

# Singularities of the $L^2$ curvature flow

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Yang-Mills connections are natural candidates to consider as “optimal” connections on  $P$ , and their study has played a central role in various physical, geometric, and topological theories, especially in **dimension  $n = 4$** .

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From a Lagrangian-theoretic standpoint, critical metrics are natural choices of “best metrics” on smooth manifolds, especially in **dimension 4**, which is the **scale-invariant** dimension for  $\mathcal{F}$ .

## Gradient Flows

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Conjecturally, the long time behavior of both flows is governed by the principle of **dimensional criticality**.

## Long time existence properties

Both functionals  $\mathcal{YM}$  and  $\mathcal{F}$  obey scaling laws which render dimensions  $n \leq 3$  "subcritical", dimension  $n = 4$  "critical", and dimension  $n \geq 5$  "supercritical".

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## Preliminary results on singularity formation

### Theorem

(\_\_\_\_\_ 2012) Let  $(M^n, g_t)$  be a solution to the  $L^2$  flow which exists on a maximal time interval  $[0, T)$ ,  $T < \infty$ . Then

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Moreover, for  $n \geq 6$ , local collapse actually occurs, as evidenced by homogeneous metrics on  $S^5 \times S^1$ .

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## Singularity Decompositions

We show that in dimension  $n = 3$ , any point of curvature blowup is eventually arbitrarily close to arbitrarily collapsed points. The set  $\mathcal{T}_\mu(g_t)$  is roughly points which are  $\mu$ -collapsed on the scale of curvature.

Theorem (\_\_\_\_\_ 2013)

*Let  $(M^3, g_0)$  be a compact manifold, and suppose the solution to the  $L^2$  flow with initial condition  $g_0$  exists on a maximal time interval  $[0, T)$ ,  $T < \infty$ . Then for any  $x \in M$  such that  $\limsup_{t \rightarrow T} |\text{Rm}|(x, t) = \infty$  and any  $\mu > 0$ ,*

$$\liminf_{t \rightarrow T} d(x, \mathcal{T}_\mu(g_t), t) = 0.$$

## Singularity Decompositions

Before stating our result for  $n = 4$ , let's recall the Struwe "bubbling" statement.

### Theorem (Struwe)

*"Finite time singularities of the Yang-Mills flow in dimension 4 occur via energy concentration." I.e., if  $T$  denotes a finite maximal existence time of the Yang-Mills flow, there exist finitely many points  $\{x_i\} \in M$  and an  $\epsilon > 0$  depending on the given bundle such that for all  $R > 0$ ,*

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### Theorem (Struwe)

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$$\limsup_{t \rightarrow T} \int_{B_R(x_i)} |F|^2 \geq \epsilon.$$

Note that it is **still an open question if these finite time singularities actually occur.**

## Singularity Decompositions

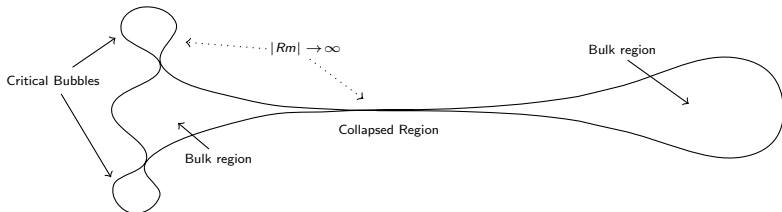
Theorem (\_\_\_\_\_ 2013)

(Concentration-Collapse Decomposition) For any  $E, \mu > 0$  there exists  $\epsilon(E, \mu) > 0$  so that if  $(M^4, g_t)$  is a solution to the  $L^2$  flow satisfying

$$\mathcal{F}(g_0) \leq E,$$

then for any  $T \in \mathbb{R}_{\geq 0} \cup \{\infty\}$ , and any  $x \in M$  such that  $\limsup_{t \rightarrow T} |\text{Rm}|(x, t) = \infty$ , we have either:

1. For all  $r > 0$ ,  $\limsup_{t \rightarrow T} \int_{B_r(x, t)} |\text{Rm}|^2 \geq \epsilon$ ,
2.  $\liminf_{t \rightarrow T} d(x, T_\mu(g_t), t) = 0$ .



## Smoothing Result

### Definition

Let  $(M^n, g)$  be a Riemannian manifold. Fix  $0 < \delta < 1$ , and let  $\omega_n$  denote the volume of the unit  $n$ -ball in  $\mathbb{R}^n$ . Given  $x \in M$ , define the  $\delta$ -volume radius at  $x$  to be

$$r_\delta(x) := \sup \left\{ r \geq 0 \mid \forall s \leq r, \frac{\text{Vol } B_s(x)}{s^n} \geq \delta \omega_n \right\}.$$

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### Theorem (\_\_\_\_\_ 2013)

Given  $0 < \delta < 1$ , there exists  $\epsilon, \iota, A > 0$  depending only on  $\delta$  so that if  $(M^4, g)$  is a compact Riemannian manifold satisfying

1.  $r_\delta \geq \rho$ ,
2.  $\mathcal{F}(g) \leq \epsilon$ ,

the  $L^2$  flow with initial condition  $g$  exists on  $[0, \rho^4]$  and moreover satisfies the estimates

1.  $|\text{Rm}|_{g_t} \leq A\mathcal{F}^{\frac{1}{6}}(g_t)t^{-\frac{1}{2}}$ ,
2.  $\text{inj}_{g_t} \geq \iota t^{\frac{1}{4}}$ ,

## Corollaries on compactness and diffeofiniteness

Let us recall a typical statement of “pinching” for Riemannian manifolds:

### Theorem

(Gao, Anderson, Anderson-Cheeger) Given  $V, D, H > 0$  there exists  $\epsilon = \epsilon(V, D, H)$  so that if  $(M^4, g)$  is a compact Riemannian manifold satisfying:

1.  $|\text{Rc}| \leq H$
2.  $\text{diam} \leq D$
3.  $\text{Vol} \geq V,$
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then  $M$  admits a flat metric.



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These results all rely, implicitly or explicitly, on smoothing properties for second order elliptic or parabolic PDE (Ricci flow), usually through the technique of Moser iteration, which requires a “supercritical” estimate to work.

## Corollaries on compactness and diffeofiniteness

Corollary (\_\_\_\_\_ 2013)

Given  $0 < \delta < 1$  and  $\rho, V > 0$  there exists  $\epsilon = \epsilon(\delta, \rho, V) > 0$  such that given  $(M^4, g)$  a compact Riemannian manifold satisfying

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### Corollary (\_\_\_\_\_ 2013)

Given  $A, V > 0$  there exists  $\epsilon = \epsilon(A, V) > 0$  so that if  $(M^4, g)$  is a compact Riemannian four-manifold satisfying

1.  $\text{Vol} \leq V$ ,
2.  $C_S \leq A$ ,
3.  $\mathcal{F}(g) \leq \epsilon$ ,

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## Corollaries on compactness and diffeofiniteness

Corollary (\_\_\_\_\_ 2013)

*Given  $0 < \delta < 1$ , there exists  $\epsilon(\delta) > 0$  so that for any  $\rho, V > 0$ , there are only finitely many diffeomorphism types of compact Riemannian manifolds  $(M^4, g)$  satisfying*

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## Proof of Smoothing Result

By an overall parabolic rescaling, it suffices to show that if  $r_\delta \geq 1$ , for  $\epsilon$  chosen sufficiently small with respect to  $\delta$  the solution to  $L^2$  flow exists on  $[0, 1]$ , and satisfies estimates

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By continuity, these estimates hold on  $[0, \epsilon)$ . If they failed to hold on  $[0, 1]$ , choose the first time  $\tau$  at which one of the above is an **equality**, and rescale again so that the equality occurs at  $[0, 1]$ .

Our task is to derive contradictions from either possible equality.

# Proof of Smoothing Result

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$$\mathcal{F}(g_1) \geq \int_{B_{\mu K}(x)} |\overline{\text{Rm}}|^2 \geq \frac{K^2}{4} \text{Vol}(B_{\mu K}(x_i)) \geq c\mu^4 K^6 = c\mu^4 A^6 \mathcal{F}(g_1) > \mathcal{F}(g_1),$$

a contradiction.



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Let  $U = B_{\frac{1}{2}}(x, g_0)$ . By construction we have  $\text{Vol}_{g_0}(U) \geq \frac{\delta\omega_4}{16}$ . We will show two estimates:

1.  $\text{Vol}_{g_1}(U) \geq \frac{\delta\omega_4}{32}$
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Together these imply that the volume ratio of unit balls at time  $t = 1$  is at least some controlled fraction of  $\delta$ , which will yield the final contradiction provided  $\iota$  is chosen small with respect to  $\delta$ .



## Proof of Smoothing Result

**Estimate 1:** We can directly compute the evolution of volume of an open set  $U$  via

$$\frac{d}{dt} \int_U dV_g = \int_U \operatorname{tr}_g \operatorname{grad} \mathcal{F} dV_g \geq -C \|\operatorname{grad} \mathcal{F}\|_{L^2} \operatorname{Vol}_{g_t}(U)^{\frac{1}{2}}.$$

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But  $\operatorname{grad} \mathcal{F} = \nabla^2 \operatorname{Rm} + \operatorname{Rm}^{*2} \approx t^{-1}$ , so this is **not integrable!**.

## Proof of Smoothing Result

To overcome this crucial obstacle we need to find a way to use our one “supercritical” estimate: the fundamental energy estimate

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The crucial idea to obtain the required distance estimate is to **replace the integral of  $\text{grad } \mathcal{F}$  along a curve by averaging over a tubular neighborhood.**



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Thus, by averaging over the disc or radius  $r_t$  orthogonal to  $\gamma$ , one obtains

$$|\text{grad } \mathcal{F}|(p, t) \leq \text{Area}(D_{r_t}(p))^{-\frac{1}{2}} \left[ \int_{D_{r_t}(p)} |\text{grad } \mathcal{F}|^2(q) dA(q) \right]^{\frac{1}{2}} + CRt^{\alpha - \frac{5}{4}}.$$

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Ideally, provided  $r_t \leq \text{inj}_{g_t} \approx t^{\frac{1}{4}}$ , one has  $\text{Area}(D_{r_t}(p)) \geq r_t^{n-1} \geq C(R)t^{\alpha(n-1)}$ .

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Now at some time  $t \in [0, 1]$ , suppose  $\gamma$  admits a disc neighborhood of radius  $r_t = Rt^\alpha$ . Using the smoothing estimates, for a point  $q \in D_{r_t}(p)$  we have

$$|\text{grad } \mathcal{F}|(p) \leq |\text{grad } \mathcal{F}|(q) + CRt^{\alpha - \frac{5}{4}}.$$

Thus, by averaging over the disc or radius  $r_t$  orthogonal to  $\gamma$ , one obtains

$$|\text{grad } \mathcal{F}|(p, t) \leq \text{Area}(D_{r_t}(p))^{-\frac{1}{2}} \left[ \int_{D_{r_t}(p)} |\text{grad } \mathcal{F}|^2(q) dA(q) \right]^{\frac{1}{2}} + CRt^{\alpha - \frac{5}{4}}.$$

Ideally, provided  $r_t \leq \text{inj}_{g_t} \approx t^{\frac{1}{4}}$ , one has  $\text{Area}(D_{r_t}(p)) \geq r_t^{n-1} \geq C(R)t^{\alpha(n-1)}$ . Thus, integrating over the curve yields

$$\begin{aligned} \frac{d}{dt} L(\gamma) &\leq CR^{1-n} t^{-\frac{\alpha(n-1)}{2}} \int_{\gamma} \left[ \int_{D_{r_t}} |\text{grad } \mathcal{F}|^2 dA \right]^{\frac{1}{2}} + CRL(\gamma) t^{\alpha - \frac{5}{4}} \\ &\leq CR^{1-n} L(\gamma)^{\frac{1}{2}} t^{-\frac{\alpha(n-1)}{2}} \left[ \int_{\gamma} \int_{D_{r_t}} |\text{grad } \mathcal{F}|^2 dA \right]^{\frac{1}{2}} + CRL(\gamma) t^{\alpha - \frac{5}{4}}. \end{aligned}$$

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Thus there is an appropriate choice of  $\alpha$  if and only if  $n \leq 4$ , as required!

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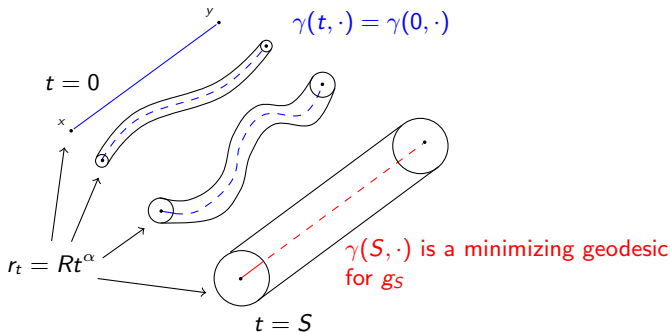
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- Once too much geodesic curvature is acquired, **pick a new geodesic** and continue!



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- With these preliminaries, one can construct a **local blowup limit**, which by energy estimates, is automatically **critical**.
- Finally, via a **new  $\epsilon$ -regularity result** ensures that at least some energy must have concentrated near the blowup point.

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This flow bears a close family resemblance to the  $L^2$  flow, and many analytic techniques can be shared between these two flows. In particular, the **singularity decomposition for  $n = 4$  applies to Calabi flow on complex surfaces.**

Thank You!