

SOFT PACKING OF SPHERES IN \mathbb{R}^3

TORONTO 2021

H. EDLSBRUNNER @ IST VIENNA

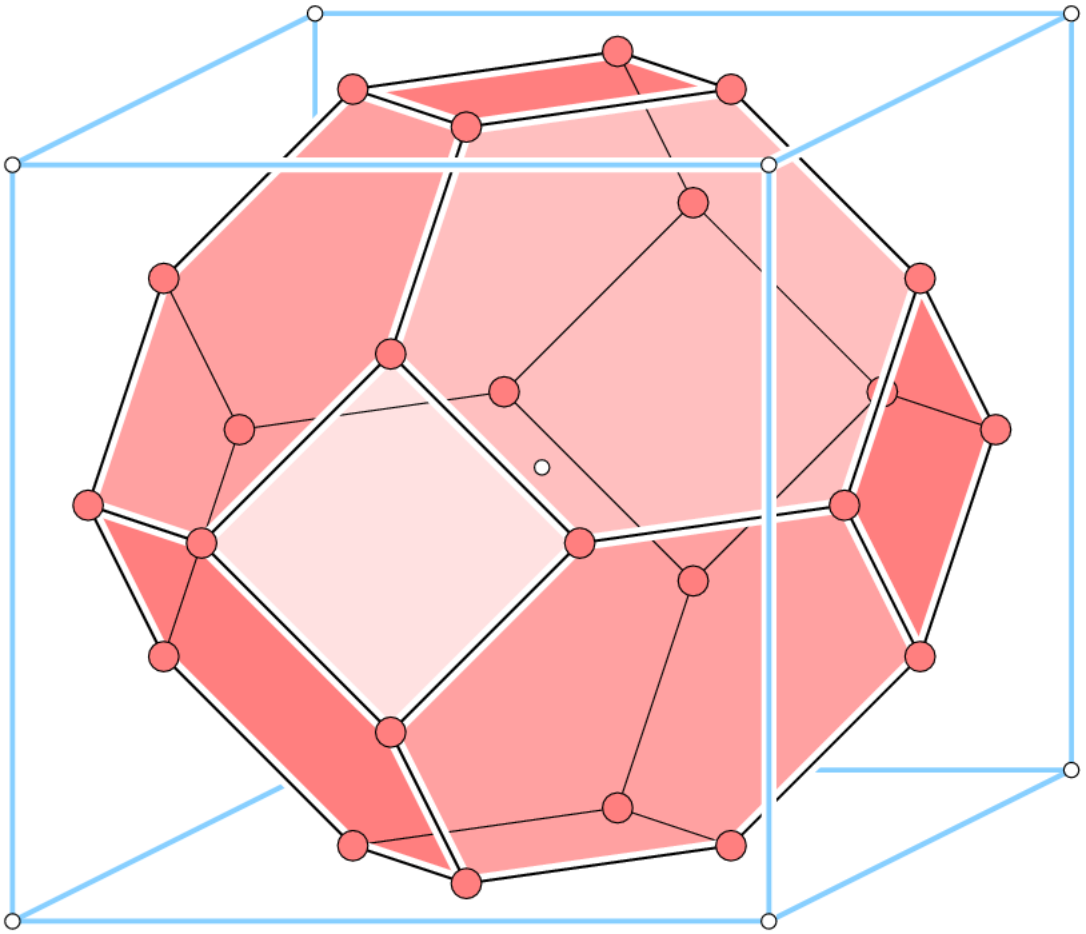
ack.: M. KERBER, M. IGLESIAS-HAM

BCC LATTICE

(Body Centered Cubic)

$$\Lambda = \{(2i, 2j, 2k), (2i+1, 2j+1, 2k+1) \mid i, j, k \in \mathbb{Z}\}$$

$$|\Lambda| = 4$$



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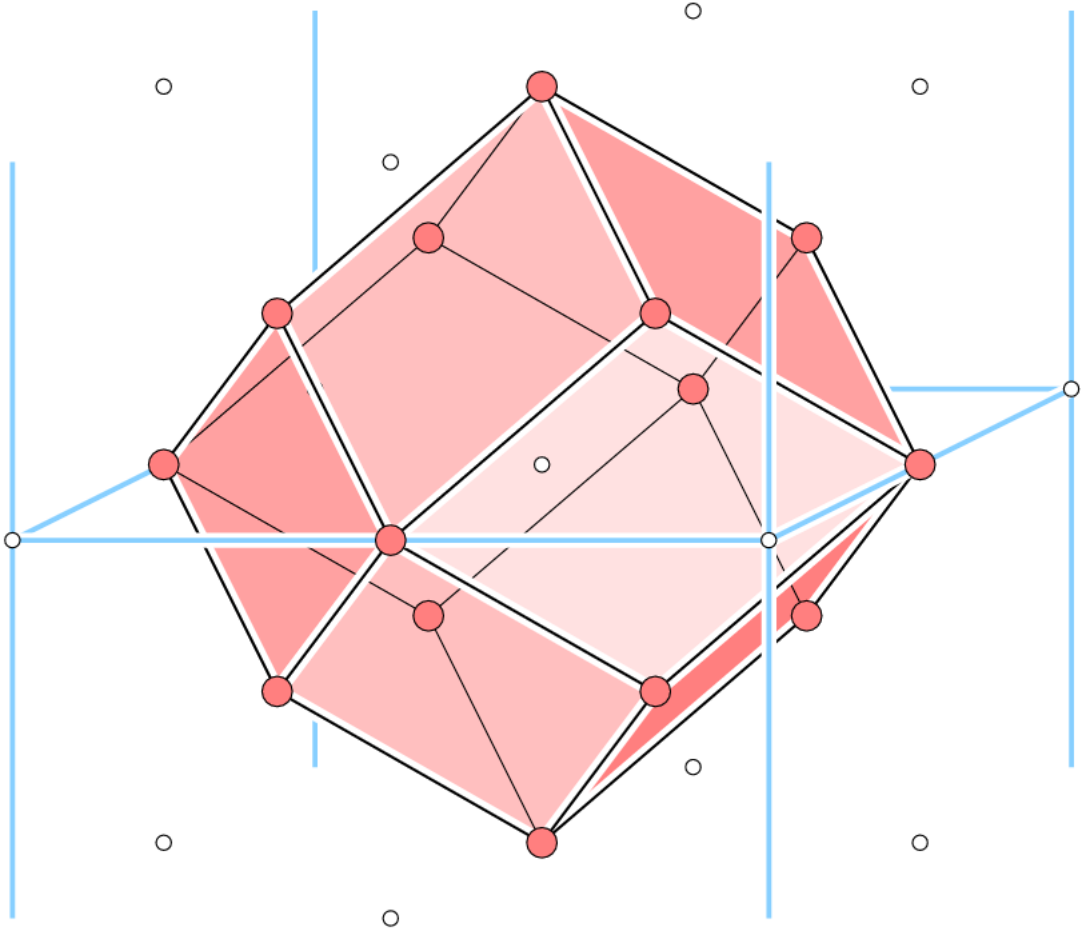
	radius	density
packing	$\sqrt{3}/2$	0.680...
covering	$\sqrt{5}/2$	1.463...

FCC LATTICE

(Face Centered Cubic)

$$\Lambda = \left\{ (2i, 2j, 2k), (2i+1, 2j+1, 2k), \right. \\ \left. (2i+1, 2j, 2k+1), (2i, 2j+1, 2k+1) \mid i, j, k \in \mathbb{Z} \right\}$$

$$|\Lambda| = 2$$



FCC LATTICE

(Face Centered Cubic)

$$\Lambda = \left\{ (2i, 2j, 2k), (2i+1, 2j+1, 2k), \right. \\ \left. (2i+1, 2j, 2k+1), (2i, 2j+1, 2k+1) \mid i, j, k \in \mathbb{Z} \right\}$$

$$|\Lambda| = 2$$

	radius	density
packing	$\sqrt{2}/2$	0.740 ...
covering	1	2.094 ...

SPACE OF LATTICES

$\Lambda \sim \Lambda'$ if related by rotation and scaling

5-dimensional

decomposed into 5 cells corresponding to

truncated octahedron

hexa-rhombic dodecahedron

rhombic dodecahedron

hexagonal prism

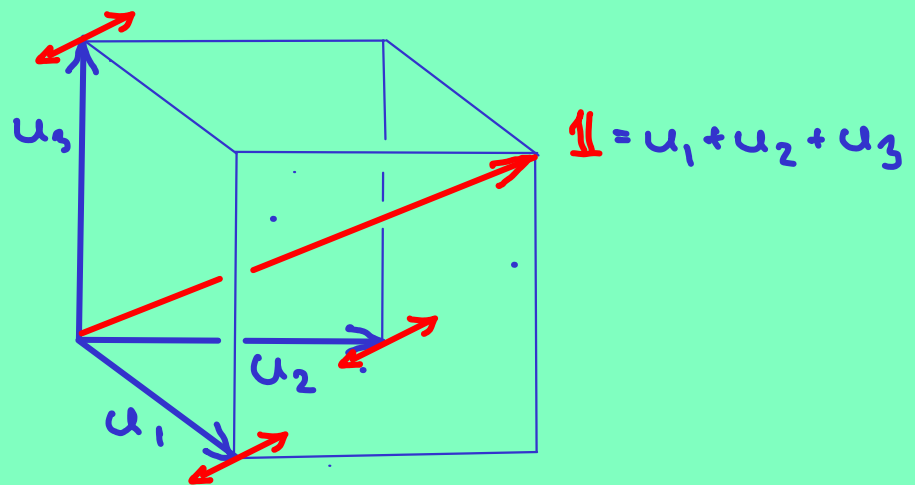
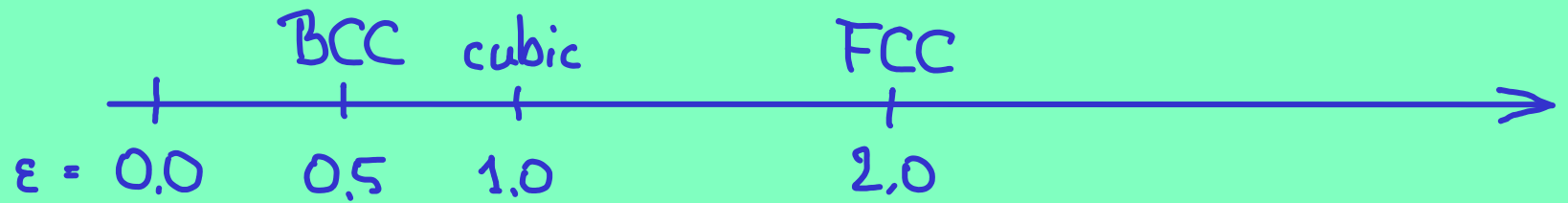
cuboid

I Diagonal Family of Lattices

II Density and Soft Density

III Optimality of FCC Lattice

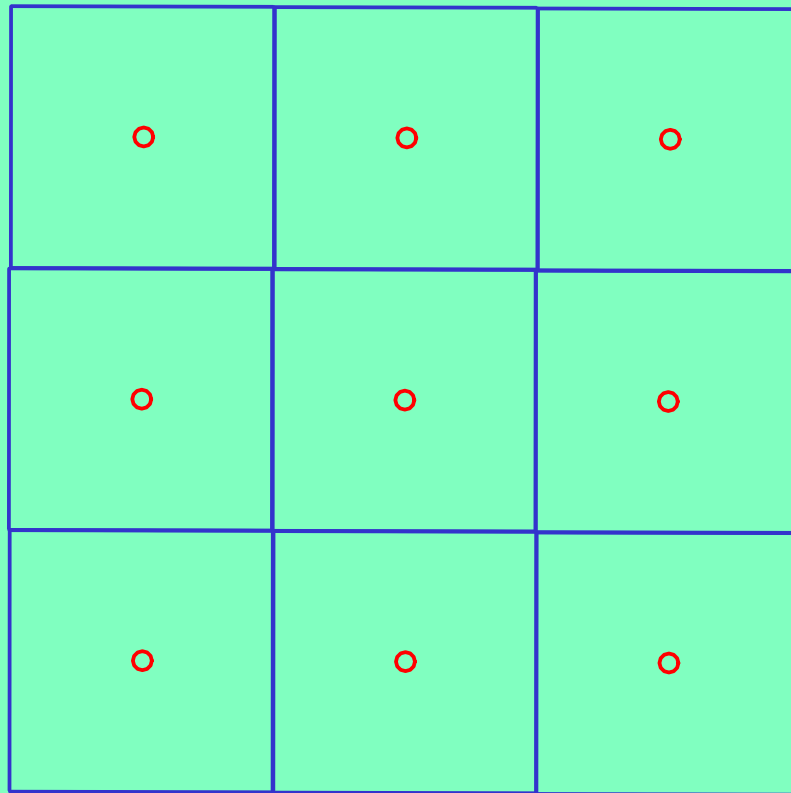
1-PARAMETER FAMILY OF DISTORTIONS



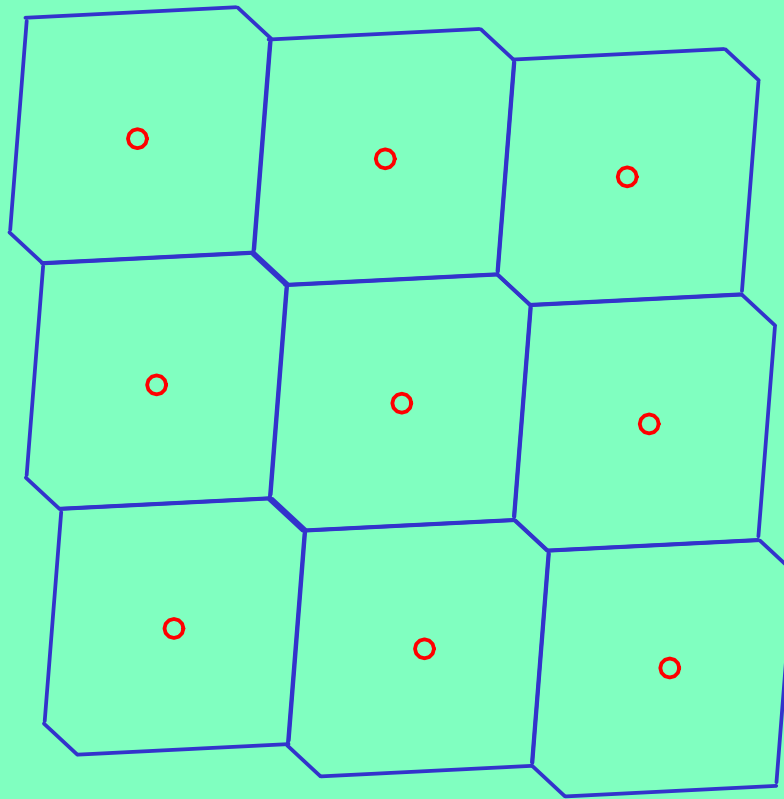
$$u_i(\epsilon) = u_i + \frac{\epsilon - 1}{3} u$$

DISTORTION : VORONOI

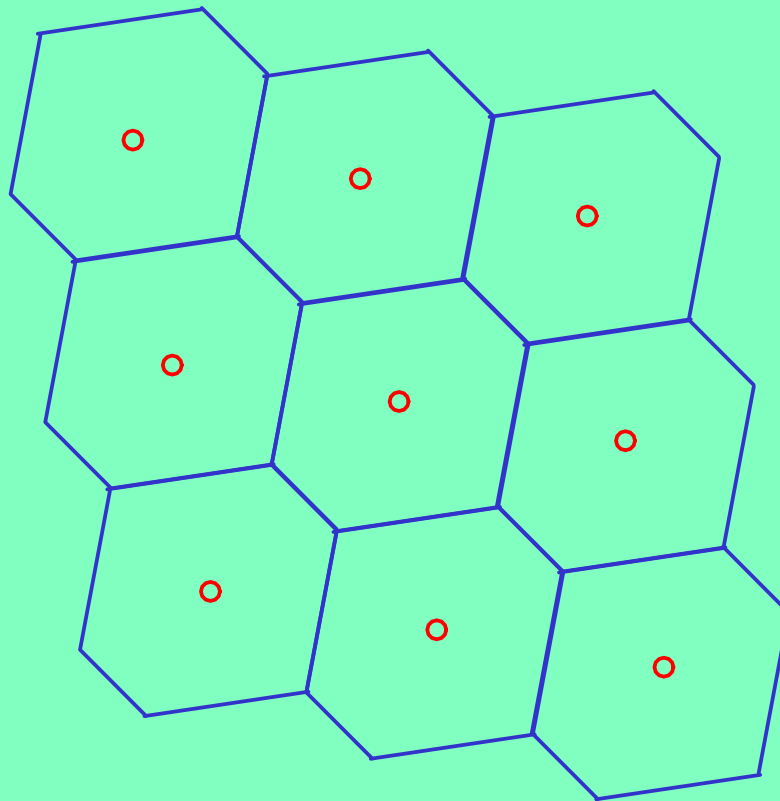
DISTORTION : VORONOI



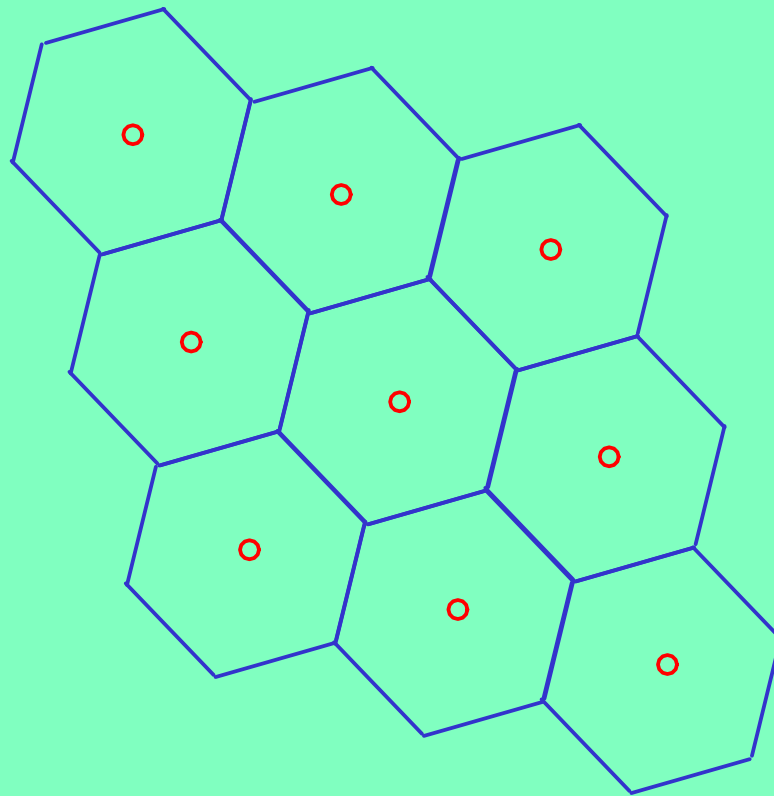
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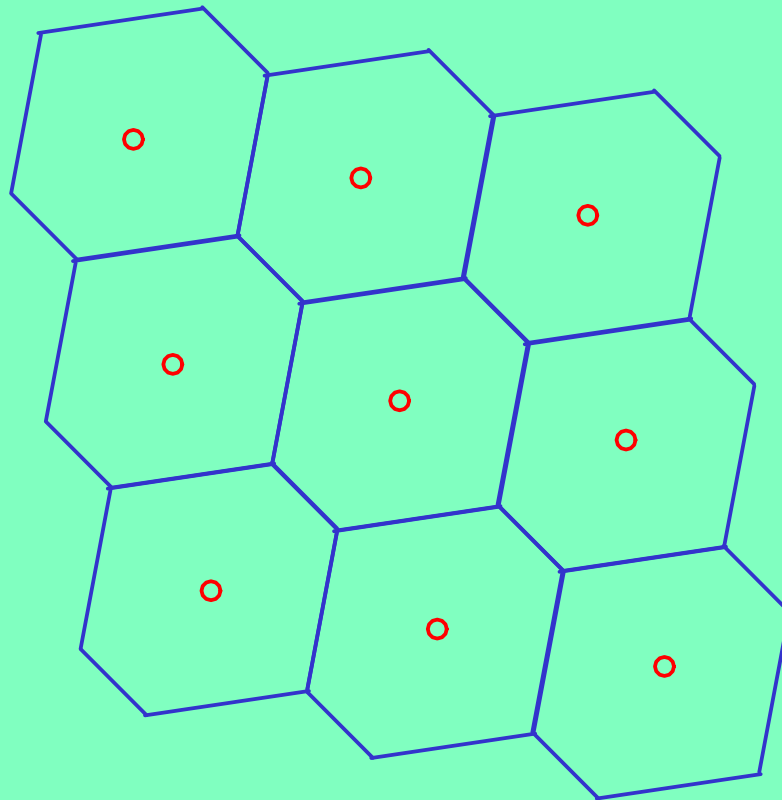
DISTORTION : VORONOI



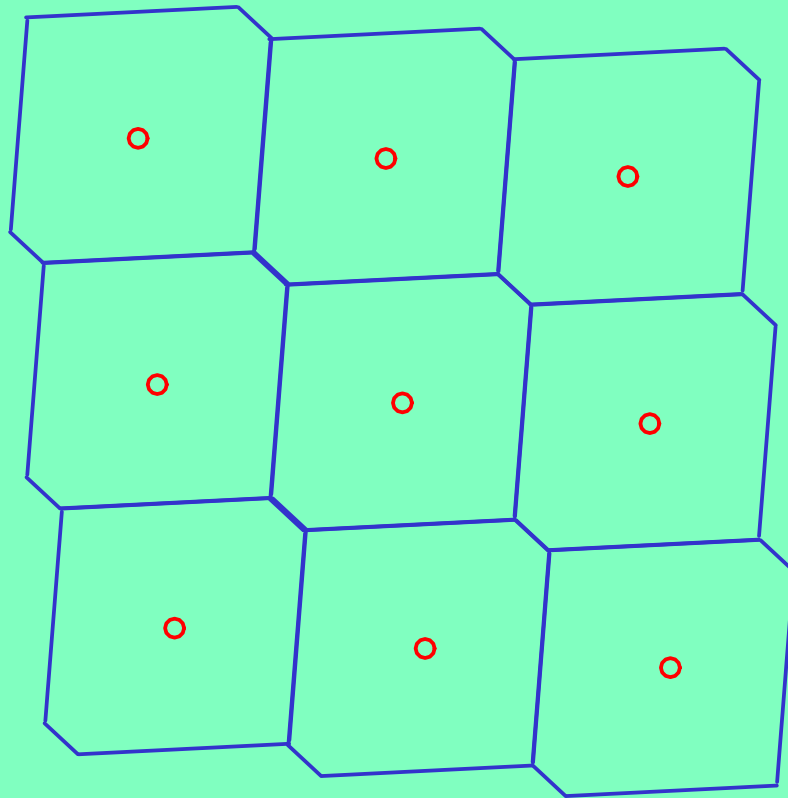
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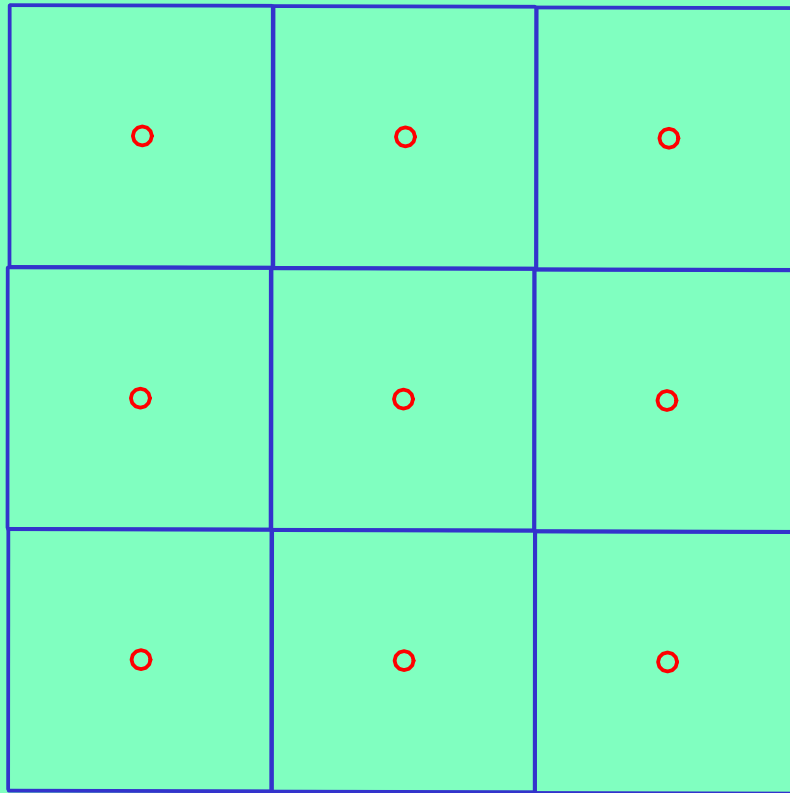
DISTORTION : VORONOI



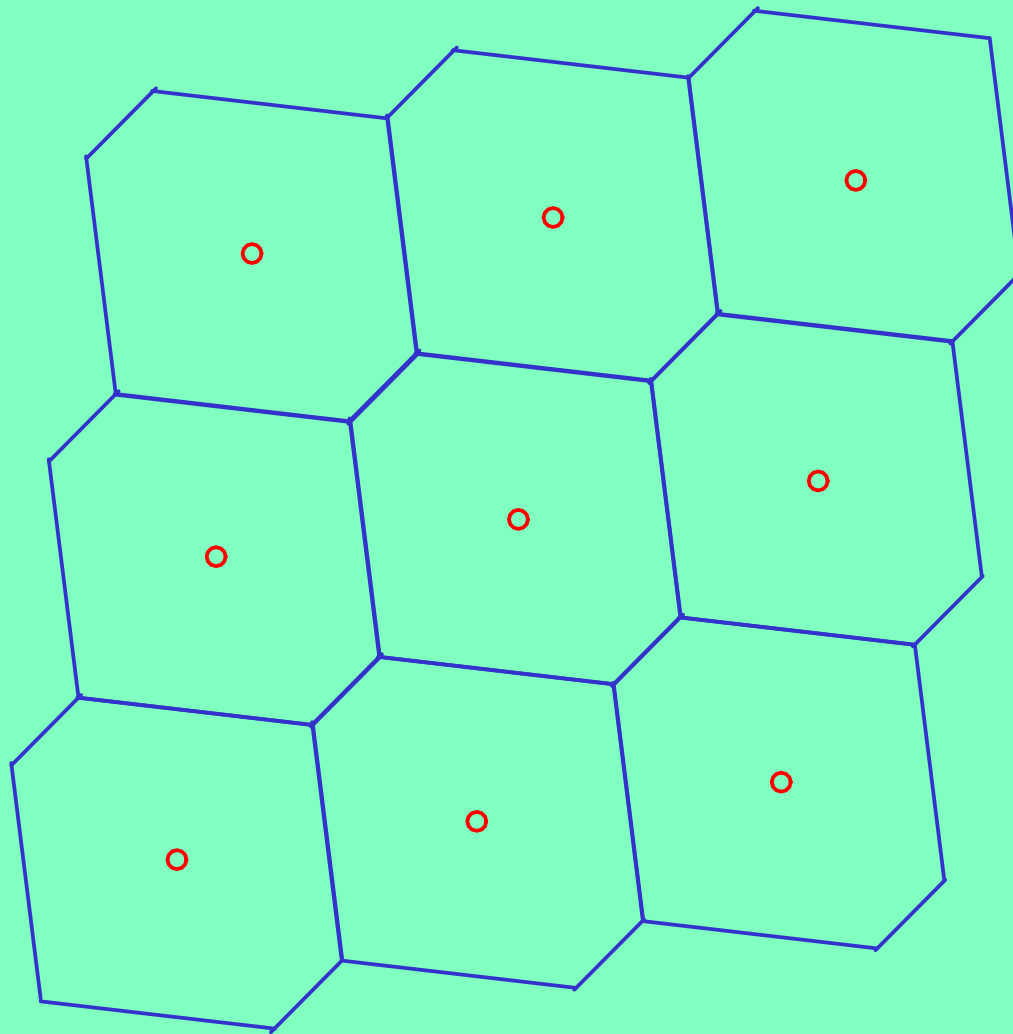
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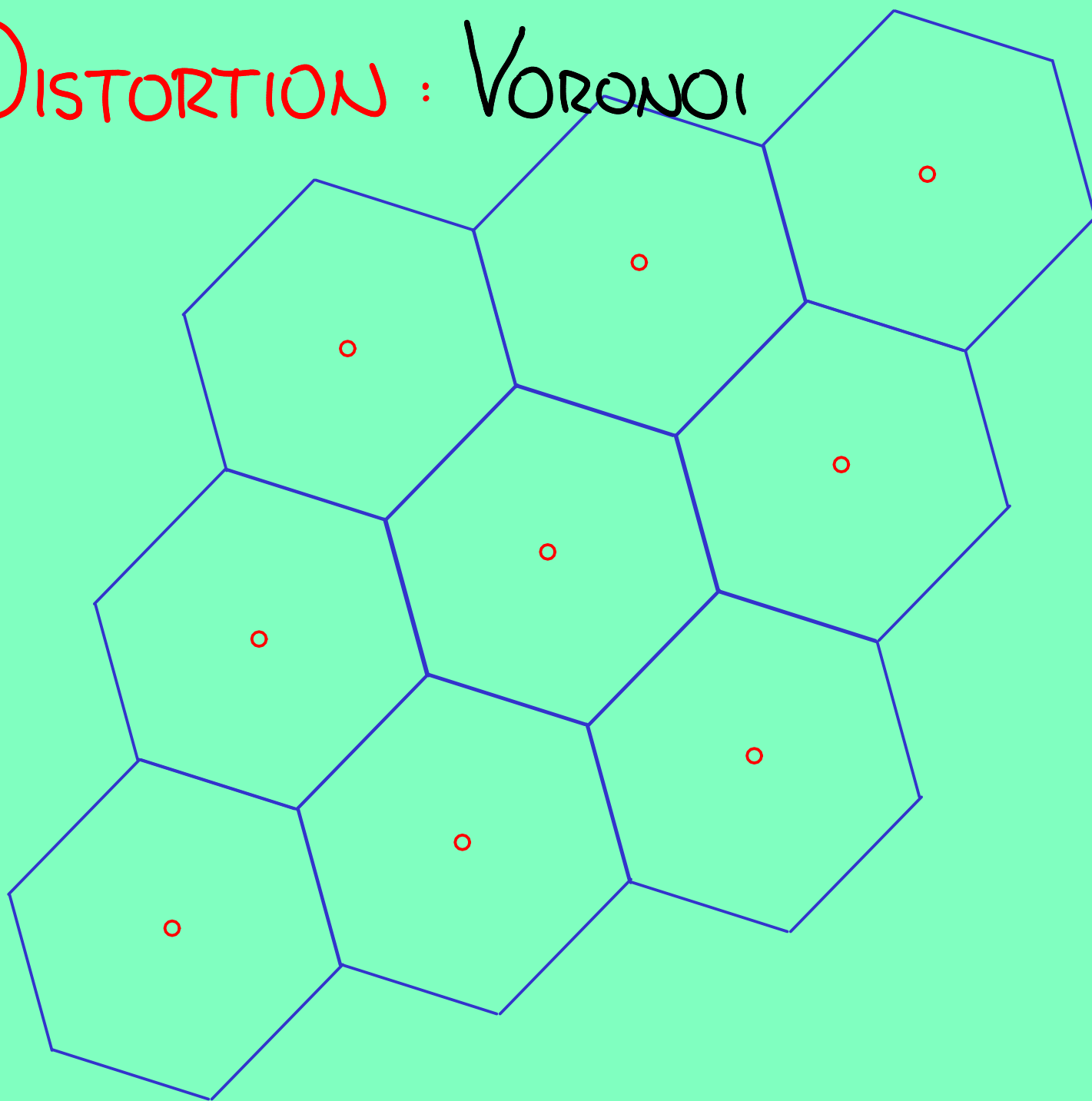
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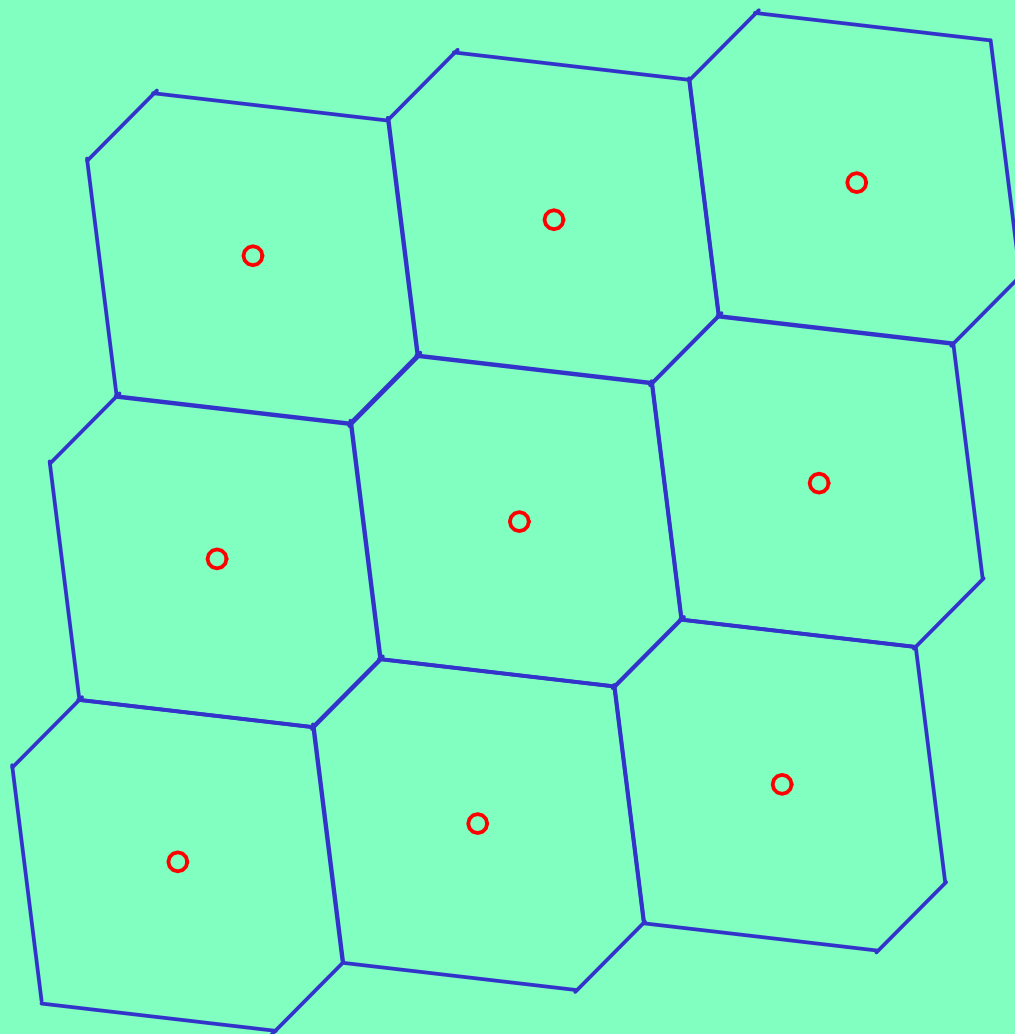
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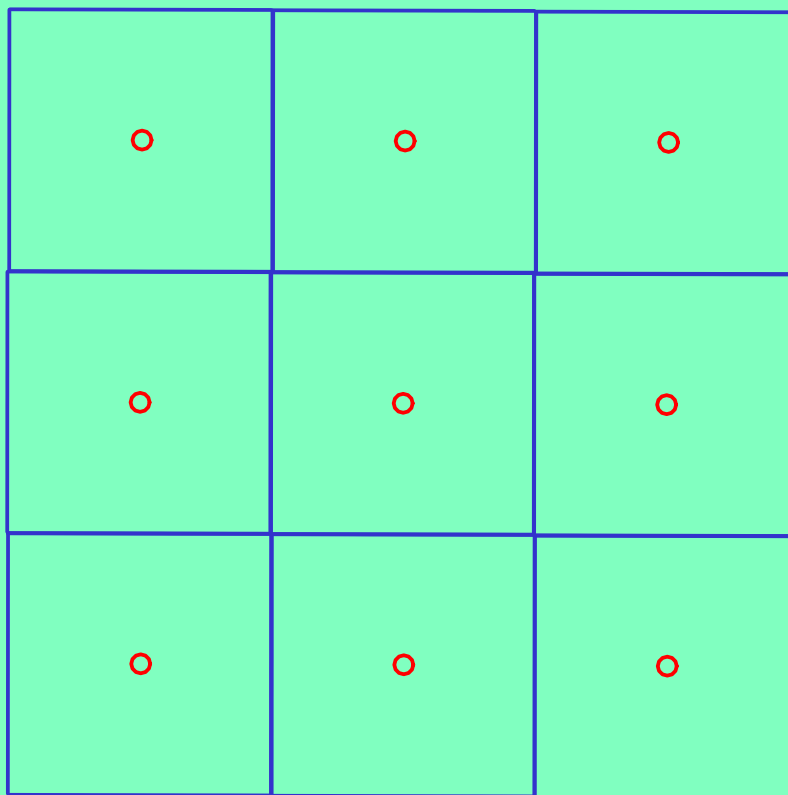
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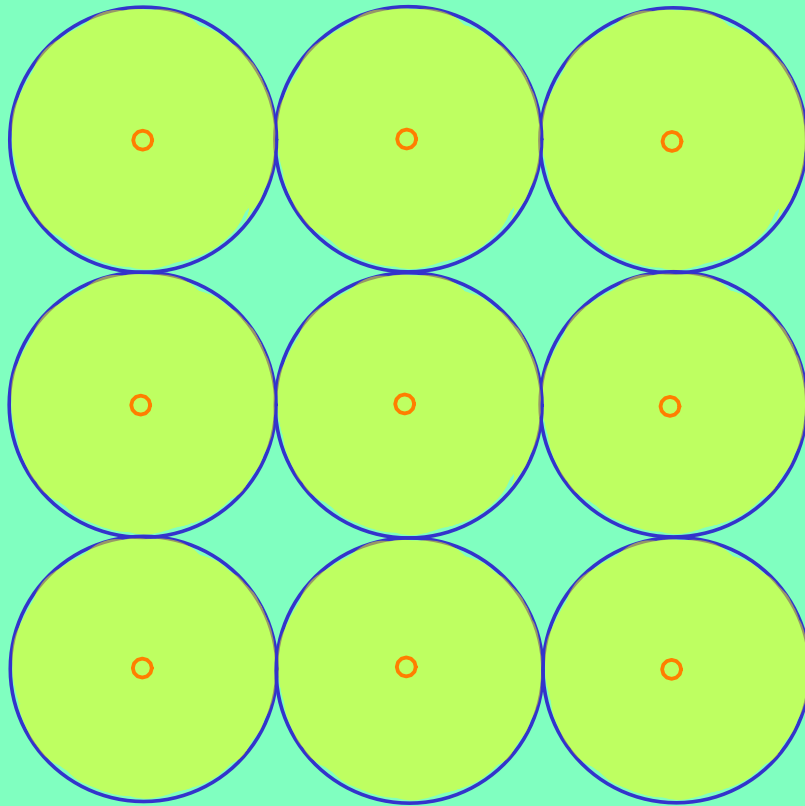


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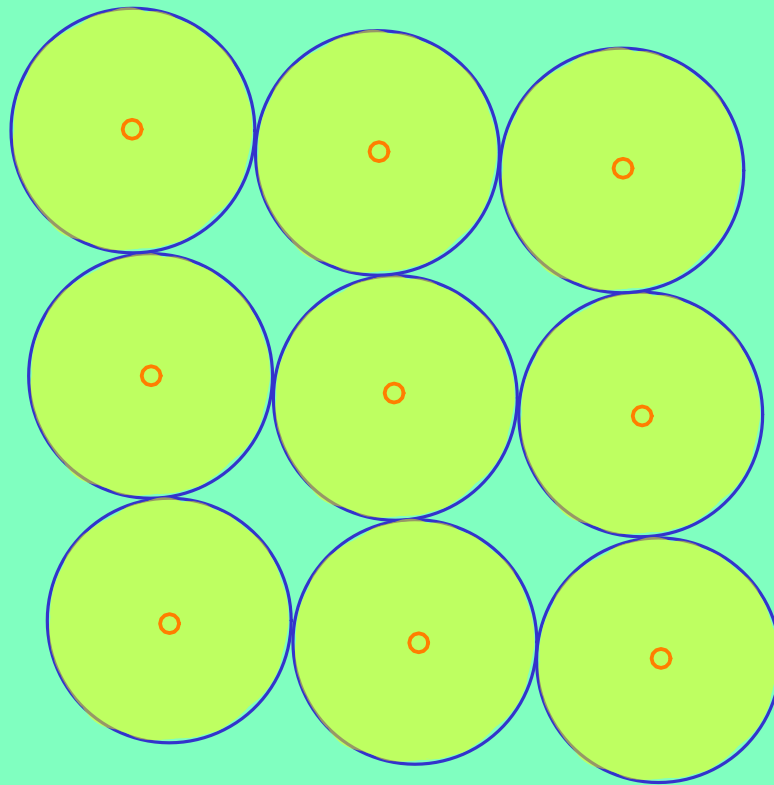


DISTORTION : PACKING

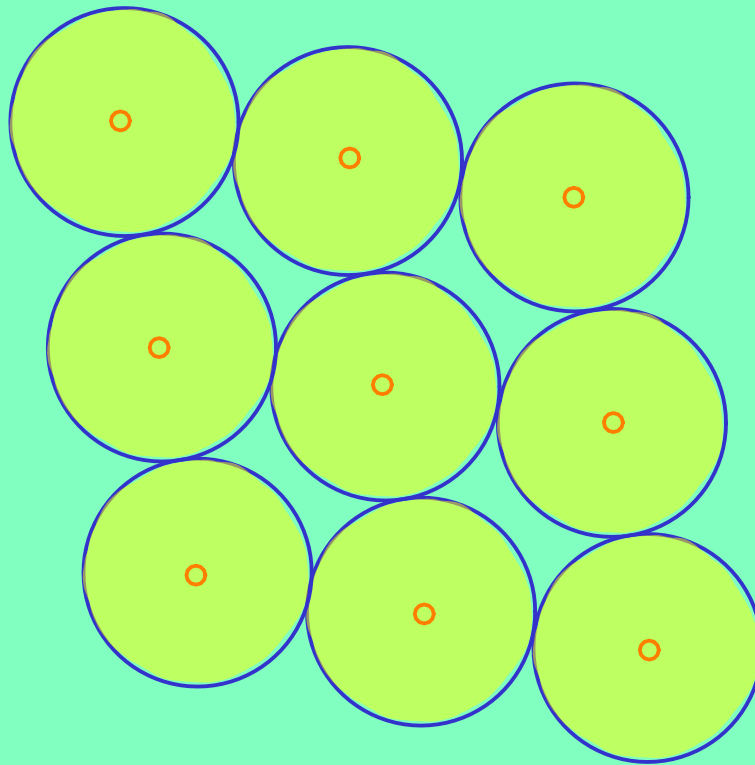
DISTORTION : PACKING



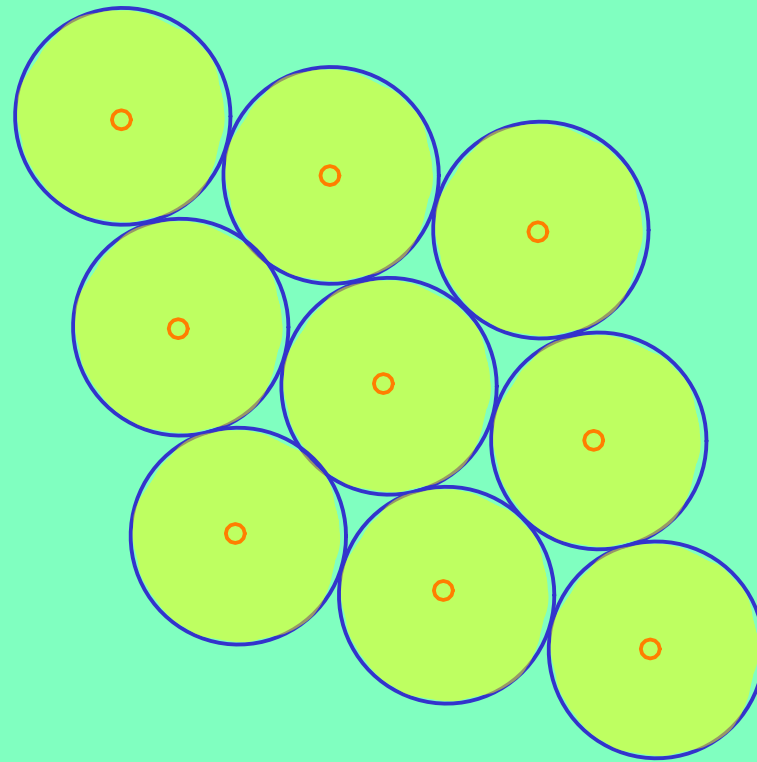
DISTORTION : PACKING



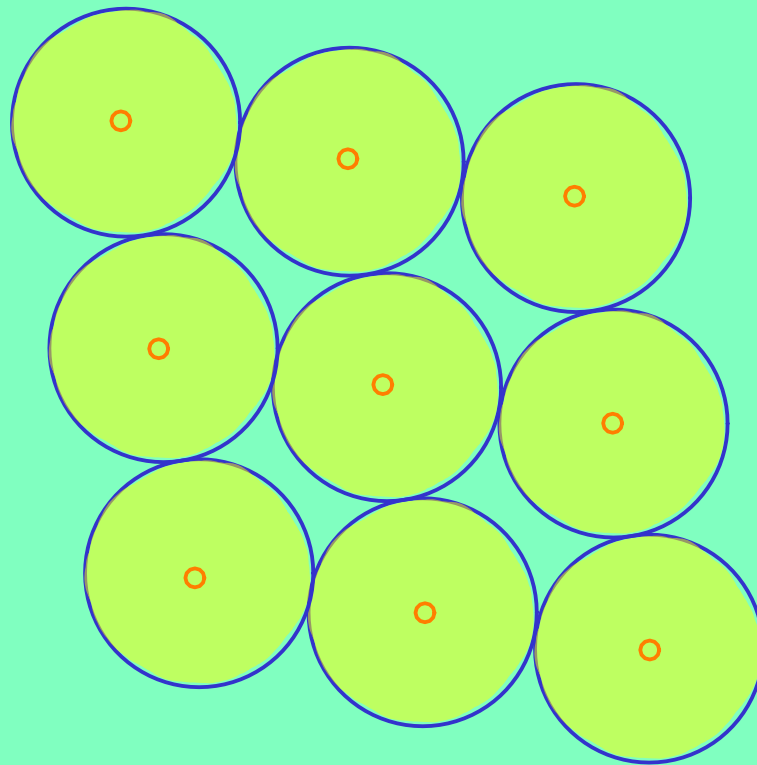
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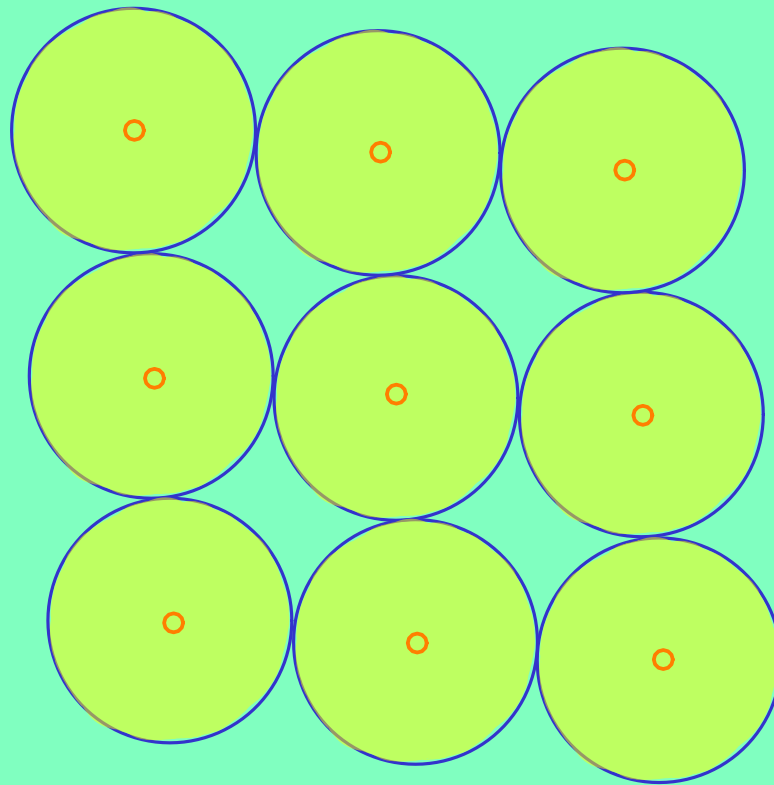
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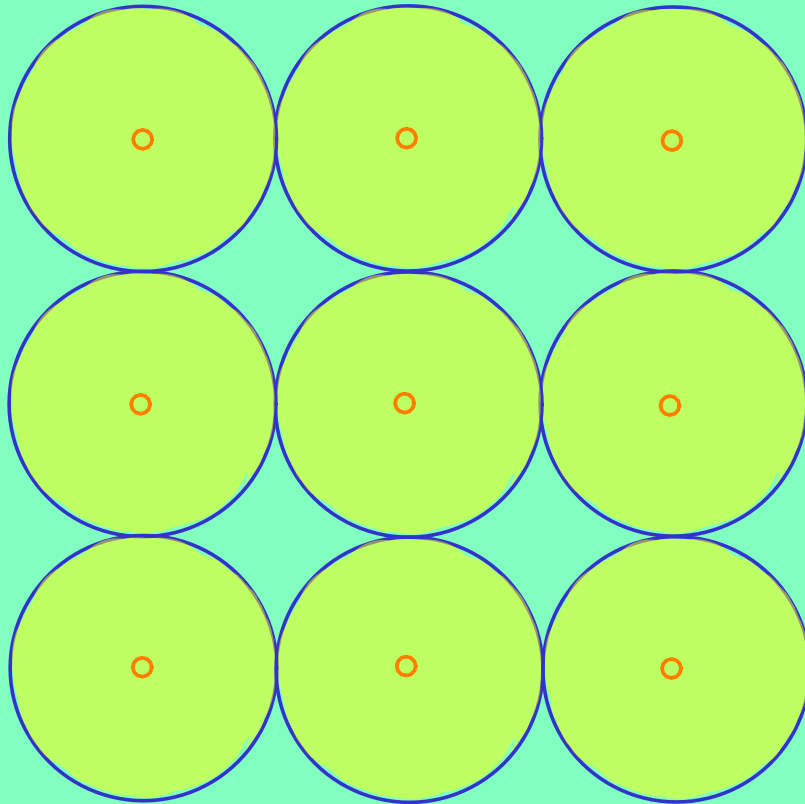
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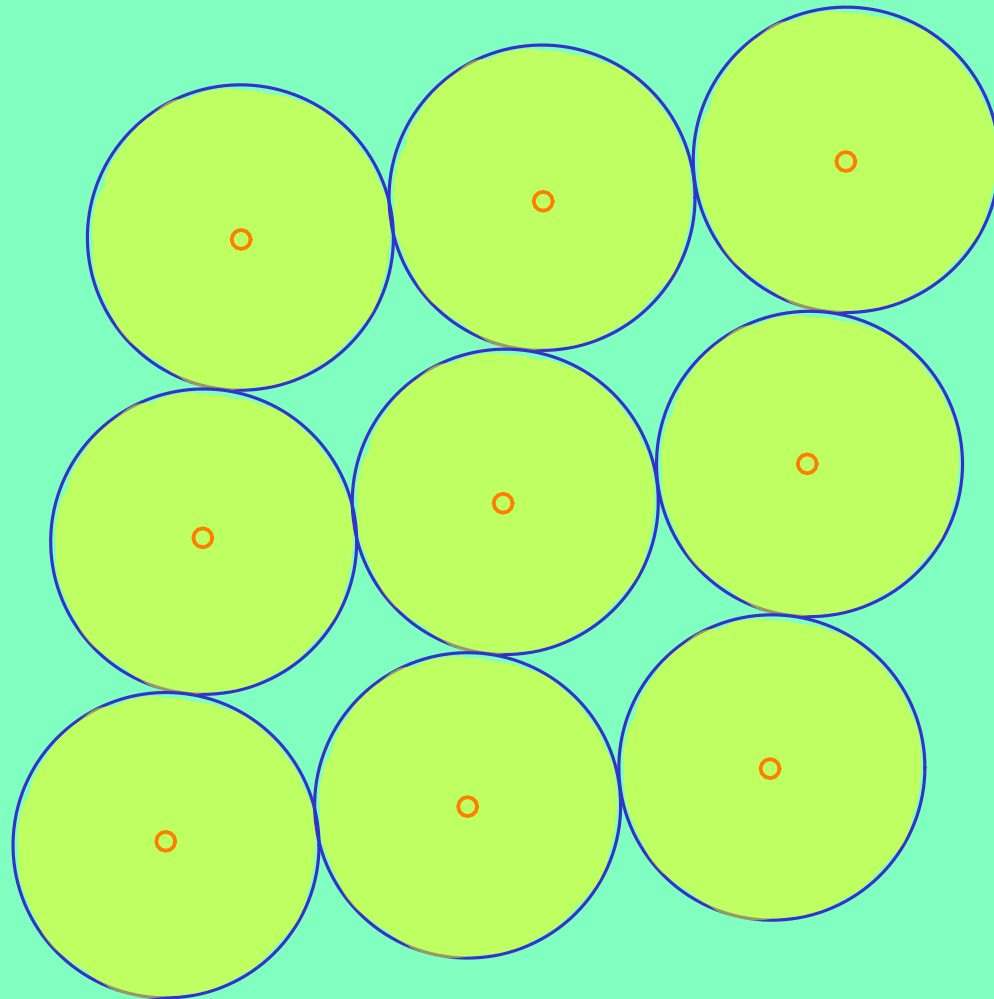
DISTORTION : PACKING



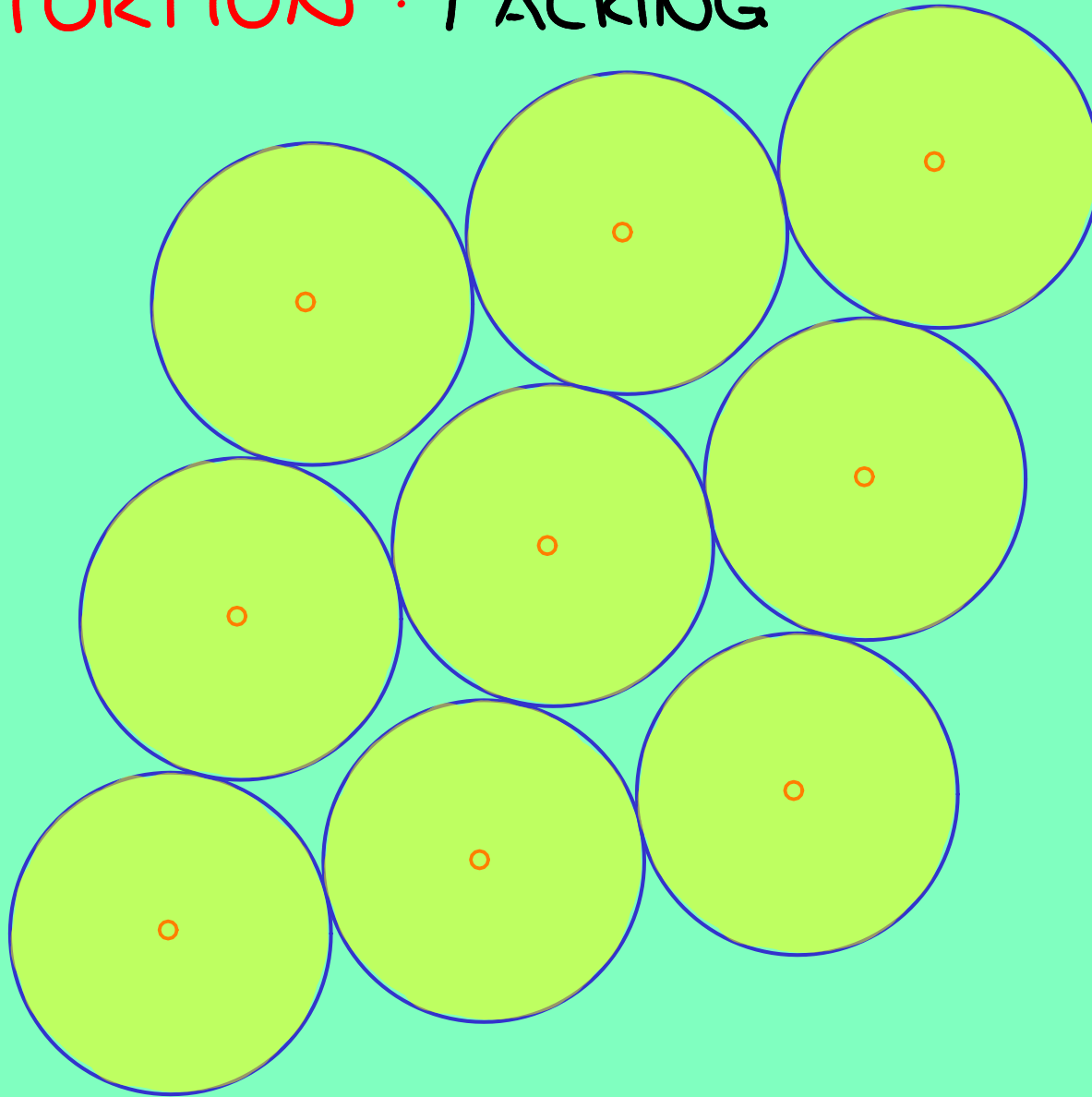
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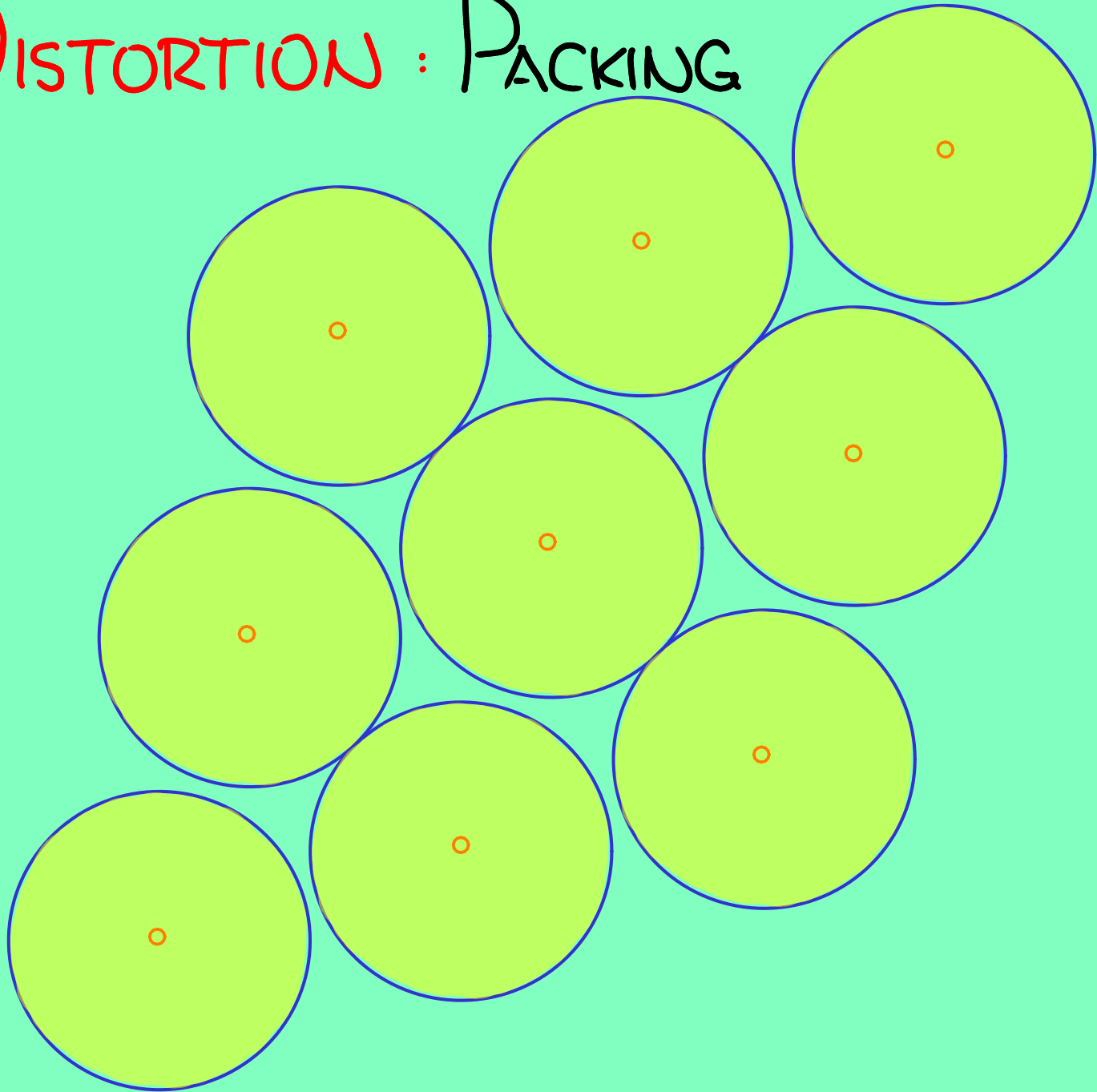
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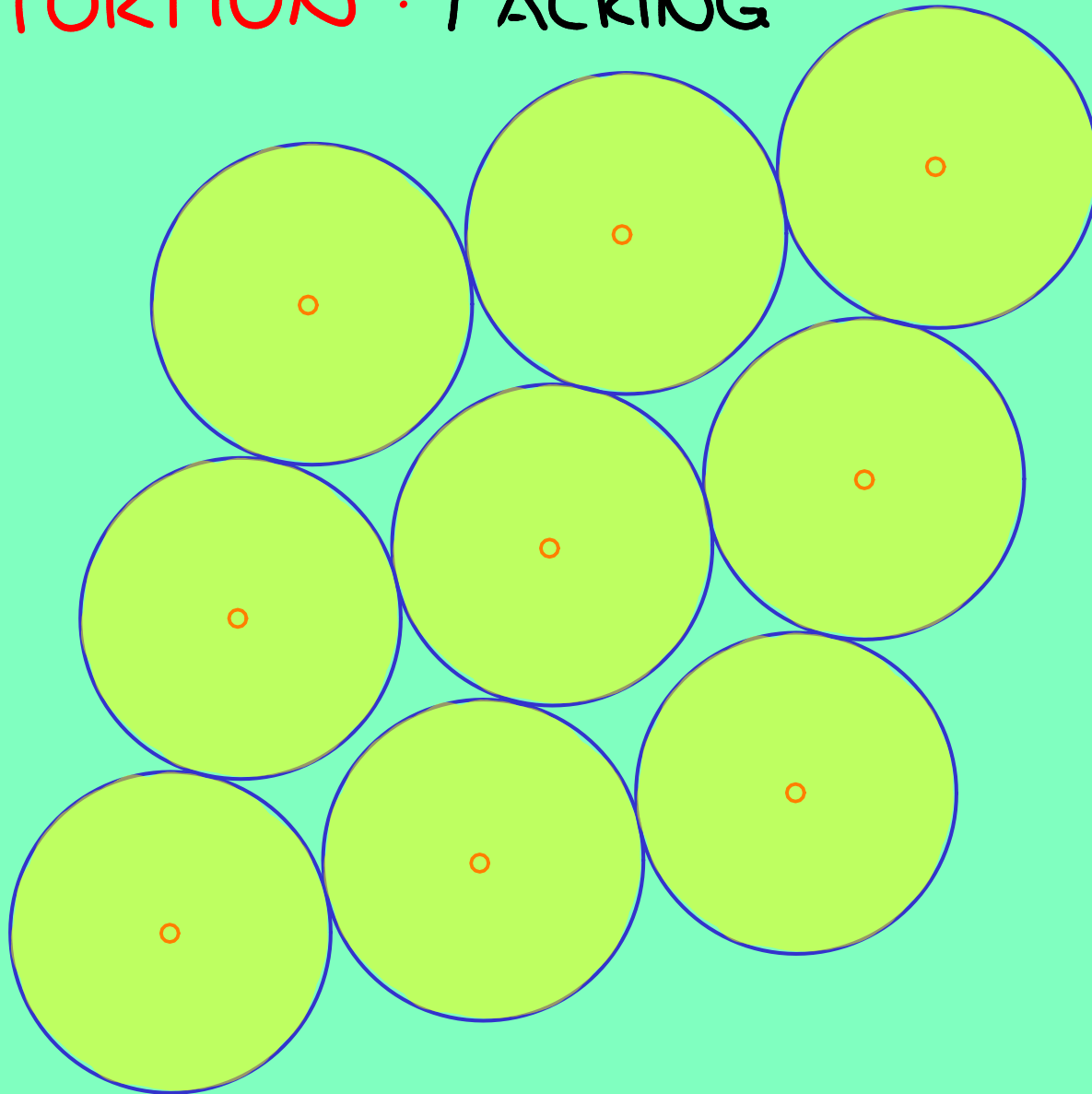
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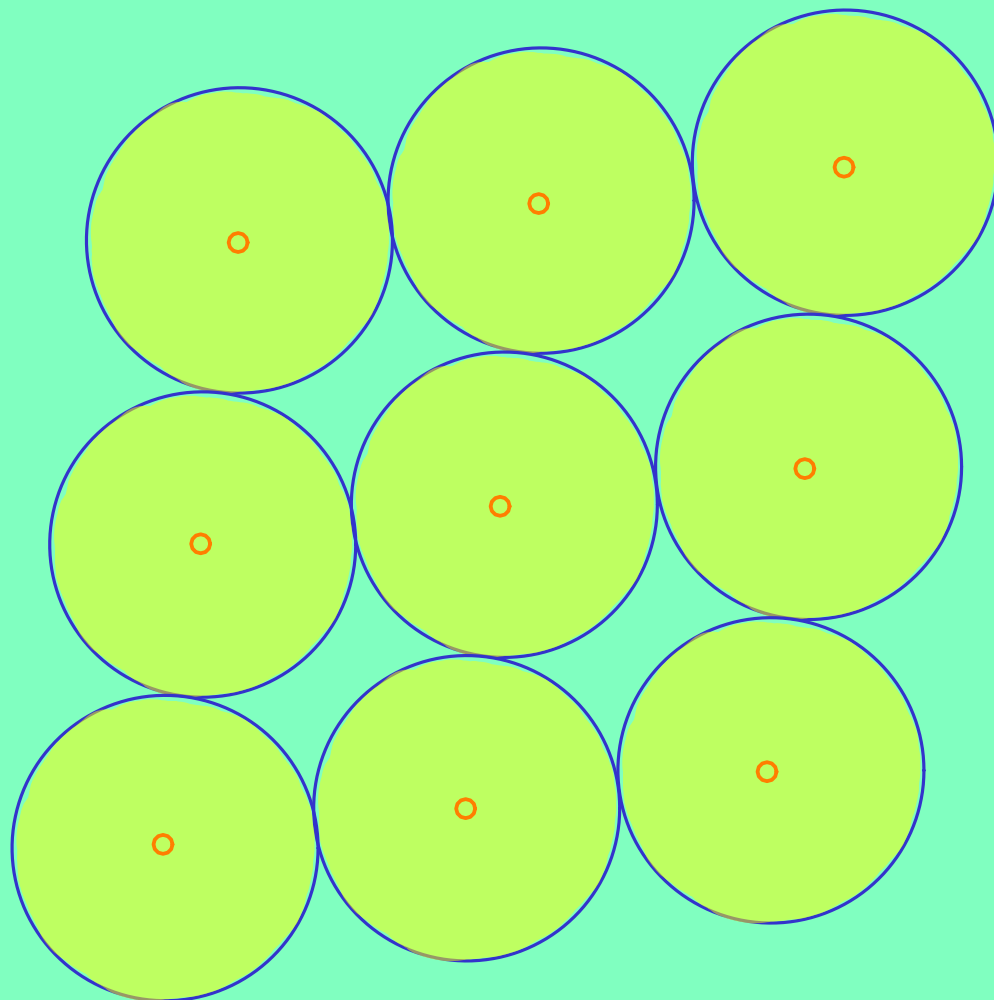
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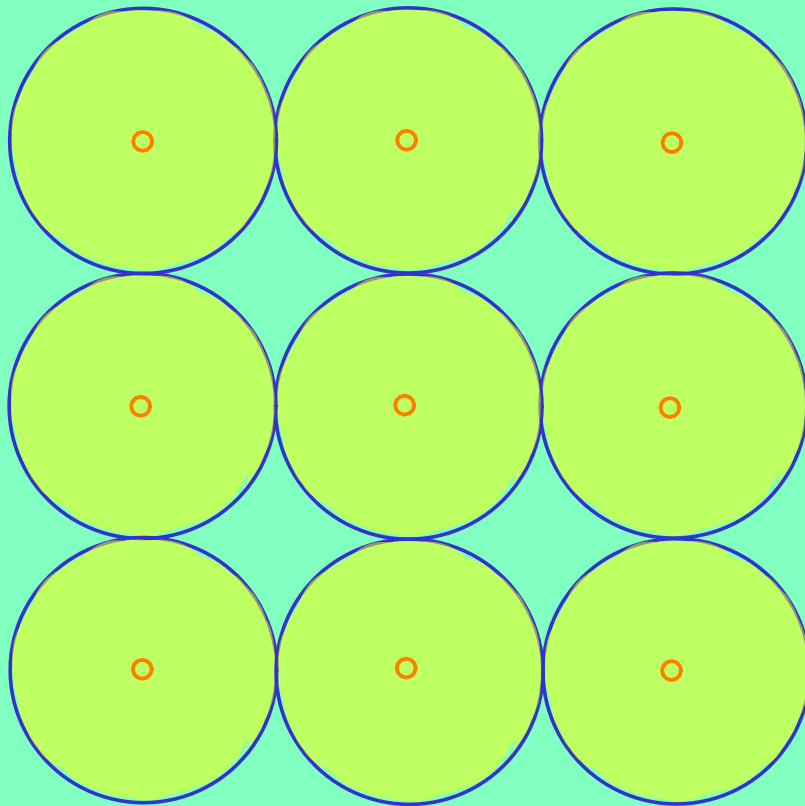
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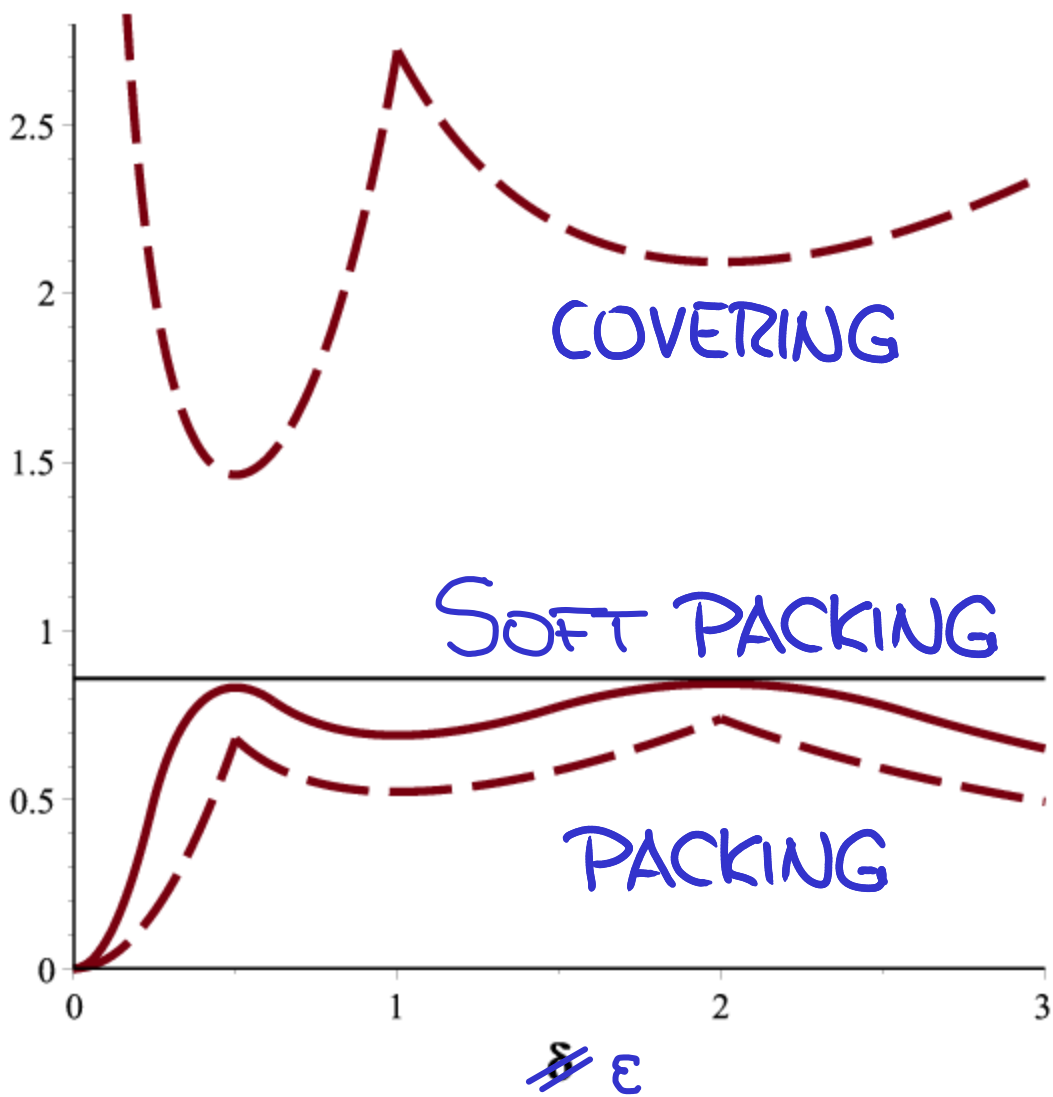


DISTORTION : PACKING



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SOFT PACKING THEOREM

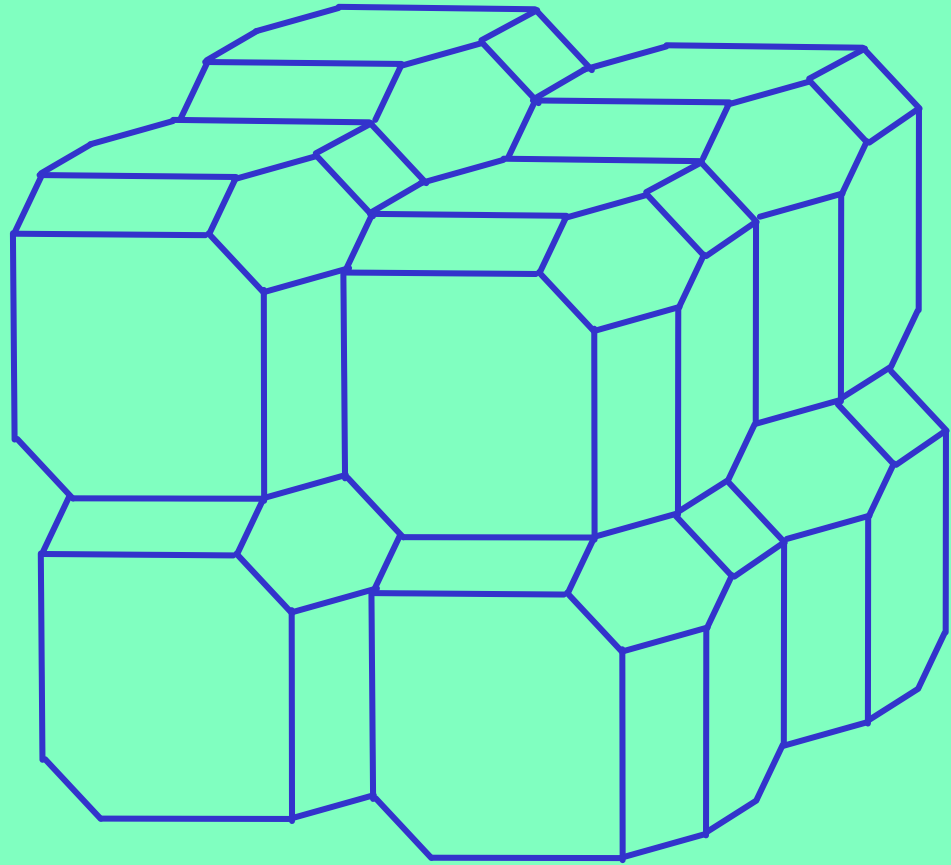
Among the lattices in the diagonal family in \mathbb{R}^3 , the FCC lattice with spheres of radius 1.090... times the packing radius maximizes the soft density at $\delta_s = 0.844...$.

Combinatorially constant Delaunay mosaic

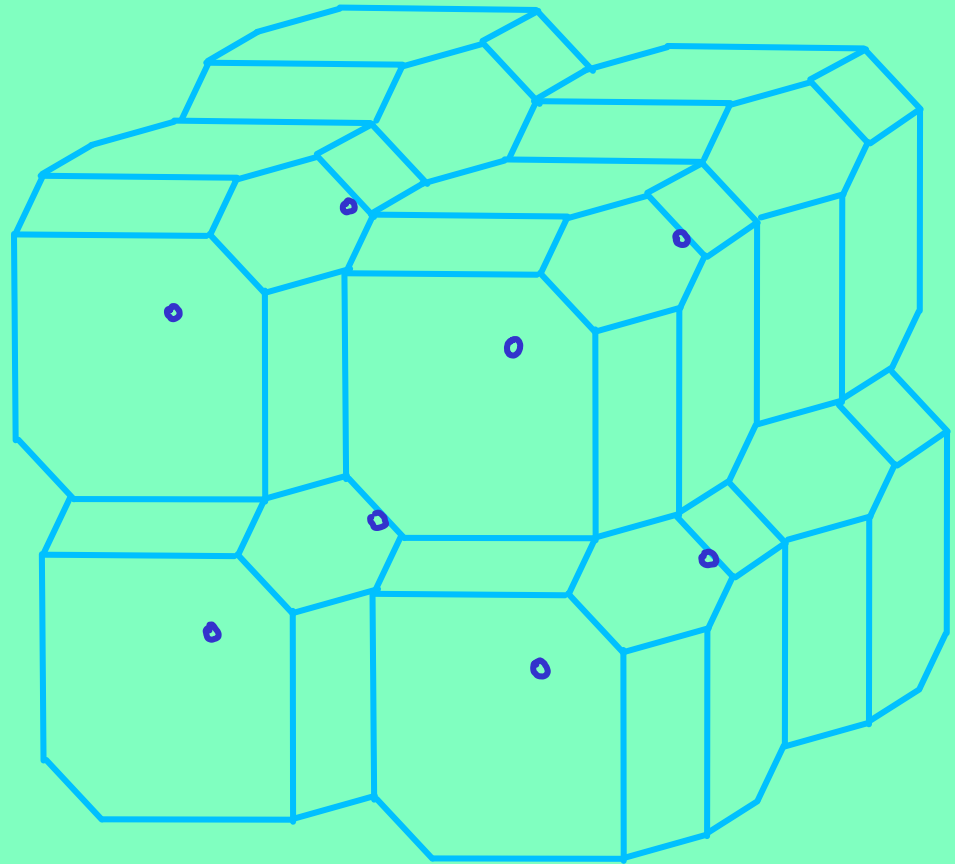
for $0 < \varepsilon < 1$

and $1 < \varepsilon < \infty$

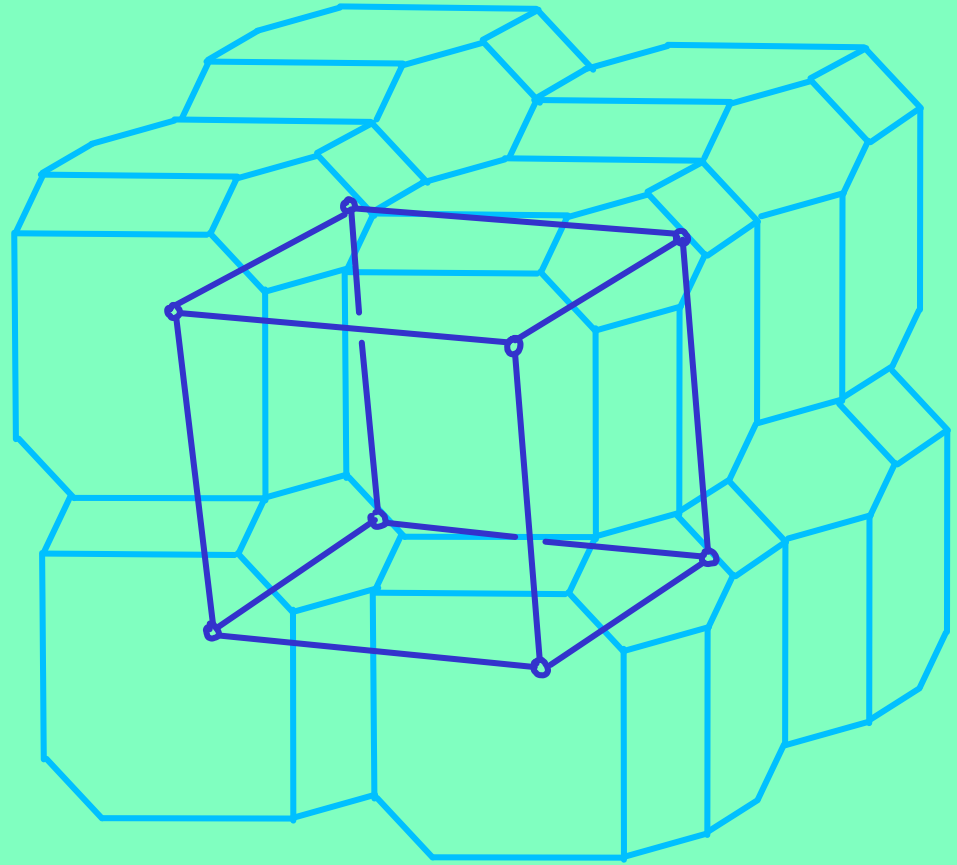
COMPRESSED INTEGER GRID



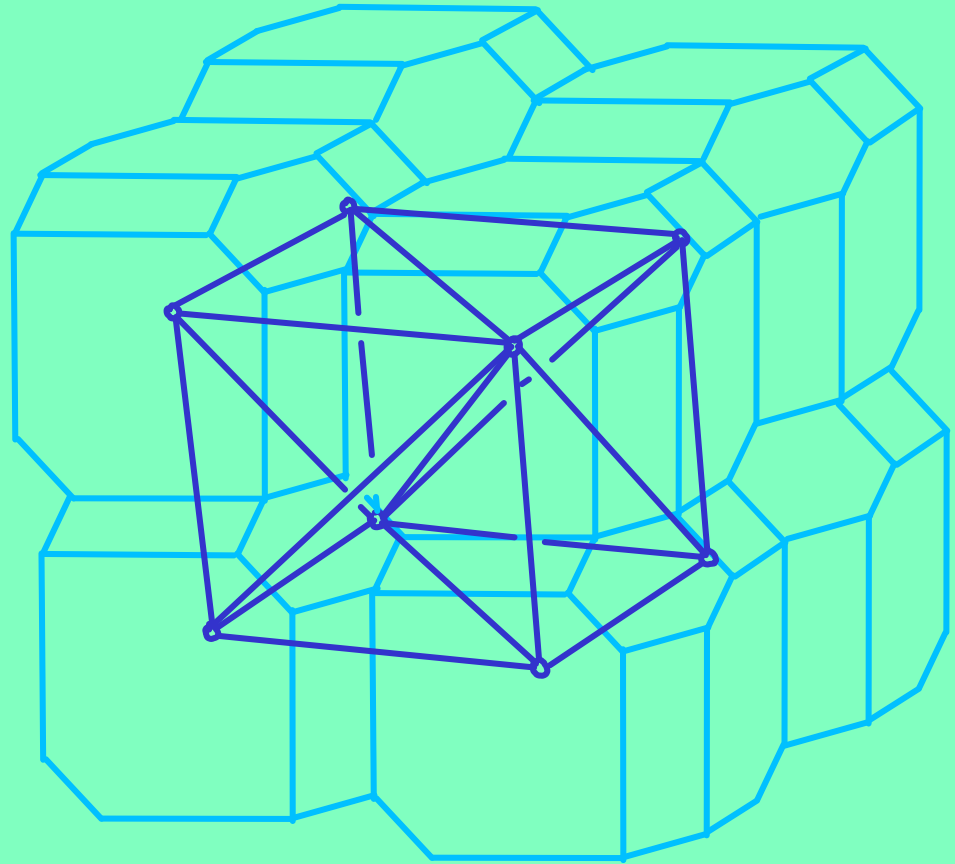
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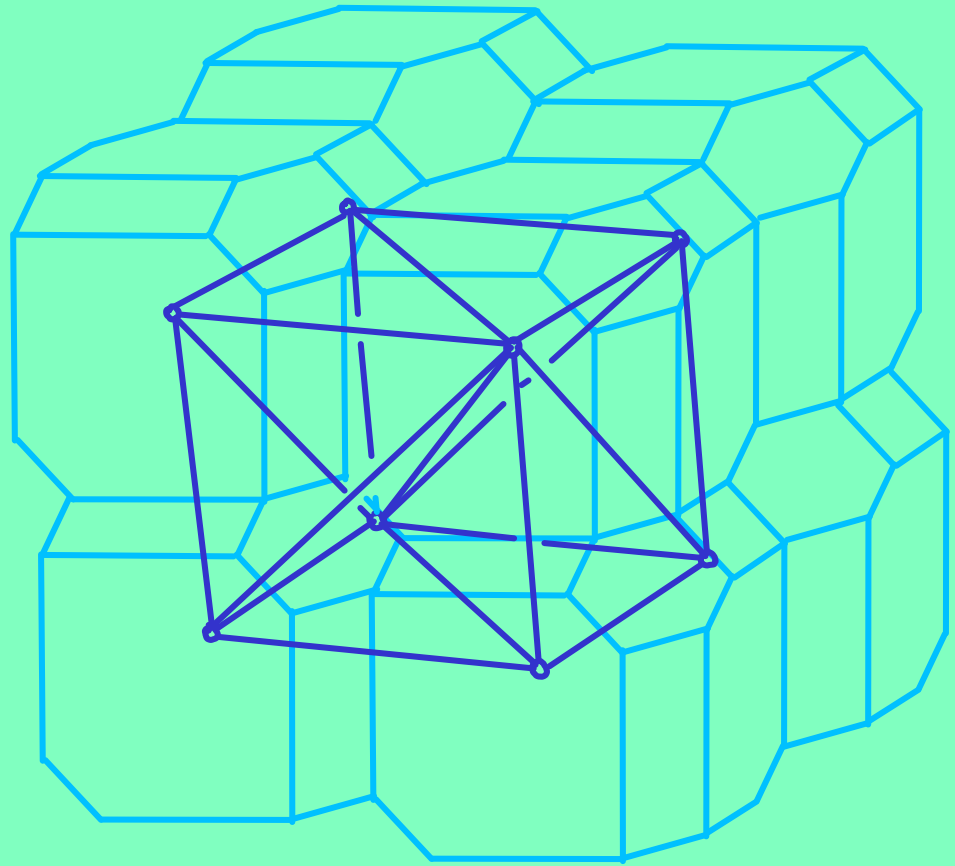


COMPRESSED INTEGER GRID

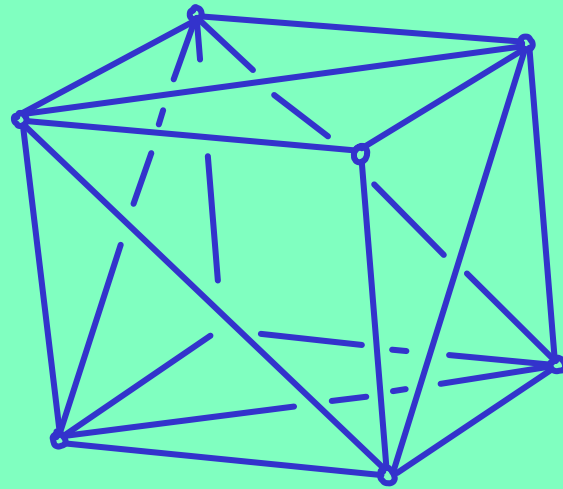


COMPRESSED INTEGER GRID

$\epsilon < 1$: Delaunay mosaic is
Freudenthal triangulation

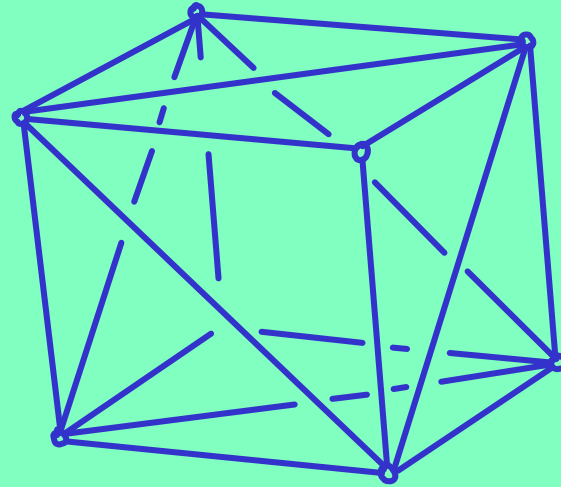


STRETCHED INTEGER GRID



STRETCHED INTEGER GRID

$\epsilon > 1$: Delaunay mosaic is
slice decomposition



I Diagonal Family of Lattices

II Density and Soft Density

III Optimality of FCC Lattice

DENSITY

$$\Lambda \in \mathbb{R}^3, \quad r \geq 0, \quad \text{density is } \mathcal{J} = \frac{\text{vol}[B_r]}{|\Lambda|}$$

DENSITY

$$\Lambda \in \mathbb{R}^3, \quad r \geq 0, \quad \text{density is } \delta = \frac{\text{vol}[B_r]}{|\Lambda|}$$

$$\pi_k = \text{Prob}[x \text{ contained in exactly } k \text{ spheres}]$$

$$\varphi_k = \text{Prob}[x \text{ contained in at least } k \text{ spheres}]$$

$$= \pi_k + \pi_{k+1} + \pi_{k+2} + \dots$$

$$\delta = \varphi_1 + \varphi_2 + \varphi_3 + \dots = \pi_1 + 2\pi_2 + 3\pi_3 + \dots$$

SOFT DENSITY

$$J_i = \varphi_1 - \varphi_2 - \varphi_3 - \dots = \pi_1 - \pi_3 - 2\pi_4 - \dots$$

SOFT DENSITY

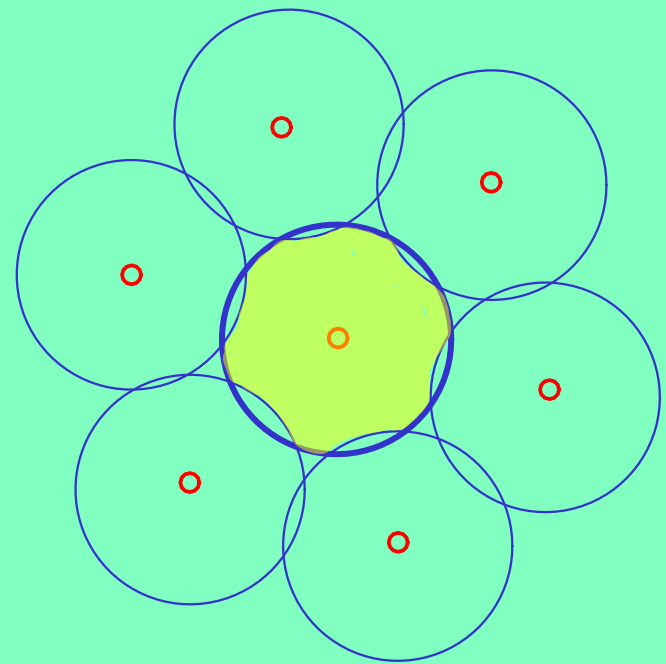
$$\delta_1 = \varphi_1 - \varphi_2 - \varphi_3 - \dots = \pi_1 - \pi_3 - 2\pi_4 - \dots$$

maximized by
hexagonal lattice in \mathbb{R}^2 :

$$\delta_1 = \pi_1 = 0.928\dots$$

[Borózs 1973]

[Beind, Beind 1986]



VOLUME FORMULAS

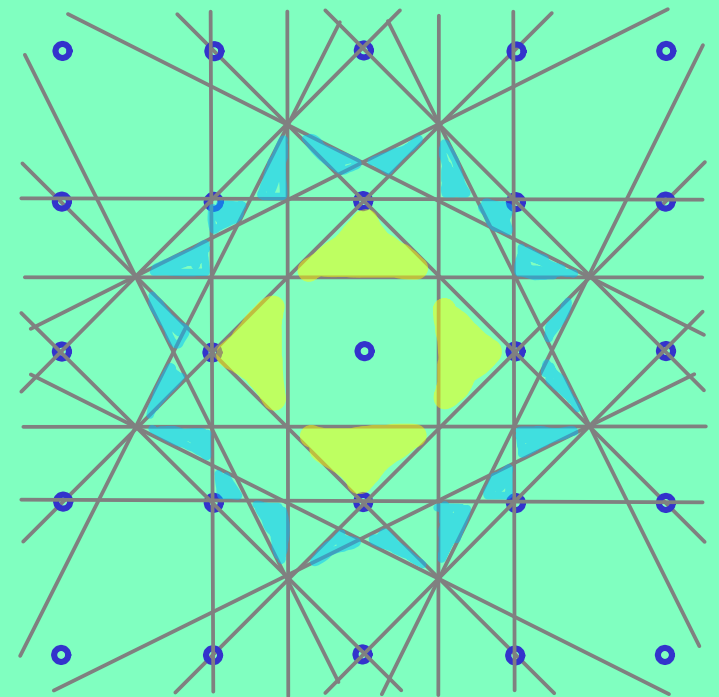
k-th Voronoi cell is $V_k(o) = \{x \mid \|x-p\| < \|x\| \text{ for } \leq k-1 \text{ pts } p \in \Lambda\}$

k-th Brillouin zone is $Z_k(o) = V_k(o) \setminus V_{k-1}(o)$

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k-th Brillouin zone is $Z_k(o) = V_k(o) - V_{k-1}(o)$



VOLUME FORMULAS

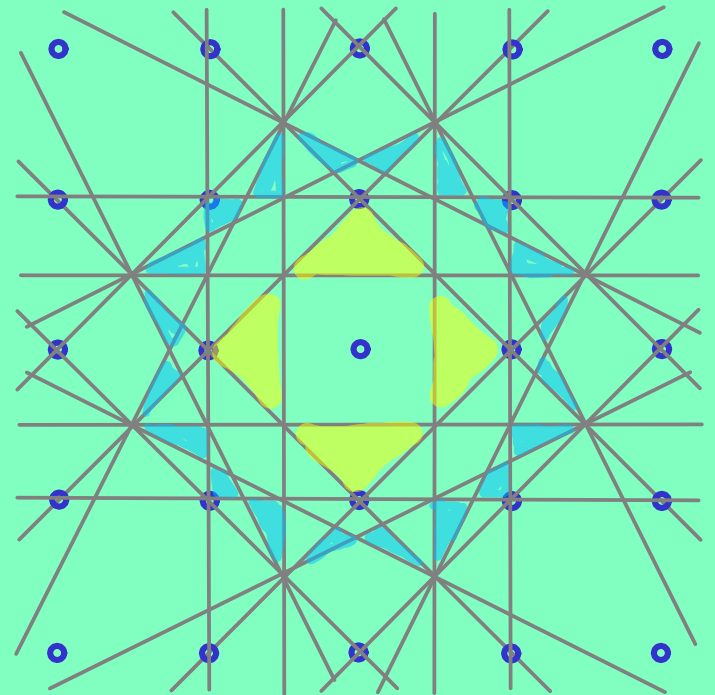
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k-th Brillouin zone is $Z_k(o) = V_k(o) \setminus V_{k-1}(o)$

- $\text{vol}[Z_k(p)] = |\Lambda| \quad \forall k, p$

- $\varphi_k(r) = \frac{\text{vol}[Z_k \cap B_r]}{|\Lambda|}$

- $\delta_1(r) = 2\varphi_1 - (\varphi_1 + \varphi_2 + \dots)$
 $= \frac{2\text{vol}[Z_1 \cap B_r] - \text{vol}[B_r]}{|\Lambda|}$



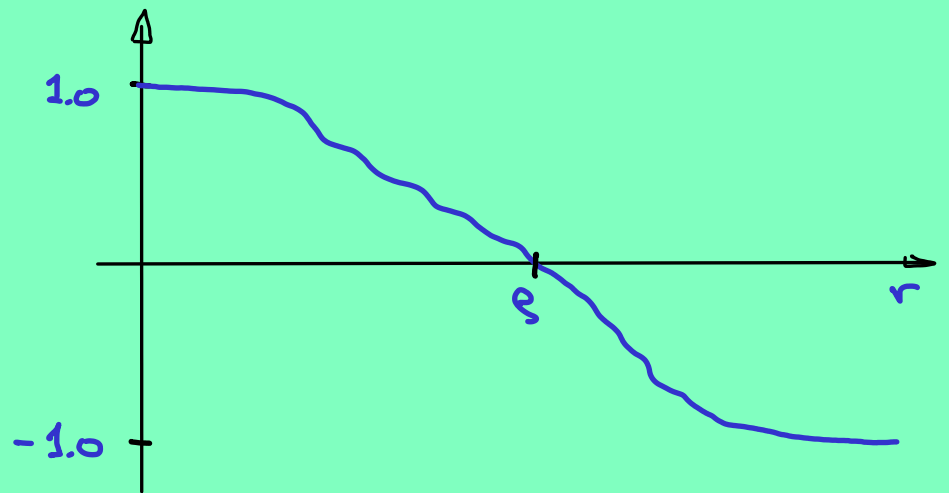
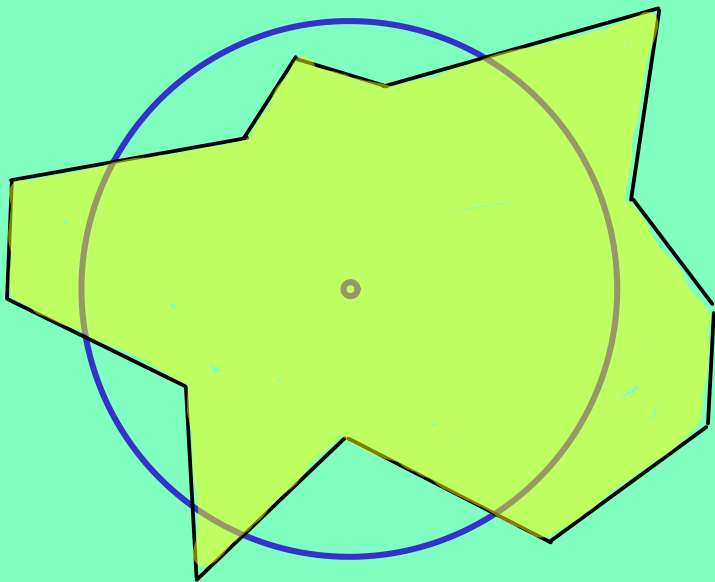
UNIMODALITY

$f: \mathbb{R}_+ \rightarrow \mathbb{R}$ is unimodal if $\exists \rho > 0$ s.t. $\frac{\partial f}{\partial r}(r) \begin{cases} > 0 & r < \rho \\ = 0 & r = \rho \\ < 0 & r > \rho \end{cases}$

UNIMODALITY

$f: \mathbb{R}_+ \rightarrow \mathbb{R}$ is **unimodal** if $\exists \rho > 0$ s.t. $\frac{\partial f}{\partial r}(r) \begin{cases} > 0 & \text{if } r < \rho \\ = 0 & \text{if } r = \rho \\ < 0 & \text{if } r > \rho \end{cases}$

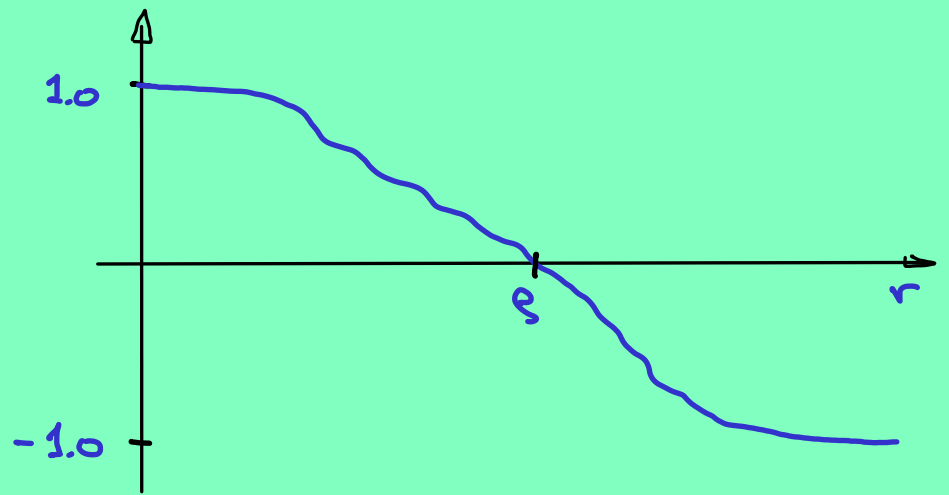
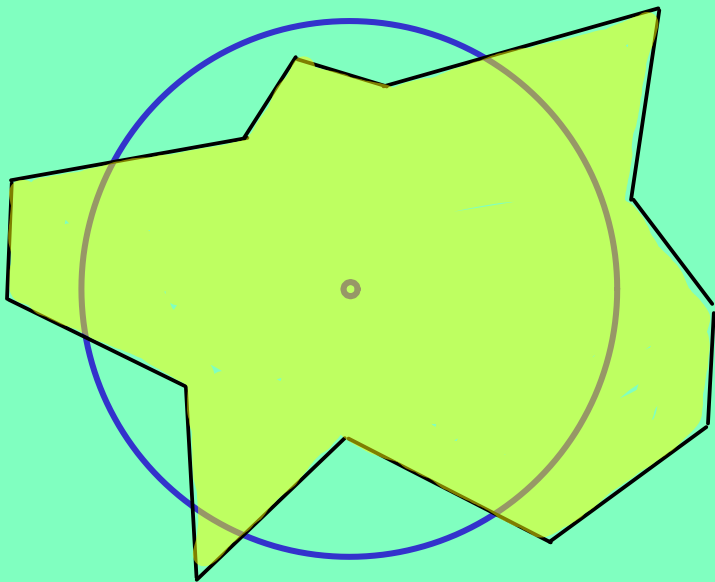
for example $f(r) = \frac{|S(r)^{\text{in}} - S(r)^{\text{out}}|}{|S(r)|}$



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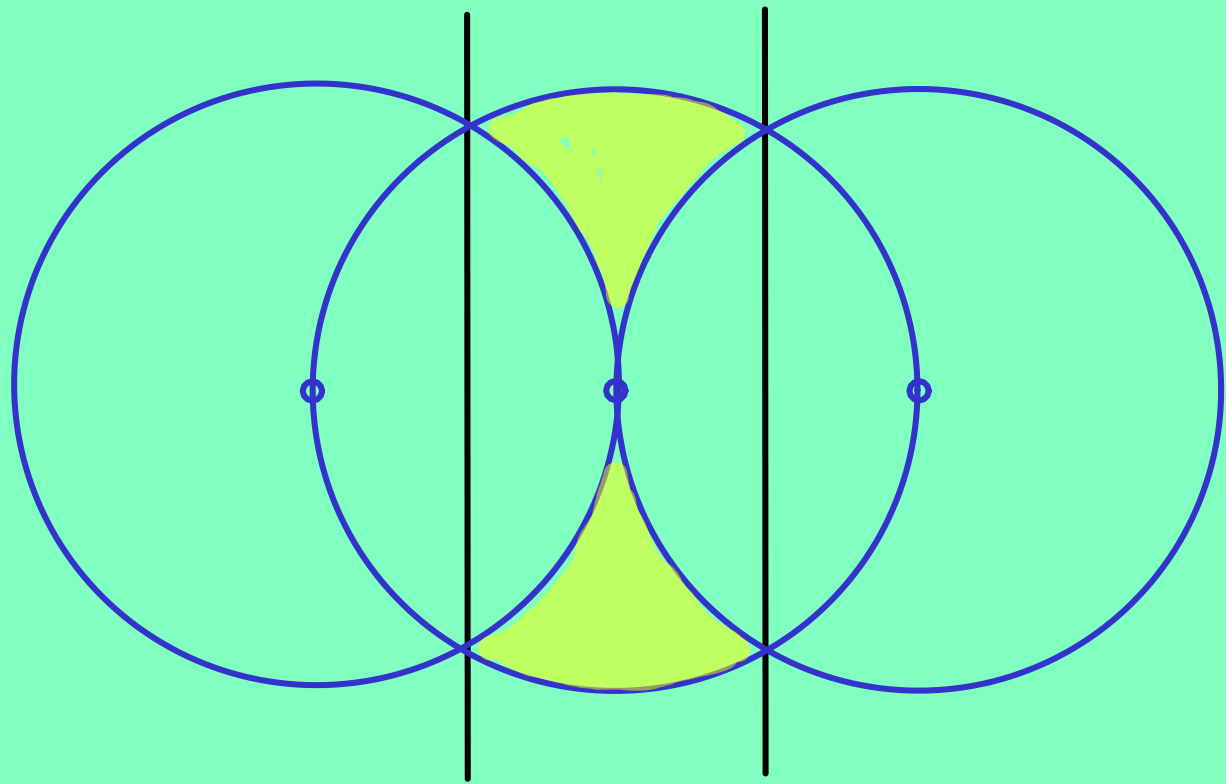
for example $f(r) = \frac{|S(r)^{\text{in}} - S(r)^{\text{out}}|}{|S(r)|}$



□ φ_1 is unimodal.

COUNTER-EXAMPLE

π_1 is not unimodal in \mathbb{R}^3



I Diagonal Family of Lattices

II Density and Soft Density

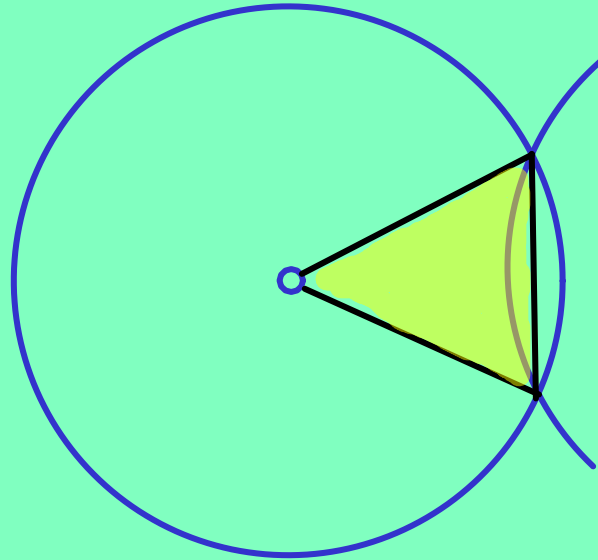
III Optimality of FCC Lattice

SOFT DENSITY FOR FCC

$$|\Lambda| = 2, \quad r = \sqrt{2}/2$$

$$\rho = \frac{12}{11} r$$

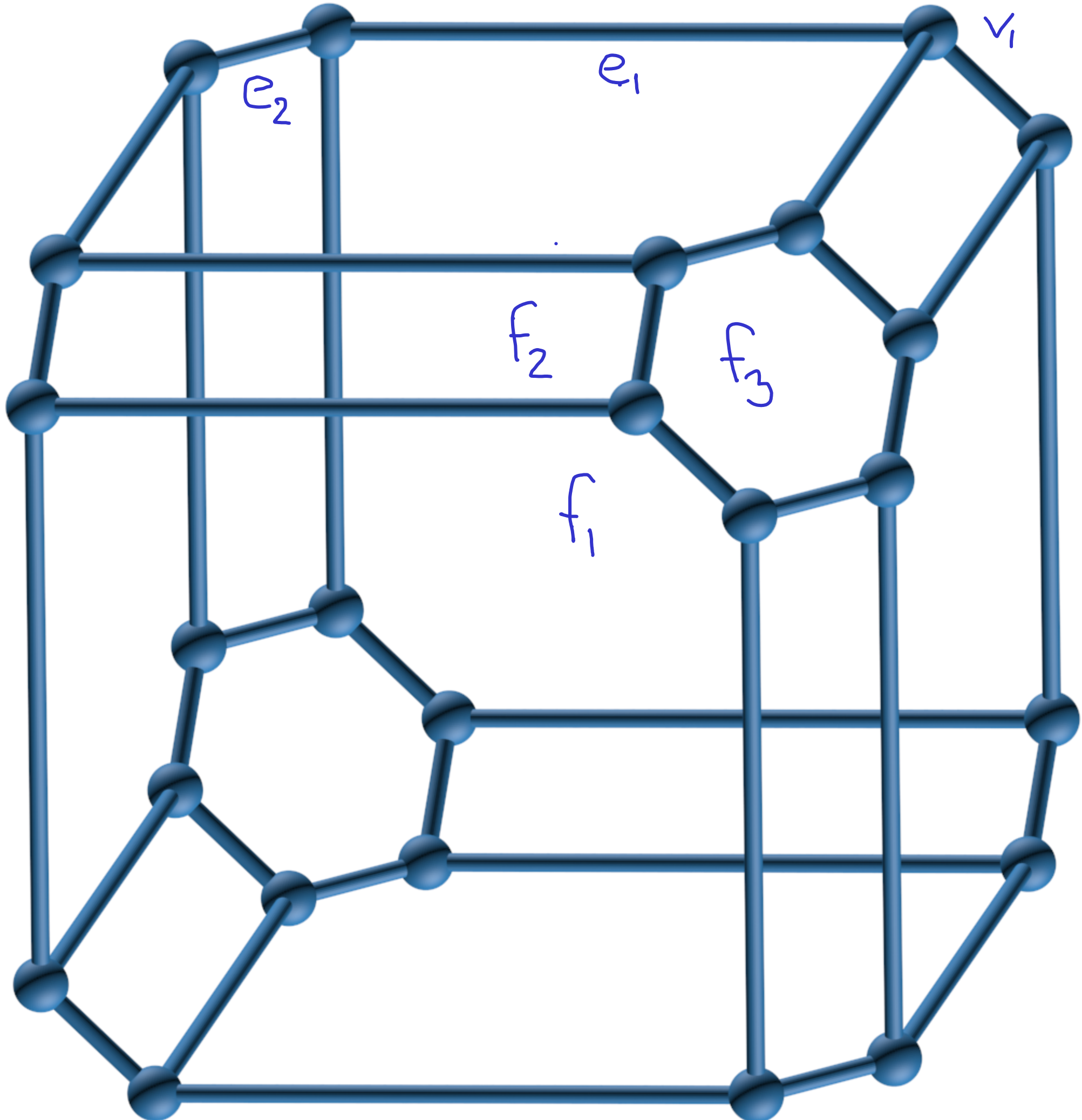
$$R = 1$$

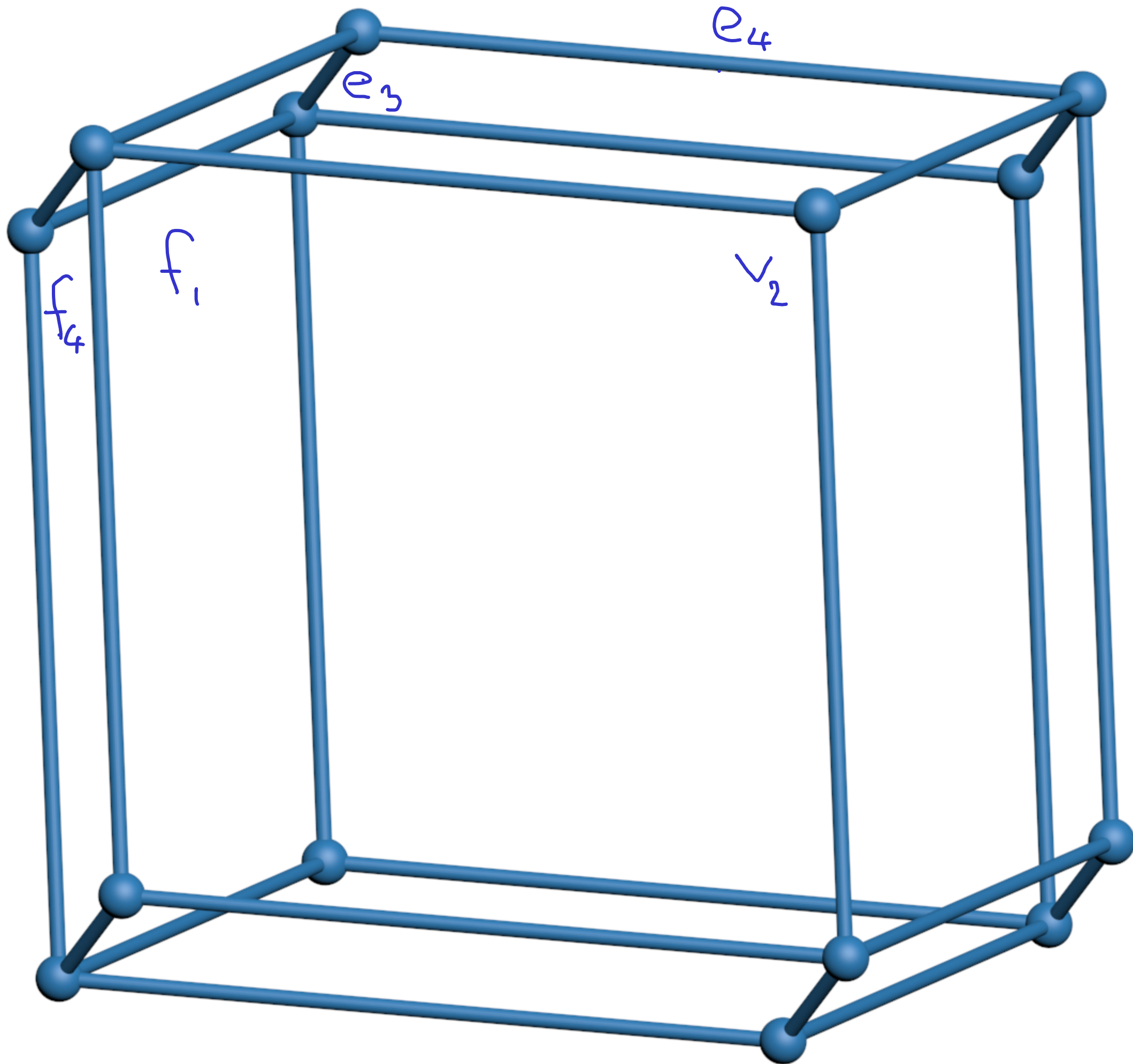


$$\varphi_1 = \frac{1}{2} \left(\frac{\text{vol}[\mathcal{B}_r]}{2} + 12 \text{vol}[\text{Cone}] \right)$$

$$\varphi_2 = \frac{1}{2} \left(\frac{\text{vol}[\mathcal{B}_r]}{2} - 12 \text{vol}[\text{Cone}] \right)$$

$$\mathcal{J} = \varphi_1 - \varphi_2 = 12 \text{vol}[\text{Cone}] = 0.844 \dots$$





CRITICAL RADII

$$f_1(\varepsilon) = \sqrt{(\varepsilon^2 + 2)/12}$$

$$f_2(\varepsilon) = \sqrt{(2\varepsilon^2 + 1)/6}$$

$$f_3(\varepsilon) = \sqrt{3}\varepsilon/2$$

$$e_1(\varepsilon) = (\varepsilon^2 + 2)/(3\sqrt{2})$$

$$e_2(\varepsilon) = \sqrt{(\varepsilon^2 + 2)(2\varepsilon^2 + 1)}/(2\sqrt{3})$$

$$v_1(\varepsilon) = \sqrt{8\varepsilon^4 + 11\varepsilon^2 + 8}/6$$

$$f_4(\varepsilon) = \sqrt{2}/2$$

$$e_3(\varepsilon) = \sqrt{6}/3$$

$$e_4(\varepsilon) = (\varepsilon^2 + 2)/\sqrt{12\varepsilon^2 + 6}$$

$$v_2(\varepsilon) = (\varepsilon^2 + 2)/(2\sqrt{3}\varepsilon)$$

$$v_3(\varepsilon) = \sqrt{\varepsilon^2 + 8}/(2\sqrt{3})$$

SIMPLIFIED SOFT DENSITY

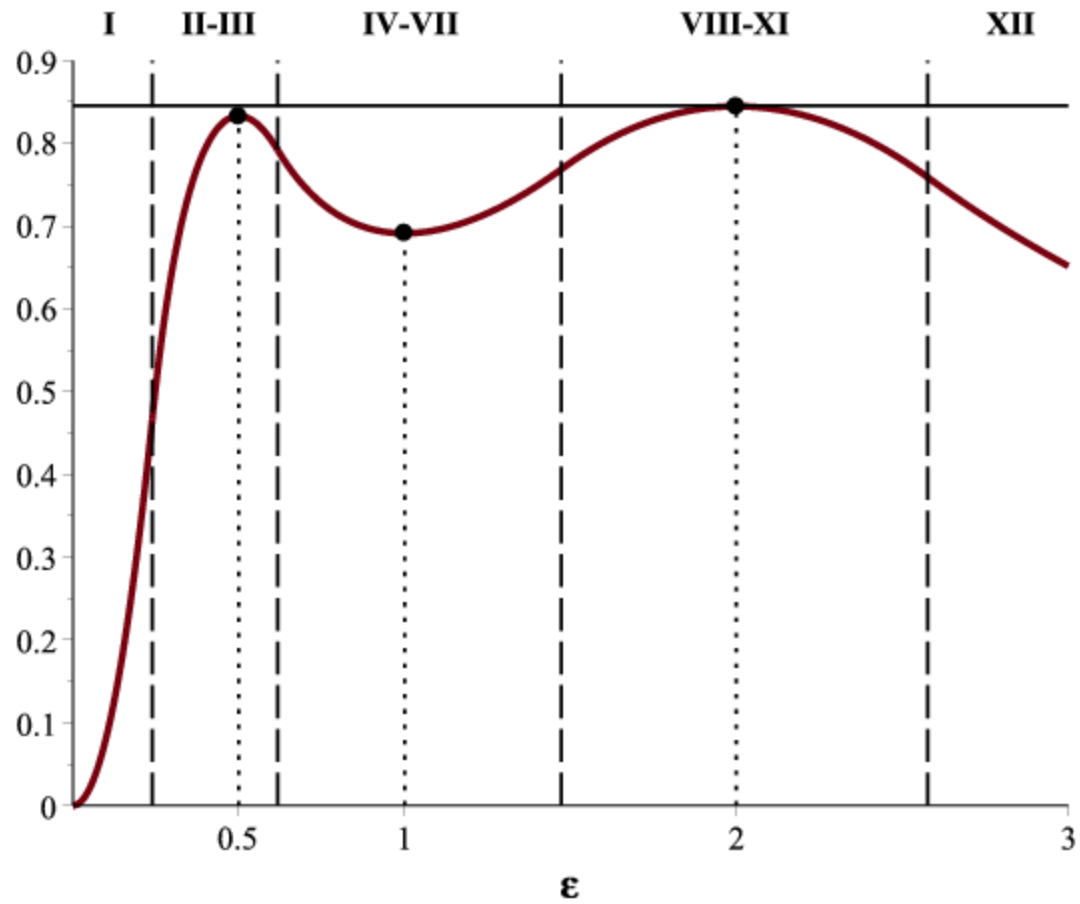
The simplified soft density is

$$\mathcal{J}_{1s} = \sum_{i=1}^{\infty} (3-2i) \varphi_i = \varphi_1 - \varphi_2 - 3\varphi_3 - \dots$$

can be computed from pairwise overlaps only.

- $\mathcal{J}_{1s}(r) \leq \mathcal{J}_1(r)$
- $\mathcal{J}_{1s}(r) = \mathcal{J}_1(r)$ whenever $\varphi_3(r) = 0$

I	f_3	$\leq \rho \leq \dots$	$0.000 \leq \varepsilon \leq 0.239$
II-III	f_1, f_3	$\leq \rho \leq \dots$	$0.239 \leq \varepsilon \leq 0.671$
IV-VII	f_1	$\leq \rho \leq \dots$	$0.671 \leq \varepsilon \leq 1.471$
VIII-IX	f_1, f_4	$\leq \rho \leq \dots$	$1.471 \leq \varepsilon \leq 2.342$
X-XI	f_1, f_4, e_3	$\leq \rho \leq \dots$	$2.342 \leq \varepsilon \leq 2.576$
XII	f_4, e_3	$\leq \rho \leq \dots$	$2.576 \leq \varepsilon$



THANK YOU

