

Massive C^* -algebras, Winter 2021, I. Farah, Lecture 8

We are continuing the proof of (a version of) Keisler's theorem: all ultrapowers of a fixed separable C^* -algebra associated with nonprincipal ultrafilters on \mathbb{N} are isomorphic, assuming:

(CH) Every set of cardinality \mathfrak{c} has a well-ordering such that every proper initial segment is countable (i.e., a well-ordering of *type* \aleph_1).

Recall:

Lemma *If C is a C^* -algebra of density character \aleph_1 , then $C = \bigcup_{\alpha < \aleph_1} C_\alpha$ for a continuous \aleph_1 -chain of separable elementary submodels C_α , for $\alpha < \aleph_1$.*

(Continuous means that $C_\beta = \lim_{\alpha < \beta} C_\alpha$ for every limit ordinal β .)

Lemma *Suppose that A and B have density character \aleph_1 and Φ is an isomorphism from A onto B . Then A and B can be represented as increasing unions of countable chains of separable elementary substructures, $A = \bigcup_\alpha A_\alpha$, $B = \bigcup_\alpha B_\alpha$, so that $\Phi[A_\alpha] = B_\alpha$ for all α .*

A correction to the statement made last time (thanks to Ben for pointing this out):

Fact

There are unital, separable, AF algebras A and B such that $A \equiv B$ and $A \not\cong B$, but no explicit example of a pair of such **simple** algebras is known.

There is a family of \aleph_1 abelian examples (Eagle–Vignati):
 $C(\alpha + 1)$, for α a countable indecomposable ordinal.

$$\alpha = \beta + \gamma \quad \frac{\omega + \omega}{\omega^2}$$

$\Rightarrow \alpha = \beta$ or $\alpha = \gamma$ ω^1

Two facts about types and elementary equivalence



We can define a type over $X \subseteq A$, for X which is not a subalgebra.

Lemma *If t is a type over $X \subseteq A$ and $\phi: A \preceq C$, then t is approximately satisfiable in A if and only if the (naturally defined) type $\phi(t)$ (over $\phi[X]$) is approximately satisfiable in C .*



$$a \rightarrow \phi(a)$$

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Exercise.

1. If $A \prec B$ and $B \prec C$, then $A \prec C$.
2. If $A \prec C$, $B \prec C$, and $A \subseteq B$, then $A \prec B$.
3. If $A_n \prec C$, and $A_n \subseteq A_{n+1}$ for all n , then $\overline{\bigcup_n A_n} \prec C$.



A universality property of countably saturated algebras

Thm *Suppose that C is countably saturated.*

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Proof: Fix a sequence $\underline{a_n}$, for $n \in \mathbb{N}$, dense in A . $\text{type}_A(\underline{a_0}/\emptyset)$ is approximately satisfiable in C .

$$\text{Fix } c_0 \in C \quad \text{type}_A(\underline{a_n}/\emptyset) = \text{type}_C(c_0/\emptyset)$$

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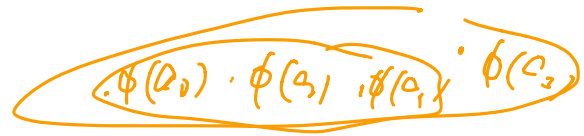
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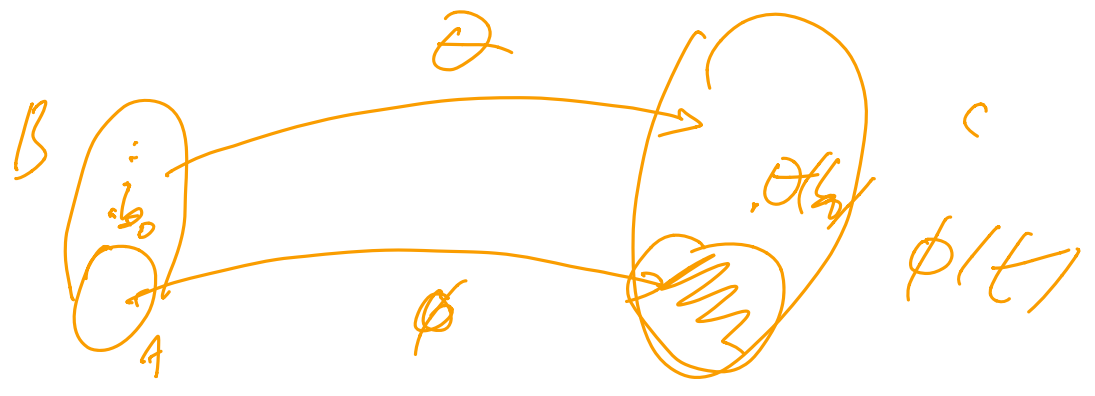
We'll define $\Phi(a_n)$ by recursion, so that for all n :

$$\Phi(a_n) = c_n$$

$$\Phi(\text{type}_A(a_n/\{a_j \mid j < n\})) = \text{type}_C(\Phi(a_n)/\{\Phi(a_j) \mid j < n\}).$$



$t \rightarrow \gamma \in \beta$ (b_0/A)



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Use parallel

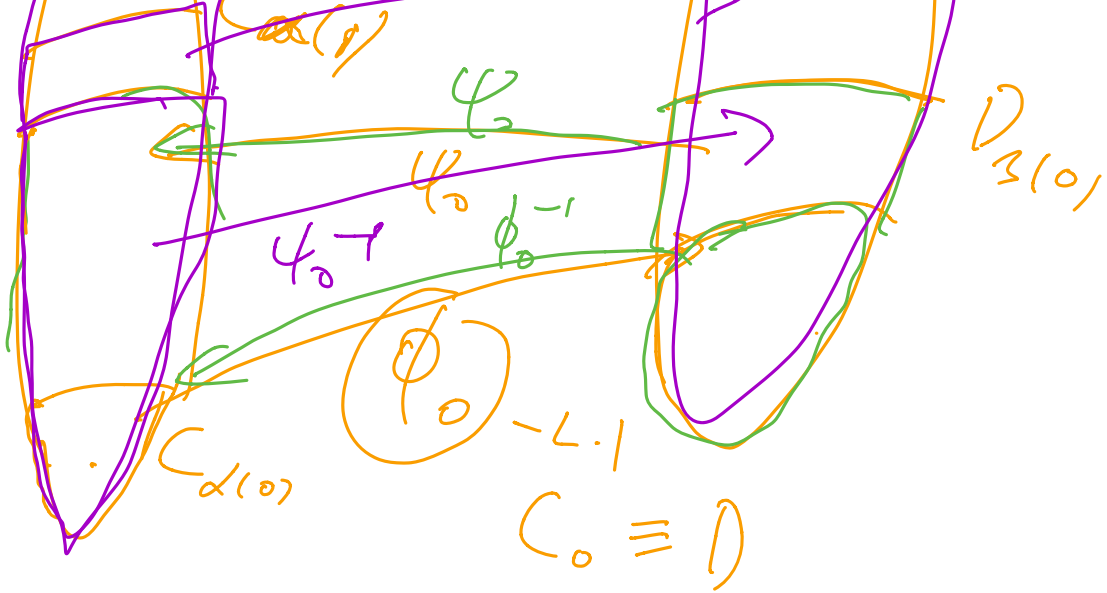
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Proof: Write $C = \bigcup_{\alpha < \aleph_1} C_\alpha$ and $D = \bigcup_{\alpha < \aleph_1} D_\alpha$, continuous chains of elementary submodels. We will find continuous increasing families $\alpha(\xi)$, $\beta(\xi)$, $\xi < \aleph_1$, and elementary embeddings $\Phi_\xi: C_{\alpha(\xi)} \rightarrow D$ and $\Psi_\xi: D_{\beta(\xi)} \rightarrow C$ such that for all $\xi < \eta$

1. $\Phi_\xi[C_{\alpha(\xi)}] \subseteq D_{\beta(\xi)}$. $\Psi_\xi[D_{\beta(\xi)}] \subseteq C_{\alpha(\xi+1)}$.
2. $\Psi_\xi \circ \Phi_\xi(a) = a$, for $a \in C_{\alpha(\xi)}$, $\Phi_{\xi+1} \circ \Psi_\xi(b) = b$, for $b \in D_{\beta(\xi)}$,
3. Φ_η extends Φ_ξ , Ψ_η extends Ψ_ξ .

Then $\Phi := \bigcup_\xi \Phi_\xi$ is an isomorphism, and $\Psi := \bigcup_\xi \Psi_\xi$ is its inverse.





The proof gives a more precise statement:

Thm (Corollary 16.6.5) *Suppose that C and D are countably saturated, elementarily equivalent, and of density character \aleph_1 . Then $C \cong D$, and the isomorphism can be chosen so that it extends any fixed isomorphism $\Phi_0: C_0 \rightarrow D_0$ between separable elementary submodels of C and D .*



Corollary

If the Continuum Hypothesis (CH) holds, A is a separable C^* -algebra, and \mathcal{U} and \mathcal{V} are nonprincipal ultrafilters on \mathbb{N} , then there is an isomorphism $\Phi: A_{\mathcal{U}} \rightarrow A_{\mathcal{V}}$ that commutes with the diagonal embedding of A .

$$A \hookrightarrow A_{\mathcal{U}}$$

$$\downarrow$$
$$A \hookrightarrow A_{\mathcal{V}}$$

$$A_{\mathcal{U}} \cap A' \rightarrow A_{\mathcal{V}} \cap A'$$

¹All ultrafilters are nonprincipal and over \mathbb{N} .

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Corollary

$$\chi(A) \leq \aleph_1$$

If A is a separable C^* -algebra, then CH implies that all ultrapowers of A on \mathbb{N} are isomorphic, and all relative commutants of A in its ultrapowers are isomorphic.¹

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If A is a separable C^ -algebra, then CH implies that all ultrapowers of A on \mathbb{N} are isomorphic, and all relative commutants of A in its ultrapowers are isomorphic.¹*

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2007 Th(A) Th(A_U ∩ A') = ?
Prop (Kirchberg) $A \cong B$ does not imply $A_{\mathcal{U}} \cap A' \cong B_{\mathcal{U}} \cap B'$, even for separable, simple, A and B .

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Recall that R (the hyperfinite II_1 factor) is the operator algebra such that for all $n \geq 1$, the n -ball of R is the completion of the n -ball of M_{2^∞} in the 2-norm associated with the unique tracial state, $\|a\|_2 := \tau(a^*a)^{1/2}$.

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$$\underline{R}^{\mathcal{U}} := \underline{\ell_\infty(R)} / \{ \underline{(a_n)} \mid \underline{\lim_{n \rightarrow \mathcal{U}} \|a_n\|_2} = 0 \}.$$

$$\underline{A^{\mathcal{U}} \cap A^{\mathcal{V}}}$$

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$$R^{\mathcal{U}} := \ell_\infty(R) / \{(a_n) \mid \lim_{n \rightarrow \mathcal{U}} \|a_n\|_2 = 0\}.$$

Thus $\underline{R^{\mathcal{U}}} \cong \underline{(M_{2^\infty})^{\mathcal{U}}}$ and $\underline{R^{\mathcal{U}} \cap R'} \cong \underline{(M_{2^\infty})^{\mathcal{U}} \cap (M_{2^\infty})'}$.

Corollary

If \mathcal{U} and \mathcal{V} are nonprincipal ultrafilters on \mathbb{N} , then $\underline{R^{\mathcal{U}}} \cong \underline{R^{\mathcal{V}}}$ and $\underline{R^{\mathcal{U}} \cap R'} \cong \underline{R^{\mathcal{V}} \cap R'}$.

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Corollary

(CH)

If \mathcal{U} and \mathcal{V} are nonprincipal ultrafilters on \mathbb{N} , then $R^{\mathcal{U}} \cong R^{\mathcal{V}}$ and $R^{\mathcal{U}} \cap R' \cong R^{\mathcal{V}} \cap R'$.

Before (very briefly) discussing the model in which these conclusions fail, we'll take a look at automorphisms of ultrapowers.

$$\underline{\underline{L_\infty([a, 1], \lambda)}}$$

$$\|f\|_{2, \lambda} = \int (|f|^2) d\lambda$$

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Lemma Suppose that C is countably saturated, $A \prec C$ is separable, and $b \in C \setminus A$. Then the set

$$\{\underline{c} \in C \mid \text{type}_C(\underline{c}/A) = \text{type}_C(\underline{b}/A)\}$$

is nonseparable.



$$\text{Let } t = \text{type}_c(b/A)$$

$$\text{Let } \varepsilon = \text{dist}(b, A) \quad (\varepsilon > 0)$$

$$\text{Let } t_2(X, Y) = t(X) \cup t(Y) \cup \underline{\underline{\|X - Y\| \geq \varepsilon}}$$



$$\underline{b_n, n \in \mathbb{N}}$$

$$\underline{t \subset t_2}$$

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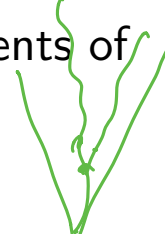
$$\{c \in C \mid \text{type}_C(c/A) = \text{type}_C(b/A)\}$$

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Now for the bookkeeping. Let $\{0, 1\}^{<\aleph_1}$ denote the set of all functions $s: \alpha \rightarrow \{0, 1\}$, where α is a countable ordinal.

Maximal chains in $\{0, 1\}^{<\aleph_1}$ correspond to the elements of

$\{0, 1\}^{\aleph_1}$.



Proof that if C is countably saturated and of density character \aleph_1 then C has 2^{\aleph_1} automorphisms.

Write $C = \bigcup_{\alpha} C_{\alpha}$, for a continuous chain of separable elementary submodels.

For $s \in \{0, 1\}^{<\aleph_1}$ we will find separable $C_s \prec C$ and $D_s \prec C$ and an isomorphism $\Phi_s: C_s \rightarrow D_s$ (onto) so that for all s we have the following

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For $s \in \{0, 1\}^{\alpha}$, $C_{s \smallfrown 0} \supseteq C_{\alpha}$, $C_{s \smallfrown 1} \supseteq C_{\alpha}$, $D_{s \smallfrown 0} \supseteq C_{\alpha}$ and $D_{s \smallfrown 1} \supseteq C_{\alpha}$.

$$\bigcup_{\alpha} C_{f \upharpoonright \alpha} \supseteq \bigcup_{\alpha} C_{\alpha} = C$$

Q: Is there a model
of ZFC s.t. all $(M_{2^{\aleph_n}})$
automorphisms of C_{\aleph_n}
are "trivial"?

$$\begin{array}{ccc} \text{Lo}(C) & \xrightarrow{?} & \text{Lo}(C) \\ \downarrow & & \downarrow \\ C_{\aleph_n} & \xrightarrow{\phi} & C_{\aleph_n} \end{array}$$

(See Shelah, Vive la différence
Pt. III.)

The dark side

CH is a very strong axiom, hence its negation is a very weak axiom. However. . .

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$|B\mathbb{N}| = 2^{2^{\aleph_0}}$ | $\aleph \geq \aleph_1$
 $\exists 2^{\aleph}$ very different
lin. orders of
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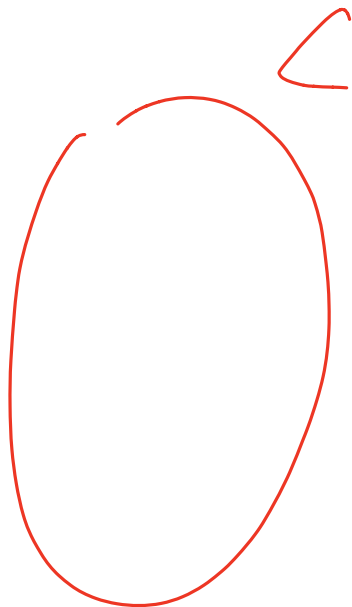
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Thm (F.–Hart–Sherman (Maharam)) *All tracial ultrapowers of $L_{\infty}([0, 1], \lambda)$ are isomorphic (even when the CH fails).*

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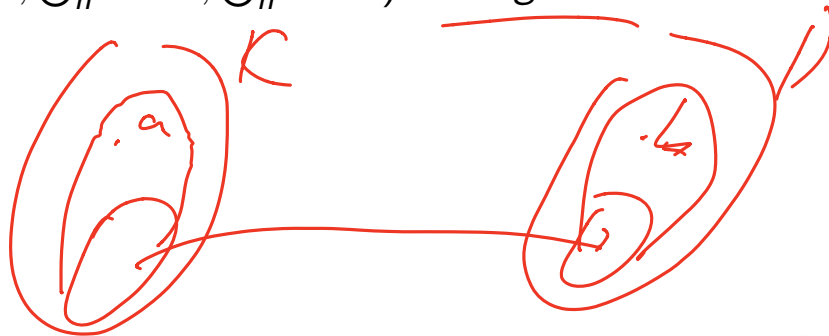
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1. The elements of \mathbb{F} are partial isomorphisms $p = (A^p, B^p, \Phi^p)$.
Handwritten: "Seq." with arrows pointing to A^p and B^p .
2. The ordering is defined by $p \leq q$ if $A^p \subseteq A^q$, $B^p \subseteq B^q$, and $\Phi^q \upharpoonright A^p = \Phi^p$.
3. For every $p \in \mathbb{F}$ and all $a \in A$ and $b \in B$ there exists $q \geq p$ in \mathbb{F} such that $a \in A^q$ and $b \in B^q$.
4. \mathbb{F} is σ -complete: For every increasing sequence $p(n)$, for $n \in \mathbb{N}$, in \mathbb{F} we require that (identifying a function with its graph)
 $p := (\overline{\bigcup_n A^{p(n)}}, \overline{\bigcup_n B^{p(n)}}, \overline{\bigcup_n \Phi^{p(n)}})$ belongs to \mathbb{F} . We write $p = \sup_n p_n$.



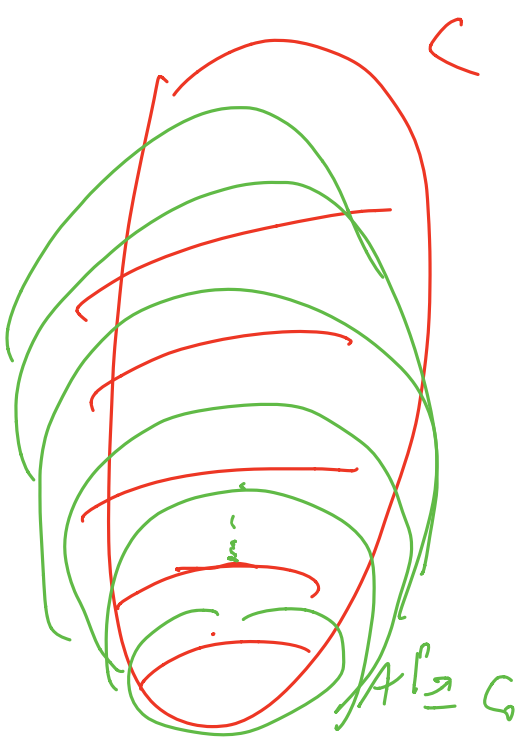
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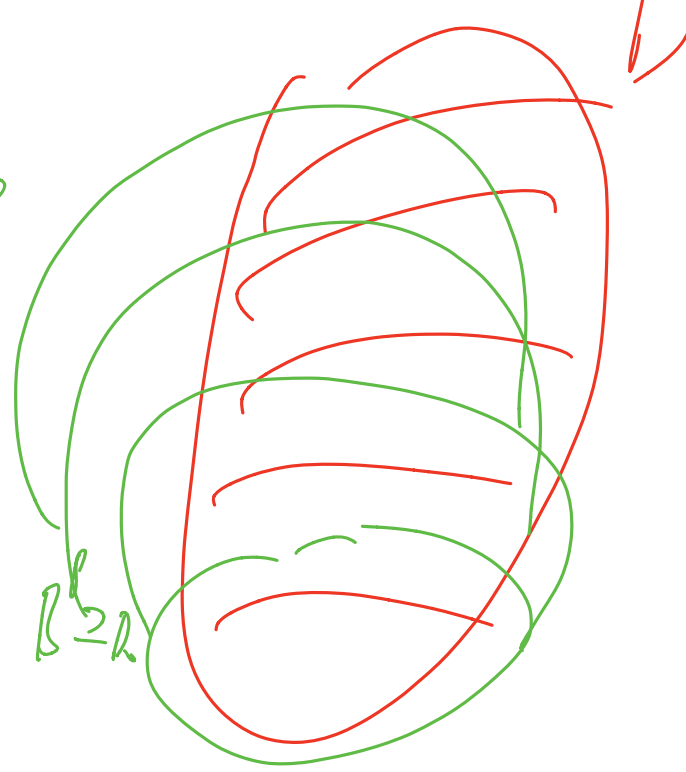
Prop 16.6.1 Suppose C and D are metric structures of density character \aleph_1 . The following are equivalent.

1. There exists a σ -complete back-and-forth system between C and D .
2. The structures C and D are isomorphic.



$A \cong G$

Γ



$B \cong H$



Thm 16.6.4 Suppose C and D are countably saturated metric structures. The following are equivalent.

1. The metric structures C and D are elementarily equivalent.
2. There exists a σ -complete back-and-forth system between C and D .

Proof: This is what the proof that CH implies $C \cong D$ gives in the absence of CH.



$$F = \left\{ (A, B, \phi) \mid \begin{array}{l} A \subset C, B \subset D \\ \text{sep.} \\ \phi: A \xrightarrow{\cong} B \end{array} \right\}$$

The asymptotic sequence algebra

(a few theorems with proofs omitted; see §16.3, §16.5)

$$c_0(B) := \{(a_n) \in l_\infty(B) \mid \lim_n \|a_n\| = 0\}.$$

The algebra $B_\infty := l_\infty(B)/c_0(B)$ is the asymptotic sequence algebra.

$$B \neq B_\infty$$

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Fefernan-Vaught type

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Thm 16.5.1 B_∞ is countably saturated for every B .

Thm (F., 2020) *For any separable B we have*

- $B_\infty \cong B \otimes C(K)$ (K denotes the Cantor space).
- Any 'diagonal' copy of $B \otimes C(K)$ in B_∞ is an elementary submodel.
- CH implies that $B_\infty \cong (B \otimes C(\mathbb{2}^{\mathbb{N}}))_{\mathcal{U}}$, for any nonprincipal ultrafilter \mathcal{U} on \mathbb{N} .

$\text{Th}(B \otimes C(K))$
 $\subseteq \text{Th}(B_\infty)$
 $\subseteq \text{Th}(C(K, B))$

Another theorem with its proof omitted

Thm (F.-Hart-Rørdam-Tikuisis, 2017) CH implies that³

$$\underline{(M_{2^\infty})_{\mathcal{U}} \cap (M_{2^\infty})'} \cong \underline{(M_{2^\infty})_{\mathcal{U}}}.$$

(One can replace M_{2^∞} with any strongly self-absorbing C^* -algebra,
or with R with respect to a tracial ultrapower.)

$$\underline{A_{\mathcal{U}} \cap A'} < A_{\mathcal{U}}$$

³ \mathcal{U} is nonprincipal and over \mathbb{N} .

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4. $\{X \subseteq \mathbb{Q} \mid X \text{ is nowhere dense}\}$.
5. For a countable indecomposable ordinal α ,
 $\{X \subseteq \alpha \mid \text{the order type of } X \text{ is } < \alpha\}$.
6. $\mathcal{Z}_0 := \{X \subseteq \mathbb{N} \mid \limsup_n |X \cap n|/n = 0\}$

Given a family of C^* -algebras B_j , for $j \in \mathbb{J}$, and an ideal \mathcal{J} on \mathbb{J} , we let $\bigoplus_{\mathcal{J}} B_j := \{\bar{b} \in \prod_{j \in \mathbb{J}} B_j : \limsup_{j \rightarrow \mathcal{J}} \|b_j\| = 0\}$

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Ghasemi's Feferman–Vaught Theorem still holds, but countable saturation may fail. (There is no known characterization of ideals for which every associated reduced product is countably saturated.)