## Modeling shallow water waves

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Fields Institute 2013

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- Notations
- Basic Assumptions
- The free surface Euler equations
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- The Zakharov/Craig-Sulem formulation
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  - Notations
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  - Small amplitude models
  - Adding  $O(\mu^p)$  terms
  - Working with a different "velocity"

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- (H1) The fluid is homogeneous and inviscid
- (H2) The fluid is incompressible
- (H3) The flow is irrotational
- (H4) The surface and the bottom can be parametrized as graphs above the still water level
- (H5) The fluid particles do not cross the bottom
- (H6) The fluid particles do not cross the surface
- (H7) There is no surface tension and the external pressure is constant.
- (H8) The fluid is at rest at infinity
- (H9) The water depth is always bounded from below by a nonnegative constant

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Equations (H1)'-(H8)' are called free surface Euler equations.

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→ ONE unknown function  $\zeta$  on a fixed domain  $\mathbb{R}^d$ → THREE unknown functions U on a moving, unknown domain  $\Omega_t$ 

$$\begin{array}{ll} (\text{H1})'' & \partial_t \mathbf{U} + (\mathbf{U} \cdot \nabla_{X,z}) \mathbf{U} = -\frac{1}{\rho} \nabla_{X,z} P - g \mathbf{e}_z \text{ in } \Omega_t \\ (\text{H2})'' & \text{div } \mathbf{U} = 0 \\ (\text{H3})'' & \text{curl } \mathbf{U} = 0 \\ (\text{H4})'' & \Omega_t = \{(X,z) \in \mathbb{R}^{d+1}, -H_0 + b(X) < z < \zeta(t,X)\}. \\ (\text{H5})'' & \mathbf{U} \cdot \mathbf{n} = 0 \text{ on } \{z = -H_0 + b(X)\} \\ (\text{H6})'' & \partial_t \zeta - \sqrt{1 + |\nabla \zeta|^2} \mathbf{U} \cdot \mathbf{n} = 0 \text{ on } \{z = \zeta(t,X)\}. \\ (\text{H7})'' & P = P_{atm} \text{ on } \{z = \zeta(t,X)\}. \\ (\text{H8})'' & \lim_{|(X,z)| \to \infty} |\zeta(t,X)| + |\mathbf{U}(t,X,z)| = 0 \\ (\text{H9})'' & \exists H_{min} > 0, \qquad H_0 + \zeta(t,X) - b(X) \ge H_{min}. \end{array}$$

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#### Definition

Equations (H1)"-(H8)" are called free surface Bernoulli equations.

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#### Definition

Equations (H1)"-(H8)" are called free surface Bernoulli equations.

 $\rightsquigarrow$  ONE unknown function  $\zeta$  on a fixed domain  $\mathbb{R}^d$  $\rightsquigarrow$  ONE unknown function  $\Phi$  on a moving, unknown domain  $\Omega_t$ 

## ONE unknown function $\zeta$ on a fixed domain $\mathbb{R}^d$ ONE unknown function $\Phi$ on a moving, unknown domain $\Omega_t$

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ZAKHAROV 68:

• Define  $\psi(t,X) = \Phi(t,X,\zeta(t,X))$ .

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ZAKHAROV 68:

• Define 
$$\psi(t,X) = \Phi(t,X,\zeta(t,X))$$
.

**2**  $\zeta$  and  $\psi$  fully determine  $\Phi$ : indeed, the equation

$$\begin{cases} \Delta_{X,z} \Phi = 0 & \text{in } \Omega_t, \\ \Phi_{|_{z=\zeta}} = \psi, \quad \partial_{\mathbf{n}} \Phi_{|_{z=-H_0+b}} = 0 \end{cases}$$

has a unique solution  $\Phi$ .

What are the equations on  $\zeta$  and  $\psi$ ???

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What are the equations on  $\zeta$  and  $\psi \ref{eq:constraint}?$ 

• Equation on  $\zeta$ . It is given by the kinematic equation

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CRAIG-SULEM 93:

Definition (Dirichlet-Neumann operator)

$$G[\zeta, b]: \quad \psi \quad \mapsto \quad G[\zeta, b]\psi = \sqrt{1 + |\nabla \zeta|^2} \, \partial_n \Phi_{|_{z=\zeta}}.$$

David Lannes (DMA)

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• Equation on  $\zeta$ . It is given by the kinematic equation

$$\partial_t \zeta - \sqrt{1 + |\nabla \zeta|^2} \partial_{\mathbf{n}} \Phi_{|_{z=\zeta}} = 0$$

CRAIG-SULEM 93:

Definition (Dirichlet-Neumann operator)

$$G[\zeta, b]: \psi \mapsto G[\zeta, b]\psi = \sqrt{1 + |\nabla \zeta|^2} \partial_n \Phi_{|_{z=\zeta}}$$

$$\searrow \left\{ \begin{array}{l} \Delta_{X,z} \Phi = 0, \\ \partial_{\mathbf{n}} \Phi_{|_{z=-H_0+b}} = 0, \\ \Phi_{|_{z=\zeta}} = \psi \end{array} \right.$$

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 $\leadsto$  The equation on  $\zeta$  can be written

$$\partial_t \zeta - G[\zeta, b]\psi = 0$$

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• Equation on  $\psi$ . We use (H1)" and (H7)"

$$\partial_t \Phi + rac{1}{2} |
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We now observe that:

$$\begin{array}{lll} (\partial_t \Phi)_{|_{z=\zeta}} &=& \partial_t \psi - (\partial_z \Phi)_{|_{z=\zeta}} \partial_t \zeta, \\ (\nabla \Phi)_{|_{z=\zeta}} &=& \nabla \psi - (\partial_z \Phi)_{|_{z=\zeta}} \nabla \zeta, \\ (\partial_z \Phi)_{|_{z=\zeta}} &=& \displaystyle \frac{G[\zeta, b]\psi + \nabla \zeta \cdot \nabla \psi}{1 + |\nabla \zeta|^2}. \end{array}$$

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# The Zakharov-Craig-Sulem equations

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#### Hamiltonian structure

Zakharov remarked that this system has a Hamiltonian structure in the canonical variables ( $\zeta, \psi$ ):

$$\partial_t \left( \begin{array}{c} \zeta \\ \psi \end{array} 
ight) = \left( \begin{array}{cc} 0 & 1 \\ -1 & 0 \end{array} 
ight) \left( \begin{array}{c} \partial_{\zeta} H \\ \partial_{\psi} H \end{array} 
ight),$$

with the Hamiltonian H = K + P and

$$\mathcal{K} = \frac{1}{2} \int_{\Omega} |\mathbf{U}|^2 = \frac{1}{2} \int_{\Omega} |\nabla_{X,z} \Phi(X,z)|^2 = \frac{1}{2} \int_{\mathbb{R}^d} \psi G[\zeta, b] \psi$$
$$P = \frac{1}{2} \int_{\mathbb{R}^d} g \zeta^2.$$

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$$\begin{cases} \partial_t \zeta - G[\zeta, b]\psi = 0, \\ \partial_t \psi + g\zeta + \frac{1}{2}|\nabla \psi|^2 - \frac{(G[\zeta, b]\psi + \nabla \zeta \cdot \nabla \psi)^2}{2(1+|\nabla \zeta|^2)} = 0. \end{cases}$$

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• The (ZCS) system contains ALL the information

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- The (ZCS) system contains ALL the information
- One can in particular deduce models in (ζ, V̄) with V̄ the vertically averaged velocity

$$\overline{V}(X) = \frac{1}{H_0 + \zeta - b} \int_{-H_0 + b}^{\zeta} V(X, z) dz$$

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#### Question

How to get a model in  $(\zeta, \overline{V})$  from the (ZCS) system?

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abla \psi + g 
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 $\rightsquigarrow$  We need to express  $G[\zeta, b]\psi$  and  $\nabla\psi$  in terms of  $\zeta$  and  $\overline{V}$ .

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$$\begin{cases} \partial_t \zeta - \boldsymbol{G}[\zeta, \boldsymbol{b}] \psi = \boldsymbol{0}, \\ \partial_t \nabla \psi + \boldsymbol{g} \nabla \zeta + \frac{1}{2} \nabla |\nabla \psi|^2 - \nabla \frac{(\boldsymbol{G}[\zeta, \boldsymbol{b}] \psi + \nabla \zeta \cdot \nabla \psi)^2}{2(1 + |\nabla \zeta|^2)} = \boldsymbol{0}. \end{cases}$$

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• For  $G[\zeta, b]\psi$  we have an exact expression: with  $h = H_0 + \zeta - b$ ,

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- Asymptotic models in  $(\zeta, \overline{V})$  are found by plugging this approximate expression in the above equations.

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- In general, other parameters can be introduced to handle for instance
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- In large (or infinite) depth,  $\varepsilon$  is not a relevant parameter and one rather uses

$$\epsilon = \frac{a}{L} = \varepsilon \sqrt{\mu}$$
 (steepness).

 $160 \text{km} \le L \le 240 \text{km}, \quad 1 \text{km} < H_0 < 4 \text{km}, \quad a \sim 0.6 m.$ 

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 $1.5 \times 10^{-4} < \varepsilon < 6 \times 10^{-4}$  and  $1.7 \times 10^{-5} < \mu < 6.2 \times 10^{-4}$ ;

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 $L_x \sim 100 m, \quad a \sim 1 m, \quad H_0 \sim 10 m.$ 

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→ It is also a shallow water regime.
 → Nonlinear effects are expected to be stronger.
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• How do we choose the scaling  $V_0$  for the velocities  $\overline{V}$  and  $\nabla \psi$ ?

$$\frac{V_0}{t_0}\partial'_t(\nabla\psi)'+\frac{\mathsf{ag}}{\mathsf{L}}\nabla'\zeta'\sim 0,$$

$$X' = rac{X}{L}, \qquad z' = rac{z}{H_0}, \qquad \zeta' = rac{\zeta}{a},$$

• We recall from the linear theory, the celerity is  $\sqrt{gH_0}$  (Lagrange); therefore

$$t' = rac{t}{t_0}$$
 with  $t_0 = rac{L_x}{\sqrt{gH_0}}$ 

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$$\rightsquigarrow \qquad V_0 = \frac{agt_0}{L} = \varepsilon \sqrt{gH_0}$$

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\nabla \psi|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla (\varepsilon \zeta) \cdot \nabla \psi)^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = 0, \end{cases}$$

where in dimensionless form

$$h = 1 + \varepsilon \zeta$$
 and  $\overline{V} = \frac{1}{h} \int_{-1}^{\varepsilon \zeta} V(x, z) dz.$ 

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Modeling shallow water waves

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\nabla \psi|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla (\varepsilon \zeta) \cdot \nabla \psi)^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = 0, \end{cases}$$

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Shallow water asymptotics  $(\mu \ll 1)$ 

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Shallow water asymptotics  $(\mu \ll 1)$ 

• We look for an asymptotic description with respect to  $\mu$  of  $\nabla\psi$  in terms of  $\zeta$  and  $\overline{V}$ 

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• We recall that

$$\overline{V} = rac{1}{h} \int_{-1}^{arepsilon \zeta} V(X,z) dz \quad ext{with} \quad V = 
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• We recall that

$$\overline{V} = rac{1}{h} \int_{-1}^{arepsilon \zeta} V(X,z) dz \quad ext{ with } \quad V = 
abla \Phi.$$

• What is the dimensionless potential equation?

 (dimensionless)

$$\left\{ \begin{array}{l} \mu \Delta \Phi + \partial_z^2 \Phi = 0, \\ \Phi_{|_{z=\varepsilon\zeta}} = \psi, \\ \partial_z \Phi_{|_{z=-1}} = 0 \end{array} \right.$$

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• We recall that

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• What is the dimensionless potential equation?

• We look for an approximate solution to the dimensionless potential equation under the form

$$\Phi_{app} = \Phi_0 + \mu \Phi_1 + \mu^2 \Phi_2 + \dots$$

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We plug

$$\Phi_{app} = \Phi_0 + \mu \Phi_1 + \mu^2 \Phi_2 + \dots$$

into

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and choose the  $\Phi_i$  to cancel the leading order terms in  $\mu$ .

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and choose the  $\Phi_i$  to cancel the leading order terms in  $\mu$ .

• Order *O*(1).

$$\begin{cases} \partial_z^2 \Phi_0 = 0, \\ \Phi_0|_{z=\varepsilon\zeta} = \psi, \qquad \partial_z \Phi_0|_{z=-1} = 0, \\ \Rightarrow \boxed{\Phi_0(X, z) = \psi(X)}. \end{cases}$$

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We plug

$$\Phi_{app} = \Phi_0 + \mu \Phi_1 + \mu^2 \Phi_2 + \dots$$

into

$$\left\{ \begin{array}{l} \mu \Delta \Phi + \partial_z^2 \Phi = 0, \\ \Phi_{|_{z=\varepsilon\zeta}} = \psi, \qquad \partial_z \Phi_{|_{z=-1}} = 0, \end{array} \right.$$

and choose the  $\Phi_i$  to cancel the leading order terms in  $\mu$ .

• Order  $O(\mu)$ .

$$\begin{cases} \partial_z^2 \Phi_1 = -\Delta \Phi_0, \\ \Phi_1_{|_{z=\varepsilon\zeta}} = 0, \qquad \partial_z \Phi_1_{|_{z=-1}} = 0, \end{cases}$$

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We plug

$$\Phi_{app} = \Phi_0 + \mu \Phi_1 + \mu^2 \Phi_2 + \dots$$

into

$$\left\{ \begin{array}{l} \mu \Delta \Phi + \partial_z^2 \Phi = 0, \\ \Phi_{|_{z=\varepsilon\zeta}} = \psi, \qquad \partial_z \Phi_{|_{z=-1}} = 0, \end{array} \right.$$

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We plug

$$\Phi_{app} = \Phi_0 + \mu \Phi_1 + \mu^2 \Phi_2 + \dots$$

into

$$\left\{ \begin{array}{l} \mu \Delta \Phi + \partial_z^2 \Phi = 0, \\ \Phi_{|_{z=\varepsilon\zeta}} = \psi, \qquad \partial_z \Phi_{|_{z=-1}} = 0, \end{array} \right.$$

and choose the  $\Phi_j$  to cancel the leading order terms in  $\mu$ .

• Order  $O(\mu)$ .

$$\begin{cases} \partial_z^2 \Phi_1 = -\Delta \psi, \\ \Phi_1|_{z=\varepsilon\zeta} = 0, & \partial_z \Phi_1|_{z=-1} = 0, \end{cases}$$
$$\Rightarrow \boxed{\Phi_1(X, z) = \frac{1}{2} [h^2 - (z+1)^2] \Delta \psi} \qquad (h = 1 + \varepsilon \zeta). \end{cases}$$

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$$\Phi(X,z) = \psi + \mu \frac{1}{2} [h^2 - (z+1)^2] \Delta \psi + O(\mu^2).$$

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$$\Phi(X,z) = \psi + \mu \frac{1}{2} [h^2 - (z+1)^2] \Delta \psi + O(\mu^2).$$

• We deduce an expansion for the horizontal velocity in the fluid

$$V(X,z) = \nabla \Phi(X,z)$$
  
=  $\nabla \psi + \mu \frac{1}{2} \nabla \{ [h^2 - (z+1)^2] \Delta \psi \} + O(\mu^2)$ 

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$$V(X,z) = \nabla \Phi(X,z)$$
  
=  $\nabla \psi + \mu \frac{1}{2} \nabla \{ [h^2 - (z+1)^2] \Delta \psi \} + O(\mu^2)$ 

• We deduce an expansion for the averaged velocity

$$\overline{V}(x) = \frac{1}{h} \int_{-1}^{\varepsilon \zeta} V(X, z) \partial_z$$
  
=  $\overline{V}_0(X) + \mu \overline{V}_1(X) + O(\mu^2)$ 

with

$$V_0 = \nabla \psi, \qquad V_1 = -\mathcal{T}[h] \nabla \psi$$

and

$$\mathcal{T}[h]V = -rac{1}{3h} 
abla(h^3 
abla \cdot V).$$

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FIGURE 3.1. Shallow water approximation of the horizontal velocity field  $V(x_0, \cdot)$  in the fluid domain when  $\mu = 1$ . Exact velocity field (dash), zero order approximation (dots) and first order approximation (dash-dots)



FIGURE 3.2. Shallow water approximation of the horizontal velocity field  $V(x_0, \cdot)$  in the fluid domain when  $\mu = 0.1$  (zoom). Exact velocity field (dash), zero order approximation (dots) and first order approximation (dash-dots)

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• There exists MANY shallow water models! ~ How do they differ???

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   → How do they differ???
  - By their precision p: we neglect  $O(\mu^p)$  terms: e.g.

Nonlinear Shallow Water (St Venant) = 1st order model Serre/Green-Naghdi = 2nd order model

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Weakly nonlinear regime:  $\varepsilon = O(\mu)$ 

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    - The most general models are the most complicated (mathematically, numerically)
    - For the tsunami in the Indian ocean, a smallness assumption can be made on  $\varepsilon$
    - For (large) waves on a beach, this is not recommanded.

• First order model: precision  $O(\mu)$ 

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- First order model: precision  $O(\mu)$ 
  - We start from the full equations

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\nabla \psi|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla (\varepsilon \zeta) \cdot \nabla \psi)^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = 0, \end{cases}$$

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$$\left\{ egin{array}{l} \partial_t \zeta + 
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abla \cdot (h \overline{V}) + 
abla (arepsilon \zeta) \cdot 
abla \psi)^2}{2(1 + arepsilon^2 \mu |
abla \zeta|^2)} = 0, \end{array} 
ight.$$

• We replace  $\nabla \psi$  in these equations by its first order approximation in terms of  $\zeta$  and  $\overline{V}$ ,  $\overline{V} \perp O(\omega)$ 

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$$\nabla \psi = \mathbf{V} + O(\mu)$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{\mathbf{V}}) = 0, \\ \partial_t \overline{\mathbf{V}} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\overline{\mathbf{V}}|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{\mathbf{V}}) + \nabla(\varepsilon \zeta) \cdot \overline{\mathbf{V}})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = O(\mu), \end{cases}$$

- First order model: precision  $O(\mu)$ 
  - We start from the full equations

$$egin{aligned} & \int \partial_t \zeta + 
abla \cdot (h \overline{V}) = 0, \ & \int \partial_t 
abla \psi + 
abla \zeta + rac{arepsilon}{2} 
abla |
abla \psi|^2 - arepsilon \mu 
abla rac{(-
abla \cdot (h \overline{V}) + 
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• We replace  $\nabla \psi$  in these equations by its first order approximation in terms of  $\zeta$  and  $\overline{V}$ ,  $\overline{V} + O(w)$ 

 $\nabla \overline{}$ 

$$\nabla \psi = \mathbf{V} + O(\mu)$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{\mathbf{V}}) = 0, \\ \partial_t \overline{\mathbf{V}} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\overline{\mathbf{V}}|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{\mathbf{V}}) + \nabla(\varepsilon \zeta) \cdot \overline{\mathbf{V}})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = O(\mu), \end{cases}$$

• We drop all  $O(\mu)$  terms

Saint-Venant 
$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ \partial_t \overline{V} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\overline{V}|^2 = 0. \end{cases}$$

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• Second order model: precision  $O(\mu^2)$ 

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- Second order model: precision  $O(\mu^2)$ 
  - We start from the full equations

$$\left\{ egin{array}{l} \partial_t \zeta + 
abla \cdot (h \overline{V}) = 0, \ \partial_t \overline{
abla} \psi + 
abla \zeta + rac{arepsilon}{2} 
abla |
abla \psi|^2 - arepsilon \mu 
abla rac{(-
abla \cdot (h \overline{V}) + 
abla (arepsilon \zeta) \cdot 
abla \psi)^2}{2(1 + arepsilon^2 \mu |
abla \zeta|^2)} = 0, \end{array} 
ight.$$

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• We find the second order approximation of  $\nabla \psi$  in terms of  $\zeta$  and  $\overline{V}$ ,

$$\overline{V} = (1 - \mu \mathcal{T}[h])\nabla \psi + O(\mu^2)$$
  
$$\rightsquigarrow \quad \nabla \psi = (1 + \mu \mathcal{T}[h])\overline{V} + O(\mu^2).$$

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 $\rightsquigarrow \quad \nabla \psi = (1 + \mu \mathcal{T}[h]) \overline{V} + O(\mu^2).$ 

 $\bullet$  We replace  $\nabla\psi$  in the full equations by this approximation

$$\begin{split} \partial_t \zeta + \nabla \cdot (h\overline{V}) &= 0, \\ \partial_t (1 + \mu \mathcal{T}[h])\overline{V} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |(1 + \mu \mathcal{T}[h])\overline{V}|^2 \\ &- \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla (\varepsilon \zeta) \cdot \overline{V})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = O(\mu^2), \end{split}$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ \partial_t (1 + \mu \mathcal{T}[h])\overline{V} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |(1 + \mu \mathcal{T}[h])\overline{V}|^2 \\ -\varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla(\varepsilon \zeta) \cdot \overline{V})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = O(\mu^2), \end{cases}$$

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$$\begin{split} \left\{ \begin{array}{l} \partial_t \zeta + \nabla \cdot (h\overline{V}) &= 0, \\ \partial_t (1 + \mu \mathcal{T}[h])\overline{V} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |(1 + \mu \mathcal{T}[h])\overline{V}|^2 \\ &- \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla (\varepsilon \zeta) \cdot \overline{V})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} = O(\mu^2), \end{split} \right. \end{split}$$

 We drop all O(μ<sup>2</sup>) terms (and do some computations!) Serre/Green-Naghdi equations:

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ (1 + \mu \mathcal{T}[h]) (\partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V}) + \varepsilon \mu \mathcal{Q}[h](V) = 0 \end{cases}$$

with

$$\mathcal{T}[h]V = -rac{1}{3h} 
abla \cdot (h^3 
abla \cdot V), \ \mathcal{Q}[h](V) = rac{2}{3h} 
abla ig[h^3(\partial_1 V \cdot \partial_2 V^\perp + (
abla \cdot V)^2)^2ig].$$

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ (1 + \mu \mathcal{T}[h]) (\partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V}) + \varepsilon \mu \mathcal{Q}[h](V) = 0 \end{cases}$$

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- No physical assumptions has been made:
  - (almost) columnar motion,

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### • No physical assumptions has been made:

- (almost) columnar motion,
- (almost) hydrostatic pressure

are a consequence of the shallow water assumption  $\mu \ll 1$ .

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• No assumption has been made on the size of  $\varepsilon$ :  $\varepsilon = O(\mu)$ 

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ (1 + \mu \mathcal{T}[h]) (\partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V}) + \varepsilon \mu \mathcal{Q}[h](V) = 0 \end{cases}$$

- No physical assumptions has been made:
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  - (almost) hydrostatic pressure

are a consequence of the shallow water assumption  $\mu \ll 1$ .

- No assumption has been made on the size of  $\varepsilon$ :  $\varepsilon = O(\mu)$
- Dropping the  $O(\mu)$  terms from the Green-Naghdi system, one gets

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0\\ \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} = 0 \end{cases}$$

which is of course the Saint-Venant system.

Simpler models can be achieved by making smallness assumptions on the nonlinearity parameter  $\varepsilon$ .



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Modeling shallow water waves

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Simpler models can be achieved by making smallness assumptions on the nonlinearity parameter  $\varepsilon$ .



(by M. Tissier, based on experiments by Van Dongeren et al)

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$$\varepsilon = O(\mu)$$
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• First order model (precision  $O(\mu)$ ). The Saint-Venant system

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becomes after dropping the terms that are  $O(\mu)$  in this regime

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(Linear wave equation)

$$\begin{cases} \partial_t \zeta + \nabla \cdot \overline{V} = 0\\ \partial_t \overline{V} + \nabla \zeta = 0 \end{cases}$$

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• The speed in 1 in dimensionless variables, and  $\sqrt{gH_0}$  in variables with dimensions.

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(Linear wave equation)

$$\left\{ \begin{array}{l} \partial_t \zeta + \nabla \cdot \overline{V} = \mathbf{0} \\ \partial_t \overline{V} + \nabla \zeta = \mathbf{0} \end{array} \right.$$

- The speed in 1 in dimensionless variables, and  $\sqrt{gH_0}$  in variables with dimensions.
- Very rough model OK only if  $\varepsilon \ll 1$ ,  $\mu \ll 1$  (e.g. tsunami in the ocean)

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$$h = 1 + \varepsilon \zeta = 1 + O(\mu),$$

become after dropping the terms that are  $O(\mu^2)$ 

(Boussinesq)

$$\begin{cases} \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) \overline{V} \right) = 0\\ (1 - \frac{\mu}{3} \Delta) \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} = 0 \end{cases}$$

David Lannes (DMA)

• Two models of precision  $O(\mu^p)$  are asymptotically equivalent if they differ by  $O(\mu^p)$  terms only

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- Two models of precision  $O(\mu^p)$  are asymptotically equivalent if they differ by  $O(\mu^p)$  terms only
- For simplicity, let us consider the Boussinesq system in the small amplitude regime  $\varepsilon = O(\mu)$ ,

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• From the second equation,  $\partial_t V = - 
abla \zeta + O(\mu)$ , and therefore

$$\rightsquigarrow -\frac{\mu}{3}\Delta\partial_t V = \frac{\mu}{3}\Delta\nabla\zeta + O(\mu^2)$$

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$$\longrightarrow -\frac{\mu}{3} \Delta \partial_t V = \frac{\mu}{3} \Delta \nabla \zeta + O(\mu^2)$$
$$= (1 - \alpha) \frac{\mu}{3} \Delta \nabla \zeta - \alpha \frac{\mu}{3} \Delta \partial_t V + O(\mu^2)$$

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$$\longrightarrow -\frac{\mu}{3} \Delta \partial_t V = \frac{\mu}{3} \Delta \nabla \zeta + O(\mu^2)$$
$$= (1 - \alpha) \frac{\mu}{3} \Delta \nabla \zeta - \alpha \frac{\mu}{3} \Delta \partial_t V + O(\mu^2)$$

• We plug this into the equations and drop  $O(\mu^2)$  terms

$$\begin{cases} \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) \overline{V} \right) = 0 \\ (1 - \alpha \frac{\mu}{3} \Delta) \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} + \frac{\mu}{3} (1 - \alpha) \Delta \nabla \zeta = 0 \end{cases}$$

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• One can work with another velocity unknown than the averaged velocity  $\overline{V}$ 

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- One can work with another velocity unknown than the averaged velocity  $\overline{V}$ 
  - Velocity at the surface

$$\underline{V} = \nabla \psi + O(\mu^2) = (1 + \mu T)\overline{V} + O(\mu^2)$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) \overline{V} \right) = 0\\ (1 - \alpha \frac{\mu}{3} \Delta) \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} + \frac{\mu}{3} (1 - \alpha) \Delta \nabla \zeta = 0 \end{cases}$$

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$$\underline{V} = \nabla \psi + O(\mu^2) = (1 + \mu T)\overline{V} + O(\mu^2)$$

- Velocity at a given depth (Nwogu 93)
- More generally

$$V_{ heta,\delta} = (1+\mu heta\mathcal{T})^{-1}(1+\mu\delta\mathcal{T})\overline{V}$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) \overline{V} \right) = 0\\ (1 - \alpha \frac{\mu}{3} \Delta) \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} + \frac{\mu}{3} (1 - \alpha) \Delta \nabla \zeta = 0 \end{cases}$$

- One can work with another velocity unknown than the averaged velocity  $\overline{V}$ 
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$$V_{ heta,\delta} = (1+\mu heta\mathcal{T})^{-1}(1+\mu\delta\mathcal{T})\overline{V}$$

• We replace in the equations

$$\begin{cases} (1 - \mu \mathbf{b} \Delta) \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) V_{\theta, \delta} \right) + \mu \mathbf{a} \Delta \nabla \cdot V_{\theta, \delta} = 0 \\ (1 - \mu \mathbf{d} \Delta) \partial_t V_{\theta, \delta} + \nabla \zeta + \varepsilon V_{\theta, \delta} \cdot \nabla V_{\theta, \delta} + \mu \mathbf{c} \Delta \nabla \zeta = 0 \end{cases}$$

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• All these models are asymptotically equivalent

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- All these models are asymptotically equivalent
- The same manipulations can be performed
  - For the Green-Naghdi equations (large amplitude)

$$\left( \begin{array}{c} (1 - \mu \mathbf{b} \Delta) \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) V_{\theta, \delta} \right) + \mu \mathbf{a} \Delta \nabla \cdot V_{\theta, \delta} = \mathbf{0} \\ (1 - \mu \mathbf{d} \Delta) \partial_t V_{\theta, \delta} + \nabla \zeta + \varepsilon V_{\theta, \delta} \cdot \nabla V_{\theta, \delta} + \mu \mathbf{c} \Delta \nabla \zeta = \mathbf{0} \end{array} \right)$$

- All these models are asymptotically equivalent
- The same manipulations can be performed
  - For the Green-Naghdi equations (large amplitude)
  - For non flat bottoms
$$\left( \begin{array}{c} (1 - \mu \mathbf{b} \Delta) \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) V_{\theta, \delta} \right) + \mu \mathbf{a} \Delta \nabla \cdot V_{\theta, \delta} = \mathbf{0} \\ (1 - \mu \mathbf{d} \Delta) \partial_t V_{\theta, \delta} + \nabla \zeta + \varepsilon V_{\theta, \delta} \cdot \nabla V_{\theta, \delta} + \mu \mathbf{c} \Delta \nabla \zeta = \mathbf{0} \end{array} \right)$$

- All these models are asymptotically equivalent
- The same manipulations can be performed
  - For the Green-Naghdi equations (large amplitude)
  - For non flat bottoms
- The parameters can be chosen to improve
  - The mathematical or numerical properties of the model

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- All these models are asymptotically equivalent
- The same manipulations can be performed
  - For the Green-Naghdi equations (large amplitude)
  - For non flat bottoms
- The parameters can be chosen to improve
  - The mathematical or numerical properties of the model
  - The range of validity (e.g. deep water)

Asymptotic models

Working with a different "velocity"



David Lannes (DMA)

Modeling shallow water waves

Fields Institute 2013

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• In the 1*d* case, every perturbation splits up into two counterpropagating waves.

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Scalar models

- In the 1*d* case, every perturbation splits up into two counterpropagating waves.
- This is only true in the shallow water regime  $(\mu \ll 1)$



Scalar models

The 1d case

- In the 1*d* case, every perturbation splits up into two counterpropagating waves.
- This is only true in the shallow water regime  $(\mu \ll 1)$



## Question

Is it possible to find a scalar model describing the right-going wave?

• We have seen that the <u>first order</u> model, in <u>shallow water</u>, and for small amplitude waves is, in dimension 1

(Linear wave equation)

$$\begin{cases} \partial_t \zeta + \partial_x \overline{v} = 0\\ \partial_t \overline{v} + \partial_x \zeta = 0 \end{cases}$$

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Adding and substracting this two lines, we get

$$\begin{cases} (\partial_t + \partial_x) \frac{\zeta + \overline{\nu}}{2} = 0\\ (\partial_t - \partial_x) \frac{\zeta - \overline{\nu}}{2} = 0, \end{cases} \Rightarrow \begin{cases} \frac{\zeta + \overline{\nu}}{2}(t, x) = \frac{\zeta^0 + \overline{\nu}^0}{2}(x - t)\\ \frac{\zeta - \overline{\nu}}{2}(t, x) = \frac{\zeta^0 - \overline{\nu}^0}{2}(x + t) \end{cases}$$

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- If initially  $\zeta^0 = \overline{v}^0$  then  $\zeta = \overline{v}$  for all times
- The solution to the linear wave equation can be written

$$(\partial_t + \partial_x)\zeta = 0, \qquad \overline{v} = \zeta.$$

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Right-going solutions to the linear wave equation:

$$\begin{cases} \partial_t \zeta + \partial_x \overline{\nu} = 0\\ \partial_t \overline{\nu} + \partial_x \zeta = 0 \end{cases} \Rightarrow \begin{cases} (\partial_t + \partial_x) \zeta = 0,\\ \overline{\nu} = \zeta. \end{cases}$$

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Question

What about the next order?

For instance, in the small amplitude regime, what are the "right going waves"?

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## Question

What about the next order?

For instance, in the small amplitude regime, what are the "right going waves"?

→ We look for right-going solutions to the Boussinesq system:

$$\begin{cases} \partial_t \zeta + \partial_x ((1 + \varepsilon \zeta) \overline{v}) = 0\\ (1 - \frac{\mu}{3} \partial_x^2) \partial_t \overline{v} + \partial_x \zeta + \varepsilon \overline{v} \partial_x \overline{v} = 0 \end{cases} \Rightarrow \begin{cases} (\partial_t + \partial_x) \zeta =???,\\ \overline{v} = \zeta +???. \end{cases}$$

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• Modify the transport equation satisfied by  $\zeta$  for a RGW

(KdV) 
$$\partial_t \zeta + \partial_x \zeta + \varepsilon \beta \zeta \partial_x \zeta + \mu \gamma \partial_x^3 \zeta = 0,$$

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• Add a correction to the reconstruction formula for  $\overline{v}$ ,

(corr) 
$$\overline{\mathbf{v}} = \zeta + \varepsilon \mathbf{w}$$
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• Add a correction to the reconstruction formula for  $\overline{v}$ ,

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$$\overline{v} = \zeta + \varepsilon w$$
,

• The coefficients  $\beta$  and  $\gamma$ , and the corrector w are chosen such that

 $\begin{array}{l} \zeta \text{ solution of (KdV)} \\ + \ \overline{v} \text{ given by (corr)} \end{array} \Rightarrow (\zeta, \overline{v}) \text{ solves (Boussinesq) at order } O(\mu^2) \end{array}$ 

$$(\text{Boussinesq}) \quad \begin{cases} \partial_t \zeta + \partial_x \overline{v} + \varepsilon \partial_x (\zeta \overline{v}) = 0\\ (1 - \frac{\mu}{3} \partial_x^2) \partial_t \overline{v} + \partial_x \zeta + \varepsilon \overline{v} \partial_x \overline{v} = 0 \end{cases}$$
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$$\varepsilon w = \varepsilon \frac{1}{2} (\beta - 2) \zeta^2 + \mu \gamma \partial_x^2 \zeta$$

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- We now need to compute the coefficients  $\beta$  and  $\gamma$  of the (KdV) equation

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• We substitute  $\overline{v} = \zeta + \varepsilon w$  in the second equation,

$$(1+(\gamma-\frac{1}{3})\partial_x^2)\partial_t\zeta+\partial_x\zeta+\varepsilon(\beta-2)\zeta\partial_t\zeta+\varepsilon\zeta\partial_x\zeta=O(\mu^2)$$

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and using the "BBM-trick"  $\partial_t \zeta = -\partial_x \zeta + O(\mu)$ ,

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$$\rightsquigarrow \beta = \frac{3}{2}, \qquad \gamma = \frac{1}{6}$$

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• An equivalent model is the (BBM) equation

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$$(1 - \frac{\mu}{6}\partial_x^2)\partial_t\zeta + \partial_x\zeta + \frac{3}{2}\varepsilon\zeta\partial_x\zeta = 0.$$

• We of course recover the transport equation by neglecting  $O(\mu)$  terms.

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(CH) 
$$\partial_t \zeta + \partial_x \zeta + \frac{3}{2} \varepsilon \zeta \partial_x \zeta - \frac{3}{8} \varepsilon^2 \zeta^2 \partial_x \zeta + \frac{3}{16} \varepsilon^3 \zeta^3 \partial_x \zeta \\ + \frac{\mu}{12} (\partial_x^3 \zeta - \partial_x^2 \partial_t \zeta) = -\frac{7}{24} \varepsilon \mu (\zeta \partial_x^3 \zeta + \partial_x \zeta \partial_x^2 \zeta)$$

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(Saint-Venant) 
$$\begin{cases} \partial_t \zeta \\ \partial_t \overline{V} \end{cases}$$

$$\begin{cases} \partial_t \zeta + \nabla \cdot (hV) = 0, \\ \partial_t \overline{V} + \nabla \zeta + \frac{\varepsilon}{2} \nabla |\overline{V}|^2 = 0. \end{cases}$$

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(Saint-Venant) 
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It is therefore adapted for a (rough) description of wave breaking, but misses all the dispersive effects, important in the shoaling zone for instance.

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• Second-order models, small amplitude models  $\varepsilon = O(\mu)$ . The Boussinesq system

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$$\begin{cases} \partial_t \zeta + \nabla \cdot \left( (1 + \varepsilon \zeta) \overline{V} \right) = 0\\ (1 - \frac{\mu}{3} \Delta) \partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V} = 0 \end{cases}$$

(or the KdV equation) are not nonlinear enough to handle wave breaking.

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(or the KdV equation) are not nonlinear enough to handle wave breaking. → They are adapted when nonlinear and dispersive effects are of same order (for solitary waves for instance).

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\overline{V}) = 0, \\ (1 + \mu \mathcal{T}[h]) (\partial_t \overline{V} + \nabla \zeta + \varepsilon \overline{V} \cdot \nabla \overline{V}) + \varepsilon \mu \mathcal{Q}[h](V) = 0 \end{cases}$$

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• The scalar (CH) equation for right going waves of medium amplitude

(CH) 
$$\partial_t \zeta + \partial_x \zeta + \frac{3}{2} \varepsilon \zeta \partial_x \zeta - \frac{3}{8} \varepsilon^2 \zeta^2 \partial_x \zeta + \frac{3}{16} \varepsilon^3 \zeta^3 \partial_x \zeta + \frac{\mu}{12} (\partial_x^3 \zeta - \partial_x^2 \partial_t \zeta) = -\frac{7}{24} \varepsilon \mu (\zeta \partial_x^3 \zeta + \partial_x \zeta \partial_x^2 \zeta)$$

is nonlinear enough to contain "wave breaking" singularities

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# Tissier et al. 2012

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- (H1) The fluid is homogeneous and inviscid
- (H2) The fluid is incompressible
- (H4) The surface and the bottom can be parametrized as graphs above the still water level
- (H5) The fluid particles do not cross the bottom
- (H6) The fluid particles do not cross the surface
- (H7) There is no surface tension and the external pressure is constant.
- (H8) The fluid is at rest at infinity
- (H9) The water depth is always bounded from below by a nonnegative constant

$$\partial_t \mathbf{U} + \mathbf{U} \cdot \nabla_{X,z} \mathbf{U} = -\frac{1}{\rho} \nabla_{X,z} P - g \mathbf{e}_z,$$
  
$$\nabla_{X,z} \cdot \mathbf{U} = 0,$$
  
$$P_{|_{z=\zeta}} = P_{atm}$$

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- We reduce the problem to an equation on ζ and ψ(t, X) = Φ(t, X, ζ(t, x)).

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#### Rotational case

One has curl  $\mathbf{U}=\omega\neq\mathbf{0}$  and

$$\partial_t \omega + \mathbf{U} \cdot \nabla_{\mathbf{X}, \mathbf{z}} \omega = \omega \cdot \nabla_{\mathbf{X}, \mathbf{z}} \mathbf{U}.$$

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One cannot work with the Benouilli equation
 → How can we use the boundary condition on the pressure P?

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One cannot work with the Benouilli equation
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One can remark that

$$(\nabla_{X,z}P)_{|_{z=\zeta}} = \begin{pmatrix} \nabla(P_{|_{z=\zeta}}) \\ 0 \end{pmatrix} + N\partial_z P_{|_{z=\zeta}}$$
  
=  $0 + N\partial_z P_{|_{z=\zeta}}$ 

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Modeling shallow water waves

$$\partial_t \mathbf{U} + \mathbf{U} \cdot \nabla_{X,z} \mathbf{U} = -\frac{1}{\rho} \nabla_{X,z} P - g \mathbf{e}_z$$

 $\mathsf{and}$ 

$$(\nabla_{X,z}P)_{|_{z=\zeta}} = N\partial_z P_{|_{z=\zeta}}, \quad \text{with} \quad N = \begin{pmatrix} -\nabla\zeta\\ 1 \end{pmatrix}.$$

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- Taking the trace of Euler's equation at the surface
- **②** Take the vectorial product of the resulting equation with N.

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### Notation

With 
$$\underline{U} = (\underline{V}, \underline{w}) = \mathbf{U}_{|_{z=\zeta}}$$
, we write

 $U_{\parallel} = \underline{V} + \underline{w} \nabla \zeta$  so that  $\underline{U}$ 

$$\times \mathbf{N} = \begin{pmatrix} -U_{\parallel}^{\perp} \\ -U_{\parallel}^{\perp} \cdot \nabla \zeta \end{pmatrix}$$

$$\left\{\partial_t \mathbf{U} + \mathbf{U} \cdot \nabla_{X,z} \mathbf{U} = -\frac{1}{\rho} \nabla_{X,z} P - g \mathbf{e}_z \right\}_{|z=\zeta} \times N$$

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What does it give in the irrotational case?

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• We recall that 
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How do we generalize to the rotational case? We decompose  $U_{\parallel}$  into

$$\textit{U}_{\parallel} = \nabla \psi + \nabla^{\perp} \widetilde{\psi}$$

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We have found

$$\partial_t U_{\parallel} + g \nabla \zeta + \frac{1}{2} \nabla |U_{\parallel}|^2 - \frac{1}{2} \nabla ((1 + |\nabla \zeta|^2) \underline{w}^2) + \underline{\omega} \cdot N \underline{V}^{\perp} = 0.$$

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- This is done by applying  $\frac{\text{div}}{\Delta}$  and  $\frac{\text{div}}{\Delta}$  to the equation
- The "orthogonal gradient" component yields

$$\partial_t (\underline{\omega} \cdot \boldsymbol{N} - \nabla^{\perp} \cdot \boldsymbol{U}_{\parallel}) = 0$$

which is trivially true and does not bring any information

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$$\partial_t U_{\parallel} + g \nabla \zeta + \frac{1}{2} \nabla |U_{\parallel}|^2 - \frac{1}{2} \nabla \left( (1 + |\nabla \zeta|^2) \underline{w}^2 \right) + \underline{\omega} \cdot N \underline{V}^{\perp} = 0.$$

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(ZCS) 
$$\begin{cases} \partial_t \zeta - \underline{U} \cdot \mathbf{N} = 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |\nabla \psi|^2 - \frac{(\underline{U} \cdot \mathbf{N} + \nabla \zeta \cdot \nabla \psi)^2}{2(1 + |\nabla \zeta|^2)} = 0 \\ \omega = 0. \end{cases}$$

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Moreover,  $\underline{U} \cdot \mathbf{N} = \mathbf{G}[\zeta]\psi$ 

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#### Rotational case

$$(\mathsf{ZCS})_{gen} \begin{cases} \partial_t \zeta - \underline{\mathcal{U}} \cdot \mathbf{N} = 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |\mathcal{U}_{\parallel}|^2 - \frac{(\underline{\mathcal{U}} \cdot \mathbf{N} + \nabla \zeta \cdot \mathcal{U}_{\parallel})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot \mathbf{N} \underline{\mathcal{V}}) \\ \partial_t \omega + \mathbf{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \mathbf{U}. \end{cases}$$

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$$(\mathsf{ZCS})_{gen} \quad \begin{cases} \partial_t \zeta - \underline{U} \cdot \mathbf{N} = 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |U_{\parallel}|^2 - \frac{(\underline{U} \cdot \mathbf{N} + \nabla \zeta \cdot U_{\parallel})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot \mathbf{N} \underline{V}) \\ \partial_t \omega + \mathbf{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \mathbf{U}. \end{cases}$$

 $\rightsquigarrow$  is this a closed system of equations in  $(\zeta, \psi, \omega)$  ?

 $(\partial_{\dagger} \zeta - U \cdot N = 0)$ 

$$\begin{cases} \partial_{t} \psi = g\zeta + \frac{1}{2} |U_{\parallel}|^{2} - \frac{(\underline{U} \cdot N + \nabla \zeta \cdot U_{\parallel})^{2}}{2(1 + |\nabla \zeta|^{2})} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot N \underline{V}) \\ \partial_{t} \omega + \mathbf{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \mathbf{U}. \end{cases}$$

We want to prove that this is a closed system of equations in  $(\zeta, \psi, \omega)$ :

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$$\begin{cases} \partial_t \zeta & \underline{\boldsymbol{\upsilon}} & \boldsymbol{\mathsf{N}} = \boldsymbol{\mathsf{o}}, \\ \partial_t \psi + g\zeta + \frac{1}{2} |\boldsymbol{\mathcal{U}}_{||}|^2 - \frac{(\underline{\boldsymbol{\mathcal{U}}} \cdot \boldsymbol{\mathsf{N}} + \nabla \zeta \cdot \boldsymbol{\mathcal{U}}_{||})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\boldsymbol{\omega}} \cdot \boldsymbol{\mathsf{N}} \underline{\boldsymbol{\mathcal{V}}}) \\ \partial_t \omega + \mathbf{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \mathbf{U}. \end{cases}$$

We want to prove that this is a closed system of equations in  $(\zeta, \psi, \omega)$ : • It is enough to prove that **U** is fully determined by  $(\zeta, \psi, \omega)$ 

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gen 
$$\begin{cases} \partial_t \zeta - \underline{\underline{U}} \cdot \underline{N} \equiv 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |\underline{U}_{\parallel}|^2 - \frac{(\underline{\underline{U}} \cdot \underline{N} + \nabla \zeta \cdot \underline{U}_{\parallel})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot \underline{N}\underline{V}) \\ \partial_t \omega + \underline{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \underline{U}. \end{cases}$$

We want to prove that this is a closed system of equations in  $(\zeta, \psi, \omega)$ :

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- We recall that by definition of  $\psi$  and  $\overline{\psi}$ .

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and we have already used the fact that  $|\underline{\omega} \cdot N = \nabla^{\perp} \cdot U_{\parallel}|$ ; therefore

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$$\begin{cases} \partial_t \zeta - \underline{\underline{U}} \cdot \underline{N} \equiv 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |\underline{U}_{\parallel}|^2 - \frac{(\underline{\underline{U}} \cdot \underline{N} + \nabla \zeta \cdot \underline{U}_{\parallel})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot \underline{N}\underline{V}) \\ \partial_t \omega + \underline{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \underline{U}. \end{cases}$$

We want to prove that this is a closed system of equations in  $(\zeta, \psi, \omega)$ :

- It is enough to prove that  ${\bf U}$  is fully determined by  $(\zeta,\psi,\omega)$
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$$U_{\parallel} = \nabla \psi + \frac{\nabla^{\perp}}{\Delta} \underline{\omega} \cdot \mathbf{N}.$$

• We are therefore led to solve

$$\begin{cases} \operatorname{curl} \mathbf{U} = & \omega & \operatorname{in} \ \Omega \\ \operatorname{div} \mathbf{U} = & 0 & \operatorname{in} \ \Omega \\ U_{\parallel} = & \nabla \psi + \nabla^{\perp} \Delta^{-1}(\underline{\omega} \cdot N) & \operatorname{at} \operatorname{the} \operatorname{surface} \\ \mathbf{U}_{\mid_{z=-H_0}} \cdot N_b = & 0 & \operatorname{at} \operatorname{the} \operatorname{bottom}_{z=-H_0} \cdot N_b \end{cases}$$

$$(\mathsf{ZCS})_{gen} \quad \begin{cases} \partial_t \zeta - \underline{U} \cdot \mathbf{N} = 0, \\ \partial_t \psi + g\zeta + \frac{1}{2} |U_{||}|^2 - \frac{(\underline{U} \cdot \mathbf{N} + \nabla \zeta \cdot U_{||})^2}{2(1 + |\nabla \zeta|^2)} = \frac{\nabla^{\perp}}{\Delta} \cdot (\underline{\omega} \cdot \mathbf{N} \underline{V}) \\ \partial_t \omega + \mathbf{U} \cdot \nabla_{X,z} \omega = \omega \cdot \nabla_{X,z} \mathbf{U}. \end{cases}$$

It is possible to solve the div-curl problem and therefore we wave

# Theorem (A. Castro, D. L. '13)

- 1. The (ZCS)<sub>gen</sub> equations are in closed form.
- 2. They are well posed.

$$\begin{split} \partial_t \zeta + \nabla \cdot (h\overline{V}) &= 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |U_{\parallel}^{\mu}|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla(\varepsilon \zeta) \cdot U_{\parallel}^{\mu})^2}{2(1 + \varepsilon^2 \mu |\nabla \zeta|^2)} \\ &= \varepsilon \sqrt{\mu} \frac{\nabla \nabla^{\perp}}{\Delta} \cdot (\underline{\omega}_{\mu} \cdot N^{\mu} \underline{V}), \\ \partial_t \omega_{\mu} + \varepsilon (\mathbf{v} \cdot \nabla + \frac{1}{\mu} \mathbf{w} \partial_z) \omega_{\mu} &= \varepsilon (\omega_h \cdot \nabla + \frac{1}{\sqrt{\mu}} \omega_v \partial_z) \mathbf{U} \end{split}$$

with

$$\begin{aligned} \partial_t \zeta + \nabla \cdot (h\overline{V}) &= 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |U_{\parallel}^{\mu}|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla(\varepsilon\zeta) \cdot U_{\parallel}^{\mu})^2}{2(1 + \varepsilon^2 \mu |\nabla\zeta|^2)} \\ &= \varepsilon \sqrt{\mu} \frac{\nabla \nabla^{\perp}}{\Delta} \cdot (\underline{\omega}_{\mu} \cdot N^{\mu} \underline{V}), \\ \partial_t \omega_{\mu} + \varepsilon (\mathbf{v} \cdot \nabla + \frac{1}{\mu} \mathbf{w} \partial_z) \omega_{\mu} &= \varepsilon (\omega_h \cdot \nabla + \frac{1}{\sqrt{\mu}} \omega_v \partial_z) \mathbf{U} \end{aligned}$$

with

$$\omega_{\mu} = \begin{pmatrix} \frac{1}{\sqrt{\mu}} (\partial_{z} \mathbf{V}^{\perp} - \nabla^{\perp} \mathbf{w}) \\ -\nabla \cdot \mathbf{V}^{\perp} \end{pmatrix} = \begin{pmatrix} \omega_{h} \\ \omega_{v} \end{pmatrix} \quad \text{and} \quad \mathbf{U}_{\parallel}^{\mu} = \nabla \psi + \frac{\nabla^{\perp}}{\Delta} \underline{\omega}_{\mu} \cdot \mathbf{N}^{\mu}$$

and  $N^{\mu} = (-\varepsilon \sqrt{\mu} \nabla \zeta, 1).$ 

$$\begin{aligned} \partial_t \zeta + \nabla \cdot (h\overline{V}) &= 0, \\ \partial_t \nabla \psi + \nabla \zeta + \frac{\varepsilon}{2} \nabla |U_{\parallel}^{\mu}|^2 - \varepsilon \mu \nabla \frac{(-\nabla \cdot (h\overline{V}) + \nabla(\varepsilon\zeta) \cdot U_{\parallel}^{\mu})^2}{2(1 + \varepsilon^2 \mu |\nabla\zeta|^2)} \\ &= \varepsilon \sqrt{\mu} \frac{\nabla \nabla^{\perp}}{\Delta} \cdot (\underline{\omega}_{\mu} \cdot N^{\mu} \underline{V}), \\ \partial_t \omega_{\mu} + \varepsilon (\mathbf{v} \cdot \nabla + \frac{1}{\mu} \mathbf{w} \partial_z) \omega_{\mu} &= \varepsilon (\omega_h \cdot \nabla + \frac{1}{\sqrt{\mu}} \omega_v \partial_z) \mathbf{U} \end{aligned}$$

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### Question

How do we relate  $\zeta$ ,  $\nabla \psi$ ,  $\overline{V}$  and  $\omega_{\mu}$ ???

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Note that  $\overline{V}$  is now defined as

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• One can decompose  ${\bf U}^{\mu}=(\sqrt{\mu}{\bf V},{\bf w})$  into an "irrotational" and an "rotational" component

$$\mathbf{U}^{\mu} = \mathbf{U}^{\mu}_{\mathit{irrot}} + \mathbf{U}^{\mu}_{\mathit{rot}}$$

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• One can decompose  ${\bf U}^{\mu}=(\sqrt{\mu}{\bf V},{\bf w})$  into an "irrotational" and an "rotational" component

$$\begin{aligned} \mathbf{U}^{\mu} &= & \mathbf{U}^{\mu}_{irrot} + \mathbf{U}^{\mu}_{rot} \\ &= & \nabla^{\mu} \Phi + \nabla^{\mu} \times \mathbf{A}, \end{aligned}$$

and  $\nabla^{\mu} = \begin{pmatrix} \sqrt{\mu} \nabla \\ \partial_z \end{pmatrix}$ .

$$\mathbf{U}^{\mu} = 
abla^{\mu} \mathbf{\Phi} + 
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• The irrotational part is found by solving

$$\begin{cases} (\partial_z^2 + \mu \Delta) \Phi = 0\\ \Phi_{|_{z=\varepsilon\zeta}} = \psi, \qquad \partial_z \Phi_{|_{z=-1}} = 0 \end{cases}$$

 $\rightsquigarrow$  This is exactly the problem seen in the irrotational case and we can obtain an expansion at any order of  $\mathbf{U}_{irrot}^{\mu}$ .

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• The rotational part is found by solving

$$\begin{cases} \nabla^{\mu} \times (\nabla^{\mu} \times \mathbf{A}) &= \mu \omega_{\mu} \\ \nabla^{\mu} \cdot \mathbf{A} &= 0 \\ N_{b} \times \mathbf{A}_{|z=-1} &= 0 \\ N \cdot \mathbf{A}_{|z=\varepsilon\zeta} &= 0 \\ \left( (\nabla^{\mu} \times \mathbf{A})_{|z=\varepsilon\zeta} \right)_{\parallel} &= \nabla^{\perp} \Delta^{-1} \underline{\omega}_{\mu} \cdot N^{\mu}, \\ N_{b} \cdot \nabla^{\mu} \times \mathbf{A}_{|z=-1} &= 0. \end{cases}$$

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Modeling shallow water waves

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 $\rightsquigarrow$  The rotational part of the velocity is then approximated by

$$oldsymbol{\mathsf{U}}_{\mathit{rot}}^{\mu}\sim 
abla^{\mu} imesoldsymbol{\mathsf{A}}_{\mathit{app}}$$

$$V(X,z) = \underline{V}(X) + \sqrt{\mu} \int_{z}^{\varepsilon \zeta} \omega_{h}^{\perp}(X,z) dz + O(\mu),$$

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- We can either derive a system in (ζ, V) or (ζ, V). The latter is simpler.
- We only need to know  $\omega_h$  at order  $O(\sqrt{\mu})$ .

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## Since **U** is divergence free

$$\begin{cases} \mathbf{V}(X,z) = \underline{V} + O(\sqrt{\mu}) \\ \mathbf{w} = -(1+z)\nabla \cdot \underline{V} + O(\sqrt{\mu}) \end{cases}$$

Therefore

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Therefore

$$\begin{cases} \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z)\nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\underline{V}) = \sqrt{\mu} \nabla \cdot (\int_{-1}^{\varepsilon \zeta} \int_z^{\varepsilon \zeta} \omega_h^{\perp}) \\ \partial_t \underline{V} + \nabla \zeta + \varepsilon \underline{V} \cdot \nabla \underline{V} = 0 \\ \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z) \nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\underline{V}) = \sqrt{\mu} \nabla \cdot (\int_{-1}^{\varepsilon \zeta} \int_{z}^{\varepsilon \zeta} \omega_h^{\perp}) \\ \partial_t \underline{V} + \nabla \zeta + \varepsilon \underline{V} \cdot \nabla \underline{V} = 0 \\ \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z) \nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

• They coincide with the St-Venant equations in absence of vorticity

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\underline{V}) = \sqrt{\mu} \nabla \cdot (\int_{-1}^{\varepsilon \zeta} \int_{z}^{\varepsilon \zeta} \omega_h^{\perp}) \\ \partial_t \underline{V} + \nabla \zeta + \varepsilon \underline{V} \cdot \nabla \underline{V} = 0 \\ \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z) \nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

- They coincide with the St-Venant equations in absence of vorticity
- They always coincide with the Saint-Venant equation if we lower the precision from O(μ) to O(√μ).

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- If  $\omega_h$  is initially of size  $O(\sqrt{\mu})$  it remains of size  $O(\sqrt{\mu})$ , and the equations simplify into the standard Saint-Venant equations.

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- They coincide with the St-Venant equations in absence of vorticity
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- If  $\omega_h$  is initially of size  $O(\sqrt{\mu})$  it remains of size  $O(\sqrt{\mu})$ , and the equations simplify into the standard Saint-Venant equations.
- If  $\omega_v$  is initially of size  $O(\sqrt{\mu})$  it remains of size  $O(\sqrt{\mu})$  and the coupling between  $\omega_h$  and  $\omega_v$  disappears.

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\underline{V}) = \sqrt{\mu} \nabla \cdot (\int_{-1}^{\varepsilon \zeta} \int_z^{\varepsilon \zeta} \omega_h^{\perp}) \\ \partial_t \underline{V} + \nabla \zeta + \varepsilon \underline{V} \cdot \nabla \underline{V} = 0 \\ \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z) \nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

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 $\rightsquigarrow$  In particular, at this order, there is no creation of vertical vorticity from an initial state with purely horizontal vorticity.

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$$\begin{cases} \partial_t \zeta + \nabla \cdot (h\underline{V}) = \sqrt{\mu} \nabla \cdot (\int_{-1}^{\varepsilon \zeta} \int_{z}^{\varepsilon \zeta} \omega_h^{\perp}) \\ \partial_t \underline{V} + \nabla \zeta + \varepsilon \underline{V} \cdot \nabla \underline{V} = 0 \\ \partial_t \omega_h + \varepsilon (\underline{V} \cdot \nabla + (1+z) \nabla \cdot \underline{V} \partial_z) \omega_h = \varepsilon \omega_h \cdot \nabla \underline{V} - \omega_v \omega_h^{\perp} \\ \partial_t \omega_v + \varepsilon \underline{V} \cdot \nabla \omega_v = 0 \end{cases}$$

- They coincide with the St-Venant equations in absence of vorticity
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- If  $\omega_h$  is initially of size  $O(\sqrt{\mu})$  it remains of size  $O(\sqrt{\mu})$ , and the equations simplify into the standard Saint-Venant equations.
- If  $\omega_{\nu}$  is initially of size  $O(\sqrt{\mu})$  it remains of size  $O(\sqrt{\mu})$  and the coupling between  $\omega_h$  and  $\omega_{\nu}$  disappears.

 $\rightsquigarrow$  In particular, at this order, there is no creation of vertical vorticity from an initial state with purely horizontal vorticity.

• No physical assumption has been made. The only assumption is

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 $\mu \ll 1.$ 

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## Conclusion

In general, the standard St-Venant model is not correct at order  $O(\mu)$  in presence of vorticity.