

# Massive $C^*$ -algebras

Ilijas Farah

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Lecture 3, January 18

Tutorials (with Saeed Ghasemi):

Monday, 1-3pm (EST)

Zoom Meeting ID: 940 6387 0029 Passcode: 135882

We now continue the study of coronas using degree-1 conditions and types.

## Conditions and types

**Def 15.1.1** A degree-1 condition over a  $C^*$ -algebra  $C$  is an expression of the form

$$\|a_0 x a_1 + a_2 x^* a_3 + a_4\| = r \quad (1)$$

with coefficients  $a_j$  in  $C$  and  $r \in \mathbb{R}_+$ .

The condition  $\|P(x)\| = r$  is satisfied in  $C$  by  $b$  if  $\|P(b)\| = r$ .

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**Def 15.1.2** A *degree-1 type* over  $C$  is a set of degree-1 conditions over  $C$ . A type  $t(x)$  is realized in  $C$  if there exists  $b$  in the unit ball of  $C$  such that every condition in  $t(x)$  is satisfied by  $b$ .

## Conditions and types

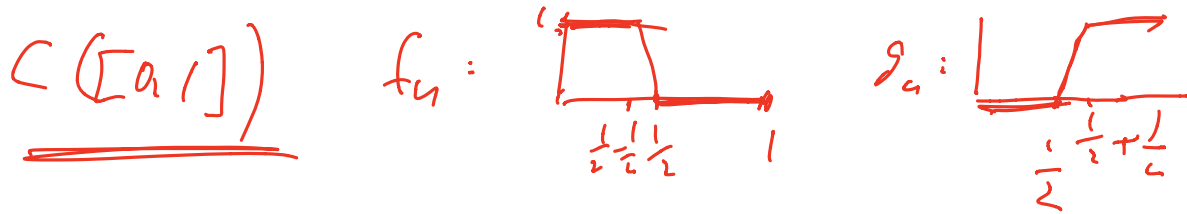
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$\|X f_n - f_n\| = 0, \quad \|X g_n\| = 0, \quad n \in \mathbb{N}$

$f_n$



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(All this can be defined for types in  $n$  variables for  $n \leq \aleph_0$ .)

**Def 15.1.4** A  $C^*$ -algebra  $C$  is countably degree-1 saturated if every satisfiable countable degree-1 type over  $C$  in  $n$  variables, for any  $n$ , is realized in  $C$ .

$$A = \underline{K(H)}$$

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**Thm 15.1.5** The corona of every  $\sigma$ -unital, nonunital,  $C^*$ -algebra is countably degree-1 saturated.

A remark for  $C^*$ -algebraists: More is true. Every massive  $C^*$ -algebra is countably degree-1 saturated, and ultraproducts associated with free (i.e., nonprincipal) ultrafilters on  $\mathbb{N}$  have a stronger property.

We will first prove an easier result, as a warm-up.



**Thm** Suppose that  $B_n$ , for  $n \in \mathbb{N}$ , are unital  $C^*$ -algebras. The corona of  $\bigoplus_n B_n$  is countably degree-1 saturated.

$$\prod_n B_n = \left\{ (b_n) \in \prod_n B_n \mid \sup_n \|b_n\| < \infty \right\}$$

$$\underline{\bigoplus_n B_n} = \left\{ (b_n) \in \prod_n B_n \mid \|b_n\| \rightarrow 0, n \rightarrow \infty \right\}$$

$$e_n = \sum_{j \leq n} 1_{B_j} \in \bigoplus_n B_n$$

$$\mathcal{M}(\bigoplus_n B_n) = \prod_n B_n$$

$$\prod_n B_n / \bigoplus_n B_n$$

**Thm** Suppose that  $B_n$ , for  $n \in \mathbb{N}$ , are unital  $C^*$ -algebras. The corona of  $\bigoplus_n B_n$  is countably degree-1 saturated.

Proof: This corona is isomorphic to  $C := \prod_n B_n / \bigoplus_n B_n$ . Let

$$\pi: \prod_n B_n \rightarrow C$$

be the quotient map.

Fact. For  $(d_n)_{n=0}^{\infty} \in \prod_n B_n$ ,

$$\|(d_n)\| = \sup_n \|d_n\|$$

$$\|\pi((d_n))\| = \limsup_n \|d_n\|.$$

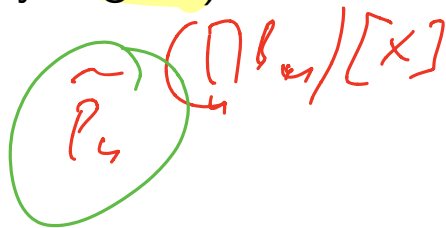
$$e_m \|\pi((d_n))\| = \lim_{m \rightarrow \infty} \|(1 - e_m)(d_n)_{n=0}^{\infty}\|$$

Fact. For  $(d_n) \in \prod_n B_n$ ,

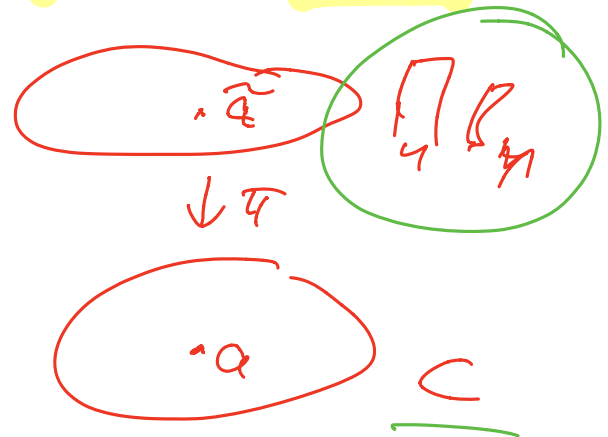
$$\|(d_n)\| = \sup_n \|d_n\|$$

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Fix a satisfiable countable degree-1 type  $t(x)$ , and enumerate it as  $\|P_n(x)\| = r_n$ , for  $n \in \mathbb{N}$ . (In this proof,  $P_n$  can be a  $*$ -polynomial over  $C$  of any degree.)



$P_n \quad C[x]$



Fact. For  $(d_n) \in \prod_n B_n$ ,

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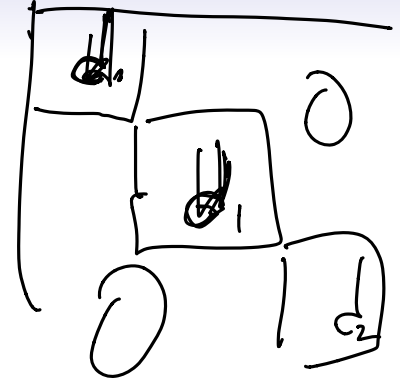
Lift the coefficients of  $P_n$  to  $\prod_n B_n$ , and let  $\tilde{P}_n$  be a polynomial over  $\prod_n B_n$  that lifts  $P_n$ .

$\prod_n B_n$

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Lift the coefficients of  $P_n$  to  $\prod_n B_n$ , and let  $\tilde{P}_n$  be a polynomial over  $\prod_n B_n$  that lifts  $P_n$ .

We'll need a nice approximate unit for  $\bigoplus_n B_n$ . Let  $e_j = \sum_{n \leq j} 1_{B_n}$ , for  $j \in \mathbb{N}$ .

Fact. Each  $e_j$  is a projection,  $e_j \leq e_{j+1}$ , and  $e_j$  is in the center of  $\prod_n B_n$ .

$$e_j e_{i+1} = e_j$$

For  $n \in \mathbb{N}$  fix  $\tilde{b}(n)$  in the unit ball of  $\prod_n B_n$  such that

$$\max_{j \leq n} \left| \|\pi(\tilde{P}_j(\tilde{b}(n)))\| - r_j \right| < \frac{1}{n}$$

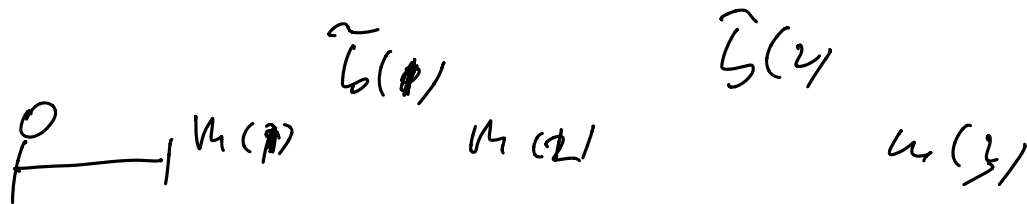
$$\left( \left( \pi(\tilde{P}_j(\tilde{b}(n))) \right) \right)_{j=1}^n = r_j$$

For  $n \in \mathbb{N}$  fix  $\tilde{b}(n)$  in the unit ball of  $\prod_n B_n$  such that  $\lim_{n \rightarrow \infty} r_j = r_j$

$$\max_{j \leq n} \left| \left\| \pi(\tilde{P}_j(\tilde{b}(n))) \right\| - r_j \right| < \frac{1}{n}$$

**Fact.** There are  $0 < m(0) < m(1) < \dots$  in  $\mathbb{N}$  such that for all  $n$  and all  $k \leq n$  we have

$$\left| \left\| (e_{m(n+1)} - e_{m(n)}) \tilde{P}_k(\tilde{b}(n)) \right\| - r_k \right| < \frac{1}{n \epsilon}$$





$$\hat{P}_i(\bar{b}(u)) = \left( \frac{d_{u,i}}{u} \right)_{u \rightarrow 0}$$

$$\lim_u \|\bar{d}_u\| \leq \frac{v_i + \frac{1}{T}}{1}$$

$$\lim \|\bar{d}_u\| \geq v_i - \frac{1}{T}$$

$u(k)$

$$\hat{P}_i(\bar{b}(k)) \quad v_i$$

$u(k+1)$

$$\hat{P}_i(\bar{b}(k+1))$$

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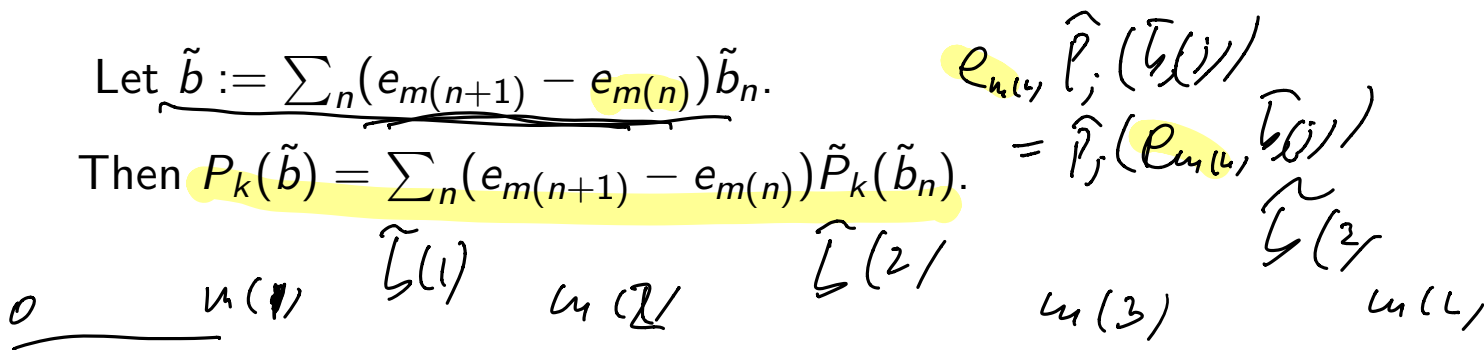
$$\max_{j \leq n} | \|\pi(\tilde{P}_j(\tilde{b}(n)))\| - r_j | < \frac{1}{n}$$

**Fact.** There are  $0 < m(0) < m(1) < \dots$  in  $\mathbb{N}$  such that for all  $n$  and all  $k \leq n$  we have

$$| \|(e_{m(n+1)} - e_{m(n)})\tilde{P}_k(\tilde{b}(n))\| - r_k | < \frac{1}{n}$$

Let  $\tilde{b} := \sum_n (e_{m(n+1)} - e_{m(n)}) \tilde{b}_n$ .

Then  $P_k(\tilde{b}) = \sum_n (e_{m(n+1)} - e_{m(n)}) \tilde{P}_k(\tilde{b}_n)$ .



For  $n \in \mathbb{N}$  fix  $\tilde{b}(n)$  in the unit ball of  $\prod_n B_n$  such that

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Then  $P_k(\tilde{b}) = \sum_n (e_{m(n+1)} - e_{m(n)})\tilde{P}_k(\tilde{b}_n)$ .

Then  $b := \pi(\tilde{b})$  realizes the type  $t$ .

$$\| \underline{P_k(b)} \| = \lim_{j \rightarrow \infty} \inf \{ \| (P_k(b))_j \| \} = r_j$$

**Remarks** (1) We did not need the assumption that the polynomials  $P_n$  were of degree 1.

(2) The proof shows that the corona  $\prod_n B_n / \bigoplus_n B_n$  is **quantifier-free countably saturated**, and it is even **countably saturated** (in the sense of continuous model theory), but the proof of the latter involves additional ideas.

$$A = K(H)$$

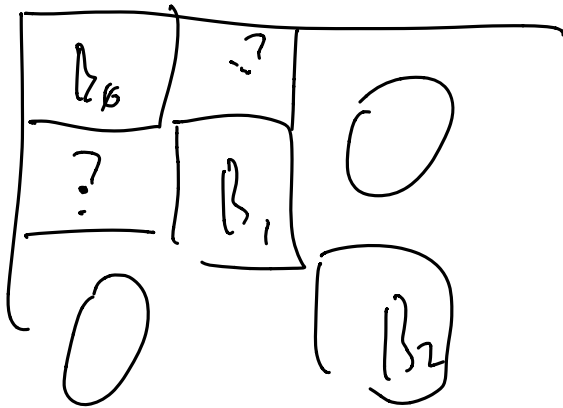
$$\bigoplus_n B_n$$

Back to the main result:

$$A \quad M(A)/A$$

**Thm 15.1.5** *The corona of every  $\sigma$ -unital, nonunital,  $C^*$ -algebra is countably degree-1 saturated.*

In the proof we will need a theorem of Arveson.



$$e_u P_i (b)$$

$$= P_i (e_u b)$$

# Quasi-central approximate units

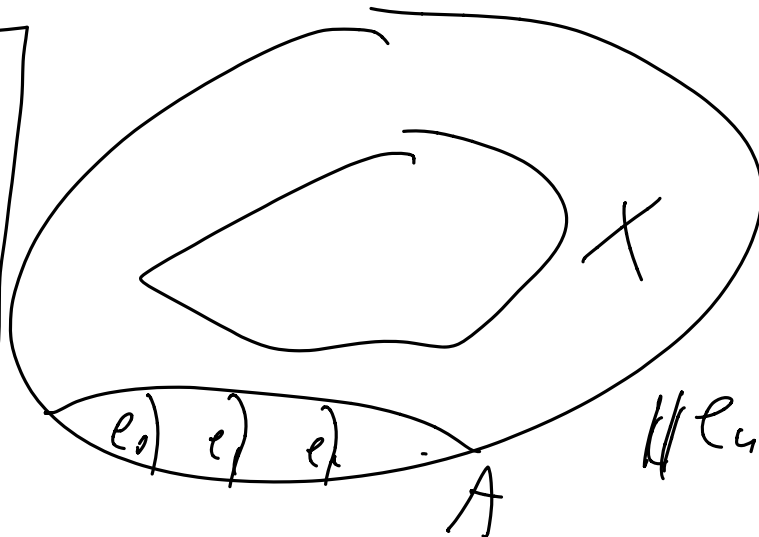
(1977, Duke)

**Def 1.9.1** Suppose  $A$  is an ideal in  $M$  and  $X \subseteq M$ . An approximate unit  $(e_m)$  in  $A$  is  $X$ -quasi-central if  $\lim_m \|ae_m - e_ma\| = 0$  for every  $a \in X$ .

$$\| [a, e_m] \| \rightarrow 0$$

$M$

$A = K(H)$   
 $M = B(H)$   
 $(e_n)$  - o.n.  
 $(K e_j)_{j=1}^{\infty}$  for  $A$   
 $\sum_{j=1}^{\infty} e_j = \sum_{j=1}^{\infty} K e_j$



$$\| e_n a - a e_n \| \rightarrow 0$$

# Quasi-central approximate units

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**Prop 1.9.3** Suppose  $A$  is  $\sigma$ -unital ideal in a  $C^*$ -algebra  $M$  and  $X \subseteq M$  is separable. Then there exists an  $X$ -quasi-central approximate unit  $e_n$ , for  $n \in \mathbb{N}$ , in  $A$  such that  $e_{n+1}e_n = e_n$ .

The proof of this fact (due to Arveson) uses GNS representations in a clever way; since I promised that I'll not go into the representation theory, and since the proof is presented in the text, I'll skip it.

$$e_{n+1}e_n = e_n e_{n+1}$$

$$\mathbb{C}A = A$$

## One more fact about commutation

Lemma

$\mathbb{D}$

$$\underline{\underline{f(t) = \sqrt{t}}}$$

Suppose that  $S \subseteq \mathbb{R}_+$  is compact and  $f \in C(S)$ . Then for all  $\varepsilon > 0$  there is  $g_f(\varepsilon) > 0$  such that for all  $a$  and  $b$  with a normal and  $\text{sp}(a) \subseteq S$  we have

$$\underline{\|[a, b]\|} < \underline{g_f(\varepsilon)} \Rightarrow \underline{\|[f(a), b]\|} < \underline{\varepsilon}.$$

Ex (1) If  $f(x) = \underline{\underline{x^n}}$

$$\|[a^n, b]\| \leq (n+1) \|[0, L]\|$$



①  $\int f + f \quad (1) \quad \leq \quad \star - \|y\|$   
only ①

② Use Stone-Weierstrass.

$$\|f - \underline{p}\| < \varepsilon/4$$

# Proof that every corona $\mathcal{M}(A)/A$ of a $\sigma$ -unital $C^*$ -algebra is countably degree-1 saturated

Fix a satisfiable countable degree-1 type  $\underline{t(x)}$ , and enumerate it as  $\underline{\|P_n(x)\| = r_n}$ , for  $n \in \mathbb{N}$ .

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Fix a satisfiable countable degree-1 type  $t(x)$ , and enumerate it as  $\|P_n(x)\| = r_n$ , for  $n \in \mathbb{N}$ .

Lift the coefficients of  $P_n$  to  $\mathcal{M}(A)$ , and let  $\tilde{P}_n$  be a polynomial over  $\mathcal{M}(A)$  that lifts  $P_n$ .

For  $n \in \mathbb{N}$  fix  $\tilde{b}(n)$  in the unit ball of  $\mathcal{M}(A)$  such that

$$\max_{j \leq n} \left| \|\pi(\tilde{P}_j(\tilde{b}(n)))\| - r_j \right| < \frac{1}{n}$$

$\mathcal{M}(A)$

Let  $X_j$  be the set of all coefficients of  $\tilde{P}_n$  and all  $b(n)$ , for  $n \leq j$ .

Let  $X := \bigcup_j X_j$ .

Fix an  $X$ -quasentral approximate unit  $(e_n)$  for  $A$  such that

$e_{n+1}e_n = e_n$  for all  $n$ .

Let  $X_j$  be the set of all coefficients of  $\tilde{P}_n$  and all  $b(n)$ , for  $n \leq j$ .

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Fix an  $X$ -quasicontral approximate unit  $(e_n)$  for  $A$  such that  $e_{n+1}e_n = e_n$  for all  $n$ .

By going to a subsequence, assure that

$$\|[e_j, c]\| < g_{\sqrt{\cdot}}|_{[0,1]}(2^{-j})/2$$

for all  $c \in X_j$  and all  $j$ .

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By going to a subsequence, assure that

$$\| [e_j, c] \| \leq \varepsilon_{\sqrt{\cdot} \uparrow [0,1]}(2^{-j})/2$$

for all  $c \in X_j$  and all  $j$ .

Let  $f_j := (e_{j+1} - e_j)^{1/2}$  (with  $e_{-1} := 0$ ).

$$e_{j+1} - e_j \geq 0$$

$$1. \text{ For all } n \leq j, \underbrace{\tilde{P}_n(f_j \tilde{b}_j f_j)}_{\tilde{b}(j)} \approx_{2^{-j}} \underbrace{\tilde{f}_j P_n(\tilde{b}_j) f_j}_{\tilde{b}(j)} \approx_{2^{-j}} \underbrace{\tilde{P}_n(\tilde{b}_j) f_j^2}_{\tilde{b}(j)}$$

$$P_4 \text{ (K)} \approx \underline{\underline{a_1}} X \underline{\underline{a_2}} + \underline{\underline{a_3}} X^* \underline{\underline{a_4}} + \underline{\underline{a_5}}$$

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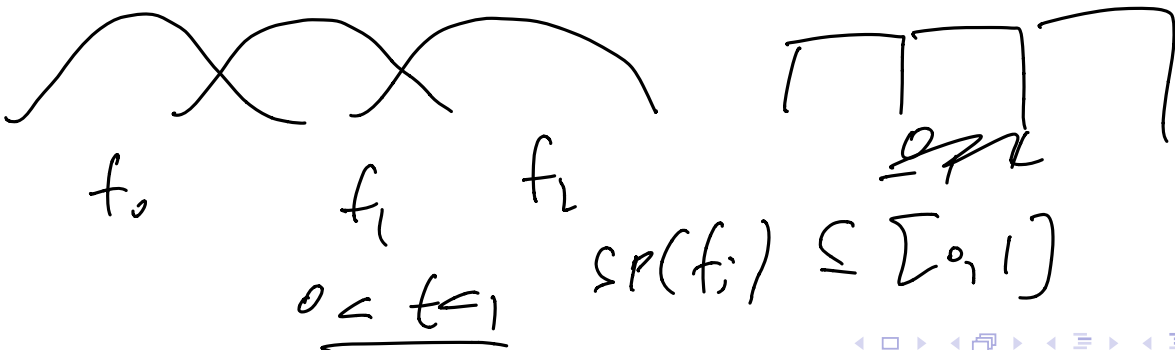
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Let  $f_j := \underbrace{(e_{j+1} - e_j)^{1/2}}_{\mathcal{M}(a_j)}$  (with  $e_{-1} := 0$ ).

1. For all  $n \leq j$ ,  $\tilde{P}_n(f_j \tilde{b}_j f_j) \approx_{2^{-j}} \tilde{f}_j P_n(\tilde{b}_j) f_j \approx_{2^{-j}} \tilde{P}_n(\tilde{b}_j) f_j^2$ .
2.  $\sum_j f_j^2 = 1$  (the infinite sum strictly converges).



Let  $X_j$  be the set of all coefficients of  $\tilde{P}_n$  and all  $b(n)$ , for  $n \leq j$ .

Let  $X := \bigcup_j X_j$ .

Fix an  $X$ -quascentral approximate unit  $(e_n)$  for  $A$  such that  $e_{n+1}e_n = e_n$  for all  $n$ .

By going to a subsequence, assure that  $0 \leq e_j \leq 1$

$$\|[e_j, c]\| < g_{\sqrt{\cdot}}|_{[0,1]}(2^{-j})/2$$

for all  $c \in X_j$  and all  $j$ .

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2.  $\sum_j f_j^2 = 1$  (the infinite sum strictly converges).

3. For  $(a_j) \in \ell_\infty(A)$  the sum  $\sum_j f_j a_j f_j$  strictly converges and satisfies  $\|\sum_j f_j a_j f_j\| \leq \sup_j \|a_j\|$ .

4.  $\|\pi(\sum_j f_j a_j f_j)\| \leq \lim_{n \rightarrow \infty} \|\sum_{j \geq n} f_j a_j f_j\|$ .

5. If moreover  $\|\|a_j\| - \|a_j f_j^2\|\| \rightarrow 0$ , then

$$\|\pi(\sum_j a_j f_j^2)\| \geq \limsup_j \|a_j f_j^2\| = \limsup_j \|a_j\|$$



pf (5)

Assume  $A \subseteq B$  (HV)

Fix  $\xi_j \in H, \|\xi_j\| = 1$

$\|a_j f_j^2 \xi_j\| \approx \|a_j\|$

$f_j^2 \xi_j \approx \xi_j$   
 $\|f_j^2 \xi_j\| \approx 1$

want:

$\left\| \sum_k a_k f_k^2 \xi_j \right\| \geq \left\| a_j f_j^2 \xi_j \right\|$

~~$\left\| \sum_{k \neq j} a_k f_k^2 \xi_j \right\| \approx 0$~~

$e_{k+r} e_k = e_k$

$f_k f_\ell = 0$  if  $|k-\ell| \geq 1$

$\|a+b\| \geq \|a\| - \|b\|$

$\|a_j z\| \leq \|a_j\| \|z\|$

$\|a_j f_j^2 \xi_j\| \approx \|a_j\|$

$\|f_j^2 \xi_j\| < 1$

$\|a_j f_j^2 \xi_j\| \leq \|a_j\| \|f_j^2 \xi_j\|$



Finally, replace  $(e_j)$  with a subsequence such that

$$\|f_j \tilde{P}_n(\tilde{b}_j) f_j\| - \text{ ~~} r_n \text{ } < \frac{1}{j}~~$$

for all  $n \leq j$ .

$$f_i = (e_{j_{i+1}} - e_i)^{\downarrow}$$

$$\| \Pi(\tilde{P}_n(b_i)) \| \approx \frac{1}{n}$$

Finally, replace  $(e_j)$  with a subsequence such that

$$|\|f_j \tilde{P}_n(\tilde{b}_j) f_j\| - r_n| < \frac{1}{j}$$

for all  $n \leq j$ .

Then  $b := \pi(\sum_j f_j \tilde{b}_j f_j)$  is in  $(\mathcal{M}(A)/A)_1$ .

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$$|\|f_j \tilde{P}_n(\tilde{b}_j) f_j\| - r_n| < \frac{1}{j}$$

for all  $n \leq j$ .

Then  $b := \pi(\sum_j f_j \tilde{b}_j f_j)$  is in  $(\mathcal{M}(A)/A)_1$  and satisfies

$$\|P_n(b)\| = \|P_n(\sum_j f_j b_j f_j)\| = \|\sum_j f_j P_n(b_j) f_j\| = r_j.$$

Therefore  $b$  realizes the type  $t$ .