

# Some Remarks on Corona Problems

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Workshop on the Corona Problem  
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- multiplier algebras and 1-positive square, NP-kernels
- some successes for NP-kernels
- corona problem for  $H^\infty(\mathbb{D}^d)$  and  $H^\infty(B^d)$

$$F = (f_1, f_2, \dots), f_j \in H^\infty(\mathbb{D})$$

First, recall

**Corona Theorem for  $H^\infty(\mathbb{D})$**

(Carleson, Wolff, Rosenblum, Tolokonnikov, Uchiyama)

$0 < \epsilon^2 \leq F(z)F(z)^* \leq 1, z \in \mathbb{D}$ , then

$\exists \{u_j\}_{j=1}^\infty \subseteq H^\infty(\mathbb{D})$  such that

$$(a) \sum_{j=1}^{\infty} f_j u_j \equiv 1$$

$$(b) \sup_{z \in D} \sum_{j=1}^{\infty} |u_j(z)|^2 < \infty \quad \text{with bound, } C(\epsilon)$$



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$H^\infty(\mathbb{D})$  is the multiplier algebra for  $H^2(T)$ .

(A)  $H^2(T)$  Corona Theorem

$$0 < \epsilon^2 \leq F(z)F(z)^* \leq 1, z \in \mathbb{D},$$

then

$$\exists \delta > 0 \text{ such that } I \geq T_F T_F^* \geq \delta^2 I \quad \text{i.e. } T_F \text{ is } \textit{onto}.$$

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(B)  $\text{Operator } H^2(T)$  Corona Theorem for  $H^2(T)$

$$I \geq T_F T_F^* \geq \delta^2 I, \text{ then } \exists \{u_j\}_{j=1}^\infty \subseteq H^\infty(D)$$

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$$U^T = (u_1, u_2, \dots), \text{ then (a) } T_F T_U = I$$

$$\text{(b) } T_U \text{ bounded} \quad \|\textcolor{red}{T_U}\| \leq \textcolor{red}{\frac{1}{\delta}}$$

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(Arveson, Douglas, Nagy-Foias, Rosenblum, Schubert, ...)

$\mathcal{H}$  is a **reproducing kernel** Hilbert space on  $\Omega$  if  $\forall w \in \Omega$  there exists  $k_w \in \mathcal{H}$  with  $f(z) = \langle f, k_w \rangle_{\mathcal{H}}$  for all  $f \in \mathcal{H}$ .

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**Multipliers** on  $\mathcal{H}$ ,

$$\mathcal{M}(\mathcal{H}) = \{\phi \in \mathcal{H} : \phi h \in \mathcal{H}, \forall h \in \mathcal{H}\} \approx \{T_\phi \in B(\mathcal{H})\}$$

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Now

$$\mathcal{M}(H^2(T)) = H^\infty(\mathbb{D}), \text{ but also } \mathcal{M}(A^2(\mathbb{D})) = H^\infty(\mathbb{D}).$$

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(B) Operator Corona Theorem for  $A^2(\mathbb{D})$

$$I \geq T_F T_F^* \geq \delta^2 I, \text{ then } \exists \{u_j\}_{j=1}^\infty \subseteq H^\infty(D)$$

such that

$$U^T = (u_1, u_2, \dots), \text{ then (a) } T_F T_U = I \\ \text{(b) } T_U \text{ bounded}$$

(B) CLT [reproducing kernel has 1-positive square, complete  $\mathbb{NP}$ ]

$$1 - \frac{1}{k_w(z)} = \sum_{n=1}^{\infty} a_n(z) \overline{a_n(w)} \quad a_n \in \mathcal{H}$$

[Ball-T.- Vinnikov][Agler,McCullough,Quiggen]

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$$\text{Ex: } \frac{1}{1 - z\overline{w}} \text{ in } H^2(T) \quad \text{Non-Ex: } \frac{1}{(1 - z\overline{w})^2} \text{ in } A^2(\mathbb{D})$$

$$\frac{1}{z\overline{w}} \ln \frac{1}{1 - z\overline{w}} \text{ in } \mathcal{D}^2(\mathbb{D}) \quad \prod_{j=1}^d \frac{1}{(1 - z_j\overline{w}_j)} \text{ in } H^2(T^d) \quad d > 1$$

$$\frac{1}{1 - \sum_{i=1}^d z_i\overline{w}_i} \text{ in } \mathcal{F}^d \quad \frac{1}{(1 - \sum_{i=1}^d z_i\overline{w}_i)^d} \text{ in } H^2(B^d) \quad d > 1$$

( $\mathcal{F}^d$  Drury-Arveson or symmetric Fock space)

Aside:  $\mathcal{A} = \{\text{analytic Toeplitz operators on } H^2(T)\}$

$$A \in \mathcal{A} \quad \text{and} \quad AA^* \geq \epsilon^2 I$$

**Operator Corona Theorem**  $\Rightarrow \exists B \in \mathcal{A}$  s.t.  $AB = I$  and  $\|B\| \leq \frac{1}{\epsilon}$

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Of course, for any subalgebra,  $\mathcal{A}$ , of  $B(\mathcal{H})$

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$$A \in \mathcal{A}, AA^* \geq \epsilon^2 I$$

$\Rightarrow$  (*Douglas*)  $\exists X \in B(\mathcal{H})$  s.t.  $AX = I$  and  $\|X\| \leq \frac{1}{\epsilon}$

but  $X$  might not belong to  $\mathcal{A}$

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**McCullough-T.** For  $\mathcal{A} = \mathcal{M}(\mathcal{H})$  and nice, TFAE.

Whenever  $B, A \in \mathcal{A} \otimes B(\mathcal{H})$  with  $AA^* \geq BB^*$

$$\exists C \in \mathcal{A} \otimes B(\mathcal{H})$$

$$\text{with } \|C\| \leq 1 \quad \text{and} \quad B = AC.$$

$\mathcal{H}$  has an NP-kernel.



M.-T.

For  $\mathcal{A}$  equal to the multiplier algebras on  
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Question 0 Can such an example be found with  $B = I$  ?

i.e. does there exist  $A \in \mathcal{A} \otimes B(\mathcal{H})$  right invertible in  $B(\mathcal{H}) \otimes B(\mathcal{H})$ , but **not** right invertible in  $\mathcal{A} \otimes B(\mathcal{H})$ ?

(B) Operator Corona Theorem for  $H^2(T)$

$F = (f_1, \dots)$  with  $f_j \in H^\infty(\mathbb{D})$ .

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$$\|T_U\| \leq \frac{1}{\delta}$$

Nagy-Foias

$$\text{Let } \begin{matrix} \mathcal{H} & K_1 \\ A & B \\ C & D \end{matrix} \in B(\mathcal{H} \oplus K_1, \mathcal{H} \oplus K_2)$$
$$\text{and } \left\| \begin{pmatrix} A & B \\ C & D \end{pmatrix} \right\| \leq 1.$$

Then for  $z \in \mathbb{D}$  and  $\varphi(z) = D + z C(I - z A)^{-1} B$ ,

$$\|\varphi(z)\|_{B(K_1, K_2)} \leq 1.$$

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Proof: 
$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} z(I - z A)^{-1} B h \\ h \end{pmatrix} = \begin{pmatrix} (I - z A)^{-1} B h \\ \varphi(z) h \end{pmatrix}.$$

$$\|\varphi(z) h\|^2 \leq \|h\|^2 - (1 - |z|^2) \|(I - z A)^{-1} B h\|^2. \quad \square$$

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Note: **Every**  $\phi \in \text{Ball}_1(H_p^\infty(\mathbb{D}))$  or  $\phi \in \text{Ball}_1(H_p^\infty(\mathbb{D}^2))$  has this form! **Agler**

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Operator Corona Theorem for  $H^2(\mathbb{D})$

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$\begin{pmatrix} A^* & C^* \\ B^* & D^* \end{pmatrix}$  is a partial isometry from  $\mathcal{H} \oplus \mathbb{C}^2$  to  $\mathcal{H} \oplus \mathbb{C}$ .



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$$(f_1(w), f_2(w)) \frac{\phi(w)}{\delta} = 1 \text{ or } T_F T_{\frac{\phi}{\delta}} = I.$$

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$$(f_1(w), f_2(w)) \frac{\phi(w)}{\delta} = 1 \text{ or } T_F T_{\frac{\phi}{\delta}} = I.$$

Note: A refinement of the above shows that if the input depends smoothly on a **parameter**, so does the corona solution  $\frac{\phi}{\delta}$ .

Replace  $H^2(T)$  by  $\mathcal{D}_\alpha^2(\mathbb{D})$

$H^\infty(\mathbb{D})$  by  $\mathcal{M}(\mathcal{D}_\alpha^2(\mathbb{D}))$

$\forall z \in \mathbb{D}, F(z)F(z)^* \leq 1$  by  $\|(M_{f_1}, M_{f_2}, \dots)^T\| < \infty$

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Corona theorem for  $\mathcal{M}(\mathcal{D}_\alpha^2(\mathbb{D}))$

(finite case, Tolokonnikov, ...;

$\infty$  case and  $\alpha = 1$  T.;  $\infty, 0 < \alpha < 1$  Kidane-T.)

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Corona theorem for  $\mathcal{M}(\mathcal{F}^d)$ !!

(Costea-Sawyer-Wick)

Ideals for  $\mathcal{M}(\mathcal{D}^2(\mathbb{D}))$

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Matrix version

(Fuhrmann, Vasyunin, T., T.-Zhang)



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**Warning!**-Scheinberg

Agler-McCarthy

If the  $H^2(\mu)$ -corona theorem holds  
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Amar

Extension to  $H^\infty(\mathbb{D}^d)$   
and  $H^\infty(B^d)$ .



T.-Wick Suffices to consider  $d\mu = |H|^2 d\sigma$ , where

$$\Omega = \mathbb{D}^d \quad d\sigma = d\sigma_1 \dots d\sigma_d$$

$$\Omega = B^d \quad d\sigma = dm_{\partial B^d}$$

and  $H \in H^2(d\sigma)$  satisfies

- (1)  $H$  is **nonvanishing** in  $\Omega$ .
- (2)  $|H(\bullet)| \geq 1$  a.e. on  $T^d$ , if  $\Omega = \mathbb{D}^d$   
on  $\partial B^d$ , if  $\Omega = B^d$ .

## Consequences of T.-Wick

Let

$$\mathcal{H}^{(d)} = \{H \in H^2(T^d) : \begin{array}{l} (1) \ H \text{ is nonvanishing on } \mathbb{D}^d \\ (2) \ |H(e^{it_1}, \dots, e^{it_d})| \geq 1, \ \sigma\text{-a.e. on } T^d \end{array}\}$$

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The corona theorem for  $H^\infty(\mathbb{D}^d)$  holds if and only if

$$\forall H \in \mathcal{H}^{(d)}, 1 \in \text{ran}(T_F^H) \text{ where}$$

$$T_F^H = (M_{f_1}, \dots, M_{f_n}) \text{ on } H^2(|H|^2 d\sigma) \stackrel{\text{def}}{=} \{\text{polynomials}\}^{-L^2(|H|^2 d\sigma)}$$

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i.e., need only find  $\{u_j\}_{j=1}^n \subset H^2(|H|^2 d\sigma)$  s.t.  $\sum f_j u_j \equiv 1$  in  $\mathbb{D}^d$ .

Note: Let  $H \in \mathcal{H}^{(2)}$   $S = M_z, W = M_w$  on  $H^2(|H|^2 d\sigma)$

$$F = (f_1, \dots, f_n) \quad f_i \in H^\infty(\mathbb{D}^2).$$

Assume

$$T_F T_F^* \geq \delta^2 I.$$

We want to show that there exists  $\epsilon > 0$  such that

$$T_F^H T_F^{H*} \geq \epsilon^2 I,$$

i.e.

$$F(S, W) F(S, W)^* \geq \epsilon^2 I.$$

“reverse von-Neumann Inequality”

Let  $\varphi \in C^1(\overline{\mathbb{D}})$ ,  $z \in \mathbb{D}$ .

$$\varphi(z) = \frac{1}{2\pi i} \int_{\partial \mathbb{D}} \frac{\varphi(w)}{w - z} dw - \frac{1}{\pi} \int_{\mathbb{D}} \frac{\bar{\partial} \varphi(w)}{w - z} dA(w).$$

$$\varphi(0) = \int_{-\pi}^{\pi} \varphi(e^{it}) d\sigma(t) - \frac{1}{4\pi} \int_{\mathbb{D}} \Delta \varphi(w) \ln \frac{1}{|w|^2} dA(w).$$

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Def: **Cauchy transform**  $\widehat{\varphi}(z) = -\frac{1}{\pi} \int_{\mathbb{D}} \frac{\varphi(w)}{w-z} dA(w).$

$$(1) \bar{\partial} \widehat{\varphi} = \varphi$$

$$(2) P_{H^2}^{\perp} \varphi = \widehat{(\bar{\partial} \varphi)} \text{ on } \partial \mathbb{D}.$$



Fix  $F = (f_1, f_2)$ ,  $F(z) F(z)^* \geq \epsilon^2 > 0 \quad z \in \mathbb{D}^2$   
 $Q = \begin{pmatrix} f_2 \\ -f_1 \end{pmatrix} \quad \text{so } FQ = 0.$

Fix  $H \in \mathcal{H}^{(2)}$ . We want  $\underline{u} \in \bigoplus_1^2 H^2(|H|^2 d\sigma_1 d\sigma_2)$  with  $F \underline{u} \equiv 1.$

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$$\text{Now } F \underline{x} = 1 \quad \text{with } \underline{x} \in \bigoplus_1^2 L^2(|H|^2 d\sigma_1 d\sigma_2)$$

$$\begin{aligned} \underline{x} &= \frac{F^*}{F F^*} - Q \underline{y}, \quad \underline{y} \in \bigoplus_1^2 L^2(|H|^2 d\sigma_1 d\sigma_2) \\ &= \frac{F^*}{F F^*} - Q \frac{\underline{z}}{H}, \quad \underline{z} \in \bigoplus_1^2 L^2(d\sigma_1 d\sigma_2) \end{aligned}$$

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We want  $\underline{z}$  so that

$$\left\langle \frac{F^*}{F F^*} - Q \frac{\underline{z}}{H}, w_\perp \right\rangle_{\sigma_1 \sigma_2} = 0 \quad \forall \quad w_\perp \perp \bigoplus_1^2 H^2(d\sigma_1 d\sigma_2)$$

We want  $C_0 < \infty$  such that

$$(*) \left| \left\langle \frac{F^*}{F F^*}, w_\perp \right\rangle_{\sigma_1 \sigma_2} \right| \stackrel{?}{\leq} C_0 \left\| \frac{Q^* w_\perp}{\overline{H}} \right\|_{2, \sigma_1 \sigma_2} \quad \forall w_\perp \perp \bigoplus_1^2 H^2(d\sigma_1 d\sigma_2)$$

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Define:  $\widetilde{W} = \widehat{W}_1^1 + \widehat{W}_2^2 - \widehat{\widehat{W}_1}_{\overline{w}}^1{}^2$ , where  $W_1 = \frac{Q^* F_z^*}{(F F^*)^2}$

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If  $H$  **outer** and  $H \in \mathcal{H}^{(2)} \Rightarrow H$  is **cyclic**.

But

$$\begin{aligned} (*) &= \sup_{0 < r < 1} \|P_{H^2}^\perp (H \widetilde{W}_r)\|_{2, \sigma_1 \sigma_2} \\ &= \sup_{0 < r < 1} \|\widetilde{H \widetilde{W}_r}\|_{2, \sigma_1 \sigma_2} \\ &\leq C_0 \|H\|_{2, \sigma_1 \sigma_2}. \end{aligned}$$

See also [Douglas-Sarkar](#).

Question 1    If  $H \in \mathcal{H}^{(2)}$ , does there exist  $\mathcal{O}$  **outer**  
in  $H^2(d\sigma_1 d\sigma_2)$  with  $|H| \leq |\mathcal{O}|$  a.e. on  $T^2$ ?

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For  $d = 1$  the answer is **YES**, so the corona theorem follows for  **$H^\infty(\mathbb{D})$** .

Question 2  $F = [f_{ij}], f_{ij} \in H^\infty(\mathbb{D})$

Suppose that

$$M_F \in B\left(\bigoplus_1^\infty A^2(\mathbb{D})\right) \text{ and } M_F M_F^* \geq \epsilon^2 I, \epsilon > 0$$

Is  $T_F T_F^*$  invertible?

$$\text{Here } A^2(\mathbb{D}) = \left\{ f \in \text{Hol}(\mathbb{D}) : \int_{\mathbb{D}} |f(z)|^2 dm_2(z) < \infty \right\}$$

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(Note:  $\epsilon^2 I \leq F(z)F(z)^* \leq C_0 I \quad \forall z \in \mathbb{D}$  Treil counterexample)

$$F(z) = [f_{ij}(z)] \quad f_{ij} \in H^\infty(\mathbb{D})$$

Assume that

$$\epsilon^2 I \leq F(z)F(z)^* \leq I \quad \forall z \in \mathbb{D}$$

(Nagy) Does there exist  $G(z) = [g_{ij}(z)] \quad g_{ij} \in H^\infty(\mathbb{D})$

$$\text{s.t.} \quad F(z)G(z) \equiv I \quad z \in \mathbb{D}$$

$$\text{and} \quad \sup_{z \in \mathbb{D}} \|G(z)\|_{B(l^2)} < \infty?$$

Treil - No!

Thus, if the answer to Question 2 is “yes”,  
then the corona theorem holds for  $H^\infty(\mathbb{D}^2)$

Question 2 is “no”,

then there is a stronger counterexample than Treil's,  
since in Question 2, we assume that  $F$  also satisfies

$$M_F \in B\left(\bigoplus_1^\infty A^2(\mathbb{D})\right)$$

and ask the Nagy question.