

# Massive $C^*$ -algebras, Winter 2021, I. Farah, Lecture 11

From the last time:

**Lemma** Suppose  $A \leq C$ , and  $u, v$  are in  $\mathcal{U}(C)$ . TFAE:

1.  $\text{Ad } u(a) = \text{Ad } v(a)$  for all  $a \in A$   $\Leftrightarrow u a u^* = v a v^*, \forall a \in A$
2.  $\underline{v^* u} \in C \cap A'$ .
3.  $\underline{u^* v} \in C \cap A'$ .  $u^* v = (v^* u)^*$

TFAE:

4.  $\text{Ad } \underline{u^*}(a) = \text{Ad } \underline{v^*}(a)$  for all  $a \in A$
5.  $\underline{u v^*} \in C \cap A'$ .
6.  $\underline{v u^*} \in C \cap A'$ .

$$N(A) = \{w \mid w A w^* \subseteq A, w^* A w \subseteq A\}$$

If  $u$  and  $v$  are in the normalizer of  $A$ , then all of the above conditions are equivalent.

Recall from the last class:

$$u(l_\infty) \subseteq u(B(H))$$

**Def 17.1.8** Let  $F_E := \{x \in \mathbb{T}^{\mathbb{N}} : \Delta_E(x, 1) = 0\}$ , and  $G_E := \mathbb{T}^{\mathbb{N}} / F_E$ , for  $E \in \text{Part}_{\mathbb{N}}$ .

$$\Delta_E \approx \limsup_{n \rightarrow \infty} \Delta_{E \cup E_{-n}}$$

Then  $F_E$  is a subgroup of  $\mathbb{T}^{\mathbb{N}}$  and  $E \leq^* F$  implies  $F_E \supseteq F_F$  and therefore  $G_F = G_E / (F_F / F_E)$ . Also,

$$\begin{array}{ccccccccc} 0 & \longrightarrow & F_F & \longrightarrow & \mathbb{T}^{\mathbb{N}} & \longrightarrow & G_F & \longrightarrow & 0 \\ & & \downarrow \iota_{EF} & & \downarrow \text{id} & & \downarrow \pi_{EF} & & \\ 0 & \longrightarrow & F_E & \longrightarrow & \mathbb{T}^{\mathbb{N}} & \longrightarrow & G_E & \longrightarrow & 0 \end{array}$$

$$\uparrow \leq^*$$

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**Lemma 17.1.9** Suppose  $E \in \text{Part}_{\mathbb{N}}$  and  $u$  and  $v$  belong to  $\mathbb{T}^{\mathbb{N}}$ . Then  $\underline{u} \sim_E \underline{v}$  if and only if  $\underline{uv^*} \in \underline{F_E}$ .

$$A \downarrow u \uparrow \mathcal{F}[E] = A \downarrow v \uparrow \mathcal{F}[E]$$

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2.50

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**Prop  $\approx$  17.1.11** If  $E(\alpha)$ , for  $\alpha < \aleph_1$ , is  $\leq^*$ -cofinal in  $\text{Part}_{\mathbb{N}}$ , then the inverse limit  $\varprojlim_{\alpha} G_{E(\alpha)}$  has cardinality  $2^{\aleph_1}$ .

Thm (Coskey-F., 2014) If  $E(\alpha)$ , for  $\alpha < \kappa$  is  $\leq^*$ -cofinal in  $\text{Part}_{\mathbb{N}}$ , then there is an injective group homomorphism from  $\varprojlim_{\alpha} G_{E(\alpha)}$  into  $\text{Aut}(Q(H))$ .

$$E(\alpha) \rightsquigarrow \frac{F[E(\alpha)]}{h_{\alpha}}$$

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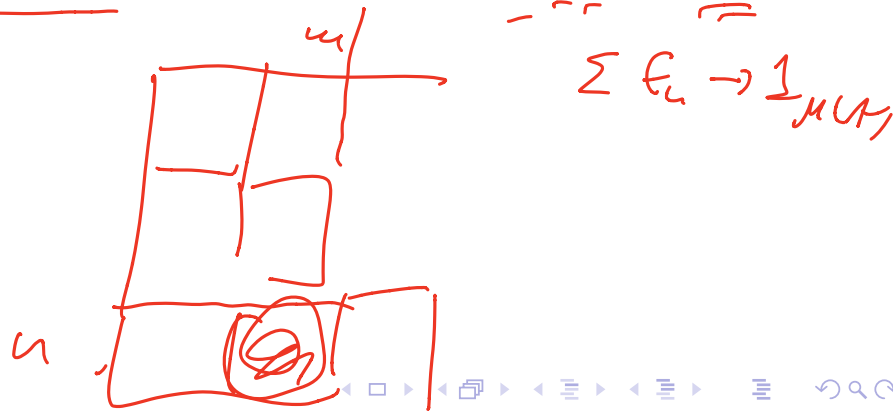
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**Thm (Coskey–F.)** Any of the following (successively weaker) conditions suffices to give a positive answer to the above (and therefore CH implies that  $\mathcal{M}(A)/A$  has  $2^{\aleph_1}$  automorphisms):

1.  $A$  has an approximate unit  $e_m$ ,  $m \in \mathbb{N}$ , consisting of projections and  $f_n := e_n - e_{n-1}$  ( $e_0 = 0$ ) satisfy  $f_m A f_n \neq \{0\}$  for all  $m$  and  $n$ .



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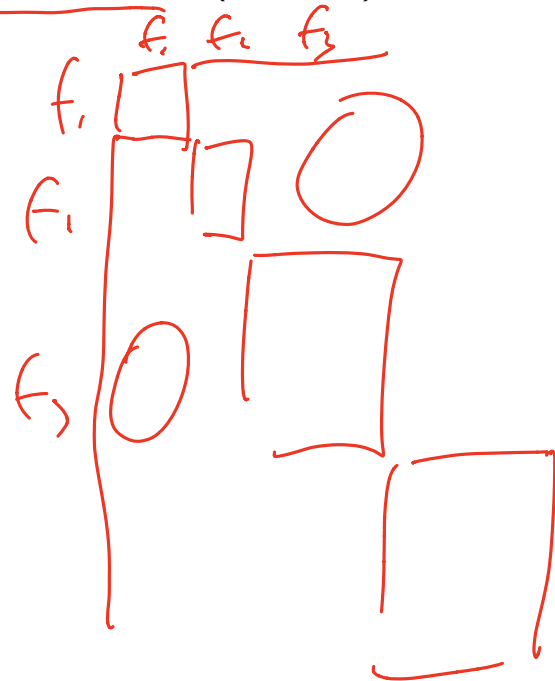
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2. *A is stable, (i.e.,  $A \cong A \otimes \mathcal{K}$ ).*
3. *A is primitive (i.e., it has a faithful, nondegenerate, representation).*

*(Idea for (2) and (3): A quasicentral approximate unit will satisfy the analog of the condition from (1).)*

# The other opposite and a curiosity

**Prop** Suppose that  $A$  has an approximate unit  $e_n$ ,  $n \in \mathbb{N}$ , consisting of projectors, such that with  $f_n = e_n - e_{n-1}$  ( $e_0 = 0$ ) we have  $f_m A f_n = \{0\}$  whenever  $m \neq n$ .



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Then  $A \cong \bigoplus_m f_m A f_m$ ,  $\mathcal{M}(A) \cong \prod_m f_m A f_m$ , hence  $\mathcal{M}(A)/A$  is countably saturated and CH implies that it has  $2^{\aleph_1}$  automorphisms.

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**Exercise.** There exists a  $\sigma$ -unital  $C^*$ -algebra with an approximate unit consisting of projections, but no such approximate unit of  $A$  can be chosen so that (with  $f_n = e_n - e_{n-1}$ )  $f_m A f_n \neq \{0\}$  for all  $m$  and  $n$  and no approximate unit of  $A$  can be chosen so that  $f_m A f_n = \{0\}$  whenever  $m \neq n$ .

## Back to $\mathcal{Q}(H)$

The original BDF question is still open.

**Question** *Is it possible to find, in some model of ZFC, a  $K$ -theory-reversing automorphism  $\Phi$  of  $\mathcal{Q}(H)$ ?*



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Even the following is open.

**Question** *Is it possible to find, in some model of ZFC,  $\Phi \in \text{Aut}(\mathcal{Q}(H))$  such that  $\Phi \upharpoonright A$  is not implemented by a unitary for some separable  $A \leq \mathcal{Q}(H)$ ?*

(By Woodin's theorem, this is essentially the same as trying to construct such  $\Phi$  using CH.)

When does  $\mathcal{M}(A)/A$  have many automorphisms, assuming  
CH?  
(the abelian case)

If  $A = \underline{C_0(X)}$ ,  $X$  locally compact metrizable, then  $\underline{\mathcal{M}(A)} \cong \underline{C(\beta X)}$   
and  $\underline{\mathcal{M}(A)/A} \cong \underline{C(\beta X \setminus X)}$ .

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(2) (Yu) If  $A = C_0(\mathbb{R})$ , then CH  $\Rightarrow$   $\mathcal{M}(A)/A$  has  $2^{\aleph_1}$  automorphisms.

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$C_0(\mathbb{R}^n)$

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The simplest nontrivial case,  $A = C_0(\mathbb{N})$ :

$C^*$ -algebra	topological space	Boolean algebra
$c_0$	$\mathbb{N}$	
$l_\infty$	$\beta\mathbb{N}$	$\mathcal{P}(\mathbb{N})$
$l_\infty/c_0$	$\beta\mathbb{N} \setminus \mathbb{N}$	$\mathcal{P}(\mathbb{N})/\text{Fin}$



A topological space  $X$  is *homogeneous* if its autohomeomorphism group acts transitively on  $X$ .

Thm (W. Rudin, 1956) *CH implies the following:*

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Thm



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$$\Leftrightarrow x \setminus y \in Fin$$

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Kunen (1972):  $\beta\mathbb{N} \setminus \mathbb{N}$  is not homogeneous. (Notably, Kunen's construction was extended by Shelah, and this form the basis of non-structure theory for ultrapowers, including the result that CH implies there are  $2^{\aleph_1}$  nonisomorphic ultrapowers of every separable, infinite-dimensional  $C^*$ -algebra.)

Question: Is CH necessary to construct many **nontrivial** automorphisms of  $\ell_\infty/c_0$ , and what makes an automorphism 'nontrivial'?

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**Exercise.** The group  $\prod_{\mathbb{N}}(\mathbb{Z}/2\mathbb{Z}) / \bigoplus_{\mathbb{N}}(\mathbb{Z}/2\mathbb{Z})$  has  $2^{2^{\aleph_0}}$  automorphisms (in ZFC).

$F_2$

$(\mathcal{P}(\mathbb{N}), \Delta)$   
 $(\mathcal{P}(\mathbb{N}) / Fin, \Delta)$   


---

 $F_2$  - v.s.

den =  $2^{\aleph_0}$

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$$f(u) = u + t^l$$

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**Thm (Alperin–Covington–McPherson)** Let  $G$  be the quotient of the semigroup of almost permutations of  $\mathbb{N}$  modulo the finitely supported permutations of  $\mathbb{N}$ . This is a group, and every automorphism of  $G$  is inner (in ZFC).

$$f \in S_\infty \quad (\forall u) f(u) = u$$

$$f^{-1}(u) = u$$

$$\text{Out}(S_\infty / F_{fin} S_\infty) \cong \mathbb{Z}$$

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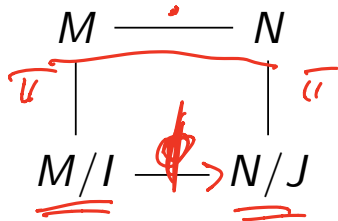
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Lifting a homomorphism  $\Phi$  between quotient structures



# Algebraically trivial automorphisms

$S_\infty$ : The group of permutations of  $\mathbb{N}$ .

**Lemma** Every automorphism  $\Phi$  of the Boolean algebra  $\mathcal{P}(\mathbb{N})$  is of the form  $x \mapsto f^{-1}(x)$ , for  $f \in S_\infty$ .

$$\underbrace{\mathcal{P}(\mathbb{N})} \cong \underbrace{\mathcal{P}(\mathbb{N})} \quad \phi(\underbrace{\{u_i\}}) = \underbrace{n} \quad \text{let } f(u_i) = u_i$$

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**Lemma**  $\mathcal{P}(\mathbb{N})/\text{Fin}$  has an automorphism that cannot be lifted to an automorphism of  $\mathcal{P}(\mathbb{N})$ .

Proof: Take  $x \mapsto \{n-1 \mid n \in x\}$ .

$$\underbrace{\phi}_{\text{Fin}}(\underbrace{[x]}_{\text{Fin}}) = [\{n-1 \mid n \in x\}]_{\text{Fin}}$$

If  $\phi$  was lifted  $\downarrow$ ,  
 $x \rightarrow f^{-1}(x)$ , for some  $f \in S_\infty$ , then

$$(v) \quad \forall u \in X, f(u) = u+1$$

If  $X \neq \emptyset$ ,  $\underbrace{f(u) \neq u+1}$  is  $\emptyset$ ,

Then find  $Y \subseteq X$ ,  $\emptyset$ , so that

$$\forall u \quad u \in Y \Rightarrow u+1 \notin Y, \quad f(u+1) \notin Y$$

Then  $Z = \{u+1 \mid u \in Y\}$

$$\phi([Z]) = [Y], \quad \hookrightarrow$$

$$\underline{f^{-1}(Z) \cap Y = \emptyset}$$



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**Def** An automorphism  $\Phi$  of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  is trivial if there is a bijection  $f$  between cofinite sets of  $\mathbb{N}$  such that  $x \mapsto f^{-1}(x)$  lifts  $\Phi$ .

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**Lemma**  $\mathcal{P}(\mathbb{N})/\text{Fin}$  has an automorphism that cannot be lifted to an automorphism of  $\mathcal{P}(\mathbb{N})$ .

Proof: Take  $x \mapsto \{n - 1 \mid n \in x\}$ .

**Def** An automorphism  $\Phi$  of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  is **trivial** if there is a bijection  $f$  between cofinite sets of  $\mathbb{N}$  such that  $x \mapsto f^{-1}(x)$  lifts  $\Phi$ .

**Thm (Shelah, 1970s)** (If ZFC is consistent then) there is a model of ZFC in which all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial.

# Algebraically trivial automorphisms

$S_\infty$ : The group of permutations of  $\mathbb{N}$ .

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**Thm (Shelah, 1970s)** *(If ZFC is consistent then) there is a model of ZFC in which all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial.*

Shelah–Steprāns: (If ZFC is consistent then) there is a model of ZFC in which CH fails but  $\mathcal{P}(\mathbb{N})/\text{Fin}$  has nontrivial automorphisms.

We will prove the following lemma later on:

**Lemma** *For an automorphism  $\Phi$  of  $\mathcal{Q}(H)$  the following are equivalent.*

1.  $\Phi$  is inner.
2. There is a Borel-measurable  $f : \mathcal{B}(H)_1 \rightarrow \mathcal{B}(H)_1$  (where  $\mathcal{B}(H)_1$  is considered with respect to the strong operator topology) lift of  $\Phi$ .
3. There is a continuous  $f : \mathcal{B}(H)_1 \rightarrow \mathcal{B}(H)_1$  (where  $\mathcal{B}(H)_1$  is considered with respect to the strong operator topology) lift of  $\Phi$ .

Trivial?

$$a \rightarrow \|ha\| \quad \| \cdot \| \\ \rightarrow \|ab\|$$

Lemma If  $A$  is separable, then the strict topology on  $\mathcal{M}(A)_1$  is Polish (i.e., separable, completely metrizable).

Suppose  $A$  is separable, non-unital. An automorphism  $\Phi$  of  $\mathcal{M}(A)/A$  is topologically trivial if

$$\sum 2^{-n}$$

$$\{(a, b) \in \mathcal{M}(A)_1^2 \mid \Phi(a + A) = b + A\}$$

is Borel in the strict topology.

Shoenfield's Absoluteness Theorem



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**Conjecture** (Coskey–F.) If  $A$  is a separable, non-unital  $C^*$ -algebra, then CH implies that  $\mathcal{M}(A)/A$  has topologically nontrivial automorphisms.

(wonder than "No, 2<sup>nd</sup> outcome of...")

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There are partial positive answers by Coskey–F., F.–Shelah, Vignati.

# Forcing axioms (Baire Category Theorem on steroids)

Auto  $\rightarrow$  tr. forced

The conclusion of Shelah's theorem ('all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial') is true in a class of canonical models of ZFC.

**Def** Suppose that  $\Omega$  is a class of compact Hausdorff spaces. Then  $\text{FA}(\Omega)$  is the statement

If  $K \in \Omega$ , then the intersection of any family of  $\aleph_1$  dense open subsets of  $K$  is dense in  $K$ .

## Example

If  $[0, 1] \in \Omega$ , then  $\text{FA}(\Omega)$  contradicts CH.

$\bigcap [0, 1] \setminus \{x\}$

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Some forcing axioms: Martin's Axiom (MA), Proper Forcing Axiom (PFA), Martin's Maximum (MM).

Thm (Shelah–Steprāns) *PFA implies that all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial.*

Thm (Veličković, 1992) *A consequence of PFA,  $MA+OCA$ , implies that all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial.*

Thm (Veličković, 1992) *MA does not imply that all automorphisms of  $\mathcal{P}(\mathbb{N})/\text{Fin}$  are trivial (unless ZFC implies  $0 = 1$ ).*

$$M(A)/A \rightarrow M(B)/B$$

# Isomorphisms of coronas

Here is another motivation for studying isomorphisms of coronas.

**Question** (Sakai, 1971) If  $A$  and  $B$  are separable and simple  $C^*$ -algebras, does  $\mathcal{M}(A)/A \cong \mathcal{M}(B)/B$  imply  $A \cong B$ ?

$$\mathcal{M}(A \oplus C) / (A \oplus C) \cong \mathcal{M}(A) / A$$

unitol

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G. Elliott, Derivations of Matroid  $C^*$ -algebras, II (1973): A positive answer for the matroid (aka AM) algebras.

$$\underline{M_u(\mathbb{C})} \quad \underline{K(H)} \otimes M_{200}$$