

Massive C^* -algebras, Winter 2021, I. Farah, Lecture 21

Today:

1. The story so far.
2. OCA_∞ .

Important: There will be no classes
on March 29 or April 2.
There will be classes on April 5
and April 9.

So far, we've proven the following ($\mathcal{B}(H)$ is considered with respect to the WOT).

Prop Suppose $\Phi \in \text{Aut}(\mathcal{Q}(H))$ is such that for every $\varepsilon > 0$ there are $E \in \text{Part}_{\mathbb{N}}$, with $|E_n| \rightarrow \infty$, and an infinite $X \subseteq \mathbb{N}$ such that Φ has a C -measurable ε -approximation on $D_X[E]$. Then $\text{OCA}_{\mathbb{T}}$ implies that Φ is inner.

$$\|\pi(\theta(a)) - \phi_X(a)\| \leq \varepsilon, \forall a \in D_X$$

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Proof: Lemma 17.5.3 (3): $\forall F \in \text{Part}_{\mathbb{N}}$ Φ has a C-measurable ε -approximation on $D[F]$ for every $\varepsilon > 0$.

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Proof: Lemma 17.5.3 (3): Φ has a C -measurable ε -approximation on $D[E]$ for every $\varepsilon > 0$.

Lemma 17.4.5: Φ has a continuous lifting on $D_Y[E]$ for some infinite Y .

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Theorem 17.2.6 (Corollary 17.5.5): for every $F \in \text{Part}_{\mathbb{N}}$, some $*$ -homomorphism serves as a lifting of Φ on $\mathcal{D}[F]$.

Proposition 17.5.7: it is implemented by a partial isometry for every $F \in \text{Part}_{\mathbb{N}}$.

$$M_m(\mathbb{C}) \rightarrow M_k(\mathbb{C})$$

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From C-measurable approximations to innerness

Fix E and v such that $\text{Ad } v$ and Φ agree on $\mathcal{D}[E]$, and let

$$\Phi_1 := \text{Ad } v^* \circ \Phi$$

Then the restriction of Φ_1 to $\mathcal{D}[F]$ is implemented by a unitary for every $F \in \text{Part}_{\mathbb{N}}$.

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Lemma 17.5.8 *Suppose that $E \in \text{Part}_{\mathbb{N}}$, u_e and u_o are in $U(\ell_{\infty}(\mathbb{N}))$, and $\text{Ad } u_e$ and $\text{Ad } u_o$ agree on $\mathcal{D}[E]$ modulo the compacts. Then there is $w \in U(\ell_{\infty}(\mathbb{N}))$ such that $\text{Ad } w$ agrees with $\text{Ad } u_e$ on $\mathcal{D}[E^{\text{even}}]$ modulo the compacts and with $\text{Ad } u_o$ on $\mathcal{D}[E^{\text{odd}}]$ modulo the compacts.*

The proof uses the same trick used at the end of the proof of Theorem 17.8.2.

$$\mathcal{F}[F] = \left\{ a \mid \begin{array}{l} a = c_0 + c_1, \\ c_0 \in \mathcal{D}[E^{\text{even}}] \\ c_1 \in \mathcal{D}[E^{\text{odd}}] \end{array} \right\}$$

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OCA_{\top} and Theorem 17.8.2: Φ_1 is inner, and therefore

$\Phi = \text{Ad } v \circ \Phi_1$ is inner.

*If $\forall \varepsilon \exists E_{\varepsilon} \chi_{\varepsilon}$
 $\mathcal{D}_{\chi_{\varepsilon}}[E_{\varepsilon}]$ has C-measurable ε -approx. $\Rightarrow \Phi$ is inner.*

OCA_∞

It only remains to prove that OCA_T implies Φ has a C-measurable ε -approximation on $\mathcal{D}[E]$ for some E.

OCA_T Whenever X is a separable metrizable space and $[X]^2 = L_0 \sqcup L_1$ is an open colouring, one of the following alternatives applies.

0.1 There exists an uncountable L_0 -homogeneous $Y \subseteq X$.

0.2 There are L_1 -homogeneous sets X_n , for $n \in \mathbb{N}$, such that $\bigcup_n X_n = X$.

$[Y]^2 \subseteq L_0$

We will need an apparent strengthening of this axiom.

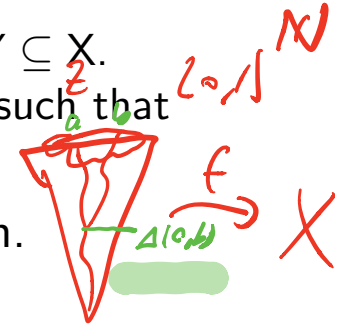
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OCA_∞ Whenever X is a separable metrizable space and $[X]^2 = L_0^n \sqcup L_1^n$, for $n \geq 0$, are open colourings such that $L_0^n \supseteq L_0^{n+1}$ for all n , one of the following alternatives applies.

0.1 There exists an uncountable $Z \subseteq \{0, 1\}^{\mathbb{N}}$ and a continuous $f: Z \rightarrow X$ such that $\{f(a), f(b)\} \in L_0^{\Delta(a,b)}$ for all distinct a and b in Z .

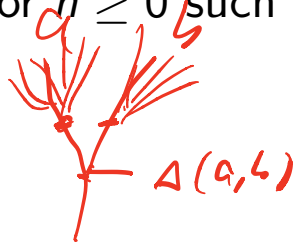
0.2 There are $X_n \subseteq X$, for $n \in \mathbb{N}$, such that $[X_n]^2 \subseteq L_1^n$ for all n .

Thm 8.6.6 OCA_T implies OCA_∞ .

Proof: Fix X and open colourings $[X]^2 = L_0^n \cup L_1^n$ for $n \geq 0$ such that $L_0^n \supseteq L_0^{n+1}$ for all n . Define a partition $[\{0, 1\}^\mathbb{N} \times X]^2 = M_0 \cup M_1$ by

$\{(\underline{a}, x), (\underline{b}, y)\} \in M_0$ if and only if

$$\underline{a} \neq \underline{b}, x \neq y, \text{ and } \{x, y\} \in L_0^{\Delta(\underline{a}, \underline{b})}.$$



M_0 is open

Case 1 $\exists Y \subseteq \{0, 1\}^\mathbb{N} \times X$ unct. h.o.

$$[Y]^2 \in M_0.$$

$(a, x), (b, y)$ in Y

$$Z = \{a \mid \exists x (a, x) \in Y\} - \text{uncl } Z \subseteq \{0, 1\}^N$$

Y is the graph of $f: Z \rightarrow X$

If Case 1 fails, then OCAT \Rightarrow

$$\text{Case 2 } \{0, 1\}^N \times X = \bigcup_n Y_n, [Y_n]^2 \in M_1.$$

W.l.o.g., Y_n is closed.

$$\text{Fix } x \in X, Z_n(x) = \{a \mid (a, x) \in Y_n\}.$$

$$\{0, 1\}^N \subseteq \bigcup_n Z_n(x)$$

3) give (at any $y \Rightarrow \exists u_x \exists s_x \in \{0,1\}^{< \mathbb{N}}$

$$Z_u(x) \cong [S] (= \{c \mid s \in c\})$$

There are c.t.h.s. u and pairs (u_x, s_x) .

$$\bar{X}_{(u,s)} = \{x \mid u_x = u, s_x = s\}$$

$$u_x = u_y, s_x = s_y \Rightarrow \{x, y\} \in L_1^{|s|}$$

$$\text{So } [\bar{X}_{(u,s)}]^2 \subseteq L_1^{|s|}$$

since $L_1^u \supseteq L_1^{u+1}$ we can

re-enumerate $(\bar{X}_{(u,s)})$ as (\bar{X}_j)

$$\text{so that } (\bar{X}_j)^2 \subseteq L_1^j$$

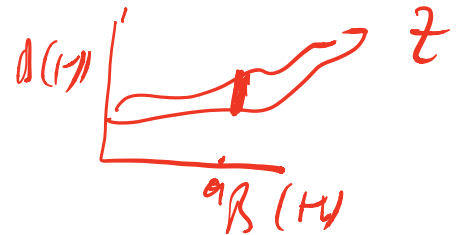
(add $\bar{X}_j = \emptyset$, if necessary)

ϕ_*

The following definition describes some intermediates between an arbitrary lifting and a Borel-measurable lifting. (Throughout §17.6–§17.7, $\mathcal{B}(H)_{\leq 1}$ is considered with respect to the WOT.)

Def 17.6.1 A subset \mathcal{Z} of $\mathcal{B}(H)_{\leq 1}^2$ is **narrow** if for all (a, b) and (a, c) in \mathcal{Z} we have $b \approx^{\mathcal{K}} c$. ($b - c \in \mathcal{K}(H)$)
It is **ε -narrow** if for all (a, b) and (a, c) in \mathcal{Z} we have $b \approx_{\varepsilon}^{\mathcal{K}} c$. $\|\pi(b-c)\| \leq \varepsilon$

$$\mathcal{Z} = \{ (0, b) \mid b \approx^{\mathcal{K}} \phi_*(a) \}$$



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A function $f: \mathcal{B}(H)_{\leq 1} \rightarrow \mathcal{B}(H)_{\leq 1}$ is **σ -narrow** if its graph can be covered by a countable family of narrow Borel sets.

It is **σ - ε -narrow** if its graph can be covered by a countable family of ε -narrow Borel sets.



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An endomorphism Φ of $\mathcal{Q}(H)$ has a **σ -narrow lifting** if its restriction to the unit ball has a lifting which is σ -narrow. It has a **σ -narrow ε -approximation** if there is a σ - ε -narrow function Θ such that every $a \in \mathcal{B}(H)_{\leq 1}$ satisfies $\Phi_*(a) \approx_{\varepsilon}^{\mathcal{K}} \Theta(a)$.

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A **σ -narrow lifting on $\mathcal{D}[E]$ or $D[E]$** and a **σ -narrow ε -approximation on $\mathcal{D}[E]$ or $D[E]$** are defined analogously.

Example

There is an endomorphism Φ of l_∞/c_0 with \mathbb{Z} -narrow lifting, but no C-measurable (Borel, continuous, ...) lifting.

$$(l_\infty)_1 \rightsquigarrow l^\infty\text{-top}, \quad C_0^*$$

Let $\mathcal{U}_n, n \in \mathbb{Z}$, be non-principal
ultrafilters on \mathbb{N} , $x_n \in \mathcal{U}_n$

$$\text{Let } f: l_\infty \rightarrow l_\infty \text{ be}$$

$$f(a)(n) = \lim_{j \rightarrow \mathcal{U}_n} a_j \quad n \text{ even}$$

$$f(a)(n) = \lim_{j \rightarrow \mathcal{U}_j} a_j \quad n \text{ odd}$$

$$a \rightarrow b \in C_0 \Rightarrow f(a) = f(b)$$

f lifts a π -homomorphism

$$\phi: \mathbb{R}/C_0 \rightarrow \mathbb{R}/C_0$$

It has a \mathbb{Z} -Borel lifting

but no Borel lifting

(Hw: Fix this!)

Lemma 17.6.3 *Assume OCA_T . If Φ is an endomorphism of $\mathcal{Q}(H)$ and $\varepsilon > 0$, then Φ has a σ -narrow ε -approximation on $D_{\tilde{X}}[E]$ for some infinite \tilde{X} .*

Lemma 17.6.3 Assume OCA_\top . If Φ is an endomorphism of $\mathcal{Q}(H)$ and $\varepsilon > 0$, then Φ has a σ -narrow ε -approximation on $D_{\tilde{X}}[E]$ for some infinite \tilde{X} .

I.e., there is a function Θ such that

(a) every $a \in \mathcal{B}(H)_{\leq 1}$ satisfies $\Phi_*(a) \approx_\varepsilon^{\mathcal{K}} \Theta(a)$ and

(b) the graph of Θ can be covered by a countable family of Borel sets \mathcal{Z}_n .

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(b) the graph of Θ can be covered by a countable family of Borel sets Z_n .

A few conventions for the proof of Lemma 17.6.3:

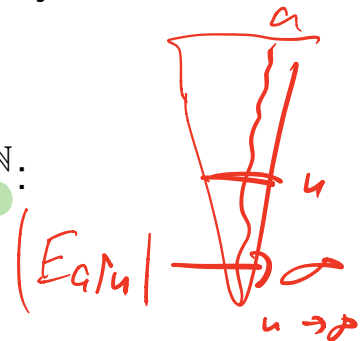
1. We'll index the intervals in $E \in \text{Part}_{\mathbb{N}}$ by $\{0, 1\}^{<\mathbb{N}}$:

$$E = \langle E_s : s \in \{0, 1\}^{<\mathbb{N}} \rangle.$$

2. Fix $E \in \text{Part}_{\mathbb{N}}$ so that $\lim_n \min_{|s|=n} |E_s| = \infty$.

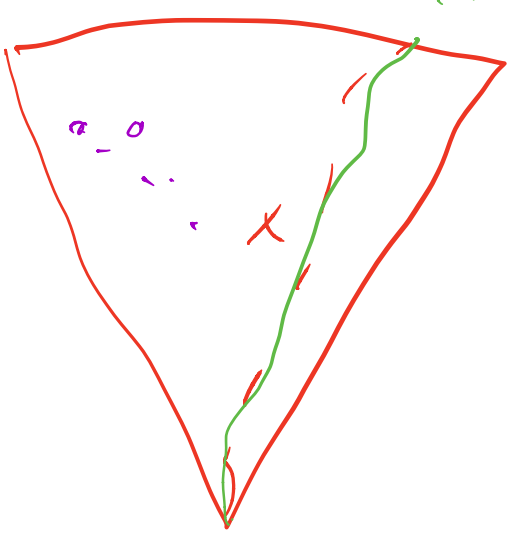
3. If $X \subseteq \{0, 1\}^{<\mathbb{N}}$ is infinite and included in a single branch of $\{0, 1\}^{<\mathbb{N}}$, then this branch is denoted $B(X)$.

4. Fix a discretization $D[E]$ of $\mathcal{D}[E]$.



$$E_s = (i)$$

$B(X)$



$\{0,1\}^N$

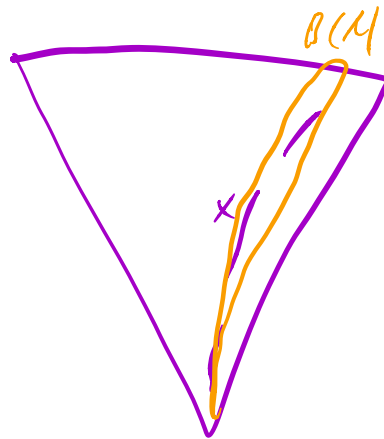
Proof of Lemma 17.6.3, that Φ has a σ -narrow ε -approximation on $D_{\tilde{X}}[E]$ for some infinite \tilde{X} .

Fix $d \geq (2\varepsilon)^{-1}$ and $n \geq 1$. Let

$$\mathcal{X} := \{(X, a) : B(X) \text{ is defined and } a \in D_X\}.$$

$$D_X \subseteq D$$

$$P_X a = a P_X = a$$



$\{0, 1\}^{\mathbb{N}}$

$$P_X = \text{Proj}_{\{a_i\}_{i \in \mathbb{N}}} \{ \varphi_i \mid i \in \mathbb{N} \}$$

Proof of Lemma 17.6.3, that Φ has a σ -narrow ε -approximation on $D_{\tilde{X}}[E]$ for some infinite \tilde{X} .

Fix $d \geq (2\varepsilon)^{-1}$ and $n \geq 1$. Let

$$\mathcal{X} := \{(X, a) : B(X) \text{ is defined and } a \in D_X\}.$$

In order to topologize \mathcal{X} , identify $(X, a) \in \mathcal{X}$ with

$$(\underbrace{B(X)}_{\phi_*(\rho_X)}, \underbrace{X}_{\phi_*(\rho_X)}, \underbrace{a}_{\phi_*(\rho_X)}, \underbrace{\Phi_*(a)}_{\phi_*(\rho_X)}) \in \underbrace{\{0, 1\}^{\mathbb{N}} \times \mathcal{P}(\{0, 1\}^{<\mathbb{N}})}_{\phi_*(\rho_X)} \times \underbrace{D}_{\phi_*(\rho_X)} \times \underbrace{\mathcal{B}(H)_{\leq 1}^2}_{\phi_*(\rho_X)}$$

Proof of Lemma 17.6.3, that Φ has a σ -narrow ε -approximation on $D_{\tilde{X}}[E]$ for some infinite \tilde{X} .

Fix $d \geq (2\varepsilon)^{-1}$ and $n \geq 1$. Let

$$\mathcal{X} := \{(\underline{X}, a) : B(\underline{X}) \text{ is defined and } a \in D_{\underline{X}}\}.$$

$$p_X a = a$$

In order to topologize \mathcal{X} , identify $(\underline{X}, a) \in \mathcal{X}$ with

$$(B(\underline{X}), \underline{X}, a, q_X, \Phi_*(a)) \in \{0, 1\}^{\mathbb{N}} \times \mathcal{P}(\{0, 1\}^{<\mathbb{N}}) \times D \times \mathcal{B}(H)_{\leq 1}^2$$

Let $\{(\underline{X}, a), (\underline{Y}, b)\} \in M_0^{d, n}$ if the following conditions are satisfied:

$(M_0^{d, n} 1)$ $B(\underline{X}) \neq B(\underline{Y}),$

$(M_0^{d, n} 2)$ $p_X b = p_Y a,$ and

$(M_0^{d, n} 3)$ $\|p_{[n, \infty)}(\Phi_*(a)q_Y - q_X\Phi_*(b))\| > \underline{1/d}$ or $\|p_{[n, \infty)}(q_Y\Phi_*(a) - \Phi_*(b)q_X)\| > \underline{1/d}.$

$\Phi_*(1/2)$



$\{(X, a), (Y, b)\} \in M_0^{d,n}$ iff $(M_0^{d,1}) B(X) \neq B(Y)$, $(M_0^{d,2}) p_X b = p_Y a$, and
 $(M_0^{d,n,3}) \underline{\|p_{[n,\infty)}(\Phi_*(a)q_Y - q_X\Phi_*(b))\|} > \frac{1}{d}$ or $\underline{\|p_{[n,\infty)}(q_Y\Phi_*(a) - \Phi_*(b)q_X)\|} > \frac{1}{d}$.

Claim. For every n , the partition $[\mathcal{X}]^2 = M_0^{d,n} \cup M_1^{d,n}$ is open.

$$M_0^{d,u} \supseteq M_0^{d,u+1}$$

$\{(X, a), (Y, b)\} \in M_0^{d,n}$ iff $(M_0^d 1)$ $B(X) \neq B(Y)$, $(M_0^d 2)$ $p_X b = p_Y a$, and
 $(M_0^{d,n} 3)$ $\|p_{[n,\infty)}(\Phi_*(a)q_Y - q_X\Phi_*(b))\| > \frac{1}{d}$ or $\|p_{[n,\infty)}(q_Y\Phi_*(a) - \Phi_*(b)q_X)\| > \frac{1}{d}$.

Claim. There is no uncountable $Z \subseteq \{0, 1\}^{\mathbb{N}}$ such that some continuous $f: Z \rightarrow \mathcal{X}$ satisfies $\{f(a), f(b)\} \in M_0^{d,\Delta(a,b)}$ for all distinct a and b in Z .

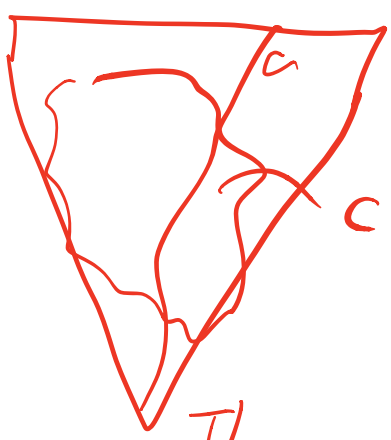
Pf Assume otherwise, fix Z, f .
 s.e. $\forall x, y \in Z, x \neq y \Rightarrow \{f(x), f(y)\} \in M_0^{d,\Delta(x,y)}$

$f(x) = (X, a) \quad f(y) = (Y, b)$

Define $c \in \mathcal{D}[E]$ s.t. $\forall s \in \{0, 1\}^{<\mathbb{N}}$

$c_s = a_s$ for $s < \infty$ if such $(x, c) \in f[z]$,
 (o/w $c_s = 0$).

Then $c_s = a_s \forall s \forall (x, c) \in f[z]$
 (if exists)



$\{0, 1\}^N$
 Then, $\forall (x, c) \in f[z]$
 $P_X c = a, \underline{c P_X = a}$

Then $\phi_X(P_X c) = \phi_X(a)$

$\sum_X \phi_X(c) - \phi_X(a) \in K(H)$.

Find $u = u(x, c)$ so that

$$\| P_{[u, \infty)} (\sum_X \phi_X(c) - \phi_X(a)) \| < \frac{1}{2}$$

wlog, $\exists u \forall (x, c) \in f[z]$
 $u = u(x, c)$.

Find x, y in $Z, \Delta(x, y) > 2u$

Then $\| P_{[u, \infty)} (\sum_X \phi_X(y) - \phi_X(x)) \| > \frac{1}{2u}$

($f(x) = (x, c), f(y) = (y, b)$).

$$\text{or } \| P_{[u, \infty)} (\phi_X(b) \sum_X - \sum_Y \phi_X(a)) \| > \frac{1}{2u}$$

$(u, v) \quad (x, a)$

$$\| P_{[u, \infty)} (\Sigma_x \phi_x(a) - \phi_x(a)) \| < \frac{1}{d}$$

also
 $\| P_{[u, \infty)} (\phi_x(a) \Sigma_x - \phi_x(a)) \| < \frac{1}{d}$

Fix $(x, a), (y, b)$ in $f[\mathbb{T}]$.

Then (write $d = \frac{1}{\epsilon} \epsilon \Leftrightarrow \| P_{[u, \infty)} (d - \epsilon) \| < \epsilon$).

$$\Sigma_y \phi_x(a) \approx_{\frac{1}{d}} \Sigma_y \phi_x(a) \Sigma_x \approx_{\frac{1}{d}} \phi_x(b) \Sigma_x$$

so $\Sigma_y \phi_x(a) \approx_{\frac{1}{d}} \phi_x(b) \Sigma_x$

so $\| P_{[u, \infty)} (\Sigma_y \phi_x(a) - \phi_x(b) \Sigma_x) \| > \frac{2}{d}$
Similarly,

$$\| P_{[u, \infty)} (\phi_x(a) \Sigma_y - \Sigma_x \phi_x(b)) \| > \frac{2}{d}$$

therefore, since $\left\{ \begin{matrix} f(x), & f(y) \\ \text{"} & \text{"} \\ (x, a) & (y, b) \end{matrix} \right\} \in M_0^{d, \Delta(x, y)}$

we have $\Delta(x, y) < \frac{d}{2}$.

But f is unctble!