

# Introduction to tropical geometry: theory and applications

## Lecture 3 (Tropicalization of Linear Spaces)

Fatemeh Mohammadi  
(Ghent University)

Winter School on Geometric Constraint Systems

January 19, 2021

# Linear spaces

- For this talk assume that  $K = \mathbb{C}$ .
- A  $d$ -dim **linear vector subspace**  $L \subset K^n$  can be given as:
  - A span of  $d$  vectors  $v_1, \dots, v_d$  in  $K^n$ .
  - A row space of a  $d \times n$  matrix.
  - The solution set of  $n - d$  linear equations of form
$$a_1 X_1 + \dots + a_n X_n = 0$$
  - **Plücker coordinates**

## Goal

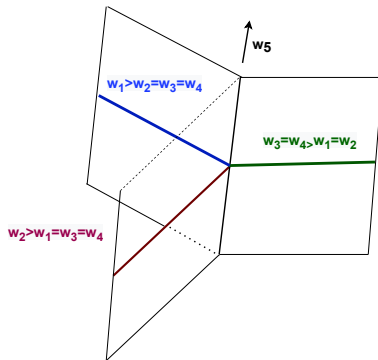
- To describe the tropicalized variety  $\text{trop}(L)$ .
- To find a minimal set of equations needed to generate  $\text{trop}(L)$ .
- To describe the moduli space of ordinary and tropical linear spaces.
- To describe an abstract notion of tropical linear varieties.

## Tropical linear space (Sturmfels, Ardila-Klivans)

Tropical linear space: obtained by tropicalizing the equations of circuits of  $L$ .

# Example: Tropical linear subspace

- $L = \text{row space } \begin{pmatrix} 0 & 0 & 0 & 0 & 2 \\ 2 & 1 & 0 & 0 & 0 \\ 0 & 1 & 2 & 2 & 0 \end{pmatrix}$  with columns  $X_1, \dots, X_5$
- $X_1 - 2X_2 + X_3 = 0$ ,  $X_4 - X_3 = 0$  and  $X_1 - 2X_2 + X_4 = 0$
- $\text{trop}(L) = V(X_1 \oplus X_2 \oplus X_3) \cap V(X_1 \oplus X_2 \oplus X_4) \cap V(X_3 \oplus X_4) \cap \bigcap_{f \in \text{trop}(I_L)} V(f)$



- $\text{trop}(L) = \{w : \min\{w_1, w_2, w_3\}, \dots, \min\{w_3, w_4\} \text{ is achieved at least twice}\}$

# Plücker coordinates of matrices

- Consider a  $d \times n$  matrix  $A$  with entries in a field  $K$ .
- Plücker coordinate**  $p_I(A)$  for  $I \in \binom{[n]}{d}$ : The minor of  $A$  on the columns  $I$ .
- The **Plücker vector** of  $A$  in  $K^n$  is

$$p(A) = (p_{I_1}, \dots, p_{I_{\binom{n}{d}}})$$

- $A = \begin{bmatrix} 1 & 1 & 2 & 0 \\ -1 & 0 & 1 & 1 \end{bmatrix}$  with  $p(A) = (1, 3, 1, 1, 1, 2) = (p_{12}, p_{13}, \dots, p_{34})$
- The associated linear space of a Plücker vector  $p \in \mathbb{P}^{\binom{n}{d}-1}$  is:

$$L_p = \{X \in K^n : \sum_{i \in T} (-1)^i p_{T \setminus i} X_i = 0 \text{ for all } T \subset [n], |T| = d+1\}$$

- $\{1, 2, 3\} : p_{12}X_3 - p_{13}X_2 + p_{23}X_1 = 0 \implies X_3 - 3X_2 + X_1 = 0$
- $\{1, 2, 4\} : p_{12}X_4 - p_{14}X_2 + p_{24}X_1 = 0 \implies X_4 - 1X_2 + X_1 = 0$
- $\{1, 3, 4\} : p_{13}X_4 - p_{14}X_3 + p_{34}X_1 = 0 \implies 3X_4 - X_3 + 2X_1 = 0$
- $\{2, 3, 4\} : p_{23}X_4 - p_{24}X_3 + p_{34}X_2 = 0 \implies X_4 - X_3 + 2X_2 = 0$

# Plücker coordinates of linear subspaces

## Parameterization of Plücker vectors

How to check whether a given vector is a Plücker vector of a linear subspace?

- Consider the projective space  $\mathbb{P}^{\binom{n}{d}-1} = K^n \setminus \{(0, \dots, 0)\} / \sim$

$$(p_1, \dots, p_{\binom{n}{d}}) \sim (\lambda p_1, \dots, \lambda p_{\binom{n}{d}}) \text{ for all } \lambda \in K \setminus \{0\}$$

- A vector  $p \in \mathbb{P}^{\binom{n}{d}-1}$  is the Plücker vector of a matrix if and only if for all  $S, T \subset [n]$  with  $|S| = d - 1$  and  $|T| = d + 1$ :
- Quadratic Plücker relations:**  $\sum_{i \in T \setminus S} (-1)^{\alpha_i} p_{T \setminus i} p_{S \cup i} = 0$
- For a  $2 \times 4$  matrix:  $p_{12}p_{34} - p_{13}p_{24} + p_{23}p_{14} = 0$
- A Plücker vector of a matrix determines the corresponding linear space which is independent of the choice of the matrix  $A$  representing it.

# Tropical linear space

- Tropical projective space  $\mathbb{TP}^{\binom{n}{d}-1} = (\overline{\mathbb{R}}^{\binom{n}{d}} \setminus \{(\infty, \dots, \infty)\}) / \sim$   
 $(p_1, \dots, p_{\binom{n}{d}}) \sim (\lambda \odot p_1, \dots, \lambda \odot p_{\binom{n}{d}})$  for all  $\lambda \in \overline{\mathbb{R}}$
- $L_p = \{X \in K^n : \sum_{i \in T} (-1)^i p_{T \setminus i} X_i = 0 \text{ for all } T \subset [n], |T| = d+1\}$
- The tropical linear space associated to  $p \in \mathbb{TP}^{\binom{n}{d}-1}$  is obtained by tropicalizing all polynomials above.

## Question

What is the minimal generating set for such tropical equations? How to describe them combinatorially?

## Tropical linear space (Sturmfels, Ardila-Klivans)

Tropical linear space: obtained by tropicalizing the equations of circuits of  $L$ .

# A crash course in matroid theory

- Let  $A$  be a matrix with columns  $X_1, \dots, X_n$ . The minimal subsets of  $[n]$  whose corresponding columns are dependent are called circuits.
- A **matroid**  $M$  on  $[n]$  is a collection  $\mathcal{C}$  of circuits (subsets of  $[n]$ ) such that:
  - (1)  $\emptyset$  is not a circuit.
  - (2) No circuit contains another circuit.
  - (3) For every pair of circuits  $C, C'$  and  $a \in C \cap C'$  the set  $C \cup C' \setminus \{a\}$  contains a circuit.
- A basis of  $M$  is a maximal independent set. The **matroid polytope** of  $M$  is

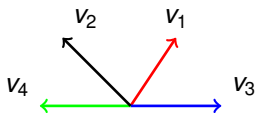
$$P_M = \text{convex hull}\{e_{b_1} + \dots + e_{b_r} : \{b_1, \dots, b_r\} \text{ is a basis of } M\}$$

- Edmonds 1970, Gelfand-Goresky-MacPherson-Serganova 1987

## Theorem

A 0/1 polytope is a matroid polytope  $\iff$  all its edges are of the form  $e_i - e_j$ .

# Example 1: Matroid polytope



$$A = [v_1 | v_2 | v_3 | v_4]$$

$$\text{rank}(12) = \text{rank}(13) = \text{rank}(123) = 2$$

- The circuits of the associated matroid  $M$  are 34, 124, 123
- The basis elements of  $M$  are 12, 13, 14, 23, 24.
- The matroid polytope is

$$P_M = \text{conv}\{(1, 1, 0, 0), (1, 0, 1, 0), (1, 0, 0, 1), (0, 1, 1, 0), (0, 1, 0, 1)\}.$$

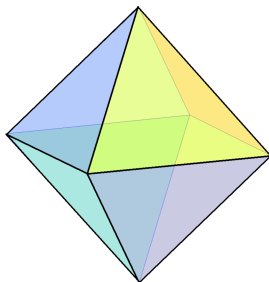




## Example 2: Uniform matroid $U(d, n)$

- The circuits of  $U(2, 4)$  are all 3-subsets.
- The basis elements of  $U(2, 4)$  are all 2-subsets.
- The hypersimplex  $\Delta(d, n)$ : the matroid polytope of  $U(d, n)$ .
- For the uniform matroid  $M = U(2, 4)$  we have:

$$P_M = \text{conv}\{(1, 1, 0, 0), (1, 0, 1, 0), \dots, (0, 0, 1, 1)\}.$$



# Tropical linear subspace

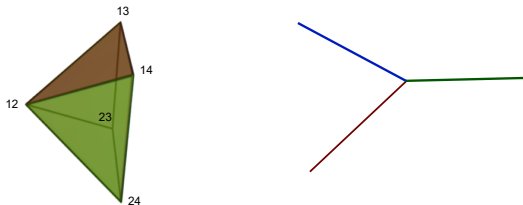
- Let  $L$  be a linear subspace and  $M$  be its associated matroid on  $[n]$ .
- Let  $P_M$  be the matroid polytope of  $M$ .
- A matroid is **loopless** if every element  $i \in [n]$  is in some basis of  $M$ .

# Tropical linear subspace

- Let  $L$  be a linear subspace and  $M$  be its associated matroid on  $[n]$ .
- Let  $P_M$  be the matroid polytope of  $M$ .
- A matroid is **loopless** if every element  $i \in [n]$  is in some basis of  $M$ .

## Theorem (Sturmfels)

Tropical  $L$  is the dual fan of the loopless faces of the matroid polytope  $P_M$ .



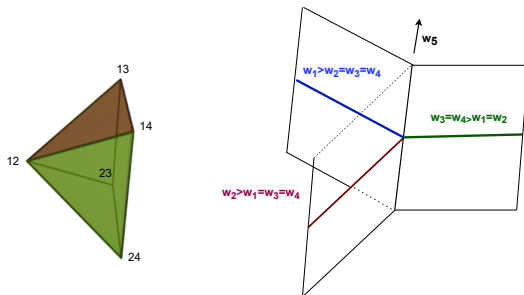
- Every face is a matroid. Loopless faces:  $\{12, 23, 24\}$  and  $\{13, 14, 23\}$

# Tropical linear subspace

- Let  $L$  be a linear subspace and  $M$  be its associated matroid on  $[n]$ .
- Let  $P_M$  be the matroid polytope of  $M$ .
- A matroid is **loopless** if every element  $i \in [n]$  is in some basis of  $M$ .

## Theorem (Sturmfels)

Tropical  $L$  is the dual fan of the loopless faces of the matroid polytope  $P_M$ .



- Every face is a matroid. Loopless faces:  $\{12, 23, 24\}$  and  $\{13, 14, 23\}$

# Grassmannian $\text{Gr}(d, n)$

- **Grassmannian**  $\text{Gr}(d, n)$ : The set of  $d$ -dim subspaces in  $K^n$ .
- Each element of  $\text{Gr}(d, n)$  is represented by a full-rank  $d \times n$  matrix  $X$ .

$$X = \begin{bmatrix} 1 & 1 & 2 & 3 \\ -1 & 0 & 1 & 2 \end{bmatrix} \in \text{Gr}(2, 4) \quad \text{with} \quad p_{12} = 1, p_{13} = 3, p_{23} = 1, \dots$$

- **Plücker coordinate**  $p_I$  for  $I \in \binom{[n]}{d}$ : The minor of  $X$  on the columns  $I$ .
- **The Plücker ideal** is generated by quadratic relations:

$$p_I p_J = \sum_{\lambda=1}^k p_{i_1 \dots i_{r-1} j_\lambda i_{r+1} \dots i_k} p_{j_1 \dots j_{\lambda-1} i_r j_{\lambda+1} \dots j_k} \quad \text{for all } I, J.$$

- Tropicalization of  $\text{Gr}(d, n)$
- **Tropicalized Plücker vectors**

# Tropicalized Plücker vectors

- Let  $A$  be a  $d \times n$  matrix with entries in  $\overline{\mathbb{R}}$ .
- For every  $d$ -subset  $I = \{i_1, \dots, i_d\}$  we define

$$\text{trop}(p_I) = \text{trop}(\det(A_I)) = \min_{\sigma} \{a_{1, \sigma(i_1)} + \dots + a_{d, \sigma(i_d)}\}$$

- The **tropicalized Plücker vector** of  $A$  in  $\overline{\mathbb{R}}^{\binom{n}{d}}$  as

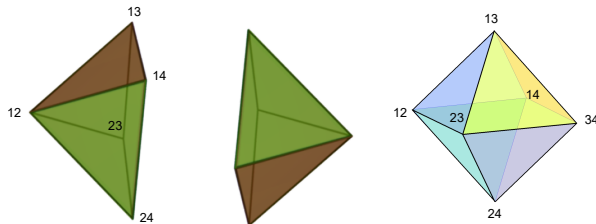
$$\text{trop}(p(A)) = (\text{trop}(p_{I_1}), \dots, \text{trop}(p_{I_{\binom{n}{d}}})) \text{ with } I_1 < \dots < I_{\binom{n}{d}} \text{ in lex order.}$$

- Tropical linear subspaces are corresponding to matroid polytopes
- What are the combinatorial properties of tropical Plücker vectors?
- Tropical Plücker vectors are corresponding to subdivisions of  $\Delta(d, n)$

# Tropical Plücker vectors

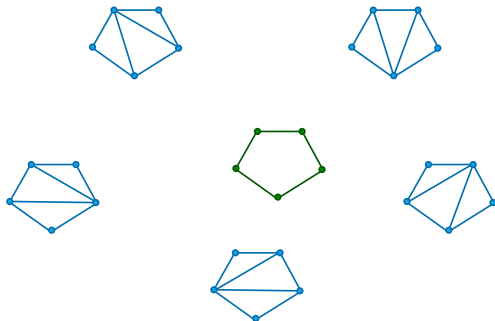
**Definition.** A vector  $p \in \overline{\mathbb{R}}^{(n)}_d$  is a **tropical Plücker vector** if every cell of the corresponding **regular subdivision** of  $\Delta(d, n)$  is a matroid polytope.

- Tropicalized Plücker vectors are realizable tropical Plücker vectors.
- The dual polyhedral complex of the corresponding subdivision of  $\Delta(d, n)$  is a tropical linear space.



# Subdivisions of polytopes

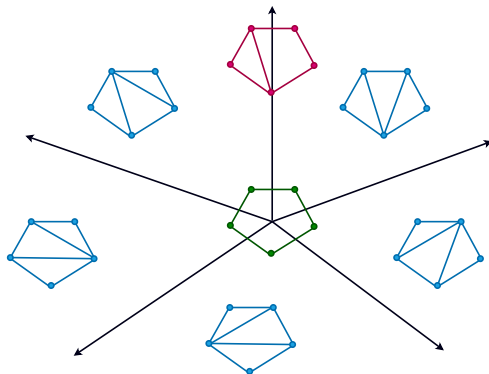
- A **subdivision** of a polytope is a finite union of polytopes s.t. every two polytopes are either disjoint or intersect by a common proper face.





# Subdivisions of polytopes

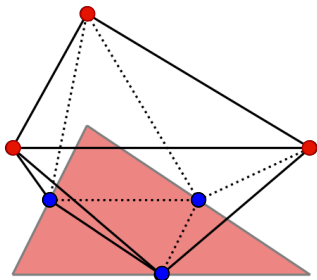
- A **subdivision** of a polytope is a finite union of polytopes s.t. every two polytopes are either disjoint or intersect by a common proper face.



- **The secondary fan of  $P$ :** A fan whose faces correspond to the regular subdivisions of  $P$ . [Gelfand-Kapranov-Zelevinski, 1990](#)

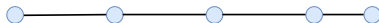
# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



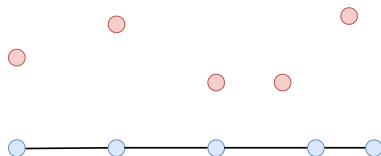
# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



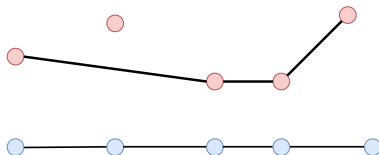
# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



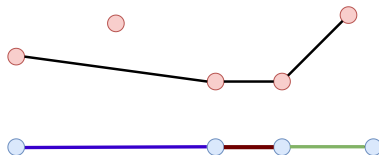
# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



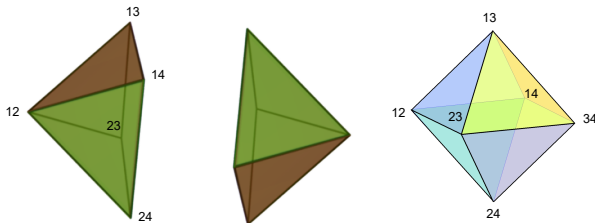
# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



# Regular subdivisions of polytopes

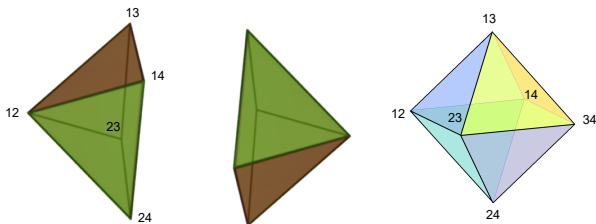
- A **regular** subdivision: is obtained by lifting some points of  $P$ .



**Definition.** A vector  $p \in \mathbb{R}^{\binom{n}{d}}$  is a **tropical Plücker vector** if every cell of the corresponding **regular subdivision** of  $\Delta(d, n)$  is a matroid polytope.

# Regular subdivisions of polytopes

- A **regular** subdivision: is obtained by lifting some points of  $P$ .



**Definition.** A vector  $p \in \mathbb{R}^{\binom{n}{d}}$  is a **tropical Plücker vector** if every cell of the corresponding **regular subdivision** of  $\Delta(d, n)$  is a matroid polytope.

**Theorem (Kapranov 1992, Speyer-Sturmfels 2004)**

The polyhedral dual fan of the subdivision of  $\Delta(d, n)$  is a tropical linear space.

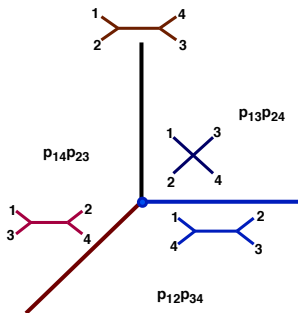


# Dressians and tropical Grassmannians

- **Dressian**  $\text{Dr}(d, n)$  (Kapranov, Speyer, Joswig, ...)
  - parameterizes abstract tropical linear spaces
  - the moduli space of tropical Plücker vectors
  - has a natural fan structure
  - subfan of the secondary fan of  $\Delta(d, n)$  corr. to matroid subdivisions
- **Tropical Grassmannian** (Hacking-Keel-Tevelev, Speyer-Sturmfels, ...)
  - the tropicalization variety of the Plücker ideal.
  - The (closure) of the images of classical Plücker vectors under the valuation map are tropicalized Plücker vectors.
- $\text{Trop}(\text{Gr}(d, n)) \subseteq \text{Dr}(d, n)$

# Tropical Grassmannian $\text{trop}(\text{Gr}(2, n))$

- $\text{Trop Gr}(2, n)$ : tropicalized lines in tropical projective  $(n - 1)$ -space
- The space of phylogenetic trees with  $n$  leaves (Speyer-Sturmfels 2003).
- $\text{Trop Gr}(2, n) = \text{Dr}(2, n)$



- $\text{Trop Gr}(3, n)$ : metric tree arrangements, but computationally challenging.