, \mathcal{H}_m)$  such that  $\varphi(\mathbf{z}_i) = w_i$ ,  $i = 1, \dots, m$ , if and only if

$$\left( \frac{1}{(1 - \langle \mathbf{z}_i, \mathbf{z}_j \rangle)^m} - \frac{w_i \bar{w}_j}{1 - \langle \mathbf{z}_i, \mathbf{z}_j \rangle} \right)_{m \times m} \geq 0.$$

Thanks!!