

# Exponential frames and syndetic Riesz sequences

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$\mathcal{H}$  separable Hilbert space,  $I$  a countable set.

### Definition

$\{\varphi_i\}_{i \in I} \subset \mathcal{H}$  is a *frame* with bounds  $0 < A \leq B < \infty$  if

$$A \|f\|^2 \leq \sum_{i \in I} |\langle f, \varphi_i \rangle|^2 \leq B \|f\|^2$$

for all vectors  $f \in \mathcal{H}$ .  $\{\varphi_i\}_{i \in I}$  is a *Bessel sequence* if  $A = 0$ .

### Definition

$\{\varphi_i\}_{i \in I} \subset \mathcal{H}$  a *Riesz sequence* in  $\mathcal{H}$  with bounds  $0 < A \leq B < \infty$  if

$$A \sum_{i \in I} |a_i|^2 \leq \left\| \sum_{i \in I} a_i \varphi_i \right\|_{\mathcal{H}}^2 \leq B \sum_{i \in I} |a_i|^2$$

for every finite sequence of scalars  $\{a_i\}_{i \in I}$ .

# Paley-Wiener space

## Definition

$\mathcal{S} \subset \mathbb{R}$  set of finite positive Lebesgue measure.

Define Paley-Wiener space

$$PW_{\mathcal{S}} = \{f \in L^2(\mathbb{R}) : \hat{f}(\xi) = 0 \text{ for a.e. } \xi \in \mathbb{R} \setminus \mathcal{S}\}.$$

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## Definition

$\Lambda \subset \mathbb{R}$  a countable set.

$\Lambda \subset \mathbb{R}$  is *sampling set* for  $PW_{\mathcal{S}}$  if  $\exists A, B > 0$

$$A\|f\|_2 \leq \sum_{\lambda \in \Lambda} |f(\lambda)|^2 \leq B\|f\|_2^2 \quad \text{for all } f \in PW_{\mathcal{S}}.$$

$\Lambda \subset \mathbb{R}$  is *interpolation set* for  $PW_{\mathcal{S}}$  if for every  $c \in \ell^2(\Lambda)$  there exists  $f \in PW_{\mathcal{S}}$  such that

$$f(\lambda) = c_{\lambda} \quad \text{for all } \lambda \in \Lambda.$$

# Dictionary: complex analysis $\leftrightarrow$ frame theory

Define exponential system  $E(\Lambda) = \{e^{i\lambda x}\}_{\lambda \in \Lambda}$ .

## Theorem

*Suppose  $S \subset \mathbb{R}$  is a bounded set and  $\Lambda \subset \mathbb{R}$  is uniformly discrete*

$$\inf_{\lambda, \mu \in \Lambda, \lambda \neq \mu} |\lambda - \mu| > 0.$$

- $\Lambda$  is a sampling set for  $PW_S \iff E(\Lambda)$  is a frame in  $L^2(S)$ .

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## Example

$S \subset \mathbb{T} = \mathbb{R}/2\pi\mathbb{Z} \cong [-\pi, \pi)$  set of positive Lebesgue measure.

$\mathbb{Z}$  is a sampling set for  $PW_S$ .

$E(\mathbb{Z})$  is a tight frame in  $L^2(S)$  with bound  $2\pi$ .

## Theorem (Kahane (1957))

Let  $I \subset \mathbb{R}$  be an interval. If the upper density

$$D^+(\Lambda) := \limsup_{r \rightarrow \infty} \sup_{a \in \mathbb{R}} \frac{\#(\Lambda \cap (a, a+r))}{r} < \frac{|I|}{2\pi},$$

then  $E(\Lambda)$  is a Riesz sequence in  $L^2(I)$ . On the other hand if  $D^+(\Lambda) > \frac{|I|}{2\pi}$  then  $E(\Lambda)$  is not a Riesz sequence in  $L^2(I)$ .



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## Theorem (Landau (1967))

Let  $S$  be a measurable set. If  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$  then  $D^+(\Lambda) \leq \frac{|S|}{2\pi}$ .

## Question

*Given a set  $S$ , does there exist a set  $\Lambda$  of positive density such that the exponential system  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ ?*

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## Theorem (Bourgain-Tzafriri (1987))

*Given  $S \subset \mathbb{T}$  of positive measure, there exists a set  $\Lambda \subset \mathbb{Z}$  with positive asymptotic density*

$$\text{dens}(\Lambda) = \lim_{r \rightarrow \infty} \frac{\#(\Lambda \cap (-r, r))}{2r} > c |S|$$

*and such that  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ .*

*Here  $c$  is an absolute constant, independent of  $S$ .*

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*Here  $c$  is an absolute constant, independent of  $S$ .*

Every space  $PW_S$ ,  $S \subset \mathbb{T}$ , has an interpolation set  $\Lambda \subset \mathbb{Z}$  with positive upper density proportional to  $|S|$ .

## Definition

A subset  $\Lambda = \{\dots < \lambda_0 < \lambda_1 < \lambda_2 < \dots\} \subset \mathbb{Z}$  is **syndetic** if the consecutive gaps in  $\Lambda$  are bounded

$$\gamma(\Lambda) := \sup_{n \in \mathbb{Z}} (\lambda_{n+1} - \lambda_n) < \infty.$$

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## Theorem (Lawton (2010) and Paulsen (2011))

Given a set  $S \subset \mathbb{T}$  of positive measure, TFAE:

- (i) There exists  $r \in \mathbb{N}$  and a partition  $\mathbb{Z} = \bigcup_{j=1}^r \Lambda_j$  such that  $E(\Lambda_j)$  is a Riesz sequences in  $L^2(S)$  for all  $j = 1, \dots, r$ .
- (ii) There exists  $d \in \mathbb{N}$  and a syndetic set  $\Lambda \subseteq \mathbb{Z}$  with  $\gamma(\Lambda) = d$  such that  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ .

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- (ii) There exists  $d \in \mathbb{N}$  and a syndetic set  $\Lambda \subseteq \mathbb{Z}$  with  $\gamma(\Lambda) = d$  such that  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ .

## Remark

- (ii)  $\implies$  (i) can take  $r \leq d$  by considering translates of  $\Lambda$ .
- (i)  $\implies$  (ii) no upper bound on  $d$  in terms of  $r$ .

## Theorem (the Feichtner conjecture)

Suppose that  $\{u_i\}_{i \in I}$  is a frame in  $\mathcal{H}$  such that

$$\inf_{i \in I} \|u_i\|^2 > 0.$$

Then,  $I$  can be partitioned into subsets  $I_1, \dots, I_r$  such that every subfamily  $\{u_i\}_{i \in I_j}$ ,  $j = 1, \dots, r$ , is a Riesz sequence in  $\mathcal{H}$ .



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## Theorem (Paley-Wiener space)

*Every sampling set  $\Lambda$  in  $PW_S$  is a finite union of interpolation sets.*

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## Remark

The Feichtner conjecture has been proved to be equivalent to the Kadison-Singer problem by Casazza-Christensen-Lindner-Vershynin (2005) and Casazza-Tremain (2006).

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# Solution of Kadison-Singer Problem

## Theorem (Marcus-Spielman-Srivastava (2013))

If  $\epsilon > 0$  and  $v_1, \dots, v_m$  are independent random vectors in  $\mathbb{C}^d$  with finite support. Then,

$$\mathbb{E} \left[ \sum_{i=1}^m v_i v_i^* \right] = I \quad \text{and} \quad \mathbb{E} [\|v_i\|^2] \leq \epsilon \quad \text{for all } i$$

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$$\implies \mathbb{P} \left( \left\| \sum_{i=1}^m v_i v_i^* \right\| \leq (1 + \sqrt{\varepsilon})^2 \right) > 0.$$

## Theorem (B.-Casazza-Marcus-Speegle (2019))

If  $0 < \epsilon < 1/2$  and  $v_1, \dots, v_m$  are independent random vectors in  $\mathbb{C}^d$  with support of size 2. Then,

$$\mathbb{E} \left[ \sum_{i=1}^m v_i v_i^* \right] = I \quad \text{and} \quad \mathbb{E} [\|v_i\|^2] \leq \epsilon \quad \text{for all } i$$

# Improvement for support of size 2

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$$\Rightarrow \quad \mathbb{P} \left( \left\| \sum_{i=1}^m v_i v_i^* \right\| \leq 1 + 2\sqrt{\epsilon}\sqrt{1-\epsilon} \right) > 0.$$

# Quantitative Feichtinger conjecture

## Theorem (B.-Casazza-Marcus-Speegle (2019))

Let  $\varepsilon > 0$  and suppose that  $\{u_i\}_{i \in I}$  is a Bessel sequence in  $\mathcal{H}$  with bound 1 that consists of vectors of norms  $\|u_i\|^2 \geq \varepsilon$ . Then there exists a universal constant  $C > 0$ , such that  $I$  can be partitioned into  $r \leq \frac{C}{\varepsilon}$  subsets  $I_1, \dots, I_r$  such that every subfamily  $\{u_i\}_{i \in I_j}$ ,  $j = 1, \dots, r$  is a Riesz sequence in  $\mathcal{H}$ . Moreover, if  $\varepsilon > 3/4$ , then  $r = 2$  works.



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## Corollary

*There exists a universal constant  $C > 0$  such that for any subset  $S \subset \mathbb{T}$  with positive measure, the exponential system  $E(\mathbb{Z})$  can be decomposed as a union of  $r \leq \frac{C}{|S|}$  Riesz sequences  $E(\Lambda_j)$  in  $L^2(S)$  for  $j = 1, \dots, r$ . Moreover, if  $\frac{|S|}{2\pi} > 3/4$ , then  $r = 2$  works.*

## Remark

Lawton's Theorem and the solution of Kadison-Singer problem (Feichtinger conjecture)  $\implies$  there exists a syndetic Riesz sequence of exponentials in  $L^2(\mathcal{S})$ .

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## Question (Olevskii)

*What is the bound on a gap  $\gamma(\Lambda)$  for syndetic  $\Lambda \subset \mathbb{Z}$  such that  $E(\Lambda)$  is a Riesz sequence in  $L^2(\mathcal{S})$ ?*

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## Theorem (Nitzan, Olevskii, Ulanovskii (2016))

*For every  $\mathcal{S} \subset \mathbb{R}$  of finite measure, the space  $L^2(\mathcal{S})$  admits an exponential frame.*

Every space  $PW_{\mathcal{S}}$ , where  $|\mathcal{S}| < \infty$ , has a sampling set.

## Theorem (B.-Londner (2019))

Let  $\varepsilon > 0$  and suppose that  $\{u_i\}_{i \in I}$  is a Bessel sequence in  $\mathcal{H}$  with bound 1 and

$$\|u_i\|^2 \geq \varepsilon \quad \forall i \in I.$$

Suppose  $\{J_k\}_k$  is a collection of disjoint subsets of  $I$  with

$$\#J_k \geq r = \left\lceil \frac{C}{\varepsilon} \right\rceil \quad \text{for all } k.$$

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Then there exists a selector, i.e. a subset  $J \subset \bigcup_k J_k$  satisfying

$$\#(J \cap J_k) = 1 \quad \forall k$$

such that  $\{u_i\}_{i \in J}$  is a Riesz sequence in  $\mathcal{H}$ .

Moreover, if  $\varepsilon > \frac{3}{4}$ , then  $r = 2$  works.

# Syndetic Riesz sequences

Applying the main theorem to the exponential system

$E(\mathbb{Z}) = \{e^{int}\}_{n \in \mathbb{Z}}$  with  $J_k = [kr, (k+1)r) \cap \mathbb{Z}$ ,  $k \in \mathbb{Z}$ , yields:

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$E(\mathbb{Z}) = \{1_S e^{int}\}_{n \in \mathbb{Z}}$  with  $J_k = [kr, (k+1)r) \cap \mathbb{Z}$ ,  $k \in \mathbb{Z}$ , yields:

## Corollary

*There exists a universal constant  $C > 0$  such that for any subset  $S \subset \mathbb{T}$  with positive measure, there exists a syndetic set  $\Lambda \subset \mathbb{Z}$  with gaps*

$$\gamma(\Lambda) \leq C |S|^{-1}$$

*so that  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ . Moreover, if  $\frac{|S|}{2\pi} > \frac{3}{4}$  then such  $\Lambda$  exists with  $\gamma(\Lambda) \leq 3$ .*



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Every space  $PW_S$ ,  $S \subset \mathbb{T}$ , has a syndetic interpolation set  $\Lambda \subset \mathbb{Z}$  with gaps proportional to  $|S|^{-1}$ .

## Corollary

*Let  $S \subset \mathbb{T}^d$  be any subset of positive measure.*

*Let  $\mathcal{R} \subset \mathbb{Z}^d$  be any  $d$ -dimensional rectangle with  $\#|\mathcal{R}| > C|S|^{-1}$ .*

*Let  $\mathbb{Z}^d = \bigcup \mathcal{R}_k$  be and any partition into translated copies of  $\mathcal{R}$ .*

# Higher dimensional syndetic sets 1

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*Then there exists a set  $\Lambda \subset \mathbb{Z}^d$  such that*

$$\#|\Lambda \cap \mathcal{R}_k| = 1 \quad \forall k$$

*and  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ .*

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*and  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$ .*

*In particular, if  $\mathcal{R}$  is a cube, then*

$$\sup_{\lambda \in \Lambda} \inf_{\mu \in \Lambda \setminus \{\lambda\}} |\lambda - \mu| \leq C \sqrt{d} |S|^{-\frac{1}{d}}.$$

# Higher dimensional syndetic sets 2

Partitioning the lattice  $\mathbb{Z}^d$  into thin and long rectangles in a checkerboard way yields:

## Corollary

*For any subset  $S \subset \mathbb{T}^d$  of positive measure, there exists  $\Lambda \subset \mathbb{Z}^d$  so that  $E(\Lambda)$  is a Riesz sequence in  $L^2(S)$  and every one dimensional section of  $\Lambda$  in every direction*

$$\Lambda(k_1, \dots, \hat{k}_j, \dots, k_{d-1}) = \{k_j \in \mathbb{Z} : (k_1, \dots, k_j, \dots, k_{d-1}) \in \Lambda\}$$

*is syndetic for any  $(k_1, \dots, \hat{k}_j, \dots, k_d) \in \mathbb{Z}^{d-1}$  and  $j = 1, \dots, d$  with gap*

$$\gamma(\Lambda(k_1, \dots, k_{d-1})) \leq Cd |S|^{-1}.$$

## Theorem

Let  $r, M \in \mathbb{N}$  and  $\delta > 0$ . Suppose that  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with bound 1 and  $\|u_i\|^2 \leq \delta$  for all  $i$ . Then for every collection of disjoint subsets  $J_1, \dots, J_n \subset [M]$  with  $\#J_k \geq r$  for all  $k$ , there exists a subset  $J \subset [M]$  such that  $\#(J \cap J_k) = 1$  for all  $k \in [n]$  and the system of vectors  $\{u_i\}_{i \in J}$  is a Bessel sequence with bound

$$\left( \frac{1}{\sqrt{r}} + \sqrt{\delta} \right)^2.$$

## Proof.

WLOG  $\#J_k = r$ . Define independent random vectors  $v_k$ : for  $k = 1, \dots, n$  the vector  $v_k$  takes values  $\sqrt{r}u_i$  for any  $i \in J_k$  with probability  $\frac{1}{r}$ . Then,

$$\sum_{k=1}^n \mathbb{E}(v_k v_k^*) \leq I_{\mathcal{H}} \quad \text{and} \quad \mathbb{E} \|v_k\|^2 \leq r\delta \quad \forall k.$$

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By Theorem of Marcus-Spielman-Srivastava

$$\mathbb{P} \left( \left\| \sum_{k=1}^n v_k v_k^* \right\| \leq (1 + \sqrt{r\delta})^2 \right) > 0.$$



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$$\mathbb{P} \left( \left\| \sum_{k=1}^n v_k v_k^* \right\| \leq (1 + \sqrt{r\delta})^2 \right) > 0.$$

which implies the existence of a set  $J \subset [M]$  such that

$$\left\| \sum_{i \in J} u_i u_i^* \right\| \leq \left( \frac{1}{\sqrt{r}} + \sqrt{\delta} \right)^2.$$



# Selector theorem for short vectors

## Theorem

Let  $M \in \mathbb{N}$  and  $\delta_0 \in (0, \frac{1}{4})$ . Suppose that  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with Bessel bound 1 and  $\|u_i\|^2 \leq \delta_0$  for all  $i$ . Then for every collection of disjoint subsets  $J_1, \dots, J_n \subset [M]$  with  $\#J_k = 2$  for all  $k$ , there exists a subset  $J \subset [M]$  such that  $\#(J \cap J_k) = 1$  for all  $k \in [n]$  and the system of vectors  $\{u_i\}_{i \in J}$  is a Bessel sequence with bound  $1 - \varepsilon_0$ , where  $\varepsilon_0 = \frac{1}{2} - \sqrt{2\delta_0(1 - 2\delta_0)}$ .

## Lemma (B.-Casazza-Marcus-Speegle (2019))

Let  $P : \mathcal{H} \rightarrow \mathcal{H}$  be the orthogonal projection onto a closed subspace  $H \subset \mathcal{H}$ , and let  $\{e_i\}_{i \in I}$  be an orthogonal basis for  $\mathcal{H}$ . Then for any subset  $J \subset I$  and  $\delta > 0$  the following are equivalent:

- 1  $\{Pe_i\}_{i \in J}$  is a Bessel sequence with bound  $1 - \delta$ .
- 2  $\{(I_{\mathcal{H}} - P)e_i\}_{i \in J}$  is a Riesz sequence with lower bound  $\delta$ .

# Naimark's complements

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## Corollary

Let  $M \in \mathbb{N}$  and  $\delta_0 \in (0, \frac{1}{4})$ . Suppose that  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with Bessel bound  $B$  and  $\|u_i\|^2 \geq B(1 - \delta_0)$  for all  $i$ . Then for every collection of disjoint subsets  $J_1, \dots, J_n \subset [M]$  with  $\#J_k = 2$  for all  $k$ , there exists a subset  $J \subset [M]$  such that  $\#(J \cap J_k) = 1$  for all  $k \in [n]$  and the system of vectors  $\{u_i\}_{i \in J}$  is a Riesz sequence with lower Riesz bound  $B\epsilon_0$ .

Combine two selectors theorems with

### Lemma

*Let  $\mathcal{H}$  be an infinite dimensional Hilbert space,  $M \in \mathbb{N}$  and  $\delta \in (0, 1)$ . Suppose  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with Bessel bound 1 and  $\|u_i\|^2 \geq \delta$  for all  $i$ . Then for every large enough  $K \in \mathbb{N}$ , there exist vectors  $\varphi_1, \dots, \varphi_K \in \mathcal{H}$  with  $\|\varphi_i\|^2 \geq \delta$  for all  $i$  such that  $\{u_i\}_{i=1}^M \cup \{\varphi_i\}_{i=1}^K$  is a Parseval frame for its linear span.*

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### Lemma

Let  $\mathcal{H}$  be an infinite dimensional Hilbert space,  $M \in \mathbb{N}$  and  $\delta \in (0, 1)$ . Suppose  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with Bessel bound 1 and  $\|u_i\|^2 \geq \delta$  for all  $i$ . Then for every large enough  $K \in \mathbb{N}$ , there exist vectors  $\varphi_1, \dots, \varphi_K \in \mathcal{H}$  with  $\|\varphi_i\|^2 \geq \delta$  for all  $i$  such that  $\{u_i\}_{i=1}^M \cup \{\varphi_i\}_{i=1}^K$  is a Parseval frame for its linear span.

### Theorem (Finite version of main result)

Let  $\varepsilon > 0$  and  $M \in \mathbb{N}$ . Suppose that  $\{u_i\}_{i=1}^M \subset \mathcal{H}$  is a Bessel sequence with Bessel bound 1 and  $\|u_i\|^2 \geq \varepsilon$  for all  $i$ . Then there exists  $r = O\left(\frac{1}{\varepsilon}\right)$ , independent of  $M$ , such that for every collection of disjoint subsets  $J_1, \dots, J_n \subset [M]$  with  $\#J_k \geq r$  for all  $k$ , there exists a subset  $J \subset [M]$  such that  $\#(J \cap J_k) = 1$  for all  $k \in [n]$  and the system of vectors  $\{u_i\}_{i \in J}$  is a Riesz sequence with lower Riesz bound  $\varepsilon \varepsilon_0$ . Moreover, if  $\varepsilon > \frac{3}{4}$  then the same conclusion holds with  $r = 2$ .

# Diagonal argument with the pigeonhole principle

## Lemma

Let  $\{J_k\}_k$  be a collection of disjoint subsets of  $I$ . Assume for every  $n \in \mathbb{N}$  we have a subset  $I_n \subset \bigcup_{k=1}^n J_k$  such that

$$\#(I_n \cap J_k) = 1 \quad \text{for } k = 1, \dots, n$$

Then, there exists a subset  $I_\infty \subset I$  and an increasing sequence  $\{n_j\}$  such that

$$I_{n_j} \cap \left( \bigcup_{k=1}^j J_k \right) = I_\infty \cap \left( \bigcup_{k=1}^j J_k \right)$$

In particular, we have

$$\#(I_\infty \cap J_k) = 1 \quad \forall k.$$

This yields infinite dimensional version of main result.

# Syndetic sets and almost tight Riesz bounds

## Theorem ( $R_\varepsilon$ conjecture of Casazza-Tremain)

Let  $\{u_i\}_{i \in I}$  be a unit norm Bessel sequence in  $\mathcal{H}$  with bound  $B$ . Then there exists a universal constant  $C > 0$  such that for any  $\varepsilon > 0$  and any collection of disjoint subsets of  $I$ ,  $\{J_k\}_k$  satisfying  $\#J_k \geq r = \lceil C \frac{B}{\varepsilon^4} \rceil$ , for all  $k$ . There exists a selector  $J \subset \bigcup_k J_k$  satisfying

$$\#(J \cap J_k) = 1 \quad \forall k$$

and such that  $\{u_i\}_{i \in J}$  is a Riesz sequence in  $\mathcal{H}$  with bounds  $1 \pm \varepsilon$ .



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## Remark

A multi-paving result of Ravichandran-Srivastava (2017) suggest that  $r = O(\frac{B}{\varepsilon^2})$  should work. Hence, this would yield syndetic Riesz sequences of exponentials in  $L^2(\mathcal{S})$  with Riesz bounds  $\frac{|\mathcal{S}|}{2\pi}(1 \pm \varepsilon)$  and gaps  $O(\frac{1}{|\mathcal{S}|\varepsilon^2})$  instead of  $O(\frac{1}{|\mathcal{S}|\varepsilon^4})$ .

## Question

*Does an arbitrary measurable set  $S \subset \mathbb{T}$  of (almost full) measure admit an exponential Riesz sequence  $E(\Lambda)$ ,  $\Lambda \subset \mathbb{Z}$ , such that*

$$\inf_{\lambda, \mu \in \mathbb{Z} \setminus \Lambda, \lambda \neq \mu} |\lambda - \mu| \geq \frac{C}{|\mathbb{T} \setminus S|}?$$

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# Open problems on syndetic Riesz sequences

## Question

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## Question (Olevskii)

*Does an arbitrary measurable set  $S \subset \mathbb{T}$  of finite measure admit an exponential Riesz **basis**  $E(\Lambda)$  for some  $\Lambda \subset \mathbb{R}$ ?*

THANK YOU FOR ATTENTION