# Predicting Treatment Efficacy via quantitative MRI: A Bayesian Joint Model

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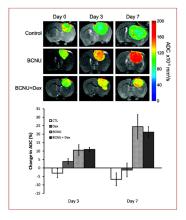
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# Use qMRI to predict treatment response early

- High-grade Gliomas
  - 1 year median survival after diagnosis
- treatment lasts  $\approx$  3 months
- another 2 months before radiological response measured
  - Complete Response no visible sign of tumor
  - Partial Response > 25% volume reduction
  - Stable disease <25% reduction and <25% volume increase
  - Progressive disease > 25% volume increase
- Second line therapies may then be given (usually too late to have any effect)
- Goal: predict response within 2-3 weeks of treatment initiation

# qMRI Biomarkers for Treatment Response

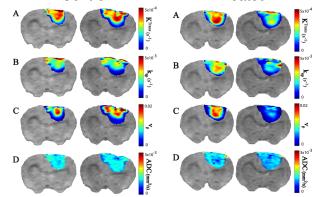
- Diffusion measure of Brownian motion of water molecules
- Apparent Diffusion Coefficient (ADC)
  - Magnitude of the diffusion tensor



- high cellular density = low diffusion
- Cytotoxic drugs/Radiation kill cells which then lyse
  - low cellular density = high diffusion

### qMRI Biomarkers for Treatment Response

 Perfusion — measure of blood flow or blood volume Control
 Treated



low blood volume = less nutrients = retarded growth

# Early Human Trial

### **Hypothesis**

quantitative MRI can predict treatment efficacy early

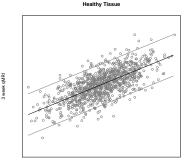
### Early Results

Human study (Glioma tumors) appeared futile

- no significance change in mean ADC due to treatment
- mean ADC could not predict outcome (radiological response)
- Colleagues did not give up
  - An entire program project grant was funded based on early animal models
  - They noted that regions of tumors had large changes in ADC
  - Noticed changes in the tails of the tumor histogram

# New summary statistic

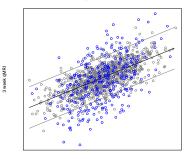
- Moffat et al. (2005) developed a new summary statistic
  - functional diffusion map FDM (and FPM)
  - group means significantly different
    - (SD + PR + CR) vs. PD



Baseline qMRI

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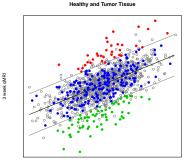




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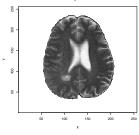
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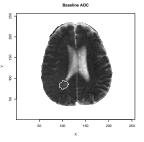
### New summary statistic

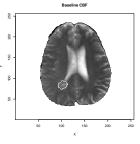
- Moffat et al. (2005) developed a new summary statistic
  - functional diffusion map FDM (and FPM)
  - group means significantly different
    - (SD + PR + CR) vs. PD
- I was still skeptical
  - showing a difference in means does not imply predictive power
- After obtaining the data
  - tried using FDM and FPM to predict one-year survival status
    - leave-one-out CV: 63% correct classification (Logistic classifier)
  - I had to try harder
    - a large chunk of my salary comes from the P01!

# Sample Images

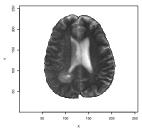
Baseline, T2-Weighted, Gd-Enhanced



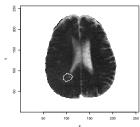




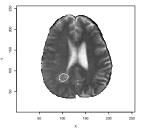
Week 3, T2-Weighted, Gd-Enhanced



Week 3 ADC



Week 3 CBF



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# **Two-Stage Joint Model**

Stage I: Multivariate spatio-temporal pairwise difference prior <sup>1</sup>

- $\mathcal{Y}$  will denote the set of all images over all M subjects
- Ω<sub>1</sub> denotes the stage I parameters
- Summary statistics derived in stage I denoted by  $\ensuremath{\mathcal{X}}$

• functionals of  $\Omega_1$ :  $\mathcal{X} = F(\Omega_1)$ 

<sup>&</sup>lt;sup>1</sup>Besag (1993), *Towards Bayesian Image Analysis*, Journal of Applied Statistics (20) 107–119.

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Sampling distribution

# $[\mathbf{Y}_i \mid \boldsymbol{\mu}_i, \boldsymbol{\Sigma}] \sim \mathcal{N}(\boldsymbol{\mu}_i, \boldsymbol{\Sigma}), \quad \forall \text{ tumor voxels } i$

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### Prior distribution (pairwise-difference prior)

$$\pi(\mu) \propto \exp\left[-\sum_{i\sim j}(\mu_i-\mu_j)^{\mathrm{T}}\Psi^{-1}(\mu_i-\mu_j)
ight]$$

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# Two-Stage Joint Model

Stage II: Generalized non-linear model<sup>2</sup>

- Z will denote the M-vector of 1-year survival statuses
- Probit link, MARS<sup>3</sup> basis
- Ω<sub>2</sub> denotes the stage II parameters
- Stages linked via summary statistics

<sup>&</sup>lt;sup>2</sup>Holmes and Denison (2003), *Classification with Bayesian MARS*, Machine Learning (50) 159–173.

<sup>&</sup>lt;sup>3</sup>Friedman (1991), *Multivariate adaptive regression splines*, The Annals of Statistics (19) 1–61.

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### **GNLM-BMARS**

$$Pr(Z_{j} = 1 | \mathbf{X}_{j}, \mathbf{\Omega}_{2}) = \Phi(\eta_{j}), \qquad \eta_{j} = \sum_{k=0}^{n} \beta_{k} B_{k}(\mathbf{X}_{j}),$$
$$B_{k}(\mathbf{X}_{j}) = \begin{cases} 1, & k = 0, \\ \prod_{\ell=1}^{L_{k}} [s_{\ell k}(X_{j w_{\ell k}} - t_{\ell k})]_{+}, & k = 1, 2, \dots, K \end{cases}$$

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### **GNLM-BMARS**

F

$$\begin{aligned} \Pr(Z_j = 1 \mid \mathbf{X}_j, \mathbf{\Omega}_2) &= \Phi(\eta_j), \qquad \eta_j = \sum_{k=0} \beta_k B_k(\mathbf{X}_j), \\ B_k(\mathbf{X}_j) &= \begin{cases} 1, & k = 0, \\ \prod_{\ell=1}^{L_k} [s_{\ell k}(X_{j w_{\ell k}} - t_{\ell k})]_+, & k = 1, 2, \dots, K \end{cases} \end{aligned}$$

Κ

### Posterior factorization

$$\pi(\Omega_1, \Omega_2 \mid \mathcal{Y}, Z) = \pi(\Omega_2 \mid Z, F(\Omega_1)) \times \pi(\Omega_1 \mid \mathcal{Y})$$

<sup>2</sup>Holmes and Denison (2003), *Classification with Bayesian MARS*, Machine Learning (50) 159–173.

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 Ultimately interested in predicting a new patient's survival status given his/her imaging data

Posterior Predictive Expectation

$$E(Z_{new} \mid \mathcal{Y}_{new}, \mathbf{Z}, \mathcal{Y}) = \int \pi(Z_{new} = \mathbf{1} \mid \mathcal{Y}_{new}, \Omega) \pi(\Omega \mid \mathcal{Y}, \mathbf{Z}) d\Omega$$

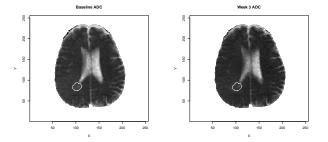
• 
$$\Omega = \{\Omega_1, \Omega_2\}$$

### We will use cross-validation to assess model

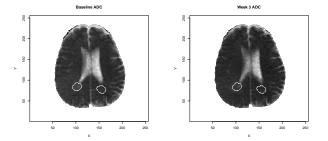
- Would like to compare tumor response under treatment vs. under no treatment
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# **Summary Statistics**

## Kullback-Leibler Divergence between

- estimated change in tumor means (FDM or FPM) and predicted change in contralateral hemisphere
- Conditional diffusion (perfusion) statistic:
  - conditional distribution given spatial information
  - prop. of week 3 tumor voxel means > 0.975 (diffusion) or < 0.025 (perfusion) quantile of the conditional predictive mean distr. in contralateral hemisphere

# **Algorithm Highlights**

- Latent variable representation<sup>4</sup>
  - transforms probit model into a (marginally) equivalent linear model
- RJMCMC<sup>5</sup>
  - number of MARS basis is unknown and random
  - integrate regression coefficients out of joint likelihood
- Importance sampling for c.v. <sup>6</sup>
  - only run algo. once with full data
- run algorithm for 100K iterations, burnin of 50K
  - oversample stage II 10:1
  - roughly 20 hours on a 3.0GHz Mac Xserve server
  - vast majority of computation spent in stage I

<sup>&</sup>lt;sup>4</sup>Albert and Chib (1993), *Bayesian analysis of binary and polychotomous response data*, JASA (88) 669–679.

<sup>&</sup>lt;sup>5</sup>Green (1995), Reversible jump Markov chain Monte Carlo computation and Bayesian model determination , Biometrika (82) 711–732.

<sup>&</sup>lt;sup>6</sup>Gelfand, Dey, Chang (1992), *Model determination using preditive distributions with implementation via sample-based methods*, Bayesian Statistics 4, 147–167.

Results

# Comparison with Simpler Models

If 
$$Pr(Z_j = 1 \mid Z_{-j}, \mathcal{Y}) > 0.5$$
, then predict  $Z_j = 1$ 

### Using all summary statistics

Model	<sup>1</sup> CCR <sub>CV</sub>
Bayesian joint model	0.79
Separate models (stage I + GLM)	0.62
fDM/fPM + GLM	0.63

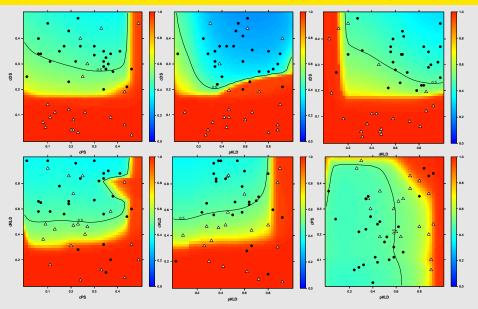
<sup>1</sup> Correct cross-validated classification rate.

# Only using the Kullback-Leibler statistics

Model	CCR <sub>CV</sub>
Bayesian joint model	0.72
Single model (Obs. data + GNLM)	0.64

Results

# Marginal Decision Boundaries: $Pr(Z_{new} = 1 | \mathbf{Z}, \mathcal{Y}, \mathbf{X}_{new,ij}) = 0.5$



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#### Conclusion

### Remarks

- Manuscript to appear:
  - Wu and Johnson (2011), *Predicting treatment efficacy via Quantitative MRI: a Bayesian joint model*, JRSSC (in press).
    - currently available at

http://www.bepress.com/umichbiostat/paper86

- Accounting for spatial correlation and complex decision boundary increases prediction rates over simpler models
- Summary statistics may not be ideal—more work is needed with collaborators to define better summaries
  - currently reducing a large amount of data to a few summary values
  - perhaps a larger vector would afford better prediction
- Currently small trials under way to determine if qMRI can be used in other tumors
  - breast cancer
  - prostate cancer bone metastases
  - sarcomas

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