

# Skew Mean Curvature Flow

**Chong Song**

Xiamen University

Workshop on Vortex Filaments

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# Outline

- 1 Introduction
- 2 Problems and Results
- 3 Existence of SMCF
- 4 Uniqueness of SMCF

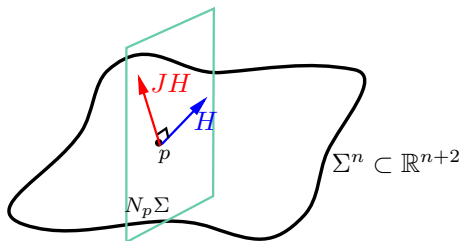
# Part I. Introduction

# Definition of SMCF

The **Skew Mean Curvature Flow (SMCF)** or **Bi-normal Flow** is a family of **codimension two** immersions  $F : [0, T) \times \Sigma^n \rightarrow M^{n+2}$  evolving by

$$\partial_t F = J\mathbf{H}$$

where  $\mathbf{H}$  is the mean curvature of  $\Sigma_t$  and  $J$  is the complex structure on the normal bundle  $\mathcal{N}\Sigma_t$ , which rotates a normal vector by  $\pi/2$  **positively** in the normal plane.



## Examples 1: one dimension

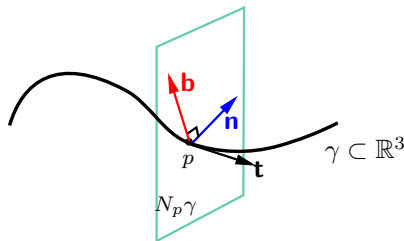
- 1-D SMCF in  $\mathbb{R}^3$ , i.e. **Vortex Filament Equation**:

$$\gamma_t = \kappa \mathbf{b} = \gamma_s \times \gamma_{ss}$$

- By **Hasimoto transformation**  $\Phi = \kappa e^{i \int \tau}$ , equivalent to

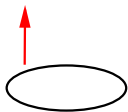
$$-i\Phi_t = \Phi_{ss} + \frac{1}{2}|\Phi|^2\Phi,$$

which amounts to rewriting the evolution equation of curvature in a suitable frame (gauge) of the normal bundle.

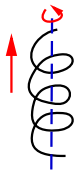


## Examples 1: one dimension

- 1D SMCF in  $\mathbb{R}^3$  is **completely integrable**, has infinitely many conserved quantities, and admits **soliton** solutions.
- (translating or rotating) soliton curves are related to **Euler's elastica** and **magnetic geodesics**.



Circle



Helix

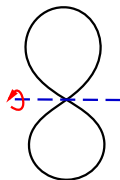
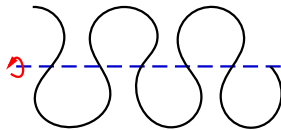


Figure 8



Wave-like

## Examples 2: higher dimension

- **Product of spheres**  $F : S^m(a) \times S^n(b) \rightarrow \mathbb{R}^{m+1} \times \mathbb{R}^{n+1}$  satisfies SMCF with  $a(0) = b(0) = 1$  if

$$\begin{cases} \partial_t a &= -n/b; \\ \partial_t b &= +m/a. \end{cases}$$

- $m = n$  (eg. **Clifford torus**): global solution

$$a(t) = e^{-nt}, \quad b(t) = e^{nt}.$$

- $m < n$  (eg.  $S^1 \times S^2 \subset \mathbb{R}^5$ ): finite time solution

$$a(t) = (1 - (n - m)t)^{n/(n-m)}, \quad b(t) = (1 - (n - m)t)^{m/(m-n)},$$

which **blows up** at  $T = 1/(n - m)$ . [Khesin-Yang 2019]

# Background 1: Hydrodynamics

SMCF models the locally induced motion of **vortex membranes** (codim 2 vortex) in a perfect fluid, which is deduced from the **Euler equation** by applying the Biot-Savart formula.

- [Da Rios 1906] 1-D **Vortex filament** in  $\mathbb{R}^3$

$$\gamma_t = \gamma_s \times \gamma_{ss}$$

- [Shashikanth 2012] 2-D Vortex membrane in  $\mathbb{R}^4$
- [Khesin 2012]  $n$ -D Vortex membrane in  $\mathbb{R}^{n+2}$



## Background 2: Superfluid

The [Gross-Pitaevskii equation](#)

$$-i\phi_t = \Delta\phi + \frac{1}{\varepsilon}W(|\phi|^2)\phi$$

models the evolution of the wave function  $\phi : \mathbb{R}^{n+2} \times [0, \infty) \rightarrow \mathbb{C}^1$  associated with a [Bose condensate](#).

**Conjecture:** Vortices evolve along SMCF. (Physics evidences)

- [\[Tai-Chia Lin 2000\]](#) 1-D vortex filament
- [\[Jerrard 2002\]](#) n-D vortex sphere with multiplicity 1
- Similar structure found in [superconductors](#) (parabolic PDEs) and [cosmic strings](#) (hyperbolic PDEs)

## Background 3: Connection with other flows

- SMCF is the **Hamiltonian flow** of the volume functional in the (infinite dimensional) symplectic manifold  $(\mathcal{I}, \Omega)$ . Here  $\mathcal{I}$  is the space of immersions moduli diffeomorphisms,  $\Omega$  is the **Marsden-Weinstein** symplectic structure

$$\Omega(V, W) = \int_{F(\Sigma)} \iota_V \iota_W d\bar{\mu}$$

- **Mean Curvature Flow** is the gradient flow of the volume functional.

## Background 3: Connection with other flows

### Theorem (S., 2017)

*The Gauss map  $\rho : [0, T] \times \Sigma^n \rightarrow G(n, 2)$  of SMCF in  $\mathbb{R}^{n+2}$  satisfies the Schrödinger map flow*

$$\partial_t \rho = J_G \Delta_g \rho.$$

- The Grassmannian manifold  $G(n, 2)$  is a Kähler manifold
- The underlying metric is evolving by  $\partial_t g = -2 \langle J\mathbf{H}, \mathbf{A} \rangle$ .
- [Ruh-Vilms, 1970] Gauss map of a minimal submanifold is harmonic.
- [M-T.Wang, 2001] Gauss map of the MCF satisfies the harmonic map heat flow.

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## Background 3: Connection with other flows

Complex PDE	Mapping	Sub-manifold
Elliptic	Harmonic map	Minimal sub-manifold
Parabolic	Harmonic heat flow	Mean curvature flow
Hyperbolic	Wave Map	Hyperbolic curvature flow
Schrödinger	Schrödinger map flow	<b>Skew mean curvature flow</b>
Ginzburg-Landau	Dirichlet Energy	Volume functional

# Part II. Problems and Results

# The initial value problem

Consider the initial value problem

$$\begin{cases} \partial_t F = J\mathbf{H} \\ F(0, \cdot) = F_0 \end{cases}$$

In local coordinates  $\mathbf{H}$  can be written as

$$\mathbf{H}^\alpha = (\Delta_g F)^\alpha = g^{ij}(\partial_i \partial_j F^\alpha - \Gamma_{ij}^k \partial_k F^\alpha),$$

where

$$g = g(DF), \quad \Gamma = \Gamma(D^2F), \quad J = J(DF).$$

For a graphic solution  $F(x) = (x, \phi^1(x), \phi^2(x))$ , reduce to

$$\partial_t \phi = i\Delta \phi + O(\partial_x^2 \phi |\partial_x \phi|^2).$$

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# Global existence of 1-D SMCF

## Theorem (H. Gomez, 2004)

*Given a smooth initial curve with  $\kappa \in L^2$  in a three dimensional Riemannian manifold, the 1-D SMCF admits a unique smooth global solution.*

### Remark:

- 1D-SMCF is essentially equivalent to a 1-D Schrödinger map arising from ferromagnetism physics.
- The proof used the [Hasimoto Transformation](#) and [Strichartz-type](#) estimates for Schrödinger equations.
- There exists self-similar solutions which becomes singular in finite time [[Gutierrez-Rivas-Vega 2003](#)].

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# Main difficulties

For higher dimensional SMCF ( $n \geq 2$ ):

- Not covered by existing theory on nonlinear Schrödinger equations
- De Turck's trick does not apply
- NO Hasimoto transformation (?)
- Apparently, only preserved quantity is the volume (element), NO conservation laws for curvature
- Even the uniqueness of derivative non-linear Schrödinger equations is difficult

$$u_t = i\Delta u + F(\nabla u).$$

# Results 1: Local Existence of 2-D SMCF

## Theorem (S.-Sun, 2015)

*Given a smooth initial compact surface  $\Sigma_0$  in  $\mathbb{R}^4$ , the SMCF admits a smooth local solution, where the existence time depends only on  $\|\mathbf{A}_0\|_{H^{2,2}}$  and the volume of  $\Sigma_0$ .*

### Remark:

- The existence actually holds for  $W^{4,2}$ -initial data and for more general ambient manifolds.
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## Results 2: Existence and Uniqueness of SMCF

### Theorem (S. 2019)

Given a  $n$ -dimensional smooth initial compact sub-manifold  $\Sigma^n$  in  $\mathbb{R}^{n+2}$ , the SMCF admits a **unique** smooth local solution, where the existence time depends only on the  $W^{[n/2]+2,2}$ -norm of the initial Gauss map.

### Remark:

- The existence and uniqueness actually holds for more general initial data and ambient manifolds.
- For  $k \geq [n/2]$ , the  $W^{k+1,2}$ -norm of the Gauss map is equivalent to

$$E = \text{vol} + \|\mathbf{H}\|_p + \|\mathbf{A}\|_{k,2}.$$

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# Open problems

The study of SMCF has just began, lots of open problems.

- **Regularity**: optimal regularity for existence and uniqueness?
- **Local existence**: on non-compact manifolds?
- **Finite time blow-up**: examples in 2d?
- **Global existence**: small initial data?
- **Long time asymptotic behavior**: geometric application?
- **Solitons**: no known non-trivial solitons for dimension  $\geq 2$ , which satisfies, for a Killing vector field  $K$ ,

$$JH = K.$$



## Recent progress

Long-time existence for graphic submanifold with small data:

Theorem (Ze Li, preprint 2020)

Let  $n \geq 3$  and  $k \geq n + 4$ . For a smooth *graphic* initial submanifold which is a  $H_k$ -small transversal perturbation of  $\mathbb{R}^n \subset \mathbb{R}^{n+2}$ , there exists a global unique smooth solution to the SMCF.

Local well-posedness for non-compact submanifold with small data:

Theorem (Huang-Tataru, preprint 2020)

Let  $n \geq 4$  and  $k > n/2$ . There exists  $\varepsilon_0 > 0$  such that any initial submanifold  $F_0 : \mathbb{R}^n \rightarrow \mathbb{R}^{n+2}$  with  $\|\mathbf{H}_0\|_{H^k} \leq \varepsilon_0$ , the  $n$ -D SMCF is locally well-posed.

# Part III. Existence of SMCF

# Perturbed SMCF

For  $\varepsilon > 0$ , consider the **perturbed SMCF**

$$\begin{cases} \partial_t F = J_\varepsilon \mathbf{H} = \varepsilon \mathbf{H} + J\mathbf{H}; \\ F(0, \cdot) = F_0. \end{cases}$$

- For  $\varepsilon > 0$ , pSMCF is **weakly parabolic** and **De Turck trick** yields a local solution
- Parabolic estimates will blow-up as  $\varepsilon \rightarrow 0$ , need **uniform estimates** of pSMCF w.r.t.  $\varepsilon$
- **Strategy**: **energy method**, which relies on **uniform Sobolev inequalities** since the metric is varying along the flow,.

# Evolution equations

Along the pSMCF, we have

$$\begin{aligned}\partial_t d\mu &= -\varepsilon |\mathbf{H}|^2 d\mu \\ \partial_t g &= -2 \langle J_\varepsilon \mathbf{H}, \mathbf{A} \rangle \\ \partial_t \mathbf{A} &= J_\varepsilon \Delta \mathbf{A} + \mathbf{A} * \mathbf{A} * \mathbf{A}. \\ \partial_t \mathbf{H} &= J_\varepsilon \Delta \mathbf{H} + \mathbf{A} * \mathbf{A} * \mathbf{H}. \\ \partial_t \nabla^l \mathbf{A} &= J_\varepsilon \Delta \nabla^l \mathbf{A} + \sum_{i+j+k=l} \nabla^i \mathbf{A} * \nabla^j \mathbf{A} * \nabla^k \mathbf{A}.\end{aligned}$$

# Uniform Sobolev inequality

## Theorem (Mantegazza, GAFA, 2002)

Suppose  $M^n$  is a compact submanifold of Euclidean space. If  $Vol + \|H\|_{n+\delta} \leq B$  for some  $\delta > 0$ , then there exists  $C = C(B, n)$  such that

$$\|D^j T\|_p \leq C \|T\|_{W^{k,q}}^a \|T\|_r^{1-a},$$

where  $j \in [0, k]$ ,  $p, q, r \in [1, \infty]$  and  $a \in [j/k, 1]$  satisfies

$$\frac{1}{p} = \frac{j}{m} + a \left( \frac{1}{q} - \frac{k}{m} \right) + \frac{1-a}{r} > 0.$$

In particular, when  $kq > m$ , we have

$$\|T\|_\infty \leq C \|T\|_{W^{k,q}}.$$

# Proof of existence I

Along pSMCF, since

$$\partial_t \nabla^l \mathbf{A} = J_\varepsilon \Delta \nabla^l \mathbf{A} + \sum_{i+j+k=l} \nabla^i \mathbf{A} * \nabla^j \mathbf{A} * \nabla^k \mathbf{A},$$

it follows

$$\partial_t \|\nabla^l A\|_2^2 \leq C \sum_{i+j+k=l} \int_M |\nabla^i A| \cdot |\nabla^j A| \cdot |\nabla^k A| \cdot |\nabla^l A| d\mu.$$

Assume  $V + \|H\|_p \leq B$  for some  $p > n$ , then by uniform Sobolev, we have for  $k > n/2$

$$\begin{aligned} \partial_t \|A\|_p^2 &\leq C(B) \|A\|_{k,2}^2 (1 + \|A\|_p^2) \\ \partial_t \|A\|_{k,2}^2 &\leq C(B) \|A\|_{k,2}^2 \cdot \|A\|_{k,2}^2 \end{aligned}$$

## Proof of existence II

By setting the energy  $E = V + \|A\|_p^2 + \|A\|_{k,2}^2$ , we conclude

$$\partial_t E \leq C(B)E \cdot (1 + E).$$

### Lemma

*For pSMCF with  $\varepsilon > 0$ , there exists a uniform time  $T > 0$  only depending on  $E_0$  such that  $E(t) \leq 2E_0$  for all  $t \in [0, T_0]$ .*

Once we have uniform time  $T$  and estimates of  $A$ , the convergence of pSMCF and existence of SMCF follow by standard arguments.



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# Part IV. Uniqueness of SMCF

# Uniqueness of SMCF

Consider the initial value problem of SMCF

$$\begin{cases} \partial_t F = J\mathbf{H}, \\ F(0, \cdot) = F_0. \end{cases}$$

For two solutions  $F$  and  $\tilde{F}$ , show that  $F = \tilde{F}$ .

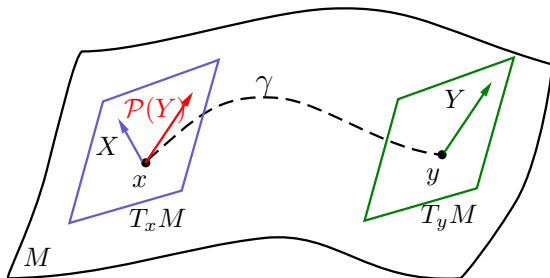
- Since no maximal principal, again we will use [energy methods](#), which is also useful in parabolic flows, e.g. Ricci flow by [\[Kotchwar\]](#), and MCF by [\[Lee & Ma\]](#).
- **Key idea:** Measure the difference/distance of two solutions intrinsically by [Parallel transportation/Relative gauge](#).

## Distance of vector fields

Suppose  $x, y \in (M, g)$  is connected by a unique geodesic  $\gamma$ , then for any  $X \in T_x M, Y \in T_y M$ , define

$$d_1(X, Y) = |X - \mathcal{P}(Y)|$$

where  $\mathcal{P} : T_y M \rightarrow T_x M$  is the **parallel transportation** along  $\gamma$ .



## Distance of second fundamental forms

**Question:** For two submanifolds  $F, \tilde{F} : \Sigma^n \rightarrow \mathbb{R}^m$ , how to compare their second fundamental forms  $A$  and  $\tilde{A}$  **intrinsically**?

- If Gauss maps  $\rho, \tilde{\rho}$  lie close enough, define

$$d_1(A, \tilde{A}) = d_1(d\rho, d\tilde{\rho}).$$

by using parallel transportation  $\mathcal{P} : \tilde{\rho}^*TG \rightarrow \rho^*TG$

- **Actually we can do better!** Observe

$$\rho^*TG = \rho^*(\mathcal{G}^\top \otimes \mathcal{G}^\perp) = F^*(T\bar{\Sigma} \otimes N\bar{\Sigma}) =: \mathcal{H} \otimes \mathcal{N}$$

the parallel transportation  $\mathcal{P}$  actually splits

$$\mathcal{P}^\top : \tilde{\mathcal{H}} \rightarrow \mathcal{H}, \quad \mathcal{P}^\perp : \tilde{\mathcal{N}} \rightarrow \mathcal{N}.$$

## Distance of arbitrary tensors

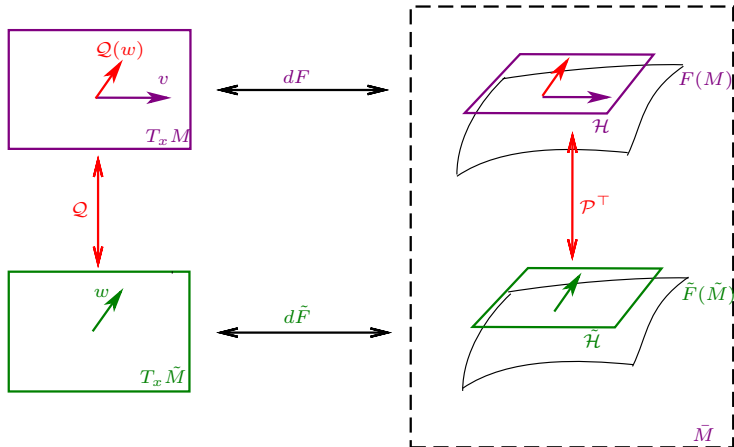
- $\mathcal{P}$  in turn gives a “parallel transportation”  $Q : T\tilde{\Sigma} \rightarrow T\Sigma$  by

$$\begin{array}{ccc}
 T\tilde{\Sigma} & \xrightarrow{d\tilde{F}} & \tilde{\mathcal{H}} \\
 \mathcal{Q} \downarrow & & \downarrow \mathcal{P}^\top \\
 T\Sigma & \xrightarrow{dF} & \mathcal{H}
 \end{array}$$

- Now for any tensor  $\Phi \in \Gamma(\mathcal{N} \otimes (T\Sigma)^p)$ ,  $\tilde{\Phi} \in \Gamma(\tilde{\mathcal{N}} \otimes (T\tilde{\Sigma})^p)$ , we can define their “intrinsic distance” by

$$d(\Phi, \tilde{\Phi}) = |\Phi - \mathcal{P}^\perp \otimes \mathcal{Q}^p(\tilde{\Phi})|.$$

# Parallel transport for tensors



# Idea of proof

**Step 1:** For a sufficiently small time, we can define the parallel transportation  $\mathcal{P}$  and  $\mathcal{Q}$  and derive estimates of their derivatives.

**Step 2:** Define the energy functional

$$\mathcal{L} = \int_{\Sigma} \left( |d(\rho, \tilde{\rho})|^2 + |d(A, \tilde{A})|^2 + |d(\nabla A, \tilde{\nabla} \tilde{A})|^2 + |g - \tilde{g}|^2 + |\Gamma - \tilde{\Gamma}|^2 + |\mathbf{I} - \mathcal{Q}|^2 \right) dv.$$

**Step 3:** By the evolution equations of SMCF, we can derive a Gronwall inequality for the energy  $\mathcal{L}$ , which implies uniqueness.  $\square$



Thank you for your attention!