

Massive C^* -algebras, Winter 2021, I. Farah, Lecture 22

Today:

1. Completing the proof that OCA_T implies all automorphisms of $Q(H)$ are inner.
2. Generalizations.



Recall the following definitions (throughout §17.6–§17.7, $\mathcal{B}(H)_{\leq 1}$ is considered with respect to the WOT.)

Def 17.6.1 A subset \mathcal{Z} of $\mathcal{B}(H)_{\leq 1}^2$ is narrow if for all (a, b) and (a, c) in \mathcal{Z} we have $b \approx^{\mathcal{K}} c$.

It is ε -narrow if for all (a, b) and (a, c) in \mathcal{Z} we have $b \approx_{\varepsilon}^{\mathcal{K}} c$.

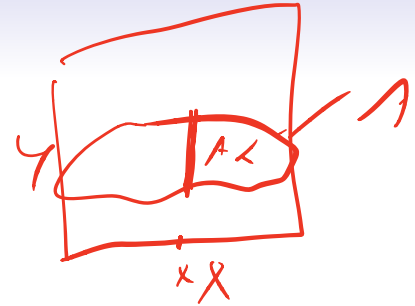
A function $f: \mathcal{B}(H)_{\leq 1} \rightarrow \mathcal{B}(H)_{\leq 1}$ is σ -narrow if its graph can be covered by a countable family of narrow Borel sets.

It is σ - ε -narrow if its graph can be covered by a countable family of ε -narrow Borel sets.

An endomorphism Φ of $\mathcal{Q}(H)$ has a σ -narrow lifting if its restriction to the unit ball has a lifting which is σ -narrow. It has a σ -narrow ε -approximation if there is a σ - ε -narrow function Θ such that every $a \in \mathcal{B}(H)_{\leq 1}$ satisfies $\Phi_*(a) \approx_{\varepsilon}^{\mathcal{K}} \Theta(a)$.

A σ -narrow lifting on $\mathcal{D}[E]$ or $D[E]$ and a σ -narrow ε -approximation on $\mathcal{D}[E]$ or $D[E]$ are defined analogously.





We'll need another result from the classical descriptive set theory.

^{P.S.}
Thm B.2.14 (Novikov) *If X and Y are Polish spaces and $A \subseteq X \times Y$ is analytic, then the set $\{x \in X : A_x \text{ is nonmeager}\}$ is analytic.*

S.P. \neq P.S.

$$A_x = \{y \mid (x, y) \in A\}$$

Lemma 17.7.1 Suppose Φ is an endomorphism of $\mathcal{Q}(H)$, $d \geq 1$, $E \in \text{Part}_{\mathbb{N}}$, and there exists a $1/d$ -narrow analytic set $Z \subseteq D_{\tilde{X}} \times \mathcal{B}(H)_{\leq 1}$. Then for every $A \subseteq \tilde{X}$ such that both A and $\tilde{X} \setminus A$ are infinite at least one of the following applies.

1. There is a C -measurable $3/d$ -approximation of Φ on D_A .

2. There are $B \subseteq \tilde{X} \setminus A$, $a \in D_A$, and $b \in D_B$ such that both B and $\tilde{X} \setminus (A \cup B)$ are infinite and every uniformization Ξ of Z and $c \in D_{\tilde{X} \setminus (A \cup B)}$ such that $a + b + c \in \text{dom}(\Xi)$ satisfy $\Xi(a + b + c)q_A \not\approx_{1/d}^K \Phi_*(a)$.

(otherwise,
 $a \mapsto \Xi(a+b+c) \varepsilon_A$
 is a lift of ϕ



$D[E]$
 $D_Y[E]$
 $(E_n) \rightarrow \infty$

or D_A
 $\Sigma_A = \Phi_A(P_A)$

Lemma 17.7.1 Suppose Φ is an endomorphism of $\mathcal{Q}(H)$, $d \geq 1$, $E \in \text{Part}_{\mathbb{N}}$, and there exists a 1/d-narrow analytic set

$\mathcal{Z} \subseteq D_{\tilde{X}} \times \mathcal{B}(H)_{\leq 1}$. Then for every $A \subseteq \tilde{X}$ such that both A and $\tilde{X} \setminus A$ are infinite at least one of the following applies.

1. There is a C -measurable $3/d$ -approximation of Φ on D_A .
2. There are $B \subseteq \tilde{X} \setminus A$, $a \in D_A$, and $b \in D_B$ such that both B and $\tilde{X} \setminus (A \cup B)$ are infinite and every uniformization Ξ of \mathcal{Z} and $c \in D_{\tilde{X} \setminus (A \cup B)}$ such that $a + b + c \in \text{dom}(\Xi)$ satisfy $\Xi(a + b + c)q_A \not\approx_{1/d}^{\mathcal{K}} \Phi_*(a)$.

Proof: Let

$$\mathcal{V} := \{(a, b, c) \in D_A \times D_{\tilde{X} \setminus A} \times \mathcal{B}(H)_{\leq 1} :$$

$$(\exists c' \in \mathcal{B}(H)_{\leq 1})(a + b, c') \in \mathcal{Z}, c \approx_{1/d}^{\mathcal{K}} c'q_A\}.$$

$$\mathcal{W}(a) := \{b \in D_{\tilde{X} \setminus A} : (a, b, \Phi_*(a)) \in \mathcal{V}\}, \text{ for } a \in D_A.$$

IS $c = \frac{1}{d} \Phi_*(a)$?
Bove!

\mathcal{U} is a projection of \mathcal{E} , hence analytic

analytic $\Rightarrow \langle (a, b, c, c') \mid (a+b, c') \in \mathcal{Z}, c \approx_{\mathcal{U}} \frac{1}{b} c' \varepsilon_A \rangle$

Case 1 $\forall a \in \Pi_A, \underline{W(a)}$ is relatively
convergent in $D_{\mathcal{X}} \setminus A$.

$Y = \{ (a, c) \in \Pi_A \times \mathcal{B}(H)_{\leq 1} \mid \exists b \in D_{\mathcal{X}} \setminus A : (a, b, c) \in \mathcal{U} \}$
 is relatively convergent
 in $D_{\mathcal{X}} \setminus A$

Y is analytic.

Let θ be a C -the orable
 uniformization of Y .

Then, $\forall a \in \text{dom}(Y) \exists b \in W(a)$
 and $(a, b, \theta(a)) \in \mathcal{U}$.

Then there is $c', (a+b, c') \in \mathcal{Z}$

$\theta(a) \approx_{\mathcal{U}} \frac{1}{b} c' \varepsilon_{\mathcal{X}}$, also $\exists c''$
 $(a+b, c'') \in \mathcal{Z} \quad \theta(a) \approx_{\mathcal{U}} \frac{1}{b} c'' \varepsilon_{\mathcal{X}}$.

$a \rightarrow \theta(a)$ is Σ_1^1 -complete,
C-measurable.

Case 2 $\exists a \in D_A$, $w(a)$ is
not convergent. So there is
a basic open U , $w(a) \cap U$
is relatively meager in U .
($w(a), U \subseteq D_{X \setminus A}$).

Then $\exists J_n \subseteq X \setminus A$, disjoint,
 $\exists s(n) \in D_{J_n}$ s.t. $U \cap J_n = \emptyset$.

$U \cap \{y \in D_{X \setminus A} \mid \exists^\infty n \ y \upharpoonright J_n = s(n)\}$
 $\cap w(a) = \emptyset$.

($U = [j, t]$, $j \in X \setminus A$)

$\overline{J_1} \quad \overline{J_2} \quad \overline{J_3} \quad \overline{J_4} \quad \dots$

$B = \bigcup_n J_{2n}$, $L = \sum_n s(2n)$

Lemma 17.7.2 Suppose Φ is an endomorphism of $\mathcal{Q}(H)$, $d \geq 1$, $E \in \text{Part}_{\mathbb{N}}$, and Φ has a σ -narrow $1/d$ -approximation on $D_{\tilde{X}}[E]$. Then the following holds.

1. There are an infinite $A \subseteq \tilde{X}$ and a C -measurable $3/d$ -approximation to Φ on $D_A[E]$.
2. There is a C -measurable $3/d$ -approximation of Φ on $D[F]$ for all $F \in \text{Part}_{\mathbb{N}}$.

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- 1) There are an infinite $A \subseteq \tilde{X}$ and a C -measurable $3/d$ -approximation to Φ on $D_A[E]$.
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so, $\forall A \subseteq X$ by $D_A \rightarrow D(H) \subseteq I$
 $q \rightarrow \bigcup_n (c) \Sigma_A$ not

Proof: ①

Assume otherwise. Fix $1/d$ -narrow analytic sets (Z_n) that cover the graph of a $1/d$ -approximation of Φ on $D_{\tilde{X}}$. Fix a C -measurable uniformization Ξ_n of Z_n . We will find $\tilde{X} = \bigsqcup_n A(n) \sqcup \bigsqcup_n B(n)$, $a(n) \in D_{A(n)}$, and $b(n) \in D_{B(n)}$, so that $a := \sum_n a(n)$ and $b := \sum_n b(n)$ satisfy $\Xi_m(a + b) \not\approx_{1/d}^K \Phi_*(a + b)$ for all m .

OCA_T implies all automorphisms of $\mathcal{Q}(H)$ are inner

We have finally proved that OCA_T implies that for every $E \in \text{Part}_{\mathbb{N}}$ and every $\varepsilon > 0$, Φ has a C -measurable ε -approximation on $\mathcal{D}_X[E]$ for some infinite X .

Let's quickly take a look at the remaining part of the proof

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- OCA_T
1. Lemma 17.5.3 (3): $\forall E, \Phi$ has a C-measurable ε -approximation on $\mathcal{D}[E]$ for all $\varepsilon > 0$.
 2. Lemma 17.4.5: $\forall E, \Phi$ has a continuous lifting on $\mathcal{D}_Y[E]$ for some infinite Y .
 3. Proposition 17.5.4: $\forall E, \Phi$ has a product type lifting Ξ on $\mathcal{D}[E]$ such that each Ξ_n is a unital $1/n$ -approximate *-homomorphism.
 4. Theorem 17.2.6 (Corollary 17.5.5): $\forall E, \Phi$ has a lifting on $\mathcal{D}[E]$ that is a *-homomorphism.
 5. Proposition 17.5.7: $\forall E, \Phi$ has a lifting on $\mathcal{D}[E]$ of the form $a \mapsto vav^*$.
 6. Lemma 17.5.8: For some Fredholm partial isometry w , $\forall E$, $\text{Ad } w \circ \Phi$ has a lifting on $\mathcal{D}[E]$ of the form $a \mapsto uau^*$ for a unitary u .
 7. Theorem 17.8.2 the 'coherent family of unitaries' implementing $\text{Ad } w \circ \Phi$ can be uniformized by a single unitary.
- OCA_T

Thm (McKenney, McKenney–Vignati, Vignati) $OCA_{\top} + MA$ imply that every isomorphism between coronas of separable C^* -algebras has a Borel-measurable lifting.

McKenney, P. and Vignati, A. Forcing axioms and coronas of nuclear C^* -algebras. J. Math. Logic, to appear.

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In order to improve the conclusion to ‘every isomorphism between coronas of separable C^* -algebras is trivial’ we need

- (1) A stronger Ulam-stability result (cf. Kadison–Kastler stability)
- (2) The right definition of ‘trivial’.

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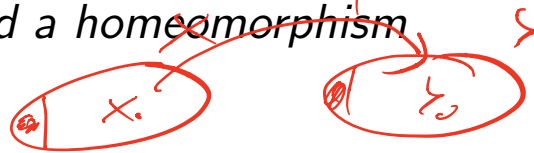
- (1) A stronger Ulam-stability result (cf. Kadison–Kastler stability)
- (2) The right definition of ‘trivial’.

Vignati did both in the abelian case (using results of Šemrl for (1)).

Thm (Vignati) Assume OCA_T and MA If X and Y are locally compact, noncompact, Polish spaces and

$\Phi: C_b(X)/C_0(X) \rightarrow C_b(Y)/C_0(Y)$ is an isomorphism, then there are co-compact $X_0 \subseteq X$ and $Y_0 \subseteq Y$ and a homeomorphism $f: Y_0 \rightarrow X_0$ such that $a \mapsto a \circ f$ lifts Φ .

(paracompact)



CH: If X is étale, locally

chart, then $C_G(X)/G(X) \cong \underbrace{L_\infty/G}_U \cong C_G(N)/G(N)$

$$s_u, \quad s_u^* s_u = 1$$

$$s_u s_u^* = 0 \\ \mathcal{U} \neq \mathcal{U}_0$$

Thm (Vignati) OCA_T ^{+M.A} implies $\mathcal{Q}(H) \not\cong \mathcal{M}(\mathcal{O}_\infty \otimes \mathcal{K}) / \mathcal{O}_\infty \otimes \mathcal{K}$.

Thm (Vignati) OCA_T implies $Q(H) \not\cong M(\mathcal{O}_\infty \otimes \mathcal{K})/\mathcal{O}_\infty \otimes \mathcal{K}$.

Fact

$M(\mathcal{O}_\infty \otimes \mathcal{K})/\mathcal{O}_\infty \otimes \mathcal{K}$ has a K -theory reversing automorphism.

$$K_1 = \mathbb{Z}, K_0 = 0$$

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Fact

$\mathcal{M}(\mathcal{O}_\infty \otimes \mathcal{K})/\mathcal{O}_\infty \otimes \mathcal{K}$ has a K -theory reversing automorphism.

Question Are there examples of simple separable C^* -algebras A and B such that the assertion ' $\mathcal{M}(A)/A \cong \mathcal{M}(B)/B$ ' is independent from ZFC?

$X \subseteq \mathbb{N}$

$A, B - \bigoplus_{n \in X} M_n(\mathbb{C})$

Endomorphisms

OCA_T + MA

Conjecture (F., 1997) PFA implies that every endomorphism of l_∞/c_0 lifts to an endomorphism (not necessarily w*-continuous) of l_∞ .

$$\begin{array}{ccc} l_\infty & \longrightarrow & l_\infty \\ \downarrow & & \downarrow \\ l_\infty/c_0 & \xrightarrow{\phi} & l_\infty/c_0 \end{array}$$

Endomorphisms

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Thm (Dow) *There is an endomorphism of ℓ_∞/c_0 that does not lift to an endomorphism of ℓ_∞ .*

Dow, A. A non-trivial copy of $\beta\mathbb{N} \setminus \mathbb{N}$, Proc. AMS 142.8 (2014): 2907-2913.

Endomorphisms of $\mathcal{Q}(H)$

Fix an isomorphism $\Phi_n: \mathcal{Q}(H) \otimes M_n(\mathbb{C}) \rightarrow \mathcal{Q}(H)$, for every $n \geq 1$.

$$M_n(\mathcal{Q}(H)) \cong \mathcal{Q}(H)$$

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Thm (Vaccaro, 2019) OCA_{\top} implies that every endomorphism of $\mathcal{Q}(H)$ is unitarily equivalent to $\Phi_n \circ (\text{id}_{\mathcal{Q}(H)} \otimes 1_n)$ for some $n \geq 1$.
Therefore OCA_{\top} implies $\underline{\text{End}(\mathcal{Q}(H), \circ)} / \underline{\sim_u} \cong \underline{(\mathbb{N} \setminus \{0\}, \cdot)}$.

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$OCA_T \Rightarrow$
Coro There are C^* -algebras A and B such that $A \hookrightarrow \mathcal{Q}(H)$, $B \hookrightarrow \mathcal{Q}(H)$, but $A \otimes_{\alpha} B \not\hookrightarrow \mathcal{Q}(H)$ for any tensor product \otimes_{α} .

There is a countable inductive system (A_n) such that $A_n \hookrightarrow \mathcal{Q}(H)$ for all n , but $\varinjlim A_n \not\hookrightarrow \mathcal{Q}(H)$.

$\{A \mid A \hookrightarrow \mathcal{Q}(H)\} \quad \left[\begin{array}{l} \mathcal{Q}(H) \otimes \mathcal{Q}(H) \\ \neq \mathcal{Q}(H) \end{array} \right]$

Endomorphisms of $\mathcal{Q}(H)$

Fix an isomorphism $\Phi_n: \mathcal{Q}(H) \otimes M_n(\mathbb{C}) \rightarrow \mathcal{Q}(H)$, for every $n \geq 1$.

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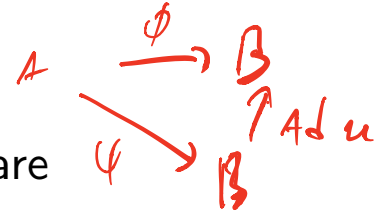
Coro There are C^* -algebras A and B such that $A \hookrightarrow \mathcal{Q}(H)$, $B \hookrightarrow \mathcal{Q}(H)$, but $A \otimes_{\alpha} B \not\hookrightarrow \mathcal{Q}(H)$ for any tensor product \otimes_{α} . There is a countable inductive system (A_n) such that $A_n \hookrightarrow \mathcal{Q}(H)$ for all n , but $\lim_n A_n \not\hookrightarrow \mathcal{Q}(H)$.

F.–Hirshberg–Vignati: CH implies the negation of the conclusions of the Corollary. and Thm.

Farah, I., Hirshberg, I. and Vignati, A. The Calkin algebra is \aleph_1 -universal. Israel J. Math. 237 (2020): 287-309.

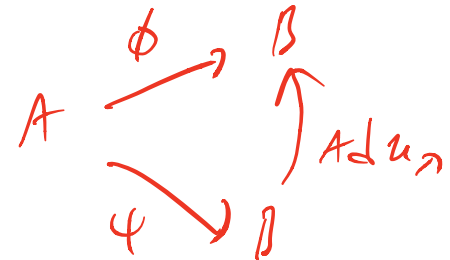
CH $\{A \mid A \hookrightarrow \mathcal{Q}(H)\} = \{A \mid \chi(A) \leq \aleph_1\}$

Embedding separable C^* -algebras into massive C^* -algebras



Two $*$ -homomorphisms Φ, Ψ from A into B are

1. unitarily equivalent if there is $u \in U(B)$ such that $\text{Ad } u \circ \Psi = \Phi$.
2. approximately unitarily equivalent if there is a net $u_\lambda \in U(B)$ such that $\text{Ad } u_\lambda \circ \Psi(a) \rightarrow \Phi(a)$ for all $a \in A$.



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Exercise. If B is countably (quantifier-free) saturated and A is separable, then $\Phi: A \rightarrow B$ and $\Psi: A \rightarrow B$ are unitarily equivalent if and only if they are approximately unitarily equivalent.

Embedding separable C^* -algebras into massive C^* -algebras

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Exercise. If B is countably (quantifier-free) saturated and A is separable, then $\Phi: A \rightarrow B$ and $\Psi: A \rightarrow B$ are unitarily equivalent if and only if they are approximately unitarily equivalent.

Degree-1 saturation does not suffice; the conclusion is false for $A = M_{2^\infty}$ and $B = \mathcal{Q}(H)$.

Recall that $B_\infty := \ell_\infty(B)/c_0(B)$.

If $f: \mathbb{N} \rightarrow \mathbb{N}$ is an injection, define $f_*: B_\infty \rightarrow B_\infty$ by its action on the representing sequences

$$f_*: \ell_\infty(B) \rightarrow \ell_\infty(B)$$

$$f_*((b_n)) = (b_{f(n)}).$$

$$B \hookrightarrow \ell_\infty(B)/c_0(B)$$

$$f_* \upharpoonright B = \text{id}_B$$

Recall that $B_\infty := \ell_\infty(B)/c_0(B)$.

If $f: \mathbb{N} \rightarrow \mathbb{N}$ is an injection, define $f_*: B_\infty \rightarrow B_\infty$ by its action on the representing sequences

$$\underline{f_*((b_n)) = (b_{f(n)})}.$$

Exercise. If \mathcal{U} is an ultrafilter, $f: \mathbb{N} \rightarrow \mathbb{N}$ is an injection, then the f_* defines an endomorphism of $B_{\mathcal{U}}$ iff $\underline{f(n) = n}$ for \mathcal{U} -many n .

Thm (Kirchberg, Phillips, Gabe) Suppose that A is separable and $\Phi: A \rightarrow B_\infty$ is a $*$ -homomorphism. TFAE

1. $\Phi \sim_{\mathcal{U}} \Psi$ for some $\Psi: A \rightarrow B$ (the diagonal copy of B in B_∞).
2. For every injection $f: \mathbb{N} \rightarrow \mathbb{N}$, $f_* \circ \Phi \sim_{\mathcal{U}} \Phi$.

pf Not, for $\Phi, \Psi: A \rightarrow B_\infty$

$\phi \sim_u \psi \Leftrightarrow \phi \sim_{a.u} \psi$
 (B_∞ is cflly saturated).

① \Rightarrow ② ASSUME ①. Fix $u \in U(B_\infty)$

$\psi := \text{Ad } u \circ \phi$, h.c. $\psi[A] \subseteq B$.

Then $\forall f: N \rightarrow N$, i.c. $f_x \circ \psi = \psi$.

Fix f . Then

$$\begin{aligned}
 \underline{f_x \circ \phi} &= \underline{f_x} \circ \text{Ad } u^* \circ \underline{\psi} \\
 &= \text{Ad } f_x(u^*) \circ \underline{\psi} = \underline{\text{Ad } f_x(u^*) \circ \text{Ad } u \circ \phi} \\
 &= \underline{\text{Ad } f_x(u^*u) \circ \phi}.
 \end{aligned}$$

② \Rightarrow ① Assume \dots

Fix ϕ , left of ϕ , ϕ_*

$$\pi \rightarrow \mathcal{L}_\infty(B)$$

$$\phi_*(a) = (\varphi_n(a))_{n \in \mathbb{N}}, \quad \varphi_n: A \rightarrow B$$

Claim $\forall F \subset A, \forall \varepsilon > 0, \exists m \in \mathbb{N}$
 $\forall n \geq m \exists \sigma \in \mathcal{U}(B)$

$$\|\varphi_n(a) - \sigma \varphi_m(a) \sigma^*\| < \varepsilon, \forall a \in F.$$

pf Assume otherwise. Fix F, ε .

$\forall m \exists f(m) > m, (\exists \underline{f(i)}, \forall i < m)$
s.t. $\nexists \sigma \in \mathcal{U}(B) \|\varphi_n(a) - \sigma \varphi_m(a) \sigma^*\| < \varepsilon$
 $\forall a \in F.$

Then $f: \mathbb{N} \rightarrow \mathbb{N}$, injective.

$$f_* \circ \phi \sim_u \phi. \quad (\hookrightarrow \text{②})$$

Fix $u \in \mathcal{U}(B_\infty)$, then $u \in \mathcal{U}(B)^{\mathbb{N}}$

$$\forall a \in A \quad \phi(a) = \text{Ad } u \circ f_* \phi(a).$$

Therefore, $\forall a \in A$

$$\| \varphi_n(a) - U_n \varphi_{f(n)}(a) U_n^* \| \rightarrow 0$$

$$\| U_n^* \varphi_n(a) U_n - \varphi_{f(n)}(a) \| \rightarrow 0$$

$a \in F$

Write $A = \bigcup_n F_n$, $F_n \subset A$,

fix $\varepsilon_n = 2^{-n}$

Find $M_0 < M_1 < M_2 < \dots$

and U_0, U_1, \dots in $\mathcal{U}(B)$

so that $\forall j, \forall a \in F_j$,

$$\| U_j \varphi_{M_j}(a) U_j^* - \varphi_{M_{j-1}}(a) \| < 2^{-j} \quad (*)$$

Then let $U_n = U_n U_{n-1} \dots U_0$.

$\lim_{n \rightarrow \infty} U_n^* \varphi_{M_n}(a) U_n$ exists, $\forall a \in A$.

(follows from $*$)

$$\psi(a) := \lim_{n \rightarrow \infty} U_n^* \varphi_{M_n}(a) U_n$$

is a A -homomorphism, $\psi: A \rightarrow B$.

IF $f(n) = M_n$, then

$\psi := (\psi_n)_n \in U(b_\infty)$ gives

$$\text{Ad } \psi \circ \psi = f_* \circ \psi, \quad \text{and } f_* \circ \psi \sim \psi,$$

\hookrightarrow ① follows. \square