On the Usefulness of Predicates

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(joint work with Johan Håstad)

Max 4-Lin

The Max 4-Lin problem:

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 x_1 = False x_2 = False x_3 = False x_4 = False x_5 = True

Hardness of Max 4-LIN

```
By [Hås01], it is NP-hard to find assignment \alpha satisfying 1/2 + \epsilon equations assuming there is some \alpha satisfying 1 - \epsilon equations.
```

NP-hard to do anything non-trivial!

Example 2: MAX GLST

$$GLST(x_1, x_2, x_3, x_4) =$$

$$\begin{cases} x_2 \oplus x_3 & \text{if } x_1 = \text{False} \\ x_2 \oplus x_4 & \text{if } x_1 = \text{True} \end{cases}$$

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Hardness of Max GLST

By [GLST'98], it is NP-hard to find assignment α satisfying $1/2 + \epsilon$ constraints assuming there is some α satisfying $1 - \epsilon$ constraints.

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Let us relax the conditions of the algorithm

- The algorithm chooses $Q: \{0,1\}^k \rightarrow [0,1]$
- Instead of maximizing

$$\sum P(\ldots)$$

seek to maximize

$$\sum Q(\ldots)$$

Notation

instance is pair (P, L) for

- *k*-ary predicate $P: \{0,1\}^k \to \{0,1\}$
- list of k-tuples of literals L

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- *k*-ary predicate $P: \{0,1\}^k \to \{0,1\}$
- list of k-tuples of literals L

optimum $\operatorname{Opt}(P, L) \in [0, 1]$ max fraction satisfied constraints

expectation $E_P = \mathbb{E}[P(x)]$ over uniform x

Usefulness

```
P: \{0,1\}^k \to \{0,1\} is useful for Q: \{0,1\}^k \to [0,1] if we can find assignment \alpha to (Q,L) with value E_Q + \epsilon assuming \operatorname{Opt}(P,L) \geq 1 - \epsilon.
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Usefulness

$$P: \{0,1\}^k \to \{0,1\}$$
 is *useful* for $Q: \{0,1\}^k \to [0,1]$ if we can find assignment α to (Q,L) with value $E_Q + \epsilon$ assuming $\operatorname{Opt}(P,L) \geq 1 - \epsilon$.

P is useless if it is not useful for any Q.

If you can't win, change the rules.

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Some analogues

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Balanced separators

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- Degree bounded spanning trees

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Typically *Q* would be relaxation of *P* or at least have something to do with *P*

E.g., "weak majority" instead of "strong majority"

But we're generous and allow any Q

$$GLST(x_1,x_2,x_3,x_4)=\left\{ egin{array}{ll} x_2\oplus x_3 & ext{if } x_1= ext{False} \ x_2\oplus x_4 & ext{if } x_1= ext{True} \end{array}
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(NAE = Not All Equal)

 $GLST(x_1, x_2, x_3, x_4)$ is useful for NAE (\cdot, x_2, x_3, x_4) .

What about Max 4-Lin?

1 = FALSE 2 = FALSE 3 = FALSE 4 = FALSE 5 = TRUE

 $x_6 = TRUE$

What about Max 4-Lin?

Max 4-Lin is useless

- no matter what objective we use we can't do anything useful

 adaptive or non-adaptive usefulness: does the algorithm choose Q before or after seeing L?

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 turns out to make very little difference

Per Austrin (UoT)

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 - will focus on computational
- more general classes of CSPs
 - more on that later

Quick Conclusions

Most approximation resistance results in fact show the stronger property of uselessness

• [Hås'01, ST'99, EH'08, ST'06, AM'09]

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- but clearly not [GLST'98]

In particular from [AM'09] follows that:

assuming UGC, *P* is useless if it supports a pairwise independent distribution.

(there exists pairwise independent distribution μ such that $Supp(\mu) \subseteq P^{-1}(1)$)

A dictatorship test

Given
$$f: \{0,1\}^n \to \{0,1\}$$

• Pick random $k \times n$ matrix X over $\{0, 1\}$

A dictatorship test

Given $f: \{0,1\}^n \to \{0,1\}$

• Pick random $k \times n$ matrix X over $\{0,1\}$, each column sampled from μ , independently

```
sample \mu
```

Given $f: \{0,1\}^n \to \{0,1\}$

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```
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```

```
0 1 ···
1 1 ··· sample
: : ··· μ
0 1 ···
```

Given $f: \{0,1\}^n \to \{0,1\}$

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0 1 ···

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: : ··  

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$\frac{1}{1} \cdots \cdots
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- Pick random $k \times n$ matrix X over $\{0, 1\}$, each column sampled from μ , independently
- **2** Let $a = (a_1, ..., a_k)$, where $a_i = f(X_i)$

0	1			0	$\longrightarrow f \longrightarrow a_1$
1	1			1	
:	:	·	٠	:	
0	1			0	

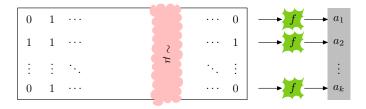
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0	1				0	a_1
1	1				1	$\longrightarrow f \longrightarrow a_2$
:	:	٠.	•.	٠.	:	
0					0	

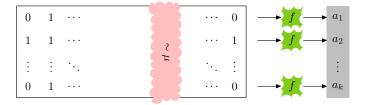
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- **2** Let $a = (a_1, ..., a_k)$, where $a_i = f(X_i)$
- \odot Output (a_1, \ldots, a_k)



Analysis: study the distribution η of (a_1, \ldots, a_k)



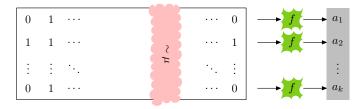
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Completeness If f is a dictator, then $\eta = \mu$ so $\mathbb{E}_{\eta}[P] = 1$ Soundness If f is far from dictator, then $\eta \approx$ uniform



Intuition behind soundness

 If f is far from dictator, can apply invariance principle [MOO05, Mos07]

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- If f is far from dictator, can apply invariance principle [MOO05, Mos07]
- Distribution of $(f(X_1), \ldots, f(X_k))$ does not change if μ replaced by distribution μ' with same first and second moments
- In particular can use $\mu' = uniform$

A Converse

Theorem

If P does not support a pairwise independent distribution there is a Q for which P is useful.

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Theorem

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Gives complete characterization, assuming UGC.

Proof Sketch

Fact

If P does not support pairwise independence then there is a quadratic function $Q: \{0,1\}^k \to [0,1]$ such that $Q(x) > E_Q$ for all $x \in P^{-1}(1)$.

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In particular there is $\epsilon > 0$ such that if $\operatorname{Opt}(P, L) \geq 1 - \epsilon$ then $\operatorname{Opt}(Q, L) \geq E_Q + \epsilon$

Q is *quadratic* so we can use *standard SDP techniques* to find assignment with value $E_Q + \epsilon'$

What if we don't have negations?
E.g., Max Cut, Max 4-Lin⁺

$$(x_1 \oplus x_2 \oplus x_3 \oplus x_4) \quad \wedge \quad (x_1 \oplus x_2 \oplus x_3 \oplus x_7) \quad \wedge$$

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Natural definition

$$E_Q^+ = \max_{p \in [0,1]} \mathbb{E}[Q(x)]$$

expectation under *p*-biased distribution

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Trivial to achieve value E_Q^+

Definition

A distribution μ over $\{0,1\}^k$ is *pairwise symmetric* if there is b, ρ such that $\mathbb{E}_{\mu}[x_i] = b$ for all i and $\mathbb{E}_{\mu}[x_ix_j] = \rho$ for all $i \neq j$.

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E.g., (possibly biased) pairwise independent distribution

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Theorem

Assuming the UGC, P without negations is useless if and only if P supports a positively pairwise symmetric distribution.

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Theorem

Assuming the UGC, P without negations is useless if and only if P supports a positively pairwise symmetric distribution.

Remark: *checkable in time* $2^{O(k)}$ by convex optimization.

Algorithm

Claim

If P does not support a positively pairwise symmetric distribution there is a quadratic Q such that $Q(x) > E_Q^+$ for all $x \in P^{-1}(1)$.

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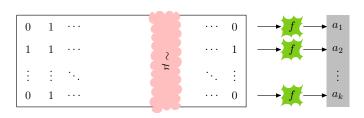
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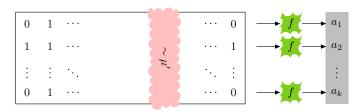
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Caveat: need to be careful about Q where E_Q^+ attained by p=0 or p=1.



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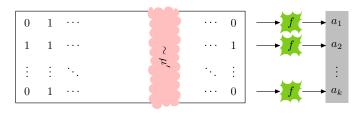
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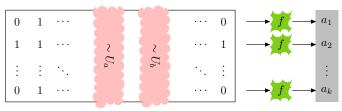
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- Can take $\mu' = \text{comb.}$ of two product distributions U_a and U_b
- Change each column to either U_a or U_b without losing value.



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Not quite clear what the proper definition is.

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What about a *general CSP?*

Not quite clear what the proper definition is.

- Replace all constraints by same Q
- 2 Replace all constraints of type P_1 by Q_1 , all constraints of type P_2 by Q_2 , etc
- Some compromise?

New natural relaxation of Max-CSPs

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Would be interesting to consider other settings

- Satisfiability
- Robust satisfiability

Thank you!