

# Weighted Hardy spaces and their composition operators

H. Queffélec, Univ. of Lille  
Focus Program on Analytic Function Spaces, Toronto, 2021

Wednesday, July 21, 2021

Joint work with P. Lefèvre, D. Li, L. Rodríguez-Piazza

# Introduction

This work is concerned with the boundedness of **composition operators**  $C_\varphi$ ,  $f \mapsto f \circ \varphi$ , with  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$  analytic and  $\mathbb{D} = \{|z| < 1\}$ . The set of such  $\varphi$  (symbols) is denoted  $S$ . We **denote**  $S_0 = \{\varphi \in S : \varphi(0) = 0\}$ . If  $g = f \circ \varphi$ ,  $\varphi \in S_0$ ,  $g$  is said **“subordinate to  $f$ ”**. We have the non abelian semi-group property

$$C_{\varphi \circ \psi} = C_\psi C_\varphi \text{ for all } \varphi, \psi \in S.$$

Here,  $C_\varphi$  acts on **weighted Hilbert spaces of analytic functions**

$$H = H^2(\beta) = \left\{ f(z) = \sum_{n=0}^{\infty} a_n z^n; \sum_{n=0}^{\infty} |a_n|^2 \beta_n =: \|f\|_H^2 < \infty \right\}$$

where  $\beta = (\beta_n)_{n \geq 0}$  with  $\beta_n > 0$ ,  $\liminf_n \beta_n^{1/n} \geq 1$ .

$H^2(\beta) \hookrightarrow \mathcal{H}(\mathbb{D})$ ; it has ONB  $(z^n / \sqrt{\beta_n})_{n \geq 0}$  and a reproducing kernel :

$$f(a) = \langle f, K_a \rangle, \quad a \in \mathbb{D}, \quad K_a(z) = \sum_{n=0}^{\infty} \frac{(\bar{a}z)^n}{\beta_n} \in H.$$

## Examples

Set  $dA(z) = \frac{dx dy}{\pi}$ , let  $\alpha > -1$ . The norm in the **Dirichlet space**  $\mathcal{D}_\alpha$  is

$$\begin{aligned}\|f\|_{\mathcal{D}_\alpha}^2 &= |f(0)|^2 + (\alpha + 1) \int_{\mathbb{D}} |f'(z)|^2 (1 - |z|^2)^\alpha dA(z) \\ &=: |f(0)|^2 + D_\alpha(f).\end{aligned}$$

$$\beta_n = (\alpha + 1) \int_0^1 n^2 t^{n-1} (1 - t)^\alpha dt \approx (n + 1)^{1-\alpha}.$$

The norm in the **Bergman space**  $B_\alpha = \mathcal{D}_{\alpha+2}$  is

$$\|f\|_{B_\alpha}^2 = (\alpha + 1) \int_{\mathbb{D}} |f(z)|^2 (1 - |z|^2)^\alpha dA(z)$$

$$\beta_n = (\alpha + 1) \int_0^1 t^n (1 - t)^\alpha dt \approx (n + 1)^{-1-\alpha}$$

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Observe that, for Bergman spaces,  $(\beta_n)$  is **completely monotone** (cf. Hausdorff moment theorem), which is rather restrictive.

The seminal result is **Littlewood's subordination principle**.

# Littlewood's subordination principle

Take  $\beta_n \equiv 1$ , i.e.  $H = H^2$ . It holds :

## Theorem (Littlewood, 1925)

Let  $\varphi \in S_0$ . Then

$$C_\varphi : H^2 \rightarrow H^2 \text{ contractively.}$$

Equivalently, the matrix  $(a_{m,n}) = (\widehat{\varphi^n}(m))$  has norm  $\leq 1$  on  $\ell^2 = \ell^2(\mathbb{N}_0)$ .  
Indeed,  $C_\varphi(z^n) = \varphi^n(z) = \sum_{m=0}^{\infty} \widehat{\varphi^n}(m) z^m$ .

## Theorem (Folklore?)

Let  $\varphi_a \in S$  be the *involutive automorphism*  $z \mapsto \frac{a-z}{1-\bar{a}z}$ ,  $a \in \mathbb{D}$ . Then

$$C_{\varphi_a} : H^2 \rightarrow H^2 \text{ with } \|C_{\varphi_a}\| = \sqrt{\frac{1+|a|}{1-|a|}}.$$

Any  $\varphi \in S$  writes  $\varphi = \varphi_a \circ \psi$  with  $\psi \in S_0$  and  $C_\varphi = C_\psi C_{\varphi_a}$ , so  $C_\varphi : H^2 \rightarrow H^2$  for all those  $\varphi$ .

## Boundedness on $H^2$ , pursued

The proofs are “different”. For Littlewood, with the backward shift  $B$ ,  $Bf(z) = \frac{f(z)-f(0)}{z} = \sum_{k=0}^{\infty} c_{k+1}z^k$  and  $M_{\varphi}(g) = \varphi g$ ,  $\|M_{\varphi}\|_{H^2 \rightarrow H^2} \leq 1$  :

$$C_{\varphi}f = f(0) + M_{\varphi}C_{\varphi}Bf, \text{ hence } \|C_{\varphi}f\|^2 \leq |f(0)|^2 + \|C_{\varphi}Bf\|^2.$$

(reminds Maurey-Pisier’s **extrapolation principle**).

Iterate this, with  $f$  a polynomial of degree  $\leq n$ , so that  $B^{n+1}f = 0$  :

$$\|C_{\varphi}f\|^2 \leq \sum_{j=0}^n |B^j f(0)|^2 + \|C_{\varphi}B^{n+1}f\|^2 = \sum_{j=0}^n |B^j f(0)|^2 = \|f\|^2.$$

For “Folklore”, take  $0 < a < 1$ . Then a **Toeplitz matrix** shows up :

$$C_{\varphi}^* C_{\varphi} = (a^{|m-n|}) = (\widehat{P}_a(m-n)), \text{ so that } \|C_{\varphi}\|^2 = \|P_a\|_{\infty}.$$

$P_a$  is the **Poisson kernel** at  $a$ . Works for  $\varphi$  **inner** with  $\varphi(0) = a$ , via

$$\langle \varphi^m, \varphi^n \rangle = \langle \varphi^{m-n}, 1 \rangle = a^{m-n}, \quad m \geq n.$$

Both proofs use **integral representations**.

## Boundedness on $H^2$ , the end

### Theorem (Rogosinski)

Let  $\varphi \in S_0$ , let  $f(z) = \sum_{k=0}^{\infty} a_k z^k$ ,  $g(z) = (f \circ \varphi)(z) = \sum_{k=0}^{\infty} b_k z^k$ . Then

$$\sum_{k=0}^n |b_k|^2 \leq \sum_{k=0}^n |a_k|^2, \quad n = 0, 1, \dots$$

Set in general  $S_n(\sum_{k=0}^{\infty} c_k z^k) = \sum_{k=0}^n c_k z^k$ . Just observe that, thanks to  $\varphi(0) = 0$ ,  $S_n(g) = S_n C_{\varphi} S_n(f)$ , hence  $\|S_n(g)\|^2 \leq \|S_n(f)\|^2$ .

### Theorem (Goluzin)

Let  $\varphi$  be a symbol in  $S_0$ . Assume that  $(\beta_n)$  is *non-increasing*. Then

$$C_{\varphi} : H^2(\beta) \rightarrow H^2(\beta) \text{ contractively.}$$

Rogosinski plus Abel summation.

What if  $\varphi(0) \neq 0$ ? if  $(\beta_n)$  does not essentially decrease?

# Boundedness on $H^2(\beta)$

1. All  $C_{\varphi_a}$  can be bounded, with even  $D_0(f \circ \varphi_a) \equiv D_0(f)$ , but **not all**  $C_{\varphi}$  (Dirichlet space  $\mathcal{D}$ ,  $\beta_n = n + 1$ ).  
Arazy-Fisher-Peetre (1985) studied in depth the semi-normed spaces  $(X, \rho)$  for which  $\rho(f \circ \varphi_a) \equiv \rho(f) \quad \forall \varphi_a$ , **isometrically**.
2. If all  $C_{\varphi}$  are bounded,  **$\sup_n \beta_n < \infty$** , because then  $H^\infty \hookrightarrow H^2(\beta) = H$  via  $\varphi = C_{\varphi}(z)$  and so  $\beta_n = \|z^n\|_H^2 \leq C \|z^n\|_\infty^2 = C$ .
3. When  $\beta \downarrow$ , boundedness of  $C_{\varphi}, \varphi \in S$ , boils down to that of **the  $C_{\varphi_a}$** .
4. **But even so**, some  $C_{\varphi_a}$  can be unbounded on  $H$ , e.g.  $\beta_n = \exp(-\sqrt{n})$  (Kriete-Mac Cluer). More generally, we could prove :

## Theorem (LLQR 0)

Fix  $0 < a < 1$ , let  $T_a(z) = -\varphi_{-a}(z) = \frac{z+a}{1+az}$ . Then :

1.  $C_{T_a} : H \rightarrow H$  bounded implies  $\|K_z\|_H \ll (1 - |z|)^{-\alpha'}$ .
2. Equivalently,  $C_{T_a} : H \rightarrow H$  bounded implies  $\beta_n \geq \delta n^{-\alpha}, n \geq 1$ .

This **necessary condition** of polynomial decay for  $\beta_n$  is not sufficient.



## Boundedness on $H^2(\beta)$ , pursued

We present here **such a NSC** for boundedness of all  $C_\varphi$  when  $\beta = (\beta_n)$  is **essentially decreasing**, meaning :  $\tilde{\beta}_n := \sup_{m \geq n} \beta_m \leq C\beta_n$ . Then  $H^2(\beta) = H^2(\tilde{\beta})$ , and we will mostly assume here  $\beta$  **non increasing**. We first need a definition : the (non increasing) sequence  $(\beta_n)$  **has  $\Delta_2$**  if (think of Orlicz spaces)

$$\beta_{2n} \geq \delta\beta_n \text{ for all } n \geq 1.$$

That condition writes as well as a **slow oscillation** condition :

$$1/\rho(m/n) \leq \frac{\beta_m}{\beta_n} \leq \rho(m/n)$$

where  $\rho : (0, \infty) \rightarrow (0, \infty)$  is locally bounded from above and below.  
**Strictly stronger than polynomial decay.**

# Statements

We can now state **four theorems**. In the **first two**,  $\beta$  is non increasing.

## Theorem (LLQR 1)

The  $\Delta_2$ -condition is **sufficient** for the boundedness of all  $C_\varphi : H \rightarrow H$ .

## Theorem (LLQR 2)

The  $\Delta_2$ -condition is **necessary** for the boundedness of all  $C_\varphi : H \rightarrow H$ .

## Theorem (LLQR 3)

Take a **general sequence**  $(\beta_n)$ . Then TFAE :

1.  $\beta$  is essentially decreasing.
- 2.

$$\sup_{\varphi \in S_0} \|C_\varphi\| < \infty.$$

## A remark

Our Theorems 1, 2, 3 answer the question if one wishes.

### Corollary

Given an admissible weight  $\beta$ ,  $\liminf_{n \rightarrow \infty} \beta_n^{1/n} \geq 1$ , **TFAE** :

- 1  $\beta$  is essentially decreasing and has  $\Delta_2$ .
- 2 All  $C_\varphi : H^2(\beta) \rightarrow H^2(\beta)$  are bounded, with moreover  $\sup_{\varphi \in S_0} \|C_\varphi\| < \infty$ .

Theorem 4 to come indicates that the situation might be **much more intricate**.

# Statements, pursued

No “Baire trick” is available in Theorem 3! Indeed

## Theorem (LLQR4)

Assume that  $\beta$  has *polynomial decay* and that, for every  $\delta > 0$ , there exists  $C_\delta > 0$  with

$$\beta_m \leq C_\delta \beta_n \text{ whenever } m > (1 + \delta)n.$$

Then  $\|C_\varphi\| < \infty$  for all  $\varphi \in S_0$ .

This can happen with  $\sup_{\varphi \in S_0} \|C_\varphi\| = \infty$  and *non essentially decreasing*  $\beta$ .

About the assumptions :  $\beta$  can oscillate, but not with two indices *far apart*.  
Each  $\varphi \in S_0$  generates its own  $\delta$  and  $C_\delta$ .

# Rest of the talk

We will give **sketchy proofs of some theorems**, insisting on two aspects :

- The use of the **stationary phase and van der Corput method**.
- The use of a **result of Katsnelson** (already exploited by Chalendar-Partington, more recently by LLQR under a reinforced form involving approximation numbers).
- We will discuss in a second part a result on **conditional multipliers** using Katsnelson's result.

Difficulty : **no integral representation** for  $\beta_n$ .

## Reminder 2

### Theorem (LLQR 2)

The  $\Delta_2$ -condition is *necessary* for the boundedness of all  $C_\varphi : H \rightarrow H$ .

We begin with some facts on the stationary phase method.

# Stationary phase

We use a version of the **van der Corput** inequalities, or rather the **stationary phase method**.

## Theorem (Stationary phase)

Let  $F : [A, B] \rightarrow \mathbb{R}$ ,  $C^3$ , with  $F'' > 0$ . Let  $c$  be the unique point in  $]A, B[$  where  $F'(c) = 0$ . Assume that, with  $\lambda_2, \Lambda_3, \eta > 0$ , one has :

- 1)  $[c - \eta, c + \eta] \subseteq [A, B]$  ;
- 2)  $F''(x) \geq \lambda_2$  for all  $x \in [c - \eta, c + \eta]$  ;
- 3)  $|F'''(x)| \leq \Lambda_3$  for all  $x \in [A, B]$ .

Then, with **absolute  $O$**  :

$$I := \int_A^B \exp[i F(x)] dx = \sqrt{2\pi} \frac{e^{i(F(c)+\pi/4)}}{|F''(c)|^{1/2}} + O\left(\frac{1}{\eta\lambda_2} + \eta^4\Lambda_3\right),$$

We control the **modulus** and **argument** of  $I$ .

## Specialization

With  $T_a(z) = (z+a)(1+az)^{-1}$ ,  $T_a^n(z) = \sum_{m=0}^{\infty} a_{m,n} z^m$ ,  $0 < a < 1$  :

$$T_a(e^{ix}) = \exp[ih_a(x)] \text{ where } h_a(x) = \int_0^x P_{-a}(t) dt.$$

$P_{-a}$  is the **Poisson kernel** at  $-a$ . Hence, since  $h_a$  is odd on  $[-\pi, \pi]$  :

$$2\pi a_{m,n} = \int_{-\pi}^{\pi} \exp[i(nh_a(x) - mx)] dx = 2\Re I_{m,n}$$

where

$$I_{m,n} = \int_0^{\pi} \exp[i(nh_a(x) - mx)] dx =: \int_0^{\pi} \exp[iF_m(x)] dx.$$

The preceding **with**  $\lambda_2 \approx n$ ,  $\lambda_3 \approx n$ ,  $\eta = n^{-2/5}$  gives for  $m \approx n$  :  
(note that  $nP_{-a}(x_m) = m$ , and  $F_m''(x) = nh_a''(x)$ )

$$I_{m,n} = \sqrt{2\pi} \frac{e^{i\theta_m}}{\sqrt{|F_m''(x_m)|}} + O(n^{-3/5}), \quad \theta_m = F_m(x_m) + \pi/4.$$

$$\theta_{m+1} - \theta_m = -x_{m+1} + O(n^{-1}) \quad \text{and} \quad |F_m''(x_m)| \approx n.$$



## Proof 2

Since  $-\pi/2 \leq -x_{m+1} \leq -\pi/4$ , we use the preceding to get (with  $\varphi = T_a = -\varphi_{-a}$  and  $a_{m,n} = \widehat{\varphi^n}(m)$ ) :

### Lemma

*There exists  $0 < \delta < 1/3$  satisfying : for every integer  $n \geq 1$ , there exists  $J_n \subset \mathbb{N}$  such that*

- $J_n \subset [(1 - 3\delta)n, (1 - \delta)n]$ .
- $|J_n| \geq \delta n$ .
- $m \in J_n \implies |a_{m,n}| = |\widehat{\varphi^n}(m)| = (1/\pi) |\Re I_{m,n}| \geq \delta n^{-1/2}$ .

The behavior of  $a_{m,n}$  is **very irregular**. Indeed for fixed  $n$  :

- $\sum_{m=0}^{\infty} |a_{m,n}|^2 = 1$  (Parseval for the inner function  $\varphi^n$ ).
- $\sup_m |a_{m,n}| \ll n^{-1/3}$ .

The experts on this are [O. Szehr and R. Zarouf \[6\]](#), see also [S. Borichev, K. Fouchet, R. Zarouf](#) with a complete and sophisticated picture, using notably the Airy function.

Our [self-contained lemma](#) above is sufficient here.

Recently, in passing, [Y. Meyer](#) reproved and used the second item.

## Proof 2, the end

Now, our boundedness assumption implies, since we have

$$\varphi^n(z) = \sum_{m=0}^{\infty} a_{m,n} z^m :$$

$$\sum_{m=0}^{\infty} |a_{m,n}|^2 \beta_m = \|\varphi^n\|_H^2 = \|C_\varphi(z^n)\|_H^2 \leq C^2 \|z^n\|_H^2 = C^2 \beta_n.$$

This gives a **general necessary condition** :

$$C^2 \beta_n \geq \sum_{m=0}^{\infty} |a_{m,n}|^2 \beta_m \geq \sum_{m \in J_n} |a_{m,n}|^2 \beta_m \geq \delta^3 \frac{1}{|J_n|} \sum_{m \in J_n} \beta_m. \quad (1)$$

When  $\beta$  decreases, we get  $C^2 \beta_n \geq \delta^3 \beta_{(1-\delta)n}$ , and  $(\beta_n)$  satisfies  $\Delta_2$ .

## Proof 2, a variant

Instead of automorphisms, we could use the **singular inner functions**

$$I_a(z) = \exp\left(-a \frac{1+z}{1-z}\right) =: \sum_{m=0}^{\infty} c_m(a) z^m, \quad a > 0.$$

Think of  $(I_1)^n = I_n$  and of  $a = n$ .

Thanks once more to **stationary phase**, we can prove

### Lemma (Szegő precised)

Let  $a \geq 1$ . It holds  $c_m(a) = e^{-a} L_m^{(-1)}(2a)$  and

$$c_m(a) = c a^{1/4} m^{-3/4} \cos(d \sqrt{am} + \pi/4) + R_m(a) =: M_m + R_m(a) \quad (2)$$

where  $c = \pi^{-1/2} 2^{1/4}$ ,  $d = 2\sqrt{2} < 2\pi$ , and  $|R_m(a)| \leq K \sqrt{a} m^{-5/4}$ , with  $K$  some numerical constant.

A weaker form was already used by **H. Shapiro and D. Newman**.

For the proof of Theorem 4, we need a **transition**.

# A result of V. Katsnelson

## Theorem (V. È. Katsnelson, 1975)

Let  $H$  be a *separable Hilbert space*,  $(e_n)_{n \geq 0}$  an *ONB* of  $H$ , and  $T: H \rightarrow H$ , bounded. Set  $(a_{m,n}) = (\langle Te_n, e_m \rangle)$ .

Let  $(d_n)_{n \geq 0}$  be an *arbitrary sequence* of positive numbers and  $D$  the (*mostly unbounded*) diagonal operator with  $D(e_n) = d_n e_n$ ,  $n \geq 0$ . Assume that

$$d_m < d_n \implies a_{m,n} = 0.$$

Then  $D^{-1}TD: H \rightarrow H$  is bounded as well and moreover

$$\|D^{-1}TD\| \leq \|T\|. \quad (3)$$

Proved with  $(d_n)$  increasing and  $(a_{m,n})$  lower triangular. The proof here is the same.

Assumption met, with  $d_n = 1/\sqrt{\beta_n}$ , by  $T = C_\varphi: H^2 \rightarrow H^2$  and

$D^{-1}TD = C_\varphi: H^2(\beta) \rightarrow H^2(\beta)$  as soon as  $\varphi(0) = 0$ . Said on  $\ell^2$ :

If  $T = (a_{m,n})$ , then  $D^{-1}TD = (a_{m,n} \frac{d_n}{d_m})$  and  $D^{-z}TD^z = (a_{m,n} (\frac{d_n}{d_m})^z)$ .

## V. Katsnelson, continued

Maximum principle for the subharmonic and **bounded function**

$$u(z) = \|D^{-z}TD^z\| \text{ in } \{\Re z > 0\}, \quad u(iy) = \|T\|, \quad u(1) = \|D^{-1}TD\|.$$

**Baby example** (with  $a > 0$ ,  $0 < d_1 < d_2$ ):

$$T_a = \begin{pmatrix} 1 & 0 \\ a & 1 \end{pmatrix}$$

$$D = \begin{pmatrix} d_1 & 0 \\ 0 & d_2 \end{pmatrix}$$

$$D^{-1}T_aD = T_{ad_1/d_2} \text{ and } \|T_a\| \uparrow \text{ with } a.$$

Indeed

$$\|T_a\|^2 = \frac{a^2 + 2 + a\sqrt{a^2 + 4}}{2}.$$

## Reminder 4

### Theorem (LLQR4)

Assume that  $\beta$  has *polynomial decay* and that, for every  $\delta > 0$ , there exists  $C = C_\delta > 0$  with

$$\beta_m \leq C\beta_n \text{ whenever } m > (1 + \delta)n.$$

Then  $\|C_\varphi\| < \infty$  for all  $\varphi \in S_0$ .

This can happen with  $\sup_{\varphi \in S_0} \|C_\varphi\| = \infty$  and *non essentially decreasing*  $\beta$ .

Let  $\varphi \in S_0$ , and  $\delta = \delta(\varphi) > 0$ ,  $C = C_\delta > 0$  to come. Set

$$\gamma_n = \max(\beta_n, \sup_{m > (1+\delta)n} \beta_m).$$

Our assumption implies

$$\beta_n \leq \gamma_n \leq C_\delta \beta_n \quad \text{and} \quad m > (1 + \delta)n \implies \gamma_m \leq \gamma_n. \quad (4)$$

So that  $H^2(\beta) = H^2(\gamma)$ . The implied matrices on  $\ell^2$  are, for  $C_\varphi : H^2 \rightarrow H^2$  and  $C_\varphi : H^2(\gamma) \rightarrow H^2(\gamma)$  respectively :

$$A = (a_{m,n}) \text{ with } a_{m,n} = \widehat{\varphi^n}(m),$$

$$B = (b_{m,n}) := (a_{m,n} \sqrt{\gamma_m / \gamma_n}).$$

## Proof 4

We first need the (Cauchy inequalities with  $r = e^{-1/2}$ , Schwarz lemma)

### Lemma

Let  $\varphi \in S_0$ , with  $\varphi \neq ul$ ,  $|u| = 1$ . Then for some  $\rho = \rho(\varphi) > 0$

$$|a_{m,n}| := |\widehat{\varphi^n}(m)| \leq \exp \left[ -\frac{1}{2}((1 + \rho)n - m) \right].$$

**The point is :**  $1 + \rho > 1!$  We put  $\delta = \rho/2$  and

$$A = (a_{m,n}) = A_1 + A_2, \quad A_1 = (a_{m,n} \mathbf{1}_{m \leq (1+\delta)n}), \quad A_2 = (a_{m,n} \mathbf{1}_{m > (1+\delta)n}).$$

$A_2$  is **super lower-triangular**.

We write accordingly

$$B = (b_{m,n}) = B_1 + B_2, \quad B_1 = (b_{m,n} \mathbf{1}_{m \leq (1+\delta)n}), \quad B_2 = (b_{m,n} \mathbf{1}_{m > (1+\delta)n}).$$

## Proof 4, continued

We must show that  $B = (b_{m,n}) := (a_{m,n}\sqrt{\gamma_m/\gamma_n})$  is bounded on  $\ell^2$ , knowing that  $A = (a_{m,n})$  is. Here,  $B = D^{-1}AD$ ,  $D = \text{diag}(d_n)$ ,  $d_n = \frac{1}{\sqrt{\gamma_n}}$ . We prove :

- $A_1 =: (a_{m,n}^{(1)})$  is **Hilbert-Schmidt**, i.e.  $\sum_{m,n} |a_{m,n}^{(1)}|^2 < \infty$ , and  $B_1$  as well, since the lemma gives  $|a_{m,n}| \leq e^{-(\delta/2)n}$  for  $m \leq (1 + \delta)n$ . Moreover  $\gamma_m/\gamma_n \lesssim 1/\gamma_n \lesssim n^\alpha$ .
- $A_2 = A - A_1$  is bounded on  $\ell^2$  (obvious).
- $B_2 = D^{-1}A_2D$  is bounded on  $\ell^2$  (key point !)
- $B = B_1 + B_2$  bounded on  $\ell^2$ , as desired.

For key point, recall (4) :  $m > (1 + \delta)n \implies \gamma_m \leq \gamma_n$ . Now, we are **allowed** to use Katsnelson for  $A_2 = (a_{m,n} \mathbf{1}_{m > (1+\delta)n}) =: (a_{m,n}^{(2)})$ , since

$$d_m < d_n \implies \gamma_m > \gamma_n \implies m \leq (1 + \delta)n \implies a_{m,n}^{(2)} = 0.$$



## Proof 4, the end

As for the counterexample we define, on blocks  $(k!, (k + 1)!]$  :

$$\beta_n = \begin{cases} 1/k! & \text{if } k! < n \leq (k + 1)! - 2, \\ 1/(k + 1)! & \text{if } n = (k + 1)! - 1, \\ 1/k! & \text{if } n = (k + 1)!. \end{cases} \quad (5)$$

The sequence  $(\beta_n)$  is **not essentially decreasing** since  $\beta_{n+1}/\beta_n = k + 1$  for  $n = (k + 1)! - 1$ . It has **polynomial decay** since  $\beta_n \geq 1/(n + 1)$ .

Next,  $\beta_m \leq \beta_n$  as soon as  $m \geq n + 2$ , and the assumptions of Theorem 4 are met.

Finally,  $\sup_{\varphi \in S_0} \|C_\varphi\| = \infty$  by Theorem LLQR3.

**Remark.** On that example, no  $C_{\varphi_a}$  is bounded! Because condition (2)

$$C^2 \beta_n \geq \delta^2 \frac{1}{|J_n|} \sum_{m \in J_n} \beta_m$$

fails for  $n = (k + 1)! - 1$ .

We switch to **something else**.

## 2. Conditional multipliers

Here is **one more application** of Katsnelson's theorem.

The set of **multipliers** of the analytic Hilbert space  $H$  is :

$$\mathcal{M}(H) = \{w : wf \in H \text{ for all } f \in H\} \subset H.$$

Mostly,  $\mathcal{M}(H) = H^\infty$  (not for the Dirichlet space  $\mathcal{D}_0$ !)

To study weighted composition operators  $T = M_w C_\varphi$ ,  $T(f) = w(f \circ \varphi)$ , we need a **conditional version** of  $\mathcal{M}(H)$  given  $\varphi \in S$ , namely :

$$\mathcal{M}(H, \varphi) = \{w : w(f \circ \varphi) \in H \text{ for all } f \in H\}.$$

When  $C_\varphi : H \rightarrow H$ , we have the double inclusion

$$(H^\infty = )\mathcal{M}(H) \subset \mathcal{M}(H, \varphi) \subset H.$$

Indeed,  $w = M_w C_\varphi(1)$ .

We study the **extreme cases** in those inclusions.

## 2. Conditional multipliers, statement

First a definition : the space  $H = H^2(\beta)$ ,  $\beta \downarrow$  is "admissible" (e.g. Bergman space  $B_\alpha$ ) if

$$H^2 \hookrightarrow H \text{ and } C_\varphi : H \rightarrow H \text{ for } \varphi \in \text{Aut } \mathbb{D}.$$

This forbids e.g.  $\beta_n = \exp(-\sqrt{n})$ . Katsnelson implies  $\mathcal{M}(H) = H^\infty$  when  $H$  is admissible.

We can now state (due to Attele, Contreras, H. D. for  $H = H^2$ ) :

### Theorem (LLQR)

Let  $H$  be *admissible*, and  $\varphi : \mathbb{D} \rightarrow \mathbb{D}$ . Then :

- 1  $\mathcal{M}(H^2, \varphi) \subset \mathcal{M}(H, \varphi)$ .
- 2  $\mathcal{M}(H, \varphi) = H \iff \|\varphi\|_\infty < 1$ .
- 3  $\mathcal{M}(H, \varphi) = H^\infty \iff \varphi$  is a finite Blaschke product.

We start with  $\implies$  of the third statement for  $H = H^2$ .

$m$  is the Haar measure of  $\mathbb{T} = \partial\mathbb{D}$ .  $\int$  stands for  $\int_{\mathbb{T}}$ .

## 2. Conditional multipliers on $H^2$

We have the following, denoting  $\|w\|_{op}$  the norm of the operator  $M_w C_\varphi$  on  $H^2$ .

### Theorem (Attele)

It holds  $\|K_a\|_{op} \leq \sqrt{\frac{1+|\varphi(a)|}{1-|\varphi(a)|}} \frac{1}{\sqrt{1-|a|^2}}$ .

Hence, if  $\mathcal{M}(H^2, \varphi) = H^\infty$ , we have

$$\|K_a\|_\infty \leq C \|K_a\|_{op} \quad \text{and finally} \quad \frac{1-|\varphi(a)|}{1-|a|} \leq C' = 2C^2.$$

**Proof.** Let  $b = \varphi(a)$ . Use  $(1-|a|^2)|K_a|^2 = |\varphi'_a|$  and  $\varphi_b \circ \varphi \circ \varphi_a \in S_0$  :

$$\begin{aligned} (1-|a|^2) \int |K_a|^2 |f \circ \varphi|^2 dm &= \int |\varphi'_a| |f \circ \varphi \circ \varphi_a \circ \varphi_a|^2 dm \\ &= \int |f \circ \varphi \circ \varphi_a|^2 dm = \int |f \circ \varphi_b \circ \varphi_b \circ \varphi \circ \varphi_a|^2 dm \\ &\leq \int |f \circ \varphi_b|^2 dm \leq \frac{1+|b|}{1-|b|} \int |f|^2 dm. \end{aligned}$$

## 2. Conditional multipliers on $H^2$ , next

For the last statement, the inequality  $\|K_a\|_\infty \leq C\|K_a\|_{op}$  reads

$$\frac{1}{1-|a|} \leq C \sqrt{\frac{2}{1-|\varphi(a)|}} \frac{1}{\sqrt{1-|a|}}.$$

Then simplify. But this last statement **characterizes** the set  $\mathcal{F}$  of finite Blaschke products! More precisely :

$$\varphi \in \mathcal{F} \implies \frac{1-|\varphi(a)|}{1-|a|} \leq C.$$

$$\lim_{|a| \rightarrow 1} |\varphi(a)| = 1 \implies \varphi \in \mathcal{F}.$$

(note, see Contreras and H. D., that  $\mathcal{M}(H^2, \varphi) \subset H^p$  for some  $p > 2$  would suffice). Or even  $\mathcal{M}(H, \varphi) \subset H^\psi$  with  $\lim_{x \rightarrow \infty} x^{-2}\psi(x) = \infty$ .

We **no longer have** precise estimates on  $\|K_a\|_{op}$  for admissible spaces  $H = H^2(\beta)$ , but we will have the **inclusion 1.** of Theorem LLQR.

## 2. Conditional multipliers, the end

This inclusion  $\mathcal{M}(H^2, \varphi) \subset \mathcal{M}(H, \varphi)$  provides :

$$\mathcal{M}(H, \varphi) = H^\infty \implies \varphi \text{ is a finite Blaschke product.}$$

Here is the proof of the above inclusion. Assume first that  $\varphi(0) = 0$ . Let  $w \in \mathcal{M}(H^2, \varphi)$ . [Katsnelson](#) for the operator  $T = M_w C_\varphi$  is licit !

$$\langle T(e_n), e_m \rangle_{H^2} = \langle w\varphi^n, e_m \rangle_{H^2} = 0 \text{ for } m < n.$$

This gives  $w \in \mathcal{M}(H, \varphi)$ , and  $\mathcal{M}(H^2, \varphi) \subset \mathcal{M}(H, \varphi)$  in that case.

In the general case, set  $a = \varphi(0)$ ,  $\varphi_a(z) = \frac{a-z}{1-\bar{a}z}$ .

Our **admissibility assumption** implies

$$\mathcal{M}(H^2, \varphi) = \mathcal{M}(H^2, \varphi_a \circ \varphi) \subset \mathcal{M}(H, \varphi_a \circ \varphi) = \mathcal{M}(H, \varphi),$$

since  $(\varphi_a \circ \varphi)(0) = 0$  and  $C_{\varphi_a} : H \rightarrow H$  and  $H^2 \rightarrow H^2$ .

The rest is then fairly easy.

# Some questions

We finally mention some "open questions".

- 1 Is there a **complex-variable free** proof of Katsnelson's result?
- 2 Can the result be more dependent on the **specific value** of  $(d_n)$ ?
- 3 A theorem of Grothendieck (**Grothendieck's constant**) describes Schur multipliers  $\lambda_{m,n}$  of bounded matrices. Namely







$$(a_{m,n}) \text{ bounded} \implies (a_{m,n}\lambda_{m,n}) \text{ bounded} .$$

We must have  $\lambda_{m,n} = \langle x_m, y_n \rangle$  where  $\sup \|x_m\| < \infty$ ,  $\sup \|y_n\| < \infty$ .

Katsnelson says that  $\lambda_{m,n} = \frac{d_n}{d_m}$  is a Schur multiplier of **lower-triangular bounded matrices**. Can we hope for a full characterization of Schur multipliers for those matrices?

- 4 Is the slow oscillation of the bounded sequence  $(\beta_n)$  a NSC in the **general case**?

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