# Toeplitz Operators and Hankel Forms on Model Spaces

Richard Rochberg Washington University St, Louis MO, USA

Fields Institute 22 June, 2012

• I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.
- Outline

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.
- Outline
  - Classical Background, Toeplitz operators and Hankel forms.

2 / 28

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.
- Outline
  - Classical Background, Toeplitz operators and Hankel forms.
  - Model spaces and conjugation operators, definitions and examples.

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.

- Olassical Background, Toeplitz operators and Hankel forms.
- Model spaces and conjugation operators, definitions and examples.
- Truncated Toeplitz Operators (TTOs), Truncated Hankel Forms (THFs), and their equivalence.

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.

- Olassical Background, Toeplitz operators and Hankel forms.
- Model spaces and conjugation operators, definitions and examples.
- Truncated Toeplitz Operators (TTOs), Truncated Hankel Forms (THFs), and their equivalence.
- Results about bounded operators, bounded forms, and bounded symbols.

- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.

- Olassical Background, Toeplitz operators and Hankel forms.
- Model spaces and conjugation operators, definitions and examples.
- Truncated Toeplitz Operators (TTOs), Truncated Hankel Forms (THFs), and their equivalence.
- Results about bounded operators, bounded forms, and bounded symbols.
- Sesults and questions about Schatten classes.



- I'm going to talk about Toeplitz operators on model spaces. This is a developing area that I think is very interesting.
- I will introduce Hankel forms on model spaces. They haven't been studied in this context. Their theory is almost the same as that of the Toeplitz operators and so they bring an interesting alternative perspective.

- Olassical Background, Toeplitz operators and Hankel forms.
- Model spaces and conjugation operators, definitions and examples.
- Truncated Toeplitz Operators (TTOs), Truncated Hankel Forms (THFs), and their equivalence.
- Results about bounded operators, bounded forms, and bounded symbols.
- Sesults and questions about Schatten classes.
- General questions.



• Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.

- Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.
- Inner function,  $\Theta$ .  $\Theta = B_Z S_\mu$

- Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.
- Inner function,  $\Theta$ .  $\Theta = B_Z S_\mu$
- Model space;  $K = K_{\Theta} = H_{\Theta}^2 = H^2 \ominus \Theta H^2$ ,  $P_K$  is the projection if  $L^2$  onto K.

- Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.
- Inner function,  $\Theta$ .  $\Theta = B_Z S_\mu$
- Model space;  $K = K_{\Theta} = H_{\Theta}^2 = H^2 \ominus \Theta H^2$ ,  $P_K$  is the projection if  $L^2$  onto K.
- K is an RKHS. For all  $\zeta$  in the disk and some on the boundary, evaluation at  $\zeta$  is bounded. Denote the kernel function by  $k_{\zeta}$ .

- Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.
- Inner function,  $\Theta$ .  $\Theta = B_Z S_\mu$
- Model space;  $K = K_{\Theta} = H_{\Theta}^2 = H^2 \ominus \Theta H^2$ ,  $P_K$  is the projection if  $L^2$  onto K.
- K is an RKHS. For all  $\zeta$  in the disk and some on the boundary, evaluation at  $\zeta$  is bounded. Denote the kernel function by  $k_{\zeta}$ .

•

$$k_{\zeta}(z) = rac{1 - \overline{\Theta(\zeta)}\Theta(z)}{1 - \overline{\zeta}z}.$$

- Function theory on  $\mathbb D$  and  $\mathbb T$ ;  $L^2$ ,  $H^2$ , P.
- Inner function,  $\Theta$ .  $\Theta = B_Z S_\mu$
- Model space;  $K = K_{\Theta} = H_{\Theta}^2 = H^2 \ominus \Theta H^2$ ,  $P_K$  is the projection if  $L^2$  onto K.
- K is an RKHS. For all  $\zeta$  in the disk and some on the boundary, evaluation at  $\zeta$  is bounded. Denote the kernel function by  $k_{\zeta}$ .

•

$$k_{\zeta}(z) = rac{1 - \overline{\Theta(\zeta)}\Theta(z)}{1 - \overline{\zeta}z}.$$

•  $H^1_{\Theta} =$  the  $H^1$  closure of  $H^2_{\Theta} =$  the  $H^1$  closure of K.

## Classical Background; Toeplitz Operators

• Given  $\phi$ , a symbol function, the Toeplitz operator  $T_{\phi}$  is the map of  $H^2$  to itself given by

$$f \rightarrow T_{\phi}f = P\phi f$$
.

## Classical Background; Toeplitz Operators

• Given  $\phi$ , a symbol function, the Toeplitz operator  $T_{\phi}$  is the map of  $H^2$  to itself given by

$$f \rightarrow T_{\phi}f = P\phi f$$
.

 The operator and symbol determine each other. The operator is bounded if and only if the symbol is bounded; in fact

$$\left\| \mathcal{T}_{\phi} 
ight\|_{ ext{operator}} = \left\| \phi 
ight\|_{\infty}.$$

# Classical Background; Toeplitz Operators

• Given  $\phi$ , a symbol function, the Toeplitz operator  $T_{\phi}$  is the map of  $H^2$  to itself given by

$$f \rightarrow T_{\phi}f = P\phi f$$
.

 The operator and symbol determine each other. The operator is bounded if and only if the symbol is bounded; in fact

$$\left\|T_{\phi}
ight\|_{ ext{operator}} = \left\|\phi
ight\|_{\infty}$$
 .

 One can then study the relationship between the operator and the symbol.

$$B(f,g) = B_b(f,g) = \langle fg,b \rangle_{L^2}$$
.

• A Hankel form, B, is a bilinear form on  $H^2$  whose value only depends on the product of its arguments. Thus, it is given by a symbol function b through

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

 The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

- The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.
  - By the Cauchy-Schwarz inequality, if there is a bounded symbol the form is bounded.

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

- The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.
  - By the Cauchy-Schwarz inequality, if there is a bounded symbol the form is bounded.
  - By the Hahn-Banach theorem a bounded form has a bounded symbol.

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

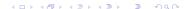
- The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.
  - By the Cauchy-Schwarz inequality, if there is a bounded symbol the form is bounded.
  - By the Hahn-Banach theorem a bounded form has a bounded symbol.
  - What about the "natural" symbol  $b_+ = P(b)$ ?  $b_+$  is the unique holomorphic symbol giving the Hankel form  $B_b$ .

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

- The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.
  - By the Cauchy-Schwarz inequality, if there is a bounded symbol the form is bounded.
  - By the Hahn-Banach theorem a bounded form has a bounded symbol.
  - What about the "natural" symbol  $b_+ = P(b)$ ?  $b_+$  is the unique holomorphic symbol giving the Hankel form  $B_b$ .
  - ullet Having  $b_+$  bounded is not necessary for the form to be bounded.

$$B(f,g) = B_b(f,g) = \langle fg, b \rangle_{L^2}$$
.

- The symbol is not unique and so one asks different questions. For instance: What can be said about the symbol if the form is bounded.
  - By the Cauchy-Schwarz inequality, if there is a bounded symbol the form is bounded.
  - By the Hahn-Banach theorem a bounded form has a bounded symbol.
  - What about the "natural" symbol  $b_+ = P(b)$ ?  $b_+$  is the unique holomorphic symbol giving the Hankel form  $B_b$ .
  - Having  $b_+$  bounded is not necessary for the form to be bounded.
  - What is the condition?



• In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:
  - ① Define the weakly factored space  $H^2 \odot H^2$  by

$$H^2 \odot H^2 = \left\{ f = \sum g_i h_i : g_i, h_i \in H^2, \sum \|g_i\|_{H^2} \|h_i\|_{H^2} < \infty \right\}.$$

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:
  - ① Define the weakly factored space  $H^2 \odot H^2$  by

$$H^2 \odot H^2 = \left\{ f = \sum g_i h_i : g_i, h_i \in H^2, \sum \|g_i\|_{H^2} \|h_i\|_{H^2} < \infty \right\}.$$

② Using functional analysis  $H_{b_+}$  is bounded if and only if  $b_+ \in \left(H^2 \odot H^2\right)^*$ .

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:
  - ① Define the weakly factored space  $H^2 \odot H^2$  by

$$H^2 \odot H^2 = \left\{ f = \sum g_i h_i : g_i, h_i \in H^2, \sum \|g_i\|_{H^2} \|h_i\|_{H^2} < \infty \right\}.$$

- ② Using functional analysis  $H_{b_+}$  is bounded if and only if  $b_+ \in (H^2 \odot H^2)^*$ .
- **3** Using the inner-outer factorization,  $H^2 \odot H^2 = H^1$ . (In fact,....)

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:
  - ① Define the weakly factored space  $H^2 \odot H^2$  by

$$H^2 \odot H^2 = \left\{ f = \sum g_i h_i : g_i, h_i \in H^2, \sum \|g_i\|_{H^2} \|h_i\|_{H^2} < \infty \right\}.$$

- ② Using functional analysis  $H_{b_+}$  is bounded if and only if  $b_+ \in (H^2 \odot H^2)^*$ .
- **1** Using the inner-outer factorization,  $H^2 \odot H^2 = H^1$ . (In fact,....)
- **4** Fefferman's theorem:  $(H^1)^* = BMOA$ .

- In modern language the necessary and sufficient condition for  $B_{b_+}$  to be bounded is  $b_+ \in BMOA$ .
- However that is an amalgamation of several things:
  - ① Define the weakly factored space  $H^2 \odot H^2$  by

$$H^2 \odot H^2 = \left\{ f = \sum g_i h_i : g_i, h_i \in H^2, \sum \|g_i\|_{H^2} \|h_i\|_{H^2} < \infty \right\}.$$

- ② Using functional analysis  $H_{b_+}$  is bounded if and only if  $b_+ \in (H^2 \odot H^2)^*$ .
- **3** Using the inner-outer factorization,  $H^2 \odot H^2 = H^1$ . (In fact,....)
- Fefferman's theorem:  $(H^1)^* = BMOA$ .
- We will see echoes of these statements later.



# Model Spaces: Definitions and Examples

• 
$$K = H^2 \ominus \Theta H^2$$
.

# Model Spaces: Definitions and Examples

- $K = H^2 \ominus \Theta H^2$ .
- $\bullet$  Here are some specific choices of  $\Theta$  :

# Model Spaces: Definitions and Examples

- $K = H^2 \ominus \Theta H^2$ .
- ullet Here are some specific choices of  $\Theta$  :
  - $\Theta = z^{n+1} : K = \mathcal{P}_n$ , polynomials of degree at most n.

# Model Spaces: Definitions and Examples

- $K = H^2 \ominus \Theta H^2$ .
- ullet Here are some specific choices of  $\Theta$  :
  - $\Theta = z^{n+1} : K = \mathcal{P}_n$ , polynomials of degree at most n.
  - $\Theta=$  an interpolating Blaschke product, K is naturally equivalent to a weighted  $\ell^2$  space on the interpolating sequence.

# Model Spaces: Definitions and Examples

- $K = H^2 \ominus \Theta H^2$ .
- ullet Here are some specific choices of  $\Theta$  :
  - $\Theta = z^{n+1} : K = \mathcal{P}_n$ , polynomials of degree at most n.
  - $\Theta=$  an interpolating Blaschke product, K is naturally equivalent to a weighted  $\ell^2$  space on the interpolating sequence.
  - $\Theta = \Theta_{2\alpha}$  the singular inner function generated by a point mass  $2\alpha\delta_1$ . The RKHS K is equivalent to the RKHS  $PW_{\alpha}$ , the Paley-Wiener space, the subspace of  $L^2\left(\mathbb{R}\right)$  consisting of functions with Fourier transform supported on  $[-\alpha,\alpha]$ .

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}$$
.

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}$$
.

$$(\mathcal{C}f)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}.$$

ullet This is a statement about boundary values. It is  $\underline{not}$  true that for  $\zeta\in\mathbb{D}$ 

$$(Cf)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

ullet C is "anti-unitary"; it is a conjugate linear involutive isometry of K.

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}.$$

$$(Cf)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

- ullet C is "anti-unitary"; it is a conjugate linear involutive isometry of K.
- ullet Operators A which satisfy  $\mathcal{C}A\mathcal{C}=A^*$  are called  $\emph{symmetric}$

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}.$$

$$(Cf)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

- ullet C is "anti-unitary"; it is a conjugate linear involutive isometry of K.
- ullet Operators A which satisfy  $\mathcal{C}A\mathcal{C}=A^*$  are called *symmetric*
- ullet We use the same symbol and formula to define  $\mathcal{C}f$  for any f on the boundary

ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}$$
.

$$(Cf)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

- ullet C is "anti-unitary"; it is a conjugate linear involutive isometry of K.
- ullet Operators A which satisfy  $\mathcal{C}A\mathcal{C}=A^*$  are called *symmetric*
- ullet We use the same symbol and formula to define  $\mathcal{C}f$  for any f on the boundary
- $oldsymbol{\Theta} = \mathbf{z}^{n+1} : \mathcal{C}\left(\sum_{0}^{n} \mathbf{a}_{k} \mathbf{z}^{k}
  ight) = \sum_{0}^{n} ar{\mathbf{a}}_{k} \mathbf{z}^{n-k}$



ullet Each  $\mathcal{K}_{\Theta}$  has a conjugation operator,  $\mathcal{C}=\mathcal{C}_{\Theta}$ , defined by

$$Cf = \bar{z}\Theta\bar{f}$$
.

$$(Cf)(\zeta) = \overline{\zeta} \cdot \Theta(\zeta) \cdot \overline{f(\zeta)}.$$

- ullet C is "anti-unitary"; it is a conjugate linear involutive isometry of K.
- Operators A which satisfy  $\mathcal{C}A\mathcal{C}=A^*$  are called *symmetric*
- ullet We use the same symbol and formula to define  $\mathcal{C}f$  for any f on the boundary
- $\Theta = z^{n+1} : \mathcal{C}\left(\sum_{0}^{n} a_k z^k\right) = \sum_{0}^{n} \bar{a}_k z^{n-k}$
- $\Theta = \Theta_{2\alpha}$ : When transported to PW the conjugation becomes  $e^{az} \to e^{-\overline{az}}$ ; i.e.  $\widehat{Cf}(\xi) = \overline{\widehat{f}(-\xi)}$ .



ullet The examples we gave of  $\Theta$ 's are very misleading. They look away from the fact that general inner functions are very complicated.

- The examples we gave of  $\Theta$ 's are very misleading. They look away from the fact that general inner functions are very complicated.
- A class of inner functions which are very well behaved for our purposes are the single component inner functions.

- The examples we gave of  $\Theta$ 's are very misleading. They look away from the fact that general inner functions are very complicated.
- A class of inner functions which are very well behaved for our purposes are the single component inner functions.
- An inner function  $\Theta$  is said to be a single component inner functions if, for some  $\varepsilon$ ,  $0<\varepsilon<1$

$$\{z: |\Theta(z)| < \varepsilon\}$$
 is connected.

(Also called connected level set inner functions, CLS inner functions.)

- The examples we gave of  $\Theta$ 's are very misleading. They look away from the fact that general inner functions are very complicated.
- A class of inner functions which are very well behaved for our purposes are the single component inner functions.
- An inner function  $\Theta$  is said to be a single component inner functions if, for some  $\varepsilon$ ,  $0<\varepsilon<1$

$$\{z: |\Theta(z)| < \varepsilon\}$$
 is connected.

(Also called connected level set inner functions, CLS inner functions.)

•  $\Theta_{2\alpha}$  is an example.

- The examples we gave of  $\Theta$ 's are very misleading. They look away from the fact that general inner functions are very complicated.
- A class of inner functions which are very well behaved for our purposes are the single component inner functions.
- An inner function  $\Theta$  is said to be a single component inner functions if, for some  $\varepsilon$ ,  $0<\varepsilon<1$

$$\{z: |\Theta(z)| < \varepsilon\}$$
 is connected.

(Also called connected level set inner functions, CLS inner functions.)

- $\Theta_{2\alpha}$  is an example.
- For these inner functions the Carleson measure theory for  $K_{\Theta}$  is relatively well understood.

• Fix  $\Theta$  and  $K_{\Theta}$ . Given a symbol function  $\phi$  on the circle, the TTO  $A_{\phi}$  is the linear map of  $K_{\Theta}$  to itself given by  $A_{\phi}f = P_{K}\phi f$ 

- Fix  $\Theta$  and  $K_{\Theta}$ . Given a symbol function  $\phi$  on the circle, the TTO  $A_{\phi}$  is the linear map of  $K_{\Theta}$  to itself given by  $A_{\phi}f = P_{K}\phi f$
- The symbols of such operators are <u>not</u> unique!

- Fix  $\Theta$  and  $K_{\Theta}$ . Given a symbol function  $\phi$  on the circle, the TTO  $A_{\phi}$  is the linear map of  $K_{\Theta}$  to itself given by  $A_{\phi}f = P_{K}\phi f$
- The symbols of such operators are <u>not</u> unique!
- ullet TTOs are symmetric operators:  $\mathcal{C}A_{\phi}\mathcal{C}=A_{\phi}^*=A_{ar{\phi}}.$

- Fix  $\Theta$  and  $K_{\Theta}$ . Given a symbol function  $\phi$  on the circle, the TTO  $A_{\phi}$  is the linear map of  $K_{\Theta}$  to itself given by  $A_{\phi}f = P_{K}\phi f$
- The symbols of such operators are <u>not</u> unique!
- ullet TTOs are symmetric operators:  ${\cal C} A_\phi {\cal C} = A_\phi^* = A_{ar{\phi}}.$
- ullet They are exactly the operators A such that, if f, g, zf,  $zg \in K$  then

$$\langle Azf, zg \rangle = \langle Af, g \rangle$$
.

- Fix  $\Theta$  and  $K_{\Theta}$ . Given a symbol function  $\phi$  on the circle, the TTO  $A_{\phi}$  is the linear map of  $K_{\Theta}$  to itself given by  $A_{\phi}f = P_{K}\phi f$
- The symbols of such operators are not unique!
- ullet TTOs are symmetric operators:  ${\cal C} A_\phi {\cal C} = A_\phi^* = A_{ar{\phi}}.$
- They are exactly the operators A such that, if  $f, g, zf, zg \in K$  then

$$\langle Azf, zg \rangle = \langle Af, g \rangle$$
.

• Special cases of TTO's were studied in the '80's by Bercovici, Foias, Tannenbaum and by RR. However the systematic study of this class began with a 2007 paper of Sarason.

# TTOs Examples

The operators

$$k_{\zeta} \otimes \mathcal{C} k_{\zeta}$$
 $(k_{\zeta} \otimes \mathcal{C} k_{\zeta})^* = \mathcal{C} k_{\zeta} \otimes k_{\zeta}$ 

are rank one TTOs. Sarason has shown that these are essentially the only such.

# TTOs Examples

The operators

$$k_{\zeta} \otimes \mathcal{C} k_{\zeta} \ \left(k_{\zeta} \otimes \mathcal{C} k_{\zeta}\right)^{*} = \mathcal{C} k_{\zeta} \otimes k_{\zeta}$$

are rank one TTOs. Sarason has shown that these are essentially the only such.

• If  $\Theta = z^{n+1}$  the matrix of a TTO with respect to the monomial basis is the upper left square section of the Toeplitz matrix with symbol  $\phi$ .

## TTOs Examples

The operators

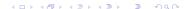
$$k_{\zeta} \otimes \mathcal{C} k_{\zeta}$$

$$(k_{\zeta} \otimes \mathcal{C} k_{\zeta})^{*} = \mathcal{C} k_{\zeta} \otimes k_{\zeta}$$

are rank one TTOs. Sarason has shown that these are essentially the only such.

- If  $\Theta = z^{n+1}$  the matrix of a TTO with respect to the monomial basis is the upper left square section of the Toeplitz matrix with symbol  $\phi$ .
- If  $\Theta = \Theta_{2\alpha}$  and  $A_{\phi}$  is carried to the Paley Wiener space we obtain (on the Fourier transform side) a Wiener-Hopf convolution operator with symbol  $\hat{\phi}$ , truncated to an interval; roughly

$$\widehat{\mathcal{T}f}(s) = \int \chi_{[-lpha,lpha]}(s)\widehat{f}(t)\widehat{\phi}(s-t)dt.$$



#### THFs Definition

• A Hankel form on  $K_{\Theta}$ , a truncated Hankel form, THF, is a bilinear form B on  $K_{\Theta} \times K_{\Theta}$  which depends only on the product of its arguments; B(f,g) = L(fg) for a linear functional L.

#### THFs Definition

- A Hankel form on  $K_{\Theta}$ , a truncated Hankel form, THF, is a bilinear form B on  $K_{\Theta} \times K_{\Theta}$  which depends only on the product of its arguments; B(f,g) = L(fg) for a linear functional L.
- There is a symbol function b which realizes the functional L:

$$B(f,g) = B_b(f,g) = \langle fg,b \rangle$$
.

#### THFs Definition

- A Hankel form on  $K_{\Theta}$ , a truncated Hankel form, THF, is a bilinear form B on  $K_{\Theta} \times K_{\Theta}$  which depends only on the product of its arguments; B(f,g) = L(fg) for a linear functional L.
- There is a symbol function b which realizes the functional L:

$$B(f,g) = B_b(f,g) = \langle fg,b \rangle$$
.

ullet These are exactly the forms B such that, if f, g, zf,  $zg \in K$  then

$$B(zf,g) = B(f,zg).$$

#### Equivalence of the Two Classes

The relation between the two classes is that

$$\langle A_{\phi}f, Cg \rangle = B_{C\phi}(f, g).$$

This follows directly from unpacking the definitions.

#### Equivalence of the Two Classes

The relation between the two classes is that

$$\langle A_{\phi}f, Cg \rangle = B_{C\phi}(f, g).$$

This follows directly from unpacking the definitions.

• This sets up an antilinear isometric bijection between the set of TTOs and the set of THFs. That is, if  $A_{\phi}$  is given then the equation defines,  $B_{\mathcal{C}\phi}$ ; similarly in the other direction.

#### Equivalence of the Two Classes

The relation between the two classes is that

$$\langle A_{\phi}f, Cg \rangle = B_{C\phi}(f, g).$$

This follows directly from unpacking the definitions.

- This sets up an antilinear isometric bijection between the set of TTOs and the set of THFs. That is, if  $A_{\phi}$  is given then the equation defines,  $B_{\mathcal{C}\phi}$ ; similarly in the other direction.
- A consequence of this bijection is that many questions and answers can be easily carried back and forth. For instance, questions about finite rank operators/forms, trace class, bounded symbols, etc.

## THFs, Examples

• For a kernel function  $k_{\zeta}$ , the forms

$$B_{k_{\zeta}}(f,g) = f(\zeta)g(\zeta) = \langle f, k_{\zeta} \rangle \langle g, k_{\zeta} \rangle, B_{C_{\Theta^{2}}k_{\zeta}}(f,g) = \overline{Cf(\zeta)Cg(\zeta)} = \langle f, Ck_{\zeta} \rangle \langle g, Ck_{\zeta} \rangle$$

are rank one THFs and are essentially the only ones. This can be shown directly or seen as a consequence of the bijection and the result for TTOs. The first type are analogous to classical Hankel forms; the second class has not classical analog.

## THFs, Examples

• For a kernel function  $k_{\zeta}$ , the forms

$$B_{k_{\zeta}}(f,g) = f(\zeta)g(\zeta) = \langle f, k_{\zeta} \rangle \langle g, k_{\zeta} \rangle, B_{C_{\Theta^{2}}k_{\zeta}}(f,g) = \overline{Cf(\zeta)Cg(\zeta)} = \langle f, Ck_{\zeta} \rangle \langle g, Ck_{\zeta} \rangle$$

are rank one THFs and are essentially the only ones. This can be shown directly or seen as a consequence of the bijection and the result for TTOs. The first type are analogous to classical Hankel forms; the second class has not classical analog.

• If  $\Theta = z^{n+1}$  the matrix of a THF with respect to the monomial basis is the upper left square section of the Hankel matrix with symbol  $\phi$ .

#### THFs, Examples

ullet For a kernel function  $k_{\zeta}$ , the forms

$$\begin{array}{lcl} B_{k_{\zeta}}(f,g) & = & f(\zeta)g(\zeta) = \left\langle f,k_{\zeta}\right\rangle \left\langle g,k_{\zeta}\right\rangle, \\ B_{\mathcal{C}_{\Theta^{2}}k_{\zeta}}(f,g) & = & \overline{\mathcal{C}f(\zeta)\mathcal{C}g(\zeta)} = \left\langle f,\mathcal{C}k_{\zeta}\right\rangle \left\langle g,\mathcal{C}k_{\zeta}\right\rangle \end{array}$$

are rank one THFs and are essentially the only ones. This can be shown directly or seen as a consequence of the bijection and the result for TTOs. The first type are analogous to classical Hankel forms; the second class has not classical analog.

- If  $\Theta = z^{n+1}$  the matrix of a THF with respect to the monomial basis is the upper left square section of the Hankel matrix with symbol  $\phi$ .
- If  $\Theta=\Theta_{2\alpha}$  then  $B_\phi$  is carried to a bilinear form on the Paley Wiener space of "truncated Hankel" type

$$B(f,g) = \int \int \hat{f}(s)\hat{g}(t)\hat{\phi}(s+t)dsdt.$$

• Sarason asked if every bounded TTO has a bounded symbol.

- Sarason asked if every bounded TTO has a bounded symbol.
- Because of the equivalence noted earlier, the questions for TTOs and THFs are equivalent.

- Sarason asked if every bounded TTO has a bounded symbol.
- Because of the equivalence noted earlier, the questions for TTOs and THFs are equivalent.
- The case of finite dimensional K is trivial but only if one doesn't ask for estimates.

- Sarason asked if every bounded TTO has a bounded symbol.
- Because of the equivalence noted earlier, the questions for TTOs and THFs are equivalent.
- The case of finite dimensional K is trivial but only if one doesn't ask for estimates.
- Symbols of classical Toeplitz's and Hankel's restrict to TTOs and THFs with norms that are no larger.

- Sarason asked if every bounded TTO has a bounded symbol.
- Because of the equivalence noted earlier, the questions for TTOs and THFs are equivalent.
- The case of finite dimensional K is trivial but only if one doesn't ask for estimates.
- Symbols of classical Toeplitz's and Hankel's restrict to TTOs and THFs with norms that are no larger.
- In particular, if the TTO or THF has a bounded symbol it is bounded.

### Theorem (Sarason 1967)

Given K,  $\Theta$ ,  $\alpha$  with  $|\alpha| < 1$ , and  $\phi \in \text{Hol}(\mathbb{D})$ .

**1** The TTO  $A_{\phi}$  is bounded iff  $\exists \ \psi \in H^{\infty}$  such that

$$A_\phi = A_\psi, \ \left\| A_\phi 
ight\|_{ ext{operator}} = \left\| \psi 
ight\|_{\infty}.$$

#### Theorem (Sarason 1967)

Given K,  $\Theta$ ,  $\alpha$  with  $|\alpha| < 1$ , and  $\phi \in \text{Hol}(\mathbb{D})$ .

**1** The TTO  $A_{\phi}$  is bounded iff  $\exists \ \psi \in H^{\infty}$  such that

$$A_\phi = A_\psi, \ \left\|A_\phi
ight\|_{ ext{operator}} = \left\|\psi
ight\|_\infty.$$

$$A_{\phi(1+lphaar{\Theta})} = A_{\psi(1+lphaar{\Theta})}, \ \left\|A_{\phi(1+lphaar{\Theta})}
ight\|_{ ext{operator}} = \|\psi\|_{\infty}\,.$$

#### Theorem (Sarason 1967)

Given K,  $\Theta$ ,  $\alpha$  with  $|\alpha| < 1$ , and  $\phi \in \text{Hol}(\mathbb{D})$ .

**1** The TTO  $A_\phi$  is bounded iff  $\exists \ \psi \in H^\infty$  such that

$$A_\phi = A_\psi, \ \left\|A_\phi
ight\|_{ ext{operator}} = \left\|\psi
ight\|_\infty.$$

 $m{Q} \ A_{\phi(1+\alphaar{\Theta})}, \ \phi \in H^{\infty} \ is bounded iff \ \exists \ \psi \in H^{\infty} \ such that$ 

$$A_{\phi(1+lphaar{\Theta})} = A_{\psi(1+lphaar{\Theta})}, \ \left\|A_{\phi(1+lphaar{\Theta})}
ight\|_{ ext{operator}} = \|\psi\|_{\infty}\,.$$

And corresponding statements for the adjoints.

### Theorem (Sarason 1967)

Given K,  $\Theta$ ,  $\alpha$  with  $|\alpha| < 1$ , and  $\phi \in \text{Hol}(\mathbb{D})$ .

**1** The TTO  $A_{\phi}$  is bounded iff  $\exists \ \psi \in H^{\infty}$  such that

$$A_\phi = A_\psi, \ \left\| A_\phi 
ight\|_{ ext{operator}} = \left\| \psi 
ight\|_{\infty}.$$

②  $A_{\phi(1+\alpha\bar{\Theta})}$ ,  $\phi\in H^{\infty}$  is bounded iff  $\exists\;\psi\in H^{\infty}$  such that

$$A_{\phi(1+lphaar{\Theta})} = A_{\psi(1+lphaar{\Theta})}, \ \left\|A_{\phi(1+lphaar{\Theta})}
ight\|_{ ext{operator}} = \|\psi\|_{\infty}\,.$$

- And corresponding statements for the adjoints.
  - Proof: (1) Commutant lifting theorem; (2) unitary equivalence.

### Theorem (Sarason 1967)

Given K,  $\Theta$ ,  $\alpha$  with  $|\alpha| < 1$ , and  $\phi \in \text{Hol}(\mathbb{D})$ .

**1** The TTO  $A_{\phi}$  is bounded iff  $\exists \ \psi \in H^{\infty}$  such that

$$A_\phi = A_\psi, \ \left\| A_\phi 
ight\|_{ ext{operator}} = \left\| \psi 
ight\|_{\infty}.$$

$$A_{\phi(1+lphaar{\Theta})} = A_{\psi(1+lphaar{\Theta})}, \ \left\|A_{\phi(1+lphaar{\Theta})}
ight\|_{ ext{operator}} = \|\psi\|_{\infty}\,.$$

- And corresponding statements for the adjoints.
- Proof: (1) Commutant lifting theorem; (2) unitary equivalence.
- For each  $\alpha$  the set  $\left\{A_{\phi(1+\alpha\bar{\Theta})}, \phi \in H^{\infty}\right\}$  is a commutative subalgebra of  $\{\mathsf{TTO}\}$ . There is no classical analog of this phenomenon.

### Theorem (Baranov, Chalendar, Frican, Mashreghi, Timotin, 2009)

Suppose  $\Theta$  is given and the point evaluation at some  $\zeta \in \mathbb{T}$  is bounded on  $K_{\Theta}$ . If, for some p > 2,  $k_{\zeta} \notin L^p$  then the rank one TTO  $k_{\zeta} \otimes k_{\zeta}$  does not have a bounded symbol.

### Theorem (Baranov, Chalendar, Frican, Mashreghi, Timotin, 2009)

Suppose  $\Theta$  is given and the point evaluation at some  $\zeta \in \mathbb{T}$  is bounded on  $K_{\Theta}$ . If, for some p > 2,  $k_{\zeta} \notin L^p$  then the rank one TTO  $k_{\zeta} \otimes k_{\zeta}$  does not have a bounded symbol.

 For boundary points, the two types of rank one TTOs described earlier and the one in the theorem are scalar multipliers of each other.

### Theorem (Baranov, Chalendar, Frican, Mashreghi, Timotin, 2009)

Suppose  $\Theta$  is given and the point evaluation at some  $\zeta \in \mathbb{T}$  is bounded on  $K_{\Theta}$ . If, for some p > 2,  $k_{\zeta} \notin L^p$  then the rank one TTO  $k_{\zeta} \otimes k_{\zeta}$  does not have a bounded symbol.

- For boundary points, the two types of rank one TTOs described earlier and the one in the theorem are scalar multipliers of each other.
- It is automatic that  $k_{\zeta} \in L^2$ .

### Theorem (Baranov, Chalendar, Frican, Mashreghi, Timotin, 2009)

Suppose  $\Theta$  is given and the point evaluation at some  $\zeta \in \mathbb{T}$  is bounded on  $K_{\Theta}$ . If, for some p > 2,  $k_{\zeta} \notin L^p$  then the rank one TTO  $k_{\zeta} \otimes k_{\zeta}$  does not have a bounded symbol.

- For boundary points, the two types of rank one TTOs described earlier and the one in the theorem are scalar multipliers of each other.
- It is automatic that  $k_{\zeta} \in L^2$ .
- Given p > 2, classical results give straightforward recipes for building examples for which  $k_{\zeta} \notin L^p$ .

• Define  $H^2_\Theta \odot H^2_\Theta$  analogously to  $H^2 \odot H^2$ .

- Define  $H^2_{\Theta} \odot H^2_{\Theta}$  analogously to  $H^2 \odot H^2$ .
- For p=1, 2 let  $E_p(\Theta)$  be the class of positive measures  $\mu$  on  $\mathbb D$  for which a Carleson type embedding holds:

$$K_{\Theta}^{p} \hookrightarrow L^{p}(\mu)$$

- Define  $H^2_{\Theta} \odot H^2_{\Theta}$  analogously to  $H^2 \odot H^2$ .
- For p=1, 2 let  $E_p\left(\Theta\right)$  be the class of positive measures  $\mu$  on  $\mathbb D$  for which a Carleson type embedding holds:

$$K_{\Theta}^{p} \hookrightarrow L^{p}\left(\mu\right)$$

#### Theorem (Baranov, Bessonov, Kapustin, 2010)

- Define  $H^2_{\Theta} \odot H^2_{\Theta}$  analogously to  $H^2 \odot H^2$ .
- For p=1, 2 let  $E_p\left(\Theta\right)$  be the class of positive measures  $\mu$  on  $\mathbb D$  for which a Carleson type embedding holds:

$$K_{\Theta}^{p} \hookrightarrow L^{p}\left(\mu\right)$$

### Theorem (Baranov, Bessonov, Kapustin, 2010)

- Define  $H^2_{\Theta} \odot H^2_{\Theta}$  analogously to  $H^2 \odot H^2$ .
- For p=1, 2 let  $E_p\left(\Theta\right)$  be the class of positive measures  $\mu$  on  $\mathbb D$  for which a Carleson type embedding holds:

$$K_{\Theta}^{p} \hookrightarrow L^{p}\left(\mu\right)$$

### Theorem (Baranov, Bessonov, Kapustin, 2010)

- **2**  $E_1(\Theta^2) = E_2(\Theta^2)$ .

- Define  $H^2_{\Theta} \odot H^2_{\Theta}$  analogously to  $H^2 \odot H^2$ .
- For p=1, 2 let  $E_p\left(\Theta\right)$  be the class of positive measures  $\mu$  on  $\mathbb D$  for which a Carleson type embedding holds:

$$K_{\Theta}^{p} \hookrightarrow L^{p}\left(\mu\right)$$

### Theorem (Baranov, Bessonov, Kapustin, 2010)

- **3** Every bounded TTO on  $K_{\Theta}$  has a bounded symbol.



•  $H^2_{\Theta} \odot H^2_{\Theta} = H^1_{\Theta^2}$  plays the same role here as  $H^2 \odot H^2 = H^1$  in classical Hardy space theory.

Fields Institute 22 June, 2012

- $H^2_{\Theta} \odot H^2_{\Theta} = H^1_{\Theta^2}$  plays the same role here as  $H^2 \odot H^2 = H^1$  in classical Hardy space theory.
- Neither 1. nor 2. is easy to establish directly.

Fields Institute 22 June, 2012

- $H^2_{\Theta} \odot H^2_{\Theta} = H^1_{\Theta^2}$  plays the same role here as  $H^2 \odot H^2 = H^1$  in classical Hardy space theory.
- Neither 1. nor 2. is easy to establish directly.
- However

Fields Institute 22 June, 2012

- $H^2_{\Theta} \odot H^2_{\Theta} = H^1_{\Theta^2}$  plays the same role here as  $H^2 \odot H^2 = H^1$  in classical Hardy space theory.
- Neither 1. nor 2. is easy to establish directly.
- However

### Theorem (Aleksandrov, 1999)

If  $\Theta$  is a CLS inner function then  $E_1(\Theta^2) = E_2(\Theta^2)$ .

- $H^2_{\Theta} \odot H^2_{\Theta} = H^1_{\Theta^2}$  plays the same role here as  $H^2 \odot H^2 = H^1$  in classical Hardy space theory.
- Neither 1. nor 2. is easy to establish directly.
- However

### Theorem (Aleksandrov, 1999)

If  $\Theta$  is a CLS inner function then  $E_1(\Theta^2) = E_2(\Theta^2)$ .

• Some have speculated/conjectured the converse of that theorem holds; i.e., if every bounded TTO has a bounded symbol then  $\Theta$  is CLS.

If A is a TTO then

$$A=A_{lpha}+A_{eta}^{st}, \ \ lpha,eta\in K$$
 (split)

and the decomposition is (essentially) unique.

• If A is a TTO then

$$A = A_{\alpha} + A_{\beta}^*, \quad \alpha, \beta \in K$$
 (split)

and the decomposition is (essentially) unique.

• Recall that the Schatten ideals  $S_1$ ,  $S_2$  are the ideals of trace class and of Hilbert Schmidt operators respectively.

• If A is a TTO then

$$A = A_{\alpha} + A_{\beta}^*, \quad \alpha, \beta \in K$$
 (split)

and the decomposition is (essentially) unique.

- Recall that the Schatten ideals  $S_1$ ,  $S_2$  are the ideals of trace class and of Hilbert Schmidt operators respectively.
- There is a general theory of Schatten ideals  $S_p$ , 0 .

• If A is a TTO then

$$A = A_{\alpha} + A_{\beta}^*, \quad \alpha, \beta \in K$$
 (split)

and the decomposition is (essentially) unique.

- Recall that the Schatten ideals  $S_1$ ,  $S_2$  are the ideals of trace class and of Hilbert Schmidt operators respectively.
- There is a general theory of Schatten ideals  $S_p$ , 0 .
- It is a classical result (Peller, Rochberg, Semmes; 1980's) that a Hankel operator on the Hardy space is in  $S_p$  if and only if the holomorphic symbol is in the Besov smoothness class  $B_p$ .

ullet Still assuming  $\Theta$  is a *CLS* inner function.

- ullet Still assuming  $\Theta$  is a *CLS* inner function.
- Speculation:

- Still assuming  $\Theta$  is a *CLS* inner function.
- Speculation:
  - ① If  $A_{\alpha+\bar{\beta}}$ ,  $\alpha, \beta \in \mathrm{Hol}$  is bounded if and only if  $A_{\alpha}$  and  $A_{\bar{\beta}}$  are each bounded. In that case both  $\alpha$  and  $\beta$  can be chosen to be bounded.

- ullet Still assuming  $\Theta$  is a *CLS* inner function.
- Speculation:
  - If  $A_{\alpha+\bar{\beta}}$ ,  $\alpha, \beta \in \mathrm{Hol}$  is bounded if and only if  $A_{\alpha}$  and  $A_{\bar{\beta}}$  are each bounded. In that case both  $\alpha$  and  $\beta$  can be chosen to be bounded.
  - ②  $0 ; <math>A_{\alpha + \bar{\beta}}$ ,  $\alpha, \beta \in \text{Hol}$  is in  $\mathcal{S}_p$  if and only each of  $A_{\alpha}$  and  $A_{\bar{\beta}}$  is in  $\mathcal{S}_p$ . In that case both  $C\alpha$  and  $C\beta$  can be chosen to be in the Besov space  $\mathcal{B}_p$ .

• This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from  $\alpha$ ,  $\beta$  to  $C\alpha$ ,  $C\beta$ .

- This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from  $\alpha$ ,  $\beta$  to  $C\alpha$ ,  $C\beta$ .
- I can prove 1 and the easy parts of 2 (although perhaps that is the definition of "easy parts".)

- This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from  $\alpha$ ,  $\beta$  to  $C\alpha$ ,  $C\beta$ .
- I can prove 1 and the easy parts of 2 (although perhaps that is the definition of "easy parts".)
- ullet The part I can't prove is, in 2., that  $A_lpha$  and  $A_{areta}$  are individually in  $\mathcal{S}_p$

- This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from  $\alpha$ ,  $\beta$  to  $C\alpha$ ,  $C\beta$ .
- I can prove 1 and the easy parts of 2 (although perhaps that is the definition of "easy parts".)
- ullet The part I can't prove is, in 2., that  $A_lpha$  and  $A_{areta}$  are individually in  $\mathcal{S}_p$
- The BCMFT example shows that this implication fails without some hypothesis on  $\Theta$ .

- This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from  $\alpha$ ,  $\beta$  to  $C\alpha$ ,  $C\beta$ .
- I can prove 1 and the easy parts of 2 (although perhaps that is the definition of "easy parts".)
- ullet The part I can't prove is, in 2., that  $A_lpha$  and  $A_{areta}$  are individually in  $\mathcal{S}_p$
- The BCMFT example shows that this implication fails without some hypothesis on  $\Theta$ .
- The "Theorem" is correct for the Paley-Wiener space (RR '87) and that proof can be extended a bit using ideas in BCMFT.

# My Current Thoughts

• My first thought was that the part that I can't prove should be easy, certainly for p=2 and especially if  $\Theta$  is a finite Blaschke product.

# My Current Thoughts

- My first thought was that the part that I can't prove should be easy, certainly for p=2 and especially if  $\Theta$  is a finite Blaschke product.
- However even that case comes down to a Helson-Szego style question about the angle between past and future, but for the Sobolev space of order 1/2.

• We would like to know if there is an  $\varepsilon>0$  so that, given  $\alpha,\beta\in K$ .  $\beta(0)=0$ 

$$\left|\left\langle T_{\alpha}, T_{\beta}^{*} \right\rangle_{ ext{HILBERT SCHMIDT}}\right| \leq (1 - \varepsilon) \left\|T_{\alpha}\right\|_{ ext{HS}} \left\|T_{\beta}\right\|_{ ext{HS}}$$

• We would like to know if there is an  $\varepsilon>0$  so that, given  $\alpha,\beta\in K$ .  $\beta(0)=0$ 

$$\left|\left\langle \mathit{T}_{\alpha}, \mathit{T}_{\beta}^{*} \right\rangle_{\text{HILBERT SCHMIDT}}\right| \leq (1 - \varepsilon) \left\| \mathit{T}_{\alpha} \right\|_{\text{HS}} \left\| \mathit{T}_{\beta} \right\|_{\text{HS}}$$

• This can be recast algebraically in terms of the values of  $\alpha$ ,  $\beta$  at the zeros of  $\Theta$ ; or it can be recast function theoretically as follows:

• We would like to know if there is an  $\varepsilon>0$  so that, given  $\alpha,\beta\in K$ .  $\beta(0)=0$ 

$$\left|\left\langle \left. \mathcal{T}_{\alpha},\,\mathcal{T}_{\beta}^{*}\right\rangle _{\text{HILBERT SCHMIDT}}\right| \leq (1-\epsilon)\left\| \left. \mathcal{T}_{\alpha}\right\| _{\text{HS}}\left\| \left. \mathcal{T}_{\beta}\right\| _{\text{HS}}\right.$$

- This can be recast algebraically in terms of the values of  $\alpha$ ,  $\beta$  at the zeros of  $\Theta$ ; or it can be recast function theoretically as follows:
- Let  $\mathcal{D}$  be the classical Dirichlet space, is there an  $\varepsilon > 0$  so that

$$\sup\left\{\left|\frac{1}{2\pi}\int f\bar{\mathbf{g}}\ w\ d\phi\frac{\Theta'}{\Theta}d\mathbf{z}\right|: \mathit{Cf}\in (\mathcal{D})_1 \text{, } \mathit{Cg}\in \overline{(\mathcal{D}_0)_1}\right\} \leq 1-\varepsilon.$$

where w is the positive weight defined by

$$w d\phi = \frac{\Theta'}{\Theta} dz$$

• We would like to know if there is an  $\varepsilon > 0$  so that, given  $\alpha, \beta \in K$ .  $\beta(0) = 0$ 

$$\left|\left\langle \left. \mathcal{T}_{\alpha},\,\mathcal{T}_{\beta}^{*}\right\rangle _{\text{HILBERT SCHMIDT}}\right| \leq \left(1-\varepsilon\right)\left\|\left.\mathcal{T}_{\alpha}\right\|_{\text{HS}}\left\|\left.\mathcal{T}_{\beta}\right\|_{\text{HS}}$$

- This can be recast algebraically in terms of the values of  $\alpha$ ,  $\beta$  at the zeros of  $\Theta$ ; or it can be recast function theoretically as follows:
- ullet Let  ${\mathcal D}$  be the classical Dirichlet space, is there an arepsilon>0 so that

$$\sup\left\{\left|\frac{1}{2\pi}\int f\bar{\mathbf{g}}\ w\ d\phi\frac{\Theta'}{\Theta}d\mathbf{z}\right|: \mathit{Cf}\in (\mathcal{D})_1 \text{, } \mathit{Cg}\in \overline{(\mathcal{D}_0)_1}\right\} \leq 1-\varepsilon.$$

where w is the positive weight defined by

$$w d\phi = \frac{\Theta'}{\Theta} dz$$

• If, for example  $\Theta = z^n$  then the left hand side is zero.

Sarason's 2007 paper is titled "Algebraic Theory....". The main tools
in the paper are a mix of algebra and functional analysis. For instance
the characterization of the finite rank TTO's is obtained using those
tools. Many papers since then are in the same tradition.

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.
- As mentioned, TTOs are C-symmetric if  $CAC = A^*$ . A general theory of C-symmetric operators has been developing in recent years.

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.
- As mentioned, TTOs are C-symmetric if  $CAC = A^*$ . A general theory of C-symmetric operators has been developing in recent years.
- The spaces  $K_{\Theta}$  are the subspaces of  $H^2$  that are invariant under the adjoint of the classical shift operator. The function theory associated to them has been studied in detail since the '80's and a great deal is known.

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools
  in the paper are a mix of algebra and functional analysis. For instance
  the characterization of the finite rank TTO's is obtained using those
  tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.
- As mentioned, TTOs are C-symmetric if  $CAC = A^*$ . A general theory of C-symmetric operators has been developing in recent years.
- The spaces  $K_{\Theta}$  are the subspaces of  $H^2$  that are invariant under the adjoint of the classical shift operator. The function theory associated to them has been studied in detail since the '80's and a great deal is known.
- Classical theory of Hankel forms.

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.
- As mentioned, TTOs are C-symmetric if  $CAC = A^*$ . A general theory of C-symmetric operators has been developing in recent years.
- The spaces  $K_{\Theta}$  are the subspaces of  $H^2$  that are invariant under the adjoint of the classical shift operator. The function theory associated to them has been studied in detail since the '80's and a great deal is known.
- Classical theory of Hankel forms.
- Recent progress on truncated Toeplitz operators, Garcia and Ross, arXiv:1108.1858 is a nice survey.

# Specific Questions

 Many of the classical results about Toeplitz operators and/or Hankel forms suggest questions in this context. Here are two examples:

# Specific Questions

- Many of the classical results about Toeplitz operators and/or Hankel forms suggest questions in this context. Here are two examples:
  - ① What is the invertibility criterion for operators  $A_{\phi}$ ? The answer for Toeplitz operators is classical and is easy when  $\phi$  is continuous. For TTOs I don't know how to give a good answer even when  $\Theta$  is a finite Blaschke product.

# Specific Questions

- Many of the classical results about Toeplitz operators and/or Hankel forms suggest questions in this context. Here are two examples:
  - ① What is the invertibility criterion for operators  $A_{\phi}$ ? The answer for Toeplitz operators is classical and is easy when  $\phi$  is continuous. For TTOs I don't know how to give a good answer even when  $\Theta$  is a finite Blaschke product.
  - ② Are there analogs of the AAK results? For instance, is the best finite rank approximation to a TTO itself a TTO? If so, or if not, is there a good intrinsic description of the approximant. These questions are essentially equivalent to the analogous questions for THFs. A positive answer would resolve the open step in the "Theorem".

• Several interrelated broader questions.

- Several interrelated broader questions.
  - Describe the elements of  $E_2(\Theta)$ , the Carleson measures for  $K_{\Theta}$ .

- Several interrelated broader questions.
  - Describe the elements of  $E_2(\Theta)$ , the Carleson measures for  $K_{\Theta}$ .
  - Which TTO or, equivalently, THF have bounded symbols? What happens in the other case?

- Several interrelated broader questions.
  - Describe the elements of  $E_2(\Theta)$ , the Carleson measures for  $K_{\Theta}$ .
  - Which TTO or, equivalently, THF have bounded symbols? What happens in the other case?
  - Describe  $H^2_\Theta \odot H^2_\Theta$  and  $\left(H^2_\Theta \odot H^2_\Theta\right)^*$  .

- Several interrelated broader questions.
  - Describe the elements of  $E_2(\Theta)$ , the Carleson measures for  $K_{\Theta}$ .
  - Which TTO or, equivalently, THF have bounded symbols? What happens in the other case?
  - Describe  $H^2_{\Theta} \odot H^2_{\Theta}$  and  $\left(H^2_{\Theta} \odot H^2_{\Theta}\right)^*$ .
- These questions are related to other basic problems in harmonic analysis, for instance characterizing the weights for which there is a two-weight weighted norm inequality for the Hilbert transform.

- Several interrelated broader questions.
  - Describe the elements of  $E_2(\Theta)$ , the Carleson measures for  $K_{\Theta}$ .
  - Which TTO or, equivalently, THF have bounded symbols? What happens in the other case?
  - Describe  $H^2_{\Theta} \odot H^2_{\Theta}$  and  $\left(H^2_{\Theta} \odot H^2_{\Theta}\right)^*$ .
- These questions are related to other basic problems in harmonic analysis, for instance characterizing the weights for which there is a two-weight weighted norm inequality for the Hilbert transform.
- Research experience of recent years by a number of people suggests some of these and related questions are quite difficult.

# Thank You!