Mirror Principle B. Lian, K. Liu, C.H. Liu, and S.T. Yau <u>Outline</u>

- Overview of problems
- Mirror Principle
- History
- Some Conjectures

Overview

• Topological sigma model = intersection theory on complex loop space.

• "Complex loop space" of a projective manifold X:

 $\{f: \Sigma \to X \ holo.\}$

• Fix $f_*[\Sigma] = d \in H_2(X, \mathbb{Z})$, $genus(\Sigma) = g$; but allow Σ to vary, and decorate Σ by finitely many points $p_1, ..., p_k$. The mapping space is a finite dimensional quasi-projective variety.

• Problem: Do intersection theory on (modified version of) this mapping space.

- Naive approach:
- Mapping space is a quasi-projective variety

$$M_{g,k}(d,X) = \{(\Sigma, f, x_1, .., x_k)\}$$

with expected dimension, say R.

• Incidence conditions: fix cycles $V_1, ..., V_k$ in X with

 $\Sigma codim V_i = R$

and require that

 $f(x_i) \in V_i.$

• $\{(\Sigma, f, x_1, ..., x_k) | f(x_i) \in V_i\}$ should have dimension 0. Regarded as a 0-cycle, its degree would be number:

$$(V_1, ..., V_k) \mapsto a \ number$$

BUT...

• $M_{g,k}(d, X)$ is noncompact and typically has the wrong dimension.

• The incidence conditions need not cut down to 0 dimension.

• Ruan-Tian (symplectic), Kontsevich (algebraic): formulate intersection theory on compactified mapping spaces. • Stable map moduli space:

$$\bar{M}_{g,k}(d,X) := \{(C,f,x_1,..,x_k)\} / \sim$$

where C is a genus g projective curve, at worst nodal. $f: C \to X$ is a degree d map, and $x_1, ..., x_k$ are smooth points on C.

• Stability condition:

if $f(C_1) = pt$ then C_1 , together with its special points, has no infinitesimal auto.

• Equiv. relation:

 $(C, f, x_1, .., x_k) \sim (C', f', x_1', .., x_k')$ if there is an isomorphism h

$$\begin{array}{cccc} x_i & \mapsto & x'_i \\ C & \xrightarrow{h} & C' \\ f \searrow & \circ & \swarrow f' \\ & X \end{array}$$

• $\overline{M}_{g,k}(d,X)$ can have impure dimension. Li-Tian construct a cycle in Chow group $A_R(\overline{M}_{g,k}(d,X))$ (cf. Fukayo-Ono, Behrend-Fentachi, Ruan, Siebert): <u>virtual fundamental cycle</u> for $\overline{M}_{g,k}(d,X)$.

• Notation: $LT_{g,k}(d, X)$ be the virtual fundamental cycle of $\overline{M}_{g,k}(d, X)$ of pure dimension

 $R = \langle c_1(X), d \rangle + (1 - g)dim(X) + k - 3.$

• It plays the role of the fundamental cycle of a compact manifold.

<u>Problem</u>

• Fix a vector bundle E on $M_{g,k}(d, X)$, and a char. class $b(E) \in A^*(M_{g,k}(d, X))$. Fix cohomology classes $\omega_1, ..., \omega_k$ on X. Study the integrals

$$K_D := \int_{LT_{g,k}(d,X)} e_1^* \omega_1 \cdots e_k^* \omega_k \ b(E).$$

$$D = (g,k;d).$$

• For simplicity, will restrict to $\omega_1 = \cdots = \omega_k =$ 1. All results here have been generalized to the case when ω_i are arbitrary. The class *b* will be Euler class, Chern polynomial, or more generally any multiplicative class. • <u>Definition</u>: A vector bundle $V \to X$ is called convex if $H^1(\mathbf{P}^1, f^*V) = 0$ for any holomorphic map $f: \mathbf{P}^1 \to X$.

• A convex bundle induces

$$V_d \qquad H^0(C, f^*V)$$

$$\downarrow \qquad \qquad \downarrow$$

$$M_{0,k}(d, X) \qquad (C, f).$$

• Examples: the tangent bundle of $X = \mathbf{P}^n$; any positive power of the hyperplane bundle.

• Similarly for concave bundle V: $H^0(C, f^*V) = 0$, $\forall f : C \to X$ genus g maps.

• Denote by $E = V_D \to M_{g,k}(d, X), D = (g, k; d),$ the vector bundle induced by a convex/concave bundle V. Also write $V'_D \to M_{g,k+1}(d, X).$

The Gluing Identity

• Enlarge $M_{q,k}(d,X)$ to

$$M_D := M_{g,k}((1,d), \mathbf{P}^1 \times X).$$

The projection $\mathbf{P}^1 \times X \to X$ induces a map

$$M_D \xrightarrow{\pi} M_{g,k}(d,X).$$

Pulling back $b(V_D)$ via π , we get a cohomology class $\pi^* b(V_D)$ on M_D .

• \mathbf{C}^{\times} acts on \mathbf{P}^{1} by the standard rotation. This induces an \mathbf{C}^{\times} action on M_{D} . Will do localization on M_{D} relative to this action.

• Each fixed point in M_D comes from gluing pairs in $M_{g_1,k_1+1}(d_1,X) \times M_{g_2,k_2+1}(d_2,X)$ at a marked point x. Here $D = D_1 + D_2$ where $D_i = (g_i, k_i; d_i)$. • Call this component F_{D_1,D_2} , and $i: F_{D_1,D_2} \to M_D$ inclusion. There are two natural projection maps

$$p_0: F_{D_1, D_2} \to M_{g_1, k_1+1}(d_1, X)$$

 $p_\infty: F_{D_1, D_2} \to M_{g_1, k_1+1}(d_1, X)$

Pulling back $b(V'_{D_1})$ via p_0 , and $b(V'_{D_2})$ via p_{∞} , we get cohomology classes $p_0^*b(V'_{D_1})$ and $p_{\infty}^*b(V'_{D_2})$ on F_{D_1,D_2} .

• <u>Theorem</u>(Gluing Identity): On F_{D_1,D_2} we have identity of cohomology classes:

$$i^*\pi^*b(V_D) = p_0^*b(V'_{D_1}) \ p_\infty^*b(V'_{D_2}).$$

• Next: transfer this identity to some simple manifold...

Functorial localization

• Given $f : A \to B$, a *G*-equiv. map of *G* manifolds;

For $\omega \in H^*_G(A)$, we have identity on E:

$$\frac{j_E^*f_*(\omega)}{e_G(E/B)} = g_*\frac{i_F^*(\omega)}{e_G(F/A)}.$$

Comparison theorem

• There is a version for stable map moduli:

$$i:F_{D_1,D_2}\to M_D$$

plays the role of $i_F: F \to A$. Evaluation map

$$e:F_{D_1,D_2}\to X$$

evaluating at gluing point plays the role of $g: F \rightarrow E$.

• Fix a projective embedding $X \subset \mathbf{P}^n$. Each map stable $(f, C, x_1, ..., x_k)$ is a degree (d, 1) map into $X \times \mathbf{P}^1 \subset \mathbf{P}^n \times \mathbf{P}^1$:

• Corresponding to this are n+1 polynomials $f_i(w_0, w_1)$ each vanishing of order d_i at $[a_i, b_i] \in \mathbf{P}^1$. • <u>Theorem</u>(Li-Lian-Liu-Yau): The corrrespondence

 $(f, C, x_1, ..., x_k) \mapsto [f_0, ..., f_n]$

defines an equivariant morphism $\varphi : M_D \to N_d$ where N_d is the projective space of (n+1)-tuple of polynomials of degree d.

• The fixed points in N_d are copies of \mathbf{P}^n . There is a similar theorem if we have an embedding $X \subset$ $\mathbf{P}^{n_1} \times \cdots \times \mathbf{P}^{n_m}$. Then N_d is replaced by a product W_d of N_d 's. Label the fixed points by Y_{d_1,d_2} , and inclusion

$$j: X \subset Y_{d_1, d_2} \to W_d.$$

• Putting together a commutative square:

$$\begin{array}{cccccccccc} F_{D_1,D_2} & \xrightarrow{\imath} & M_D \\ e \downarrow & \circ & \downarrow \varphi \\ X & \xrightarrow{j} & W_d. \end{array}$$

• <u>Theorem</u>: (Comparison Theorem) For any equivariant class ω on M_D , we have an identity on X:

$$\frac{j^*\varphi_*(\omega \cap LT_D)}{e(X/W_d)} = e_*\frac{i^*\omega \cap [F_{D_1,D_2}]}{e(F_{D_1,D_2}/M_D)}.$$

Denote the RHS by $J_{D_1,D_2}\omega$.

• <u>Theorem</u>: Consider the integral

$$K_D = \int_{LT_{g,k}(d,X)} b(V_D).$$

Suppose the integrand has the right degree. Then $\int_X e^{-H \cdot t} J_{O,D} \pi^* b(V_D) = (-1)^g (2 - 2g - d \cdot t) K_D.$

• Thus the goal is to compute the numbers K_D by first computing the classes $J_{D_1,D_2}\pi^*b(V_D)$ on X. Let's restrict to g = 0 and k = 0 for simplicity.

Solving the Gluing Identity

• Gluing Identity \Longrightarrow

• <u>Theorem</u>: We have the identity of cohomology classes on X:

$$b(V) \cdot J_{D_1,D_2} \pi^* b(V_D)$$

= $J_{D_1,O} \pi^* b(V_{D_1}) \cdot J_{O,D_2} \pi^* b(V_{D_2}).$

 \bullet For general X, complete classification of solutions not available.

• Important Fact: the Gluing Identity is functorial; if $V \to X$ is *T*-equivariant bundle, there is a *T*equivariant version. • <u>Definition</u>: A T-manifold X is called a balloon manifold if

i. X^T is finite

ii. (GKM) T-weights on T_pX at fixed point p are pairwise linearly independent.

iii. The moment map is injective on X^T .

• Examples: projective toric manifolds, flag manifolds.

• For ANY balloon manifold X, the T-equiv. Gluing Identity can be solved completely in terms of restrictions $TX|_C$ and $V|_C$ where $C \cong \mathbf{P}^1$ are Tinvariant curves in X.

• There is a linear algorithm to compute all equivariant classes $J_{D_1,D_2}\pi^*b(V_D)$, hence all intersection numbers K_D , in terms of these data.

• Example: X: toric manifold $D_1, ..., D_N$: T-invariant divisors $V = \bigoplus_i L_i, \quad c_1(L_i) \ge 0 \text{ and } c_1(X) = c_1(V).$ b(V) = e(V)

$$\Phi(T) = \Sigma K_D e^{d \cdot T}$$

$$B(t) = e^{-H \cdot t} \sum_{\substack{d \ i}} \prod_{\substack{k=0 \\ k=0}}^{\langle c_1(L_i), d \rangle} (c_1(L_i) - k)$$
$$\times \frac{\prod \langle D_a, d \rangle < 0 \prod_{\substack{k=0 \\ m \in a, d \rangle \geq 0}}^{-\langle D_a, d \rangle - 1} (D_a + k)}{\prod \langle D_a, d \rangle \ge 0 \prod_{\substack{k=1 \\ m \in a, d \rangle \geq 0}}^{\langle D_a, d \rangle} (D_a - k)} e^{d \cdot t}.$$

• Computing generating function $\Phi(t) = \Sigma K_d e^{dt}$. There are explicitly computable functions f(t), g(t), such that

$$\int_X \left(e^f B(t) - e^{-H \cdot T} e(V) \right) = 2\Phi - \Sigma T_i \frac{\partial \Phi}{\partial T_i}$$

where T = t + g(t) (mirror transformation).

Mirror History

• PHASE I:

• Gepner, Lerche-Vafa-Warner, Dixon (mid 80): idea of mirror conformal field theories.

• Greene-Plesser, Candelas-Lynker-Schimrigk, Klemm,. 89): mirror CYs in weight projective spaces.

• Candelas-de la Ossa-Green-Parkes (90): use mirror CYs to give enumerative predictions for quintics.

• Libgober-Teiteilboim, Morrison, Batyrev, Klemm et al, Candelas et al, Berglund et al, Hosono et al, ...(91-93): enumerative predictions for many examples of weighted projective complete intersection CYs.

• Batyrev, Borisov (91-93): mirror CYs in toric varieties.

• Hosono-Lian-Yau (94): propose genus 0 mirror formula for general toric CY complete intersections.

• Bershadsky-Cecotti-Ooguri-Vafa (95): higher genus formula.

• PHASE II:

• Vafa, Witten, Kontsevich, Ruan-Tian: math. foundation of quantum cohomology and intersection numbers.

• Ellingsrud-Stromme, Kontsevich (94): apply directly Atiyah-Bott to genus-0 Euler class of Candelas et al for \mathbf{P}^4 .

• Givental, Bini-de Concini-Polito-Procesi, Pandharipande, (96-98): apply Atiyah-Bott and quantum cohomology theory to genus-0 Euler class for \mathbf{P}^n .

• Lian-Liu-Yau (97): develop functorial localization to any multiplicative char. classes, and new genus-0 formulas for \mathbf{P}^n .

• Klemm, Katz, Mayr, Vafa,..(97): *B*-model local mirror symmetry.

• Lian-Liu-Yau (97): math. foundation for A-model local mirror symmetry.

• PHASE III:

• Li-Tian, Behrend-Fantachi,... (97): foundation for virtual fundamental cycles.

• Graber-Pandaripande (97): Virtual localization.

• Li-Tian (98): symplectic and algebraic quantum cohomology theories are equivalent.

• Lian-Liu-Yau (98-99): apply functorial localization to any multiplicative classes for any projective manifold, at higher genus.

• Lian-C.H.Liu-Yau (99): reconstruct multiplicative classes for hypersurfaces of general type without mirror formula.

• Most recently: functorial localization of Lian-Liu-Yau becomes a popular technique. Eg. Bertram, Lee, ... cf. Gathmann.

Conjectures

• Let Y be a CY 3-fold. Then the virtual class $LT_{0,0}(d, Y)$ is a zero dimensional cycle. Let $K_d \in \mathbf{Q}$ be the degree of this cycle, and define the "instanton numbers" n_d by the formula

$$K_d = \sum_{k|d} n_{d/k}.$$

• Conjecture 1: the n_d are all integers.

• When Y is a toric complete intersection, then the n_d should be divisible by the "multidegrees" of Y.

• Example: when Y is the quintic 3-fold, the n_d are divisible by 5^3 (Clemens). Verified by Lian-Yau for $5 \not/d$.

• Near the "large radius limit", the periods of the mirror manifold X should be of the form, in local coordinates

 $\omega_0 = 1 + O(z), \quad \omega_i = \omega_0 \log z_i + O(z), \quad \cdots$ The mirror map $z \mapsto q$ has power series

$$q_i := exp(\frac{\omega_i}{\omega_0}) = z_i + O(z^2).$$

• Conjecture 2: The expansions of the q_i have integral coefficients.

• This has been verified by Lian-Yau for hypersurfaces X in toric varieties with $H^2(X, \mathbf{Z}) = \mathbf{Z}$.

• When X is a toric complete intersections, the series q_i^{1/h_i} should also have integer expansion, where h_i are "multidegrees" of Y.

• Example: when X is the mirror quintic, h = 5, this has been observed by Vafa et al.