Homomorphism Dichotomies and Graph Classes

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An interval graph H

H admits a *representation* by real intervals I_v (for $v \in V(H)$)

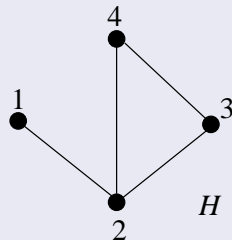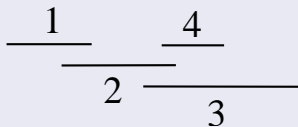$$v \sim w \iff I_v \cap I_w \neq \emptyset$$

Interval Graphs

An interval graph H

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$$v \sim w \iff I_v \cap I_w \neq \emptyset$$
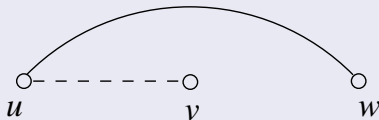
Example

Ordering characterization

H is an interval graph

$$\Longleftrightarrow$$

$V(H)$ can be linearly ordered by $<$ so that for $u < v < w$

$$u \sim w \implies u \sim v$$

Dotted edge cannot be absent

Interval Graphs

Forbidden structure characterization

H is an interval graph

$$\Longleftrightarrow$$

H has no induced $C_{(>3)}$ and no asteroidal triple

Lekkerkerker-Boland 1962

Forbidden structure characterization

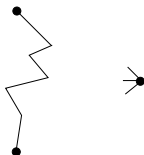*H* is an interval graph

$$\Longleftrightarrow$$

H has no induced $C_{(>3)}$ and no asteroidal triple

Lekkerkerker-Boland 1962

Asteroidal triple

any two joined by a path avoiding the neighbours of the third

Interval Graphs

Forbidden structure characterization

H is an interval graph

$$\Longleftrightarrow$$

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Lekkerkerker-Boland 1962

Clique structure characterization

H is an interval graph

$$\Longleftrightarrow$$

the maxcliques of *H* can be linearly ordered so that each vertex lies in a consecutive set

Fulkerson-Gross 1965

Interval Graphs

$O(m + n)$ algorithms

- Booth-Lueker 1976
- Korte-Mohring 1989
- Habib-McConnell-Paul-Viennot 2000
- Corneil-Olariu-Stewart 2010 LexBFS x 6

Interval Graphs

$O(m + n)$ algorithms

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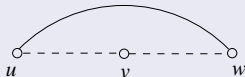The second algorithm has been subsequently made certifying

Kratsch et al 2001

Proper Interval Graphs

Representable by a proper family ($v \neq w \implies I_v \not\subset I_w$)

Proper Interval Graphs

Representable by a proper family ($v \neq w \implies I_v \not\subset I_w$)

- Orderable so that $u < v < w, u \sim w \implies u \sim v \sim w$
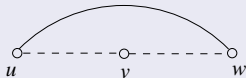

- No induced $C_{(>3)}$, net, tent, or claw _{Wegner 1967}
- $O(m + n)$ certifying algorithm _{3x LexBFS Corneil 2004 and H+Huang 2005}
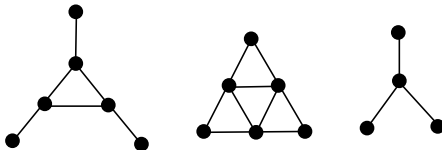
Proper Interval Graphs

Representable by a proper family ($v \neq w \implies I_v \not\subset I_w$)

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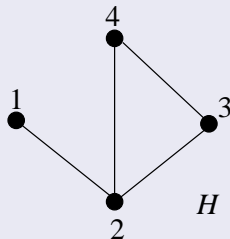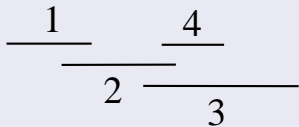

- No induced $C_{(>3)}$, net, tent, or claw Wegner 1967
- $O(m + n)$ certifying algorithm 3x LexBFS Corneil 2004 and H+Huang 2005

Example

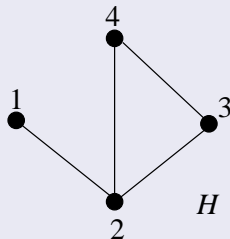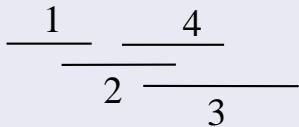## Example

Representable by subtrees T_v of a tree T

Representable by subtrees T_v of a tree T

- Orderable so that $u < v < w, u \sim w, u \sim v \implies v \sim w$

u v w

- No induced $C_{(>3)}$
- $O(m + n)$ certifying algorithm 1x LexBFS Booth-Lueker1976

Circular Arc Graphs

Representable by arcs A_v on a circle C
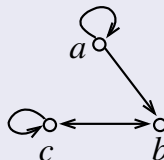
- $O(m + n)$ algorithm McConnell2003

?

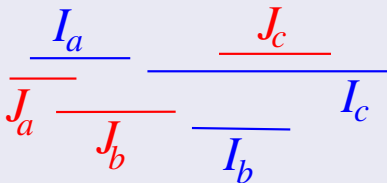## Interval digraphs - representable by pairs I_v, J_v

$$v \rightarrow w \iff I_v \cap J_w \neq \emptyset$$

Interval digraphs - representable by pairs I_v, J_v

$$v \to w \iff I_v \cap J_w \neq \emptyset$$

Not nice!

Digraphs

Not nice!

- Structural characterization not known
- Recognition polynomial but best algorithm is $O(n^2 m^7)$

Mueller 1997

Interval graphs are reflexive (have all loops)

?

Bigraphs (with red and blue vertices)

Each edge joins a red vertex and a blue vertex

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Interval bigraph H

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Bigraphs (with red and blue vertices)

Each edge joins a red vertex and a blue vertex

Equivalently, we can think of the edges as directed (red $\rightarrow$ blue)

Interval bigraph H

Representable by real intervals I_v (for $v \in V(H)$)

$$r \sim b \iff I_r \cap I_b \neq \emptyset$$

(r is red, b is blue)

As before

- No structural characterization
- Recognition algorithm only high degree polynomial

Representable by inclusion-free families

Representable by inclusion-free families

- No induced $C_{(>4)}$, bi-net, bi-tent, or bi-claw
- $O(m + n)$ certifying recognition algorithm

H+Huang 2005

Given digraphs *G* and *H*

A *homomorphism f* : *G* → *H* is a mapping *f* : *V*(*G*) → *V*(*H*) such that $xy \in E(G) \implies f(x)f(y) \in E(H)$

Given digraphs *G* and *H*

A *homomorphism* $f : G \rightarrow H$ is a mapping $f : V(G) \rightarrow V(H)$
such that $xy \in E(G) \implies f(x)f(y) \in E(H)$

Undirected graphs are viewed as symmetric digraphs

Given a fixed digraph *H*

Does an input digraph *G* admit a homomorphism to *H*?

Given a fixed digraph H

Does an input digraph G admit a homomorphism to H?

Example: $H = K_t$

Does an input graph G admit a t-colouring?

CSP with fixed template H

H with $V(H)$ and relations $R_1(H), \ldots, R_k(H)$

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G has corresponding relations $R_1(G), \ldots, R_k(G)$

Constraint Satisfaction Problems

CSP with fixed template H

H with $V(H)$ and relations $R_1(H), \ldots, R_k(H)$
Does an input G admit a homomorphism to H?

G has corresponding relations $R_1(G), \ldots, R_k(G)$
Homomorphisms preserve all relations

Feder - Vardi, 1993, conjectured for any template *H*

The CSP problem for *H* is polynomial or is NP-complete

Feder - Vardi, 1993, conjectured for any template H

The CSP problem for H is polynomial or is NP-complete

True for two-element templates Schaeffer 1978

Feder - Vardi, 1993, conjectured for any template H

The CSP problem for H is polynomial or is NP-complete

True for two-element templates Schaeffer 1978
True for three-element templates Bulatov 2001

If *H* is an undirected graph

The homomorphism problem for *H* is polynomial if *H* is bipartite or contains a loop; otherwise it is NP-complete

H+Nešetřil 1990

If H is an undirected graph

The homomorphism problem for H is polynomial if H is bipartite or contains a loop; otherwise it is NP-complete

H+Nešetřil 1990

If H is a digraph

If dichotomy holds for all digraph templates H then the dichotomy conjecture holds for all CSP

Feder+Vardi 1993

Given a fixed digraph *H*

Each vertex *x* of the input digraph *G* has a *list* $L(x) \subseteq V(H)$
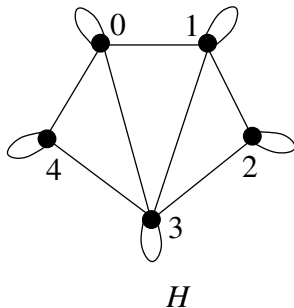
Given a fixed digraph H

Each vertex x of the input digraph G has a *list* $L(x) \subseteq V(H)$
Is there a homomorphism $f : G \to H$ for which all $f(x) \in L(x)$?

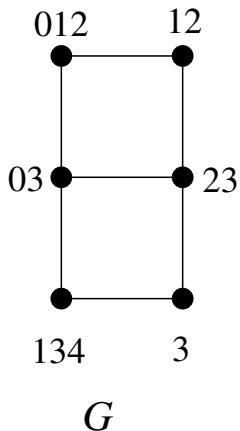### Fixed graph *H*

Processors and connections

H

012 12

03 23

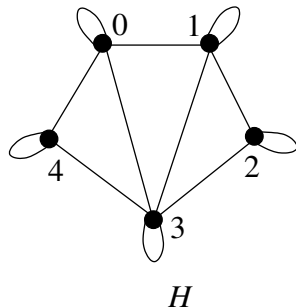134 3

G

Input graph *G*

Tasks and communications

G

H

G

H

For a reflexive graph H

If H is an interval graph, then the problem for H is polynomial

For a reflexive graph H

If H is an interval graph, then the problem for H is polynomial
Otherwise the problem is NP-complete

Feder+H 1998

Homomorphism Dichotomies and Graph Classes

For a reflexive graph *H*

If *H* is an interval graph, then the problem for *H* is polynomial
Otherwise the problem is NP-complete

Feder+H 1998

If inputs are restricted to have connected lists

For a reflexive graph *H*

If *H* is an interval graph, then the problem for *H* is polynomial
Otherwise the problem is NP-complete

Feder+H 1998

If inputs are restricted to have connected lists

If *H* is a chordal graph, then the problem for *H* is polynomial
Otherwise the problem is NP-complete

Feder+H 1998

Focus on Bigraphs

List homomorphisms to a bigraph H

List homomorphisms to a bigraph H

If $\overline{H}$ is a circular arc graph, then the problem is polynomial
Otherwise the problem is NP-complete

Feder+H+Huang 1999

Focus on Bigraphs

List homomorphisms to a bigraph H

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Feder+H+Huang 1999

Relation to interval bigraphs

A bipartite graph H is an interval bigraph if and only if

- $\overline{H}$ is a circular arc graph, and
- there exists a representation in which no two arcs cover the circle

H+Huang 2004

For a bigraph H

$\overline{H}$ is a circular arc graph

$\iff$ H has no induced $C_{>4}$ and no edge-asteroid

Feder+H+Huang 1999

Co-Circular-Arc Bigraphs

For a bigraph H

$\overline{H}$ is a circular arc graph
$\Longleftrightarrow H$ has no induced $C_{>4}$ and no edge-asteroid

Feder+H+Huang 1999

Co-Circular-Arc Bigraphs

For a bigraph *H*

$\overline{H}$ is a circular arc graph
$\Longleftrightarrow$ *H* has no induced $C_{>4}$ and no edge-asteroid

Feder+H+Huang 1999

$\Longleftrightarrow$ the *bipartite* complement of *H* can be two-edge-coloured so that there is no monochromatic $2K_2$

H+Huang 2004

Co-Circular-Arc Bigraphs

For a bigraph H

$\overline{H}$ is a circular arc graph
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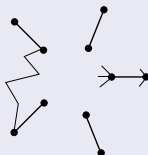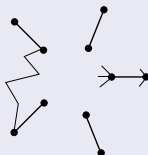
Feder+H+Huang 1999

$\iff$ the *bipartite* complement of H can be two-edge-coloured so that there is no monochromatic $2K_2$
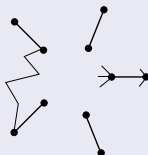
H+Huang 2004

$O(n^2)$ algorithm

Dichotomy

Every list CSP is polynomial or NP-complete

Bulatov 2003

List homomorphisms to a reflexive digraph H

If H is an adjusted interval digraph, the problem is polynomial

Feder+H+Huang+Rafiey 2010

List homomorphisms to a reflexive digraph H

If H is an adjusted interval digraph, the problem is polynomial

Feder+H+Huang+Rafiey 2010

Conjecture

Otherwise it is NP-complete

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Feder+H+Huang+Rafiey 2010

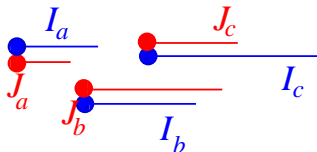
Conjecture

Otherwise it is NP-complete

Verified in important basic cases (trees, tournaments, etc)

An ordering characterization

H is an adjusted interval digraph if and only if $V(H)$ can be linearly ordered by $<$ so that for $u < v$ and $u' > v'$

$$u \to u' \text{ and } v \to v' \implies u \to v'$$

Adjusted Interval Digraphs

An ordering characterization

H is an adjusted interval digraph if and only if $V(H)$ can be linearly ordered by $<$ so that for $u < v$ and $u' > v'$

$$u \to u' \text{ and } v \to v' \implies u \to v'$$

A structural characterization

H is an adjusted interval digraph if and only if it has no invertible pair

$O(m^2)$ algorithm

C_4 is not an adjusted interval digraph

C_4 is not an adjusted interval digraph

Invertible pair *u*, *v*

Invertible pair *u*, *v*

For reflexive digraphs

H is an adjusted interval digraph if and only if *H* has no invertible pair

For a bigraph H

$\overline{H}$ is a circular arc graph
$\iff$ the red and the blue vertices can be linearly ordered by $<$
so that for $r < r'$ and $b > b'$

$$r \sim b \text{ and } r' \sim b' \implies r \sim b'$$

Revisit Co-Circular-Arc Bigraphs

For a bigraph H

$\overline{H}$ is a circular arc graph
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$$r \sim b \text{ and } r' \sim b' \implies r \sim b'$$

$\iff$ H has no invertible pair

H+Rafiey 2008

List homomorphisms to a graph H

If H is a bi-arc graph, then the problem is polynomial
Otherwise the problem is NP-complete

Feder+H+Huang 2004

List homomorphisms to a graph *H*

If *H* is a bi-arc graph, then the problem is polynomial
Otherwise the problem is NP-complete

Feder+H+Huang 2004

Bi-arc graphs

Generalizes both previous cases

- A reflexive H is a bi-arc graph $\iff$ it is an interval graph
- An irreflexive H is a bi-arc graph $\iff$ H is bipartite and $\overline{H}$ is a circular arc graph

Bi-arc graphs

Generalizes both previous cases

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- An irreflexive H is a bi-arc graph $\iff$ H is bipartite and $\overline{H}$ is a circular arc graph

The structure of bi-arc graphs

H is a bi-arc graph if and only if the complement of $Bip(H)$ is a circular arc graph

Feder+H+Huang 2004

Bi-arc graphs

Generalizes both previous cases

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The structure of bi-arc graphs

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Feder+H+Huang 2004

This is the equivalent definition of bi-arc graphs used in the earlier talk by Benoit Larose

List homomorphisms to a digraph *H*

If *H* is an DAT-free digraph, then the problem is polynomial
Otherwise the problem is NP-complete

H+Rafiey 2011

List homomorphisms to a digraph *H*

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H+Rafiey 2011

A digraph asteroidal triple (DAT) is a (somewhat technical)
directed analogue of an asteroidal triple

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H+Rafiey 2011

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The presence of a DAT can be detected in polynomial time

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H+Rafiey 2011

A digraph asteroidal triple (DAT) is a (somewhat technical) directed analogue of an asteroidal triple

The presence of a DAT can be detected in polynomial time

Is there a geometric representation? (ordering characterization?)

In addition to interval graphs

- Co-circular-arc bigraphs
- Bi-arc graphs
- Adjusted interval digraphs
- DAT-free digraphs

As in the earlier talk by Arash Rafiey

As in the earlier talk by Arash Rafiey

G

H

Minimize the overall cost

Each decision (map $x \in V(G)$ to $u \in V(H)$) has a given cost $c(x, v)$

The nice graph classes identified

- If *H* is a reflexive graph, then the problem is polynomial for proper interval graphs, else NP-complete

Minimum Cost Homomorphism Problems

The nice graph classes identified

- If *H* is a reflexive graph, then the problem is polynomial for proper interval graphs, else NP-complete
- If *H* is a bigraph, then the problem is polynomial for proper interval bigraphs, else NP-complete

Minimum Cost Homomorphism Problems

The nice graph classes identified

- If *H* is a reflexive graph, then the problem is polynomial for proper interval graphs, else NP-complete
- If *H* is a bigraph, then the problem is polynomial for proper interval bigraphs, else NP-complete
- If *H* is a reflexive digraph, then the problem is polynomial for proper adjusted interval digraphs, else NP-complete

Minimum Cost Homomorphism Problems

The nice graph classes identified

- If *H* is a reflexive graph, then the problem is polynomial for proper interval graphs, else NP-complete
- If *H* is a bigraph, then the problem is polynomial for proper interval bigraphs, else NP-complete
- If *H* is a reflexive digraph, then the problem is polynomial for proper adjusted interval digraphs, else NP-complete
- If *H* is a digraph, then the problem is polynomial for proper monotone interval digraphs, and a simple extension of this class, else NP-complete

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"Monotone" means $left(I_v) < left(I_w) \iff left(J_v) < left(J_w)$

Minimum Cost Homomorphism Problems

The nice graph classes identified

- If H is a reflexive graph, then the problem is polynomial for proper interval graphs, else NP-complete
- If H is a bigraph, then the problem is polynomial for proper interval bigraphs, else NP-complete
- If H is a reflexive digraph, then the problem is polynomial for proper adjusted interval digraphs, else NP-complete
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"Monotone" means $left(I_v) < left(I_w) \iff left(J_v) < left(J_w)$
"Proper" means $left(I_v) < left(I_w) \iff right(I_v) < right(I_w)$
(and similarly for the J's)

New Graph Classes

In addition to interval graphs and proper interval graphs and bigraphs

- Co-circular-arc bigraphs
- Bi-arc graphs
- Adjusted interval digraphs
- DAT-free digraphs
- Proper adjusted interval digraphs
- Proper monotone interval digraphs

Structural Characterizations

Forbidden for proper interval graphs

Structural Characterizations

Forbidden for proper interval graphs

Forbidden for proper interval bigraphs

Reflexive graph *H*

H is a proper interval graph
$\iff$ it has an ordering without

Reflexive graph *H*

H is a proper interval graph
$\iff$ it has an ordering without

Bigraph *H*

H is a proper interval bigraph
$\iff$ it has two orderings (red and blue vertices separately)
without

Ordering Characterizations

Digraph *H*

H is a proper monotone interval digraph
$\iff$ it has an ordering without

Digraph *H*

H is a proper monotone interval digraph
$\iff$ it has an ordering without

(Or a reflexive *H* being a proper adjusted interval digraph)

Ordering Characterizations

Digraph *H*

H is a proper monotone interval digraph
 $\iff$ it has an ordering without

(Or a reflexive *H* being a proper adjusted interval digraph)

Obstruction: a symmetrically invertible pair

Reflexive digraph *H*

H is a proper adjusted interval digraph
$\iff$ it has no symmetrically invertible pair

Reflexive digraph *H*

H is a proper adjusted interval digraph
$\iff$ it has no symmetrically invertible pair

General digraph *H*

H is a proper monotone interval digraph
$\iff$ it has no symmetrically invertible pair and no induced
directed cycle of length greater than one

H+Rafiey 2010

Current

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: induced $C_{(>4)}$ and edge-asteroids

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: induced $C_{(>4)}$ and edge-asteroids
- Adjusted interval digraphs: invertible pairs

Obstructions

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: induced $C_{(>4)}$ and edge-asteroids
- Adjusted interval digraphs: invertible pairs
- Reflexive proper interval graphs: induced $C_{(>3)}$, net, tent, and claw

Obstructions

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: induced $C_{(>4)}$ and edge-asteroids
- Adjusted interval digraphs: invertible pairs
- Reflexive proper interval graphs: induced $C_{(>3)}$, net, tent, and claw
- Proper interval bigraphs: induced $C_{(>4)}$, bi-net, bi-tent, and bi-claw

Obstructions

Current

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: induced $C_{(>4)}$ and edge-asteroids
- Adjusted interval digraphs: invertible pairs
- Reflexive proper interval graphs: induced $C_{(>3)}$, net, tent, and claw
- Proper interval bigraphs: induced $C_{(>4)}$, bi-net, bi-tent, and bi-claw
- Adjusted proper interval digraphs: symmetrically invertible pairs
- Monotone proper interval digraphs: induced directed $C_{(>1)}$ and symmetrically invertible pairs

Unified

- Reflexive interval graphs: induced $C_{(>3)}$ and asteroidal triples
- Co-circular arc bigraphs: **invertible pairs**
- Adjusted interval digraphs: invertible pairs
- Reflexive proper interval graphs: **symmetrically invertible pairs**
- Proper interval bigraphs: induced $C_{(>4)}$, bi-net, bi-tent, and bi-claw
- Adjusted proper interval digraphs: symmetrically invertible pairs
- Monotone proper interval digraphs: induced directed $C_{(>1)}$ and symmetrically invertible pairs

Reflexive claw is not a proper interval graph

Illustration

Reflexive claw is not a proper interval graph

Unified

- Reflexive interval graphs: invertible pairs
- Co-circular arc bigraphs: invertible pairs
- Adjusted interval digraphs: invertible pairs
- Reflexive proper interval graphs: symmetrically invertible pairs
- Proper interval bigraphs: symmetrically invertible pairs
- Adjusted proper interval digraphs: symmetrically invertible pairs
- Monotone proper interval digraphs: induced directed $C_{(>1)}$ and symmetrically invertible pairs

Forbidden structures

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$1 \implies 2 \implies 3 \implies 1$

Classes with best potential

Co-circular-arc bigraphs and adjusted interval digraphs

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Chronological Interval Digraphs

A chronological interval digraph H

H admits a representation by intervals I_v (for $v \in V(H)$) in which

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$O(m + n)$ recognition

Das+Francis+H+Huang 2011