# Toeplitz Operators and Hankel Forms on Model Spaces 

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Fields Institute
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- $H_{\Theta}^{1}=$ the $H^{1}$ closure of $H_{\Theta}^{2}=$ the $H^{1}$ closure of $K$.


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- One can then study the relationship between the operator and the symbol.


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- What about the "natural" symbol $b_{+}=P(b)$ ? $b_{+}$is the unique holomorphic symbol giving the Hankel form $B_{b}$.
- Having $b_{+}$bounded is not necessary for the form to be bounded.
- What is the condition?


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- We will see echoes of these statements later.


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- $\Theta=$ an interpolating Blaschke product, $K$ is naturally equivalent to a weighted $\ell^{2}$ space on the interpolating sequence.
- $\Theta=\Theta_{2 \alpha}$ the singular inner function generated by a point mass $2 \alpha \delta_{1}$. The RKHS $K$ is equivalent to the RKHS $P W_{\alpha}$, the Paley-Wiener space, the subspace of $L^{2}(\mathbb{R})$ consisting of functions with Fourier transform supported on $[-\alpha, \alpha]$.


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- $\Theta=\Theta_{2 \alpha}$ : When transported to $P W$ the conjugation becomes $e^{a z} \rightarrow e^{-\overline{a z}}$; i.e. $\widehat{\mathcal{C} f}(\xi)=\overline{\hat{f}}(-\xi)$.


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- $\Theta_{2 \alpha}$ is an example.
- For these inner functions the Carleson measure theory for $K_{\Theta}$ is relatively well understood.


## TTOs, Definition

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- Special cases of TTO's were studied in the '80's by Bercovici, Foias, Tannenbaum and by RR. However the systematic study of this class began with a 2007 paper of Sarason.


## TTOs Examples

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- If $\Theta=z^{n+1}$ the matrix of a TTO with respect to the monomial basis is the upper left square section of the Toeplitz matrix with symbol $\phi$.
- If $\Theta=\Theta_{2 \alpha}$ and $A_{\phi}$ is carried to the Paley Wiener space we obtain (on the Fourier transform side) a Wiener-Hopf convolution operator with symbol $\hat{\phi}$, truncated to an interval; roughly

$$
\widehat{T f}(s)=\int \chi_{[-\alpha, \alpha]}(s) \hat{f}(t) \hat{\phi}(s-t) d t
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## THFs Definition

- A Hankel form on $K_{\Theta}$, a truncated Hankel form, THF, is a bilinear form $B$ on $K_{\Theta} \times K_{\Theta}$ which depends only on the product of its arguments; $B(f, g)=L(f g)$ for a linear functional $L$.


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- These are exactly the forms $B$ such that, if $f, g, z f, z g \in K$ then

$$
B(z f, g)=B(f, z g)
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## Equivalence of the Two Classes

- The relation between the two classes is that

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\left\langle A_{\phi} f, \mathcal{C} g\right\rangle=B_{\mathcal{C} \phi}(f, g)
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- This sets up an antilinear isometric bijection between the set of TTOs and the set of THFs. That is, if $A_{\phi}$ is given then the equation defines, $B_{\mathcal{C}} ;$ similarly in the other direction.
- A consequence of this bijection is that many questions and answers can be easily carried back and forth. For instance, questions about finite rank operators/forms, trace class, bounded symbols, etc.


## THFs, Examples

- For a kernel function $k_{\zeta}$, the forms

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\begin{aligned}
B_{k_{\zeta}}(f, g) & =f(\zeta) g(\zeta)=\left\langle f, k_{\zeta}\right\rangle\left\langle g, k_{\zeta}\right\rangle, \\
B_{\mathcal{C}_{2} k_{\zeta}}(f, g) & =\overline{\mathcal{C} f(\zeta) \mathcal{C} g(\zeta)}=\left\langle f, \mathcal{C} k_{\zeta}\right\rangle\left\langle g, \mathcal{C} k_{\zeta}\right\rangle
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- If $\Theta=z^{n+1}$ the matrix of a THF with respect to the monomial basis is the upper left square section of the Hankel matrix with symbol $\phi$.
- If $\Theta=\Theta_{2 \alpha}$ then $B_{\phi}$ is carried to a bilinear form on the Paley Wiener space of "truncated Hankel" type

$$
B(f, g)=\iint \hat{f}(s) \hat{g}(t) \hat{\phi}(s+t) d s d t
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## Bounded Symbols, Elementary Observations

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- Because of the equivalence noted earlier, the questions for TTOs and THFs are equivalent.
- The case of finite dimensional $K$ is trivial - but only if one doesn't ask for estimates.
- Symbols of classical Toeplitz's and Hankel's restrict to TTOs and THFs with norms that are no larger.
- In particular, if the TTO or THF has a bounded symbol it is bounded.


## The Question of Bounded Symbols

## Theorem (Sarason 1967)

Given $K, \Theta, \alpha$ with $|\alpha|<1$, and $\phi \in \operatorname{Hol}(\mathbb{D})$.
(1) The TTO $A_{\phi}$ is bounded iff $\exists \psi \in H^{\infty}$ such that

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- Proof: (1) Commutant lifting theorem; (2) unitary equivalence.
- For each $\alpha$ the set $\left\{A_{\phi(1+\alpha \bar{\Theta})}, \phi \in H^{\infty}\right\}$ is a commutative subalgebra of $\{T \mathrm{TO}\}$. There is no classical analog of this phenomenon.


## Bounded Symbols, A Negative Result

## Theorem (Baranov, Chalendar, Frican, Mashreghi, Timotin, 2009)

Suppose $\Theta$ is given and the point evaluation at some $\zeta \in \mathbb{T}$ is bounded on $K_{\Theta}$. If, for some $p>2, k_{\zeta} \notin L^{p}$ then the rank one $T T O k_{\zeta} \otimes k_{\zeta}$ does not have a bounded symbol.

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- For boundary points, the two types of rank one TTOs described earlier and the one in the theorem are scalar multipliers of each other.
- It is automatic that $k_{\zeta} \in L^{2}$.
- Given $p>2$, classical results give straightforward recipes for building examples for which $k_{\zeta} \notin L^{p}$.


## Bounded Symbols, CLS Inner Functions

- Define $H_{\Theta}^{2} \odot H_{\Theta}^{2}$ analogously to $H^{2} \odot H^{2}$.


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(3) Every bounded TTO on $K_{\Theta}$ has a bounded symbol.

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## Theorem (Aleksandrov, 1999)

If $\Theta$ is a $C L S$ inner function then $E_{1}\left(\Theta^{2}\right)=E_{2}\left(\Theta^{2}\right)$.

- Some have speculated/conjectured the converse of that theorem holds; i.e., if every bounded TTO has a bounded symbol then $\Theta$ is CLS.


## More Background

- If $A$ is a TTO then

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\begin{equation*}
A=A_{\alpha}+A_{\beta}^{*}, \quad \alpha, \beta \in K \tag{split}
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and the decomposition is (essentially) unique.

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- Recall that the Schatten ideals $\mathcal{S}_{1}, \mathcal{S}_{2}$ are the ideals of trace class and of Hilbert Schmidt operators respectively.
- There is a general theory of Schatten ideals $\mathcal{S}_{p}, 0<p<\infty$.
- It is a classical result (Peller, Rochberg, Semmes; 1980's) that a Hankel operator on the Hardy space is in $\mathcal{S}_{p}$ if and only if the holomorphic symbol is in the Besov smoothness class $\mathcal{B}_{p}$.


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(2) $0<p<\infty ; A_{\alpha+\bar{\beta}}, \alpha, \beta \in \mathrm{Hol}$ is in $\mathcal{S}_{p}$ if and only each of $A_{\alpha}$ and $A_{\bar{\beta}}$ is in $\mathcal{S}_{p}$. In that case both $C \alpha$ and $C \beta$ can be chosen to be in the Besov space $\mathcal{B}_{p}$.


## Discussion

- This is stated for Toeplitz operators; however the bits of proof I have use the Hankel viewpoint and associated technology. In the passage from the Toeplitz operators to the Hankel forms attention shifts from $\alpha, \beta$ to $C \alpha, C \beta$.


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- The part I can't prove is, in 2 ., that $A_{\alpha}$ and $A_{\bar{\beta}}$ are individually in $\mathcal{S}_{p}$
- The BCMFT example shows that this implication fails without some hypothesis on $\Theta$.
- The "Theorem" is correct for the Paley-Wiener space (RR '87) and that proof can be extended a bit using ideas in BCMFT.


## My Current Thoughts

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- However even that case comes down to a Helson-Szego style question about the angle between past and future, but for the Sobolev space of order $1 / 2$.
- We would like to know if there is an $\varepsilon>0$ so that, given $\alpha, \beta \in K$. $\beta(0)=0$

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- If, for example $\Theta=z^{n}$ then the left hand side is zero.


## Tools for Proofs of Results in This Area

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.


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- Classical theory of Hankel forms.


## Tools for Proofs of Results in This Area

- Sarason's 2007 paper is titled "Algebraic Theory....". The main tools in the paper are a mix of algebra and functional analysis. For instance the characterization of the finite rank TTO's is obtained using those tools. Many papers since then are in the same tradition.
- The commutant lifting theorem.
- As mentioned, TTOs are $C$-symmetric if $C A C=A^{*}$. A general theory of $C$-symmetric operators has been developing in recent years.
- The spaces $K_{\Theta}$ are the subspaces of $H^{2}$ that are invariant under the adjoint of the classical shift operator. The function theory associated to them has been studied in detail since the ' 80 's and a great deal is known.
- Classical theory of Hankel forms.
- Recent progress on truncated Toeplitz operators, Garcia and Ross, arXiv:1108.1858 is a nice survey.


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(2) Are there analogs of the AAK results? For instance, is the best finite rank approximation to a TTO itself a TTO? If so, or if not, is there a good intrinsic description of the approximant. These questions are essentially equivalent to the analogous questions for THFs. A positive answer would resolve the open step in the "Theorem".


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- These questions are related to other basic problems in harmonic analysis, for instance characterizing the weights for which there is a two-weight weighted norm inequality for the Hilbert transform.
- Research experience of recent years by a number of people suggests some of these and related questions are quite difficult.


## Thank You!

