# Non-commutative rational multipliers of the free Hardy space

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# NC rational functions

#### NC rational functions

Any valid combination,  $\mathfrak{r}(\mathfrak{z})$  of NC variables  $\mathfrak{z}_1, \cdots \mathfrak{z}_d$ ,  $\mathbb{C}$ , operations  $+, \cdot, \cdot^{-1}$  and ().

e.g. 
$$(\mathfrak{z}_1\mathfrak{z}_2^{-1}\mathfrak{z}_1^2)^{-1} + 1 + \mathfrak{z}_1\mathfrak{z}_2.$$

$$\operatorname{Dom} \mathfrak{r} := \bigsqcup_{n=1}^{\infty} \left\{ Z \in \mathbb{C}_n^d := \mathbb{C}^{n \times n} \otimes \mathbb{C}^{1 \times d} \middle| \mathfrak{r}(Z) \text{ is defined} \right\}$$
$$Z = (Z_1, \cdots, Z_d), \quad Z_k \in \mathbb{C}^{n \times n}$$

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$$\mathrm{Dom}\,\mathfrak{r}:=\bigsqcup_{n=1}^{\infty}\left\{Z\in\mathbb{C}_n^d:=\mathbb{C}^{n\times n}\otimes\mathbb{C}^{1\times d}\Big| \ \mathfrak{r}(Z) \text{ is defined}\right\}$$

Deep connections to: NC algebra, free real algebraic geometry and free probability.

[Helton, Kaliuzhnyĭ-Verbovetskyĭĭ, Klep, McCullough, Pascoe, Vinnikov, Volčič,...]

### NC rational functions

#### Realizations

Any NC rational  $\mathfrak{r}$ ,  $0 = (0, \dots, 0) \in \text{Dom }\mathfrak{r}$ , has a *realization* (A, b, c),  $A \in \mathbb{C}_N^d$ ,  $b, c \in \mathbb{C}^N$ : Given  $Z \in \mathbb{C}_n^d$ ,  $\mathfrak{r}(Z) = b^* L_A(Z)^{-1}c$ :

$$L_A(Z) := I_n \otimes I_N - \sum_{j=1}^d Z_j \otimes A_j.$$
 (Linear pencil)

#### Minimal realizations

A realization (A, b, c) of size N is minimal if N is as small as possible.

$$(A, b, c) \text{ is minimal} \quad \Leftrightarrow \quad \bigvee A^{\omega} c = \mathbb{C}^{N} = \bigvee A^{*\omega} b$$
$$\omega = i_{1} \cdots i_{n}, \quad i_{k} \in \{1, \cdots, d\}, \quad A^{\omega} := A_{i_{1}} \cdots A_{i_{d}}.$$

Any NC rational  $\mathfrak{r}$  with  $0 \in \text{Dom }\mathfrak{r}$  has a (unique) minimal realization.

#### NC unit row-ball

Define 
$$\mathbb{C}_{\mathbb{N}}^{d} := \bigsqcup_{n=1}^{\infty} \mathbb{C}_{n}^{d}, \ \mathbb{C}_{n}^{d} = \mathbb{C}^{n \times n} \otimes \mathbb{C}^{1 \times d}, \ NC \text{ universe.}$$
  
$$\mathbb{B}_{\mathbb{N}}^{d} := \bigsqcup_{n=1}^{\infty} \mathbb{B}_{n}^{d}, \qquad \mathbb{B}_{n}^{d} := \left(\mathbb{C}^{n \times n} \otimes \mathbb{C}^{1 \times d}\right)_{1}.$$
$$Z = (Z_{1}, \cdots, Z_{d}) \in \mathbb{B}_{n}^{d} \text{ if } Z : \mathbb{C}^{n} \otimes \mathbb{C}^{d} \to \mathbb{C}^{n} \text{ has } \|Z\| < 1,$$
$$ZZ^{*} = Z_{1}Z_{1}^{*} + \cdots + Z_{d}Z_{d}^{*} < I_{n}.$$

finite-dimensional strict row contractions

### Square-summable power series

The free Hardy space
$$\mathbb{H}_{d}^{2} := \left\{ \left. f(\mathfrak{z}) = \sum_{\omega \in \mathbb{F}^{d}} \hat{f}_{\omega} \mathfrak{z}^{\omega} \right| \left. \sum_{\omega \in \mathbb{F}^{d}} |\hat{f}_{\omega}|^{2} < \infty \right\},\\ \mathfrak{z}_{1}, \mathfrak{z}_{2}, \cdots, \mathfrak{z}_{d}; \ d \text{ NC variables.} \right.$$

 $\mathbb{F}^d$  = all words in d letters,  $\{1, 2, \cdots, d\}$ . Empty word =  $\emptyset$  (unit).

e.g. if 
$$\omega = 1221 \in \mathbb{F}^2$$
,  $\mathfrak{z}^{\omega} := \mathfrak{z}_1\mathfrak{z}_2\mathfrak{z}_2\mathfrak{z}_1, \mathfrak{z}^{\emptyset} =: 1$ .

Hardy space

$$H^2 = \left\{ \left. f(z) = \sum_{n \in \mathbb{N}_0} \hat{f}_n z^n \right| \left| \sum |\hat{f}_n|^2 < \infty \right\}.$$

Elements of  $\mathbb{H}^2_d$  are NC functions on  $\mathbb{B}^d_{\mathbb{N}}$ :

(Popescu)

If  $Z = (Z_1, \cdots, Z_d) \in \mathbb{B}^d_{\mathbb{N}}$  and

$$f(\mathfrak{z}) = \sum \widehat{f}_{\omega}\mathfrak{z}^{\omega} \in \mathbb{H}^2_d$$

then f(Z) converges absolutely (and locally uniformly) in operator-norm.

 $\Rightarrow$  Any  $f \in \mathbb{H}_d^2$  is a non-commutative function on strict row contractions.

### Non-commutative functions

#### NC functions on the row-ball

Any  $f \in \mathbb{H}^2_d$  is a *non-commutative function* on  $\mathbb{B}^d_{\mathbb{N}}$ , *i.e.* f

- is graded,
- preserves direct sums,
- respects joint similarities which preserve  $\mathbb{B}^d_{\mathbb{N}}$ ,

locally bounded hence holomorphic.

Any free polynomial,  $p \in \mathbb{C}\{\mathfrak{z}_1, \cdots, \mathfrak{z}_d\}$  belongs to  $\mathbb{H}^2_d$ , *e.g.*  $p(\mathfrak{z}_1, \mathfrak{z}_2) = \mathfrak{z}_1^2 \mathfrak{z}_1 \mathfrak{z}_2 - 5 \mathfrak{z}_1^3 \mathfrak{z}_2^2$ .

# NC Hardy Space

 $H^{\infty}$  = bounded holomorphic functions:

$$H^{\infty} = \left\{ f \in \mathscr{O}(\mathbb{D}) \; \left| \; \|f\|_{\infty} = \sup_{z \in \mathbb{D}} \|f(z)\| < \infty 
ight\}.$$

# NC Hardy Space

NC  $H^{\infty}$  = bounded NC holomorphic functions:

$$\mathbb{H}_d^{\infty} = \left\{ F \in \mathscr{O}(\mathbb{B}_{\mathbb{N}}^d) \; \middle| \; \|F\|_{\infty} = \sup_{Z \in \mathbb{B}_{\mathbb{N}}^d} \|F(Z)\| < \infty \right\}.$$

 $F \in \mathbb{H}^\infty_d \ \Leftrightarrow F(L) := M^L_F : \mathbb{H}^2_d o \mathbb{H}^2_d,$  bounded left multiplier,

$$(M_F^L f)(Z) = F(Z)f(Z); \qquad Z \in \mathbb{B}^d_\mathbb{N}.$$

The left free shift

 $L_k := M_{Z_k}^L : \mathbb{H}_d^2 \to \mathbb{H}_d^2$ , isometries, pairwise orthogonal ranges.

 $\Rightarrow \ L:=(L_1,\cdots,L_d):\mathbb{H}^2_d\otimes\mathbb{C}^d\to\mathbb{H}^2_d, \ \ \text{is a row isometry}.$ 

### Left vs. right

letter reversal  $t : \mathbb{F}^d \to \mathbb{F}^d$ 

#### **Right multipliers**

$$R_k := M_{Z_k}^R = U_t M_{Z_k}^L U_t = U_t L_k U_t$$
, right free shifts.

Given  $p \in \mathbb{C} \{\mathfrak{z}_1, \cdots, \mathfrak{z}_d\}$ ,  $p(L) = M_p^L$ ,  $p(R) = M_{p^{\mathrm{t}}}^R$ .

e.g. 
$$p(\mathfrak{z}) = 1 - \mathfrak{z}_1 \mathfrak{z}_2 \mapsto p^{\mathrm{t}}(\mathfrak{z}) = 1 - \mathfrak{z}_2 \mathfrak{z}_1.$$

If  $b \in \mathbb{H}_d^\infty$ ,  $b(R) := U_{\mathrm{t}}b(L)U_{\mathrm{t}} = M_{b^{\mathrm{t}}}^R$ .

# NC rational functions in Fock space

### Fock space as an NC-RKHS

 $\mathbb{H}_d^2$  is an NC reproducing kernel Hilbert space on  $\mathbb{B}_{\mathbb{N}}^d$  [Ball–Marx–Vinnikov].

$$f \stackrel{\iota_{Z,y,v}}{\mapsto} y^* f(Z) v; \qquad Z, y, v \in \mathbb{B}^d_n \times \mathbb{C}^n \times \mathbb{C}^n,$$

is bounded on  $\mathbb{H}^2_d$ .

$$\ell_{Z,y,v}(f) = \langle K\{Z,y,v\}, f 
angle_{\mathbb{H}^2}, \quad K\{Z,y,v\} \in \mathbb{H}^2_d.$$

#### NC Szegö kernel vectors

$$\begin{split} & \mathcal{K}\{Z, y, v\} = \sum_{\alpha, \omega} \overline{y^* Z^{\alpha} v} \, \mathfrak{z}^{\alpha}, \quad Z \in \mathbb{B}_n^d, \\ & \langle \mathcal{K}\{Z, y, v\}, f \rangle_{\mathbb{H}^2} = \sum_{\alpha, \omega} y^* Z^{\alpha} v \, \hat{f}_{\omega} \underbrace{\langle \mathfrak{z}^{\alpha}, \mathfrak{z}^{\omega} \rangle}_{=\delta_{\alpha, \omega}}; \qquad f = \sum_{\alpha, \omega} \hat{f}_{\omega} \mathfrak{z}^{\omega} \in \mathbb{H}_d^2 \\ & = y^* \sum_{\alpha, \omega} \hat{f}_{\omega} Z^{\omega} v = y^* f(Z) v. \end{split}$$

### NC kernels are rational

Any NC Szegö kernel,  $K\{W, x, u\} \in \mathbb{H}^2_d$ ,  $W \in \mathbb{B}^d_m$  is given by:

$$\begin{split} & \mathcal{K}\{W, x, u\}(Z) = \sum_{\omega} \overline{x^* W^{\omega} u} \, Z^{\omega}; \qquad Z \in \mathbb{B}_n^d \\ &= \sum \overline{x}^* \overline{W}^{\omega} \overline{u} \, Z^{\omega}; \qquad \overline{x}, \overline{W}, \overline{u} := \text{entry-wise conjugation} \\ &= \overline{x}^* \otimes I_n \sum_{k=0}^{\infty} \left( \sum_{j=1}^d \overline{W}_j \otimes Z_j \right)^k \, \overline{u} \otimes I_n; \qquad \text{spr } (\cdot) < 1 \\ &= \overline{x}^* \otimes I_n \left( I_m \otimes I_n - \sum \overline{W}_j \otimes Z_j \right)^{-1} \overline{u} \otimes I_n \\ &= \overline{x}^* L_{\overline{W}}(Z)^{-1} \overline{u}, \qquad \text{NC rational!} \end{split}$$

# NC rational functions in $\mathbb{H}_d^2$ are NC kernels

If  $\mathfrak{r} \in \mathbb{H}^2_d$  NC rational with minimal realization (A, b, c) of size N,

$$\mathfrak{r}(Z) = \sum_{\omega} \hat{\mathfrak{r}}_{\omega} Z^{\omega} \qquad Z \in \mathbb{B}_{n}^{d}$$
$$= I_{n} \otimes b^{*} \left( I_{n} \otimes I_{N} - \sum_{i} Z_{j} \otimes A_{j} \right)^{-1} I_{n} \otimes c$$
$$\stackrel{'}{=} \sum_{i} \underbrace{b^{*} A^{\omega} c}_{=\hat{\mathfrak{r}}_{\omega}} Z^{\omega} = K\{\overline{A}, \overline{b}, \overline{c}\}(Z)',$$

i.e. t formally resembles an NC Szegö kernel.

# NC rational functions in $\mathbb{H}_d^2$ are NC kernels

Definition (Joint spectral radius – Popescu) Given  $A = (A_1, \dots, A_d) \in \mathbb{C}_N^d$ ,  $\operatorname{spr}(A) := \lim \sqrt[2^k]{\left\|\operatorname{Ad}_{A,A^*}^{(k)}(I_N)\right\|}; \quad \operatorname{Ad}_{A,A^*}(P) = A(P \otimes I_d)A^*.$ 

#### Multi-variable Rota-Strang Theorem (Popescu)

 $A = (A_1, \dots, A_d) \in \mathbb{C}_N^d$  has  $\operatorname{spr}(A) < 1$  if and only if A is jointly similar to a strict row contraction  $W \in \mathbb{B}_N^d$ .

If NC rational  $\mathfrak{r} \in \mathbb{H}^2_d$  with minimal (A, b, c) can show  $\operatorname{spr}(A) < 1$ . Multi-variable Rota Theorem  $\Rightarrow \mathfrak{r} = K\{Z, \widetilde{b}, \widetilde{c}\}$  for  $Z \in \mathbb{B}^d_{\mathbb{N}}$  similar to  $\overline{A}$ .

#### Theorem (Jury–M.–Shamovich)

Let  $\mathfrak{r}$  be an NC rational function with  $0 \in \text{Dom }\mathfrak{r}$  and minimal realization (A, b, c) of size N. The following are equivalent:

- (i)  $\mathfrak{r} \in \mathbb{H}^2_d$ .
- (ii)  $\mathfrak{r} \in \mathbb{H}_d^\infty$ .
- (iii)  $\mathfrak{r} \in \mathbb{A}_d := \operatorname{Alg}\{I, L_1, \cdots, L_d\}^{-\|\cdot\|}$  (NC disk algebra).

(iv)  $\operatorname{spr}(A) < 1$ .

(v)  $\mathfrak{r} = K\{Z, y, v\}$  for some  $Z \in \mathbb{B}_N^d$  similar to  $\overline{A}$ .

(vi)  $\mathbb{B}^d_{\mathbb{N}} \subset \operatorname{Dom} \mathfrak{r}$ .

(vii) There exists an r > 1 so that  $r\mathbb{B}^d_{\mathbb{N}} \subseteq \text{Dom } \mathfrak{r}$ .

#### d = 1

This recovers (obvious) facts for rational functions in one variable.

#### Observe:

If  $0 \in \text{Dom } \mathfrak{r}$ , NC rational with minimal (A, b, c),  $A \in \mathbb{C}_n^d$  we can re-scale,  $\mathfrak{r}_r(Z) := \mathfrak{r}(rZ)$ , r > 0, to obtain  $\mathbb{B}_{\mathbb{N}}^d \subseteq \text{Dom } \mathfrak{r}_r$ ,

$$r^{-1} > \|A\|_{row} \ge \operatorname{spr}(A) \quad \Rightarrow \mathfrak{r}_r \in \mathbb{H}_d^{\infty}.$$

 $\Rightarrow$  We can assume any  $\mathfrak{r}$  which is regular at 0 belongs to  $[\mathbb{H}_d^{\infty}]_1$ .

Hardy space techniques can be applied to NC rational function theory.

# Finite NC Blaschke products

Any  $h \in H^{\infty}$  has a unique inner–outer factorization,

$$h = \theta \cdot f, \qquad \theta, f \in H^{\infty},$$

where

- $\theta$  is inner, i.e.  $M_{\theta} : H^2 \to H^2$  is isometric.
- f is outer, i.e.  $M_f$  has dense range.

Rational  $\mathfrak{r} \in H^{\infty}$  is *inner* if and only if  $\mathfrak{r}$  is a finite *Blaschke product*:

$$\mathfrak{r}(z) = \zeta \prod_{k=1}^{N} \frac{z-z_k}{1-\overline{z_k}z}, \qquad z_k \in \mathbb{D}, \ \zeta \in \partial \mathbb{D}.$$

Blaschke products are completely determined by their zeroes in the disk.

### Inner and Outer

#### Definition

 $h \in \mathbb{H}_d^\infty$  is:

- inner if  $h(L) = M_h^L : \mathbb{H}_d^2 \to \mathbb{H}_d^2$  is an isometry. inner = isometric
- **②** outer if  $h(L) = M_h^L : \mathbb{H}_d^2 → \mathbb{H}_d^2$  has dense range. outer = dense range

Theorem (Popescu, Davidson–Pitts) Any  $h \in \mathbb{H}_d^{\infty}$  (or  $\mathbb{H}_d^2$ ) has a unique inner–outer factorization,  $h = \Theta \cdot F$ .

# Inner–Outer factorization

#### Theorem (Jury–M.–Shamovich)

If  $\mathfrak{r} \in \mathbb{H}_d^\infty$  is NC rational with inner–outer factorization  $\mathfrak{r} = \Theta \cdot F$ , then both  $\Theta, F \in \mathbb{H}_d^\infty$  are NC rational.

#### Proof.

Recall: If h is a multiplier of an RKHS  $\mathcal{H}(k)$ ,  $M_h^* k_z = k_z \overline{h(z)}$ .

Similarly, if 
$$h \in \mathbb{H}_d^\infty$$
,  $h(L)^* K\{Z, y, v\} = K\{Z, h(Z)^* y, v\}$ .  
If  $\mathfrak{r} = \Theta \cdot F \in \mathbb{H}_d^\infty$  then  $\mathfrak{r} = K\{Z, y, v\}$ ,

$$K\{Z,\Theta(Z)^*y,v\}=\Theta(L)^*\mathfrak{r}=\underbrace{\Theta(L)^*\Theta(L)}_{=I}F.$$

 $\Rightarrow$  F is an NC kernel (NC rational) and so is  $\Theta = \mathfrak{r}F^{-1}$ .

### Finite NC Blaschke products

Definition (Jury–M.–Shamovich) Given  $h \in \mathbb{H}^2_d$ , the *NC variety* of *h* is

$$\mathscr{Z}(h) := \bigsqcup_{\mathbb{N} \cup \{\infty\}} \left\{ (Z, y) \in \mathbb{B}_n^d \times \mathbb{C}^n \setminus \{0\} \middle| y^* h(Z) = 0 \right\}.$$

An inner  $b \in \mathbb{H}_d^\infty$  is *NC Blaschke* if  $\operatorname{Ran} \mathfrak{b}(L) = \mathscr{D}(\mathfrak{b})$ ,

$$\mathscr{D}(\mathfrak{b}) := \left\{ h \in \mathbb{H}^2_d \mid (Z, y) \in \mathscr{Z}(b) \Rightarrow (Z, y) \in \mathscr{Z}(h) \right\}.$$

i.e. NC Blaschke inners are completely determined by their 'NC zeroes'.

Theorem (Jury–M.–Shamovich) Any NC rational inner  $\mathfrak{b} \in \mathbb{H}_d^{\infty}$  is NC Blaschke.

#### Definition

An NC rational inner,  $\mathfrak{b}$ , is an *NC Blaschke factor*, if it is *irreducible*: If  $\mathfrak{b} = \mathfrak{b}_1 \cdot \mathfrak{b}_2$ ,  $\mathfrak{b}_k$  NC rational inner, then  $\{\mathfrak{b}_1, \mathfrak{b}_2\} = \{\mathfrak{b}, 1\}$ .

d = 1:  $\mathfrak{b}$  is irreducible  $\Leftrightarrow \mathfrak{b}(z) = \frac{z - w}{1 - \overline{w}z}$ , a single *Blaschke factor*.

#### Theorem (M.)

Any NC rational inner  $\mathfrak{b} \in \mathbb{H}_d^\infty$  is a finite NC Blaschke product,  $\mathfrak{b} = \mathfrak{b}_1 \cdots \mathfrak{b}_n$ , with each  $\mathfrak{b}_k$  an NC rational Blaschke factor.

#### Proof idea:

If  $\mathfrak{b} = \mathfrak{b}_1 \cdot \mathfrak{b}_2$  where  $\mathfrak{b}_k$  are inner, then the minimal realization size of  $\mathfrak{b}$  is greater than that of  $\mathfrak{b}_1$ .

Q: Can we characterize NC Blaschke factors in terms of their minimal realizations à la Helton-Klep-Volčič?

# NC Clark Theory

### Clark Theory

A bijection (d = 1)

$\mu$	$\leftrightarrow$	$H_{\mu}$	$\leftrightarrow$	$b_{\mu}$
$\geq$ 0		$\operatorname{Re} H_{\mu}(z) \geq 0$		$ b_\mu(z)  \leq 1$
Finite, positive, regular Borel measure		Herglotz function		contractive multiplier
	ŀ	$\mathcal{H}_{\mu}(z) := \int_{\partial \mathbb{D}} rac{1+z\overline{\zeta}}{1-z\overline{\zeta}}\mu(d\zeta)$	)	$b_\mu(z) := rac{H_\mu(z) - 1}{H_\mu(z) + 1}$

If  $\mu \leftrightarrow b$  then  $\mu = \mu_b$  is the Aleksandrov–Clark measure of b.

### NC measures

Riesz-Markov: Meas $(\partial \mathbb{D})_+ \leftrightarrow \mathscr{C}(\partial \mathbb{D})^{\dagger}_+ = (\mathcal{A}(\mathbb{D}) + \mathcal{A}(\mathbb{D})^*)^{\dagger}_+,$   $\mu \leftrightarrow \hat{\mu}, \mathcal{A}(\mathbb{D}) = \operatorname{Alg}\{I, S\}^{-\|\cdot\|}$  Disk Algebra.  $S = M_z : H^2 \to H^2$ , the *shift*.

$$\mathbb{A}_d := \operatorname{Alg}\{I, L_1, \cdots, L_d\}^{-\|\cdot\|}$$
 Free Disk Algebra.

#### Definition

A (positive) NC measure,  $\mu \in (\mathscr{A}_d^{\dagger})_+$ , is a positive linear functional on the *Free Disk System*,  $\mathscr{A}_d := (\mathbb{A}_d + \mathbb{A}_d^*)^{-\|\cdot\|}$ .

Given any positive NC measure,  $\mu : \mathscr{A}_d \to \mathbb{C}$ , consider:

#### NC Herglotz Function

$$egin{array}{rcl} \mathcal{H}_{\mu}(Z) &=& \mathrm{id}_n\otimes\mu\left((I+ZL^*)(I-ZL^*)^{-1}
ight), \ ZL^* &:=& Z_1\otimes L_1^*+...+Z_d\otimes L_d^*, \quad Z\in \mathbb{B}_{\mathbb{N}}^d, \ \mathrm{Re}\,\mathcal{H}_{\mu}(Z)\geq 0; \qquad Z\in \mathbb{B}_{\mathbb{N}}^d, \end{array}$$

$$b_{\mu}(Z) := (H_{\mu}(Z) + I_n)^{-1}(H_{\mu}(Z) - I_n) \in [\mathbb{H}_d^{\infty}]_1.$$

#### A bijection

$$\mu \leftrightarrow H_{\mu} \leftrightarrow b_{\mu}$$
  
 $\geq 0 \qquad \operatorname{Re} H_{\mu} \geq 0 \qquad \|b_{\mu}(Z)\| \leq 1$ 

If  $\mu \leftrightarrow b$  then  $\mu = \mu_b$  is the *NC Clark measure of b*.

### NC measure spaces

$$\mathbb{H}^2_d(\mu) := \mathbb{C} \{\mathfrak{z}_1, \cdots, \mathfrak{z}_d\}^{-\|\cdot\|_{\mu}}; \quad \langle p, q \rangle_{\mu} := \mu(\underbrace{p(L)^*q(L)}_{\in \mathscr{A}_d}).$$

Gelfand-Naimark-Segal row isometry

$$M_{\mathfrak{z}_k}^L p(\mathfrak{z}) + N_\mu = \mathfrak{z}_k p(\mathfrak{z}) + N_\mu; \qquad \left| \Pi_{\mu;k} = M_{\mathfrak{z}_k}^L \right|$$

 $N_{\mu}$  :=ideal of '0-length vectors'.  $\Pi_{\mu} = (\Pi_{\mu;1}, \cdots, \Pi_{\mu;k})$  row isometry. Extends to \*-representation of  $C^*\{I, L\} =: \mathcal{E}_d$ , Cuntz-Toeplitz algebra.

#### If d = 1

$$\mathbb{H}_1^2(\mu) \simeq H^2(\mu) = \bigvee_{n \ge 0} \zeta^n \subseteq L^2(\mu), \qquad \Pi_\mu \simeq M_\zeta|_{H^2(\mu)} = \text{ mult. by } \zeta.$$

# NC rational Clark measures

Finitely–correlated Cuntz-Toeplitz functionals  $\mu \in (\mathscr{A}_d^{\dagger})_+$  is *finitely–correlated* if the subspace

$$\mathscr{H}_{\mu} := \bigvee \Pi_{\mu}^{*\omega} 1 + \mathsf{N}_{\mu} \subseteq \mathbb{H}^{2}_{\mathsf{d}}(\mu),$$

is finite-dimensional.

#### Dilation of finite row contractions

If  $\mu$  is finitely–correlated then  $\Pi_{\mu}$  is the minimal row isometric dilation of the finite–dimensional row contraction  $T_{\mu} := (\Pi_{\mu}^*|_{\mathscr{H}_{\mu}})^*$ .

- Finitely-correlated states on the Cuntz algebra were introduced and studied by Bratteli and Jørgensen.

- Row isometric dilations of finite row contractions were completely classified by Davidson–Kribs–Shpigel.

If  $\mu \in (\mathscr{A}_{d}^{\dagger})_{+}$  is finitely correlated, then,  $\operatorname{id}_n \otimes \mu \left( (I - ZL^*)^{-1} \right) = (1 + N_\mu)^* (I - ZT_\mu^*)^{-1} (1 + N_\mu)$  $= \frac{1}{2}H_{\mu}(Z) + \frac{1}{2}\mu(I)I_n =: G_{\mu}(Z).$ Recall:  $ZL^* = Z_1 \otimes L_1^* + \cdots + Z_d \otimes L_d^*$ . Let  $x := 1 + N_{\mu}$ , and  $T_{\mu} := \left(\prod_{\mu}^{*} |_{\mathscr{H}_{\mu}}\right)^{*}$ ,  $\dim \mathscr{H}_{\mu} < +\infty$ .  $(T_{\mu}^*, x, x)$  is a finite-dimensional (and minimal) realization of  $G_{\mu}$ .  $\Rightarrow$   $H_{\mu}$  and  $\mathfrak{b}_{\mu} = (H_{\mu} - I)(H_{\mu} + I)^{-1}$ are NC rational.

#### Theorem (M.)

A contractive  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$  is NC rational if and only if  $\mu_{\mathfrak{b}} \in (\mathscr{A}_d^{\dagger})_+$  is finitely–correlated.

NC rational extreme points of  $[\mathbb{H}_d^{\infty}]_1$ 

### de Branges-Rovnyak realizations

Any  $b \in [\mathbb{H}_d^{\infty}]_1$  has a *de Branges–Rovnyak realization*:

(right) Free de Branges-Rovnyak space

 $\mathscr{H}^{t}(b) := \operatorname{Ran} \sqrt{I - b(R)b(R)^{*}}$ , an NC-RKHS contained (contractively) in  $\mathbb{H}^{2}_{d}$  with CPNC kernel:

 $\mathcal{K}^{b}(Z,W)[\cdot] = \mathcal{K}(Z,W)[\cdot] - \mathcal{K}(Z,W)[b^{\mathrm{t}}(Z)(\cdot)b^{\mathrm{t}}(W)^{*}], \quad \mathcal{K} = \mathsf{NC} \text{ Szegö}.$ 

de Branges-Rovnyak realization

$$b(Z) = D \cdot I_n + C(I_n \otimes I - \sum Z_j \otimes A_j)^{-1} \sum_k Z_k \otimes B_k,$$
  
$$A := L^*|_{\mathscr{H}^t(b)}, \quad B := L^* b^t, \quad C := (K_0^b)^* \quad \text{and} \quad D := b(0).$$

### Column-extreme multipliers of Fock space

 $b \in [\mathbb{H}_d^{\infty}]_1$  is column-extreme (CE), if  $a \in \mathbb{H}_d^{\infty}$  and  $c := ({}_a^b) \in [\mathbb{H}_d^{\infty} \otimes \mathbb{C}^2]_1$  implies  $a \equiv 0$ .

Note:  $c := \begin{pmatrix} b \\ a \end{pmatrix}$  is contractive if and only if:

$$I - b(R)^* b(R) \ge a(R)^* a(R) > 0.$$

c is inner if and only if

$$I - b(R)^*b(R) = a(R)^*a(R),$$

 $T = I - b(R)^* b(R)$  is factorizable.

### Sarason's outer function

– In one variable,  $b \in [H^\infty]_1$  is column-extreme  $\Leftrightarrow$  it is an extreme point.

– If *b* is NOT extreme, there is a unique outer,  $a \in [H^{\infty}]_1$  so that  $c = \begin{pmatrix} b \\ a \end{pmatrix}$  is inner (isometric).

#### Sarason function

 $c:=\left(egin{array}{c}{}_{a}
ight)$  is defined by the colligation,  $U_{c}:\mathscr{H}(b)\oplus\mathbb{C}^{2} o\mathscr{H}(b)\oplus\mathbb{C}^{2}$ :

$$U_c := \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix}; \qquad \begin{pmatrix} b(z) \\ a(z) \end{pmatrix} := \mathbf{D} + \mathbf{C}(I - z\mathbf{A})^{-1}z\mathbf{B},$$
  

$$\mathscr{H}(b) = \operatorname{Ran} \sqrt{I - b(S)b(S)^*} \quad \text{de Branges-Rovnyak space}$$
  

$$\boxed{S := M_z : H^2 \to H^2} \quad \text{the shift,} \quad a(0)^2 := 1 - |b(0)|^2 - ||S^*b||^2_{\mathscr{H}(b)} > 0.$$
  

$$\mathbf{A} := S^*|_{\mathscr{H}(b)}, \quad \mathbf{B} := S^*b, \quad \mathbf{C} := \begin{pmatrix} (\kappa_0^b)^* \\ -a(0)\langle b, \cdot \rangle_{\mathscr{H}(b)} \end{pmatrix} \quad \mathbf{D} := \begin{pmatrix} b(0) \\ a(0) \end{pmatrix}.$$

#### Theorem (Jury–M.)

Given any  $b \in [\mathbb{H}_d^{\infty}]_1$ , let  $a \in [\mathbb{H}_d^{\infty}]_1$  be its NC Sarason function.

- (i) If b is non-CE then a is outer,  $a(R)^*a(R)$  is the maximal factorizable minorant of  $I b(R)^*b(R)$ .
- (ii)  $c := \begin{pmatrix} b \\ a \end{pmatrix}$  is column-extreme.
- (iii) c is inner if and only if b is non-CE and

$$\sum_{|\omega|=n} \|L^{*\omega}b^{t}\|_{\mathscr{H}^{t}(b)}^{2} \to 0 \qquad \text{weak purity}^{(*)}. \tag{1}$$

(iv) b is inner if and only if it is CE and (1) holds.

$$\begin{array}{l} {}^{(*)} \ \ \mathcal{T}: \mathfrak{H}\otimes \mathbb{C}^d \to \mathfrak{H} \ \text{is pure if} \ \sum_{|\omega|=n} \|\mathcal{T}^{*\omega}h\|_{\mathcal{H}}^2 \to 0 \ \text{for any} \ h\in \mathcal{H}. \\ \\ X^* = (L^*|_{\mathscr{H}^{\mathrm{t}}(b)})^* \ \text{pure} \Leftrightarrow b \ \text{inner} \ (\mathsf{Ball-Bolotnikov-Fang}). \end{array}$$

### Corollary (Jury–M.)

If  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$  is NC rational, then  $\mathfrak{b}$  is either non-CE or inner.

If b is non-CE, then the Sarason a is NC rational and  $c := \begin{pmatrix} b \\ a \end{pmatrix}$  is inner.

▶ skip Proof

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#### Proof idea:

If  $\mathfrak{b} \in \mathbb{H}_d^{\infty}$  is NC rational, so is  $\mathfrak{b}^t = K\{Z, y, v\}$ .

$$\mathscr{M} := \bigvee_{\omega \neq \emptyset} L^{*\omega} \mathfrak{b}^{\mathrm{t}} = \bigvee_{\omega \neq \emptyset} K\{Z, Z^{*\omega}y, v\} \subseteq \mathscr{H}^{\mathrm{t}}(\mathfrak{b}).$$

'Restrict' the de Branges-Rovnyak realization of  $\mathfrak b$  to  $\mathscr M$ :

$$\begin{aligned} \mathfrak{A} &:= L^*|_{\mathscr{M}}, \quad \mathfrak{B} = B = L^* \mathfrak{b}^{\mathrm{t}}, \quad \mathfrak{C} = (P_{\mathscr{M}} K_0^{\mathfrak{b}})^* \quad \text{and} \quad \mathfrak{D} = D = \mathfrak{b}(0), \\ \mathfrak{b}(Z) &= \mathfrak{D} \cdot I_n + I_n \otimes \mathfrak{C} (I_n \otimes I - \sum Z_j \otimes \mathfrak{A}_j)^{-1} \sum Z_j \otimes \mathfrak{B}_j. \end{aligned}$$

Restricting the realization for Sarason function  $\mathfrak{a}$  gives a finite-dimensional Fornasini-Marchesini (FM) realization for  $\mathfrak{a}$ .  $\Rightarrow \mathfrak{a}$  is also NC rational.

#### Corollary (Jury–M.)

If  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$  is NC rational, then  $\mathfrak{b}$  is either non-CE or inner. If  $\mathfrak{b}$  is non-CE, then the Sarason  $\mathfrak{a}$  is NC rational and  $\mathfrak{c} := \begin{pmatrix} \mathfrak{b} \\ \mathfrak{a} \end{pmatrix}$  is inner.

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'Restrict' the de Branges-Rovnyak realization of  $\mathfrak b$  to  $\mathcal M$ :

 $\mathfrak{A}:=L^*|_{\mathscr{M}},\quad \mathfrak{B}=B=L^*\mathfrak{b}^{\mathrm{t}},\quad \mathfrak{C}=(P_{\mathscr{M}}K_0^\mathfrak{b})^*\quad\text{and}\quad \mathfrak{D}=D=\mathfrak{b}(0).$ 

If (A, b, c) is a minimal descriptor realization  $spr(A) < 1 \Rightarrow spr(\mathfrak{A}) < 1$ .

 $\Rightarrow$  the weak purity condition (1) of the previous theorem holds.

#### Theorem (Fejér-Riesz)

Let  $\operatorname{Re} p(S) \ge 0$ ,  $p \in \mathbb{C}[z]$ , 'positive trigonometric polynomial'. Then  $\operatorname{Re} p(S) = q(S)^*q(S)$  for some  $q \in \mathbb{C}[z]$ .

Here,  $S := M_z : H^2 \to H^2$ , the *shift*.

Theorem (NC Fejér–Riesz. Popescu, McCullough)

Suppose  $p \in \mathbb{C} \{\mathfrak{z}_1, \cdots, \mathfrak{z}_d\}$  and  $\operatorname{Re} p(R) \ge 0$ . Then there is a free polynomial q so that  $\operatorname{Re} p(R) = q(R)^*q(R)$ .

#### Theorem (NC rational Fejér–Riesz)

Let  $T := \operatorname{Re} \tilde{\mathfrak{r}}(R) \ge 0$ , where  $\tilde{\mathfrak{r}} \in \mathbb{H}_d^{\infty}$  is NC rational. Then T factors as  $T = \mathfrak{r}(R)^*\mathfrak{r}(R)$  for some NC rational outer  $\mathfrak{r} \in \mathbb{H}_d^{\infty}$ .

▶ skip Proof

#### Proof idea:

Classically, given |f| > 0,  $|f| \in L^{\infty}$ , consider  $\mu := |f| \cdot m$ , m =Lebesgue.

$$\mu = \mu_b, \ b \in [H^{\infty}]_1 \ \Rightarrow \ |f(\zeta)| = \frac{\mu_b(d\zeta)}{m(d\zeta)} = \frac{1 - |b(\zeta)|^2}{|1 - b(\zeta)|^2} \quad a.e.$$
 (Fatou)

Let  $\mu_{\mathcal{T}}(L^{\omega}) := \langle 1, \mathcal{T}L^{\omega}1 \rangle_{\mathbb{H}^2}, \mu_{\mathcal{T}} \in (\mathscr{A}_d^{\dagger})_+$  with 'NC Radon–Nikodym derivative'  $\mathcal{T} = \operatorname{Re} \widetilde{\mathfrak{r}}(R) \geq 0.$ 

By the NC Fatou Theorem (Jury-M.), if  $\mu_T = \mu_{\mathfrak{b}}$ ,

$$\operatorname{Re}\widetilde{\mathfrak{r}}(R) = (I - \mathfrak{b}(R)^*)^{-1}(I - \mathfrak{b}(R)^*\mathfrak{b}(R))(I - \mathfrak{b}(R))^{-1},$$

for some NC rational  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1 \Rightarrow I - \mathfrak{b}(R)^* \mathfrak{b}(R) = \mathfrak{a}(R)^* \mathfrak{a}(R).$ 

d=1

If  $\mathfrak{r}(z)=rac{p(z)}{q(z)}\in H^\infty$ , then

 $q(S)^* \mathrm{Re}\,\mathfrak{r}(S) q(S) = p(S)^* q(S) + q(S)^* p(S) = \mathrm{Re}\,\widetilde{q}(S) \ge 0, \qquad \widetilde{q} \in \mathbb{C}[z].$ 

In one variable the rational Fejér-Riesz theorem is trivial.

 $\Rightarrow$  any rational  $\mathfrak{b} \in [H^{\infty}]_1$  is either inner or not an extreme point.

#### Theorem (M.)

Given NC rational  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$ , the following are equivalent:

0 b is inner.

**2**  $\Pi_{\mu_b}$  is a Cuntz (onto) row isometry (of dilation-type, Kennedy).

•  $T_{\mu} = (\Pi^*_{\mu}|_{\mathscr{H}_{\mu}})^*$ ,  $\mu = \mu_{\mathfrak{b}}$ , is a finite row co-isometry.

•  $\mu_{\mathfrak{b}}$  is a singular NC measure (Jury–M.)

#### d = 1

 $b \in H^{\infty}$  is rational inner  $\Rightarrow b$  is a finite Blaschke product:

$$b(z) = z^n \prod_{k=1}^N rac{z-z_k}{1-\overline{z_k}z}; \qquad z_k \in \mathbb{D}.$$

 $\mu_b$  is a finite, positive sum of point masses on  $\partial \mathbb{D}$ .  $\Rightarrow \mu_b$  is singular and  $M_{\zeta}|_{H^2(\mu_b)}$  is unitary.

#### Theorem (M.–Shamovich)

An NC rational function  $\mathfrak{b}$  with  $0 \in \text{Dom }\mathfrak{b}$  belongs to  $[\mathbb{H}_d^{\infty}]_1$  if and only it has a minimal Fornasini–Marchesini realization  $U = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ :

$$\mathfrak{b}(Z) = D \cdot I_n + I_n \otimes C(I_n \otimes I - \sum Z_j \otimes A_j)^{-1} \sum Z_j \otimes B_j.$$

Every NC rational  $\mathfrak{b}$  with  $0 \in \text{Dom } \mathfrak{b}$  has a minimal FM realization.

minimal FM realizations  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \leftrightarrow$  minimal descriptor (A', b, c).

#### Theorem (M.–Shamovich)

An NC rational function  $\mathfrak{b}$  with  $0 \in \text{Dom }\mathfrak{b}$  belongs to  $[\mathbb{H}_d^{\infty}]_1$  if and only it has a minimal Fornasini–Marchesini realization  $U = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ :

$$U:=egin{pmatrix} T_0^*&(1-\overline{\mathfrak{b}(0)})\,\mathcal{T}^*x\ (1-\mathfrak{b}(0))\langle \mathcal{P}_{\mathcal{H}_0}x,\cdot
angle_{\mathcal{H}_0}&\mathfrak{b}(0) \end{pmatrix},$$

*T* is a finite row contraction on  $\mathcal{H}$ ,  $x \in \mathcal{H}$  is cyclic for  $T^*$  and its minimal row isometric dilation, *V*,

$$T_0^* := \left. T^* \left( I - (1 - \overline{\mathfrak{b}(0)}) \langle x, \cdot \rangle x \right) \right|_{\mathcal{H}_0}, \quad \mathcal{H}_0 := \bigvee_{\omega \neq \emptyset} T^{*\omega} x$$
  
and  $\mathfrak{b}(0) = \frac{\|x\|^2 + it - 1}{\|x\|^2 + it + 1}, \quad t \in \mathbb{R}.$ 

 $\mathfrak{b}$  is inner if and only if T is also a row co-isometry.

### An example

$$\mathcal{T}_1 := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad \text{and} \quad \mathcal{T}_2 := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix},$$

T is an irreducible row co-isometry, every  $x \in \mathbb{C}^2$  is cyclic for  $V_T$  and  $T^*$ .

Consider 
$$x = \mathbf{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
. Then,  
 $T_{0;1}^* = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \mathbf{0}_2, \quad T_{0;2}^* = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$   
 $\mathfrak{b}_{T,x}(Z) = (I, 0) \begin{pmatrix} I & Z_2 \\ 0 & I \end{pmatrix} \begin{pmatrix} 0 \\ Z_1 \end{pmatrix}$   
 $= Z_2 Z_1.$ 

This is clearly inner. Taking  $x = \mathbf{e}_2$  gives  $Z_1 Z_2$ .

$$\begin{aligned} x &= \sqrt{2}^{-1} \begin{pmatrix} 1 \\ 1 \end{pmatrix}. \text{ In this case, } \begin{pmatrix} I - Z \otimes T_0^* \end{pmatrix}^{-1} \\ &= \begin{pmatrix} S^{-1} & S^{-1} \frac{Z_2}{2} \begin{pmatrix} I + \frac{Z_1}{2} \end{pmatrix}^{-1} \\ \begin{pmatrix} I + \frac{Z_1}{2} \end{pmatrix}^{-1} \frac{Z_1}{2} S^{-1} & \begin{pmatrix} I + \frac{Z_1}{2} \end{pmatrix}^{-1} + \frac{1}{4} \begin{pmatrix} I + \frac{Z_1}{2} \end{pmatrix}^{-1} Z_1 S^{-1} Z_2 \begin{pmatrix} I + \frac{Z_1}{2} \end{pmatrix}^{-1} \end{pmatrix}, \end{aligned}$$

where

$$S := I - \frac{1}{4}Z_2 \left(I + \frac{Z_1}{2}\right)^{-1}Z_1.$$

$$\Rightarrow \mathfrak{b}_{\mathcal{T},x}(Z) = \frac{1}{2} \begin{pmatrix} I, & I \end{pmatrix} (I - Z \otimes T_0^*)^{-1} \begin{pmatrix} Z_2 \\ Z_1 \end{pmatrix}.$$

This must be an NC rational inner.

### Mutual singularity of Clark measures

Given any  $\alpha \in \partial \mathbb{D}$ , let  $\mu_{\alpha} := \mu_{b\overline{\alpha}}$  NC Clark measures,  $b \in [H^{\infty}]_1$ .

Theorem (Aronszajn–Donoghue)

The singular parts of the Clark family  $\{\mu_{\alpha}\}$  are mutually singular.

Let  $U_{\alpha} := M_{\zeta}$  on  $L^2(\mu_{\alpha;s}) = H^2(\mu_{\alpha;s})$  unitary.  $\mu_{\alpha;s} \perp \mu_{\beta;s} \Leftrightarrow U_{\alpha} \perp U_{\beta}$ , *i.e.* have no unitarily equivalent restrictions to reducing subspaces.

Theorem (NC rational Aronszajn–Donoghue, M.–Shamovich) Let  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$  be NC rational. Then for any  $\alpha \neq \beta \in \partial \mathbb{D}$ ,  $\Pi_{\mu_{\alpha;s}} \perp \Pi_{\mu_{\beta;s}}$ .

# Thank you!

### Decomposition of row isometries

 $\Pi : \mathfrak{H} \otimes \mathbb{C}^d \to \mathfrak{H}$  row isometry,  $\mathscr{S}(\Pi) := \operatorname{Alg}\{I, \Pi\}^{-WOT}$  free semigroup algebra.

Kennedy-Lebesgue-von Neumann-Wold decomposition

 $\Pi = \Pi_L \oplus \Pi_{C-L} \oplus \Pi_{dil} \oplus \Pi_{vN}.$ 

Each of  $\Pi_{C-L}$ ,  $\Pi_{dil}$ ,  $\Pi_{vN}$  is Cuntz (surjective).

•  $\Pi_L$  is *pure*, *i.e.* unitarily equivalent to  $L \otimes I$ .

• 
$$\mathscr{S}(\Pi_{C-L}) \simeq \mathscr{S}(L)$$
 Cuntz type-L

- $\mathscr{S}(\Pi_{vN})$  is self-adjoint von Neumann type.
- $\Pi_{dil}$  no direct summand of previous types.

$$\Pi_{dil} \simeq egin{pmatrix} L \otimes I & * \ & T \end{pmatrix}; \qquad T ext{ row co-isometry.}$$

### Theorem (M.)

If  $\mathfrak{b} \in [\mathbb{H}_d^{\infty}]_1$  is NC rational with finitely–correlated NC Clark measure  $\mu = \mu_{\mathfrak{b}}$  then

$$\Pi_{\mu} = \underbrace{\Pi_{\mu;L}}_{\simeq L} \oplus \Pi_{\mu;dil}.$$

# NC reproducing kernel Hilbert space

Let 
$$\Omega = \bigsqcup_{n=1}^{\infty} \Omega_n \subseteq \mathbb{C}^d_{\mathbb{N}}$$
 be an NC set (closed under  $\oplus$ ),  $\Omega_n = \Omega \cap \mathbb{C}^d_n$ .

### NC-RKHS

A Hilbert space,  $\mathcal{H}$ , of NC functions on  $\Omega$  is an NC reproducing kernel Hilbert space if for any  $Z, y, v \in \Omega_n \times \mathbb{C}^n \times \mathbb{C}^n$ ,

$$f \stackrel{\ell_{Z,y,v}}{\mapsto} y^*f(Z)v,$$

is bounded on  $\ensuremath{\mathcal{H}}.$ 

 $\ell_{Z,y,v}(f) = \langle K\{Z,y,v\}, f \rangle_{\mathbb{H}^2}, \quad K\{Z,y,v\} \in \mathfrak{H}.$ 

# NC Szegö kernel

Given 
$$Z \in \mathbb{B}_n^d$$
,  $W \in \mathbb{B}_m^d$  and  $P \in \mathbb{C}^{n \times m}$ ,  
 $\operatorname{Ad}_{Z,W^*}[P] := Z_1 P W_1^* + \cdots Z_d P W_d^*$ .  
 $\mathbb{H}_d^2 = \mathcal{H}_{nc}(K)$ ,  
 $K(Z, W)[P] = (\operatorname{id}_{n \times m} - \operatorname{Ad}_{Z,W^*})^{-1} \circ P$   
 $= \sum_{k=0}^{\infty} \operatorname{Ad}_{Z,W^*}^{(k)}[P]$ ,  
 $= \sum_{k=0}^{\infty} Z^{\omega} P W^{*\omega}$ .

Compare:

$$k(z,w) := \frac{1}{1-z\overline{w}}, z, w \in \mathbb{D} = (\mathbb{C})_1.$$
 Szegö kernel for  $H^2 = \mathfrak{H}(k)$ .

# NC reproducing kernel

Completely positive NC kernel For  $Z \in \Omega_n$ ,  $W \in \Omega_m$ ,  $K(Z, W)[\cdot] : \mathbb{C}^{n \times m} \to \mathbb{C}^{n \times m}$  completely bounded,  $y^*K(Z, W)[vu^*]x := \langle K\{Z, y, v\}, K\{W, x, u\} \rangle_{\mathcal{H}}; \quad y, v \in \mathbb{C}^n, \ x, u \in \mathbb{C}^m.$  $K(Z, Z)[\cdot] : \mathbb{C}^{n \times n} \to \mathbb{C}^{n \times n}$  is completely positive.  $\mathcal{H} =: \mathcal{H}_{nc}(K).$ 

Theorem (Ball–Marx–Vinnikov)

CPNC kernels  $K \leftrightarrow NC$ -RKHS  $\mathcal{H}_{nc}(K)$ .

### Fornasini-Marchesini realizations

Any NC rational  $\mathfrak{r}$ ,  $0 \in \text{Dom }\mathfrak{r}$ , has an *FM realization* (A, B, C, D),  $A \in \mathbb{C}^d_N$ ,  $B \in \mathbb{C}^N \otimes \mathbb{C}^d$ ,  $C \in \mathbb{C}^{1 \times N}$ , and  $D = \mathfrak{r}(0) \in \mathbb{C}$ : Given  $Z \in \mathbb{C}^d_n$ ,

$$\mathfrak{r}(Z) = D + C(\underbrace{I_n \otimes I_N - \sum_{i=L_A(Z)} Z_i \otimes A_j})^{-1} \sum_{i=L_A(Z)} Z_k \otimes B_k.$$

#### Minimal FM realizations

An FM realization is *minimal* if N is as small as possible. Given minimal (A, b, c) for  $\mathfrak{r}$ ,

$$A':=A|_{igvee_{\omega
eq \emptyset}A^{\omega}c}, \quad B':=igl( egin{array}{c} A_1c\dots\ A_dc \end{pmatrix}, \quad C':=b^* \quad ext{and} \quad D:=\mathfrak{r}(0),$$

is a minimal FM realization.

Weak purity condition  $\Rightarrow$  inner (d = 1)Given  $b \in [H^{\infty}]_1$ ,  $X := S^*|_{\mathscr{H}(b)}$ , b is extreme if and only if

 $X^*X = I - K_0^b(K_0^b)^*, \qquad K_0^b = \text{point evaluation at 0.}$ 

Equivalently  $\|S^*b\|_{\mathscr{H}(b)}^2 = 1 - |b(0)|^2$ . Weak purity:  $\|X^nS^*b\|_{\mathscr{H}(b)}^2 \to 0$ 

$$\begin{split} \|X^{2}b\|^{2} &= \langle S^{*}b, X^{*}XS^{*}b\rangle_{b} \\ &= \langle S^{*}b, S^{*}b\rangle_{b} - |\langle K_{0}^{b}, S^{*}b\rangle|^{2} = 1 - |\hat{b}_{1}|^{2} - |b(0)|^{2} \\ \|X^{n}S^{*}b\|^{2} &= 1 - \sum_{j=0}^{n} |\hat{b}_{j}|^{2} \to 0 \quad \Rightarrow \|b\|_{H^{2}} = 1. \end{split}$$

Fact: A contractive  $b \in H^{\infty}$  has unit  $H^2$ -norm if and only if it is inner (Davidson-Pitts).