

# Absolutely summing composition operators on Bloch spaces.

Pascal Lefèvre

Workshop on Discrete and Continuous Semigroups of Composition Operators  
*Fields Institute's Focus Program on Analytic Function Spaces and their Applications*

november 2021

*Work in collaboration with Tonie Farès*



# Framework

## Notations:

- $\mathbb{T} = \{z \in \mathbb{C} \mid |z| = 1\} = \partial\mathbb{D}$
- A normalized area measure on  $\mathbb{D}$ .

Theme: No surprise! We shall focus on composition operators...

Given a **symbol** :  $\varphi : \mathbb{D} \longrightarrow \mathbb{D}$  analytic

and **a space of analytic functions  $X$  on  $\mathbb{D}$**

The composition operator  $C_\varphi$  is

$$f \in X \longmapsto C_\varphi(f) = f \circ \varphi \quad (\in X ?) \quad (\in Y ?)$$

# Composition operators

A few natural questions:

- When  $C_\varphi$  is bounded ?
- When  $C_\varphi$  is compact ?
- More generally understand the link: “Operator  $C_\varphi$ ”  $\overset{??}{\longleftrightarrow}$  “symbol  $\varphi$ ”

So that the aim of this area is to build a bridge (or a dictionary) between

**Operator theory** and **Function theory**.

The new results will concern nuclear and absolutely summing operators on Bloch spaces...

# Bloch-type spaces on the unit disk

We shall focus on Bloch type spaces

Let  $\beta > 0$ ,

- $\mathcal{B}^\beta = \left\{ f \in \mathcal{H}(\mathbb{D}) \mid \sup_{z \in \mathbb{D}} (1 - |z|^2)^\beta |f'(z)| < \infty \right\}$

and

$$\|f\|_{\mathcal{B}^\beta} = |f(0)| + \sup_{z \in \mathbb{D}} (1 - |z|^2)^\beta |f'(z)|$$

- $\mathcal{B}_0^\beta = \left\{ f \in \mathcal{H}(\mathbb{D}) \mid \lim_{|z| \rightarrow 1} (1 - |z|^2)^\beta |f'(z)| = 0 \right\}$

The **Little Bloch space**  $\mathcal{B}_0^\beta$  is a closed subspace of  $\mathcal{B}^\beta$ .

When  $\beta = 1$ , we recover classical Bloch spaces  $\mathcal{B}$  and  $\mathcal{B}_0$ .

$$\mathcal{B}^\beta \text{ and } \mathcal{B}_0^\beta \text{ are Banach spaces and } \gamma > \beta \implies \mathcal{B}_0^\beta \subset \mathcal{B}_0^\gamma$$

## Basic properties...

- $H^\infty = \{f \in \mathcal{H}(\mathbb{D}); \|f\|_\infty = \sup_{z \in \mathbb{D}} |f(z)| < +\infty\}$

When  $\beta \geq 1$ :  $H^\infty \subset \mathcal{B} \subset \mathcal{B}^\beta$ .

In fact, it is a consequence of the Schwarz-Pick lemma:  
since, for  $f \in H^\infty(\mathbb{D})$  with  $\|f\|_\infty \leq 1$ ,

$$\forall z \in \mathbb{D}, \quad (1 - |z|^2)^\beta |f'(z)| \leq (1 - |z|^2) |f'(z)| \leq 1 - |f(z)|^2 \leq 1.$$

Actually  $H^\infty \not\subset \mathcal{B}^\beta$ : the function  $f(z) = \log(1 - z)$  belongs to  $\mathcal{B}$  but not to  $H^\infty$ .

When  $\beta < 1$ :  $\mathcal{B}^\beta \subset A(\mathbb{D}) \subset H^\infty$ .

## Basic properties...

## Duality

$(\mathcal{B}_0^\beta)^*$  is isomorphic to  $\mathcal{A}^1$  and  $(\mathcal{A}^1)^*$  is isomorphic to  $\mathcal{B}^\beta$

where  $\mathcal{A}^1 = \mathcal{H}(\mathbb{D}) \cap L^1(\mathbb{D}, dA)$  is the classical Bergman space.

## Isomorphism

$\mathcal{B}^\beta$  is isomorphic to  $\ell^\infty$  and  $\mathcal{B}_0^\beta$  is isomorphic to  $c_0$ .

## Boundedness on Bloch spaces

The boundedness of any composition operators viewed on (classical) Bloch spaces is clear by the Schwarz-Pick inequality. Indeed

$$\forall z \in \mathbb{D}, \quad (1 - |z|^2) |(f \circ \varphi)'(z)| = \frac{(1 - |z|^2) |\varphi'(z)|}{1 - |\varphi(z)|^2} \cdot (1 - |\varphi(z)|^2) |f'(\varphi(z))|$$

More generally,

**Theorem (Contreras-Hernàndez Díaz '00, Xiao '01,...)**

Let  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  be analytic and let  $\mu, \beta \in (0, \infty)$ . Then

$C_\varphi : \mathcal{B}^\mu \rightarrow \mathcal{B}^\beta$  is **bounded** if and only if  $\sup_{z \in \mathbb{D}} \frac{(1 - |z|^2)^\beta}{(1 - |\varphi(z)|^2)^\mu} |\varphi'(z)| < \infty$

$C_\varphi : \mathcal{B}_0^\mu \rightarrow \mathcal{B}_0^\beta$  is **bounded** if and only if  $\varphi \in \mathcal{B}_0^\beta$  and  $\sup_{z \in \mathbb{D}} \frac{(1 - |z|^2)^\beta}{(1 - |\varphi(z)|^2)^\mu} |\varphi'(z)| < \infty$

# Compactness

The characterization of the compactness of composition operators on (classical) Bloch spaces was settled by *Madigan-Matheson* ('95).

More generally,

**Theorem (Contreras-Hernàndez Díaz '00, Xiao '01,...)**

$C_\varphi : \mathcal{B}^\mu \longrightarrow \mathcal{B}^\beta$  is **compact** if and only if  $\varphi \in \mathcal{B}^\beta$  and

$$\lim_{r \rightarrow 1^-} \sup_{|\varphi(z)| > r} \frac{(1 - |z|^2)^\beta}{(1 - |\varphi(z)|^2)^\mu} |\varphi'(z)| = 0.$$

$C_\varphi : \mathcal{B}_0^\mu \longrightarrow \mathcal{B}_0^\beta$  is **compact** if and only if  $\lim_{|z| \rightarrow 1^-} \frac{(1 - |z|^2)^\beta}{(1 - |\varphi(z)|^2)^\mu} |\varphi'(z)| = 0.$

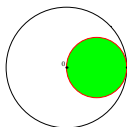


# Compactness - examples

- ① For  $\beta > 0$  and every symbol  $\varphi$  such that  $\varphi \in \mathcal{B}^\beta$  and  $\|\varphi\|_\infty < 1$ , the operator  $C_\varphi$  is compact on  $\mathcal{B}^\beta$ . (and even **nuclear**)

¿ Are there examples of **compact** composition operator  $C_\varphi$  with  $\overline{\varphi(\mathbb{D})} \cap \mathbb{T} \neq \emptyset$  ?  
Especially in the case of the classical Bloch space  $\mathcal{B}$  ?

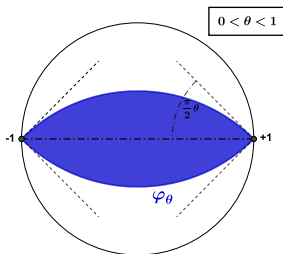
- ② Let  $\varphi(z) = \frac{z+1}{2}$



Then  $C_\varphi : \mathcal{B}^\beta \rightarrow \mathcal{B}^\beta$  is not compact for  $\beta > 0$ .

# The lens map

- ③ Let  $\varphi_\theta(z) = \frac{\kappa(z)^\theta - 1}{\kappa(z)^\theta + 1}$  be the **lens map**, where  $\kappa(z) = \frac{1+z}{1-z}$ .



$$z \in \mathbb{D} \xrightarrow{\kappa} \kappa(z) \xrightarrow{z^\theta} (\kappa(z))^\theta \xrightarrow{\kappa^{-1}} \varphi_\theta(z)$$

(Farès-L.)

- For  $0 < \beta < 1$ ,  $C_{\varphi_\theta} : \mathcal{B}^\beta \rightarrow \mathcal{B}^\beta$  is not bounded (!)
- For  $\beta = 1$ ,  $C_{\varphi_\theta} : \mathcal{B} \rightarrow \mathcal{B}$  is bounded but not compact.
- For  $\beta > 1$ ,  $C_{\varphi_\theta} : \mathcal{B}^\beta \rightarrow \mathcal{B}^\beta$  is compact.

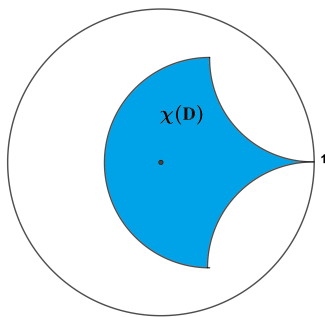
# The cusp map

Let  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  be an analytic univalent map. Assumed that  $\overline{\varphi(\mathbb{D})} \cap \mathbb{T} = \{1\}$ , the region  $\varphi(\mathbb{D})$  is said to have a **nontangential cusp** at 1 if

$$\text{dist}(w, \partial\varphi(\mathbb{D})) = o(|1 - w|) \quad \text{as } w \rightarrow 1 \text{ in } \varphi(\mathbb{D}).$$

$\varphi(\mathbb{D})$  lies inside a Stolz angle if there exist  $r, M > 0$  such that

$$|1 - w| \leq M(1 - |w|^2), \quad \text{if } |1 - w| < r, \quad w \in \varphi(\mathbb{D}).$$



## Symbols touching the boundary.

(Madigan and Matheson '95)

- If  $\varphi$  is univalent and if  $\varphi(\mathbb{D})$  has a nontangential cusp at 1 and touches the unit circle at no other point, then  $C_\varphi$  is a compact operator on  $\mathcal{B}_0$ .

Therefore

- The cusp map is a symbol  $\chi$  such that  $\chi(\mathbb{D})$  touches the unit circle and defines a compact composition operator  $C_\chi$  on  $\mathcal{B}$ .

A (maybe more) striking example:

(Smith '98)

There exists an inner function (a Blaschke product)  $\varphi$  such that  $C_\varphi$  is a compact composition operator on  $\mathcal{B}$ .

# Nuclear

A natural way to construct a (compact) operator between arbitrary Banach spaces is to consider an  $\ell^1$ -sum of rank 1 operators.

## Definition

A linear operator  $T : X \rightarrow Y$  is said to be **nuclear** when there exist a sequence  $(x_n^*) \subset X^*$  and a sequence  $(y_n) \subset Y$  such that  $\sum_n \|x_n^*\| \|y_n\| < \infty$  and

$$T = \sum_{n=1}^{\infty} x_n^* \otimes y_n,$$

where  $x_n^* \otimes y_n(x) = x_n^*(x)y_n$ .

Indeed, such operators are compact.

# Absolutely summing

Another well known operator ideal is the class of  $p$ -summing operators.

## Definition

An operator  $T : X \rightarrow Y$  is  **$p$ -absolutely summing**,  $1 \leq p < +\infty$  if there exists a constant  $C < \infty$  such that for all finite sequences  $(x_j)_{j=1}^n \subset X$  we have

$$\left( \sum_{j=1}^n \|Tx_j\|_Y^p \right)^{1/p} \leq C \sup_{\|x^*\| \leq 1} \left( \sum_{j=1}^n |x^*(x_j)|^p \right)^{1/p} = C \sup_{a \in B_{\ell^{p'}}} \left\| \sum_{j=1}^n a_j x_j \right\|_X.$$

Generic example:  $K$  a compact space,  $\nu$  a Borel probability measure on  $K$ .

$$j_p : \begin{cases} C(K) & \longrightarrow & L^p(K, \nu) \\ f & \longmapsto & f \end{cases}$$

Indeed, for  $(f_j)_{j=1}^N \in C(K)$

$$\left( \sum_{j=1}^N \|j_p(f_j)\|_{L^p(\nu)}^p \right)^{1/p} = \left( \int_K \sum_{j=1}^N |f_j|^p d\nu \right)^{1/p} \leq \left( \sup_{w \in K} \sum_{j=1}^N |f_j(w)|^p \right)^{1/p}$$

*Up to restrictions/compositions, it remains  $p$ -summing...*

# Absolutely summing

$T : X \rightarrow Y$  is 1-summing means that

$$\sum \pm x_n \text{ converges} \implies \sum \|T(x_n)\| < \infty$$

Observe that:

nuclear implies 1-summing

1-summing **does not** imply compact in general

**BUT**

When  $X = c_0$ , then 1-summing implies nuclear (hence compact).

When  $X = \ell^\infty$ , then 1-summing implies nuclear (hence compact).

## Absolutely summing

It is easy to see that

- When  $1 \leq p < q < \infty$ ,  $T$  is  $p$ -summing  $\implies T$  is  $q$ -summing.

### Pietsch domination theorem

An operator  $T : X \rightarrow Y$  is  $p$ -absolutely summing if and only if there exist a constant  $C$  and a Borel probability measure  $\nu$  on  $(B_{X^*}, \sigma(X^*, X))$  such that

$$\|T(x)\| \leq C \left( \int_{B_{X^*}} |\langle x^*, x \rangle|^p d\nu(x^*) \right)^{1/p}, \quad \forall x \in X.$$

This can be seen as a **factorization** result:

$$i_X(x)(x^*) = x^*(x)$$

$$X \xleftarrow{i_X} \tilde{X} \subset C(B_{X^*}) \xrightarrow{j_p} \tilde{X}_p \subset L^p(B_{X^*}, \nu) \xrightarrow{\tilde{T}} Y$$

$$\tilde{T}(i_X(x)) = T(x).$$



# Absolutely summing composition operator on Bloch spaces

We have a complete characterization (Farès-L. '20)

Let  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  be analytic,  $\mu, \beta > 0$  and  $p \geq 1$ .

The following assertions are equivalent.

- ❶ The composition operator  $C_\varphi : \mathcal{B}^\mu \rightarrow \mathcal{B}^\beta$  is  $p$ -summing.
- ❷ The composition operator  $C_\varphi : \mathcal{B}_0^\mu \rightarrow \mathcal{B}^\beta$  is  $p$ -summing.

$$\text{❸ } \int_{\mathbb{D}} \sup_{z \in \mathbb{D}} \left( \frac{(1 - |w|^2)}{|1 - \bar{w}\varphi(z)|} \right)^{2p} \left( \frac{(1 - |z|^2)^\beta |\varphi'(z)|}{|1 - \bar{w}\varphi(z)|^\mu} \right)^p \frac{dA(w)}{(1 - |w|^2)^2} < +\infty.$$

Moreover, when  $\varphi \in \mathcal{B}_0^\beta$ , the preceding assertions are also equivalent to

- ❹ The composition operator  $C_\varphi : \mathcal{B}_0^\mu \rightarrow \mathcal{B}_0^\beta$  is  $p$ -summing.

The case  $p = 1$  gives a characterization of **nuclear** composition operators on Bloch spaces and extends Farès-L '19 ( $p = \mu = \beta = 1$ ).

# Absolutely summing

Sketch of proof: *how to get the condition...*

Use Pietsch theorem: for some probability measure  $\nu$  on  $(B_{(\mathcal{B}_0^\mu)^*}, \sigma((\mathcal{B}_0^\mu)^*, \mathcal{B}_0^\mu))$ ,

$$\|C_\varphi(f)\|_{\mathcal{B}^\beta}^p \leq \pi_p^p(C_\varphi) \int_{B_{(\mathcal{B}_0^\mu)^*}} |\xi(f)|^p d\nu(\xi) \quad \text{for every } f \in \mathcal{B}_0^\mu$$

There exists  $\alpha \geq 1$  satisfying: for every  $\xi \in B_{(\mathcal{B}_0^\mu)^*}$ , there exists  $h \in \alpha B_{\mathcal{A}^1}$  such that

$$\xi(f) = \langle h, f \rangle \quad \text{for any } f \in \mathcal{B}_0^\mu.$$

Apply this with  $f_w(z) = \frac{(1 - |w|^2)^{2/p'}}{(1 - \bar{w}z)^{1+\mu}} \in \mathcal{B}_0^\mu \cap H^\infty$ :

$$|\xi(f_w)|^p = (1 - |w|^2)^{2(p-1)} |h(w)|^p \leq |h(w)| \cdot \|h\|_{\mathcal{A}^1}^{(p-1)}.$$

Then integrating over  $\mathbb{D}$

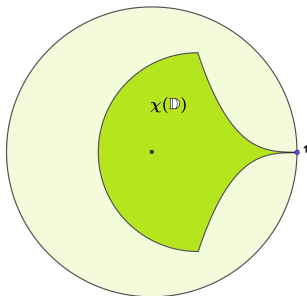
$$\int_{\mathbb{D}} \sup_{z \in \mathbb{D}} \frac{|w|^p (1 - |w|^2)^{2(p-1)} (1 - |z|^2)^{\beta p} |\varphi'(z)|^p}{|1 - \bar{w}\varphi(z)|^{(2+\mu)p}} dA(w) \lesssim \pi_p^p(C_\varphi) \sup_{h \in \alpha B_{\mathcal{A}^1}} \|h\|_{\mathcal{A}^1}^p.$$

## Some other examples

Using our characterization, we can produce some examples with particular behavior:

There exists a symbol  $\varphi$  such that  $\varphi(\mathbb{D})$  touches the unit circle and  $C_\varphi$  defines a **nuclear** operator on  $\mathcal{B}$ .

Taking a cusp map  $\varphi$  more flattened than the one of Madigan and Matheson, satisfying:  $dist(z, \partial\varphi(\mathbb{D})) = O(|1 - z|^3)$ , for every  $z \in \varphi(\mathbb{D})$



## Some other examples

Another striking example:

Let  $\beta \geq 1$ .

- There exists a symbol  $\phi$  which is **inner** (a Blaschke product) such that  $C_\phi$  is **nuclear** on  $\mathcal{B}^\beta$ .
- This is impossible when  $\beta < 1$ .

This a direct consequence from our characterization and a result due to Aleksandrov-Anderson-Nicolau ('99):

there are some Blaschke product  $\phi$  satisfying

$$\forall z \in \mathbb{D}, \quad (1 - |z|^2)|\phi'(z)| \leq (1 - |\phi(z)|^2)^3.$$

## Some other examples

There exists a compact composition operator on  $\mathcal{B}$  which is not  $p$ -summing for any  $p \geq 1$ .

We consider a cusp map  $\Phi$  such that its domain  $\Phi(\mathbb{D})$  is bounded by some convex curves of type  $\gamma_1(t) = (1 - t, \frac{t}{\theta(t)})$  and  $\gamma_2(t) = (1 - t, -\frac{t}{\theta(t)})$ , for  $t$  in a neighborhood of 0 and  $\theta : (0, 1) \rightarrow (0, +\infty)$ , such that  $\theta(t)$  tends to infinity when  $t$  tends to 0:

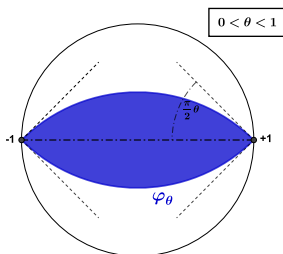
For any  $p \geq 1$ ,

$$\int_0^{1/2} \frac{1}{s\theta(s)^{p+1}} ds = \infty,$$

For a concrete example, just choose  $\theta(t) = \ln(\ln(\frac{1}{t}))$ , for  $t < e^{-1}$ .

# Lens map semi-group

Recall



$$z \in \mathbb{D} \xrightarrow{\kappa} \kappa(z) \xrightarrow{Z^\theta} (\kappa(z))^\theta \xrightarrow{\kappa^{-1}} \varphi_\theta(z)$$

Therefore

$$\varphi_{\theta'} \circ \varphi_\theta = \varphi_{\theta\theta'}$$

So,

we can embed a composition operator  $C_{\varphi_\theta}$  in a semi-group  $L_t = C_{\varphi_{\theta t}}$  (where  $t > 0$ ).

## Lens map semi-group

For  $p \geq 1$  and  $\beta > 1$

The lens map  $\varphi_\theta$  induces a  $p$ -summing composition operator on  $\mathcal{B}^\beta$  for  $p$  large enough:

$$\text{it is sufficient that } p > \frac{\theta}{(\beta - 1)(1 - \theta)},$$

In particular, if  $\theta < 1 - \frac{1}{\beta}$ , then  $C_{\varphi_\theta}$  is nuclear on  $\mathcal{B}^\beta$ .

Therefore

The lens map semi-group is eventually  $p$ -summing. More precisely,

- $L_t$  is  $p$ -summing for  $t > \frac{\ln\left(1 - \frac{1}{1+p(\beta-1)}\right)}{\ln(\theta)}$

- $L_t$  is nuclear for  $t > \frac{\ln\left(1 - \frac{1}{\beta}\right)}{\ln(\theta)}$

Merci !