
Laser Plasma interactions: Zakharov's System and solitary waves

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Wave Breaking and Global Solutions in the Short-Pulse Dispersive Equations

OUTLINE

1. Motivations
2. Three waves interaction model.
3. The Cauchy problem.
4. The solitary waves.

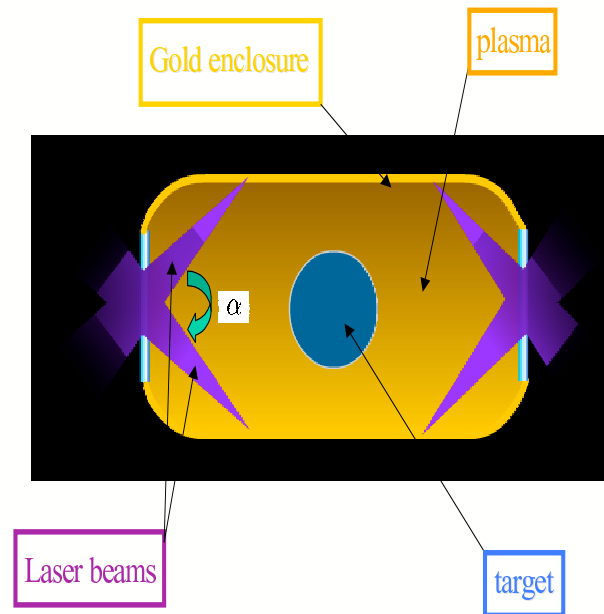
1. Motivations

Simulate fusion by inertial confinement in laboratory

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I. Setting of the problem

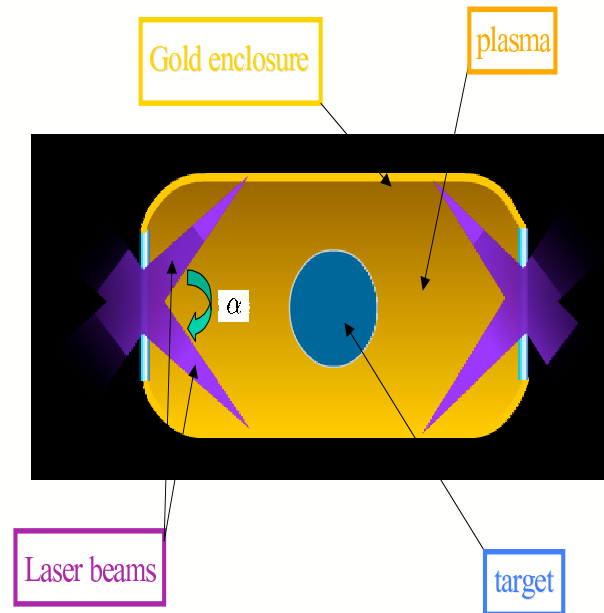


α : Angle between two crossing beams.

1. Motivations

Simulate fusion by inertial confinement in laboratory

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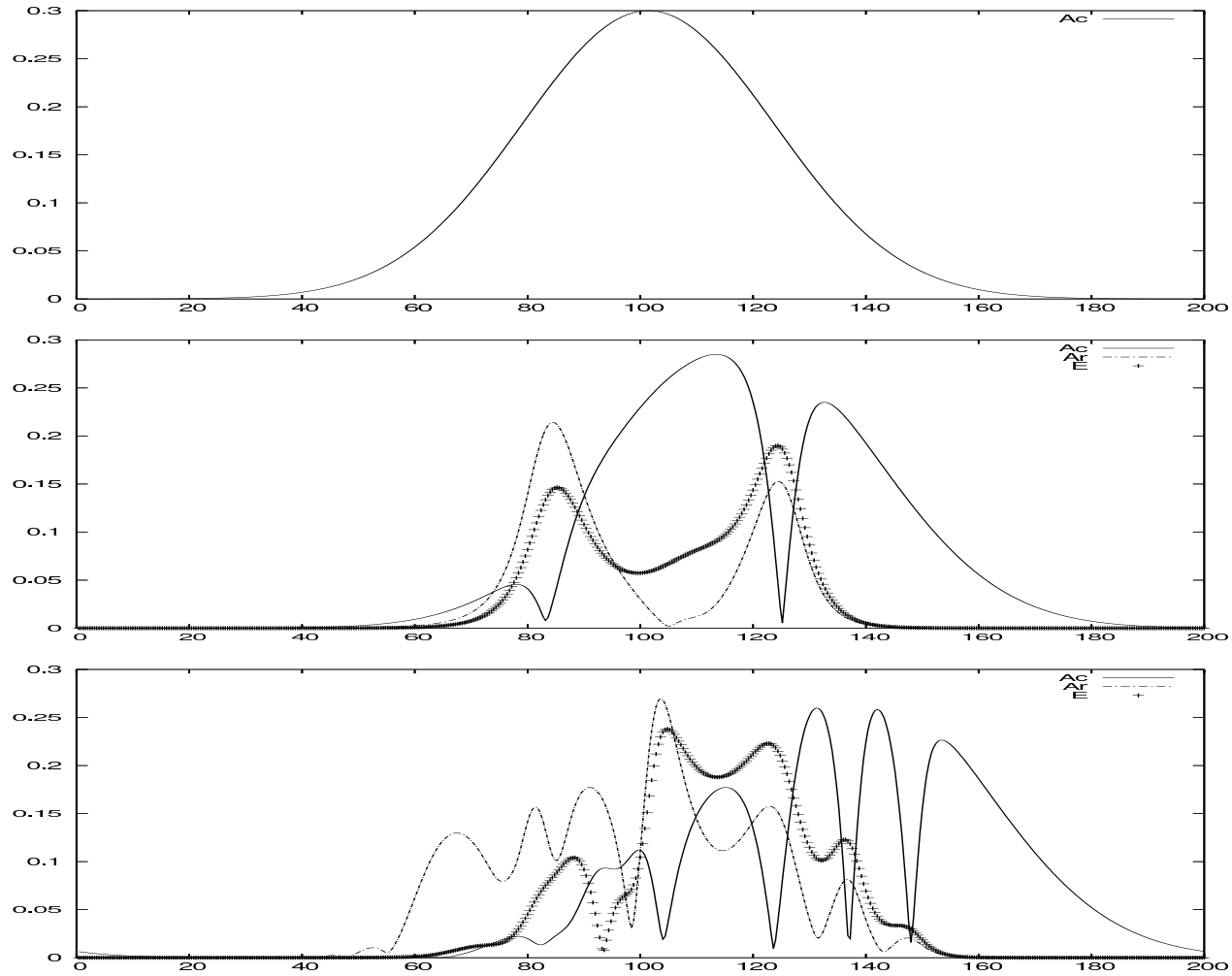


α : Angle between two crossing beams.

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1. Motivations.



2. Three waves interaction model.

a. The equations.

$$(n_0 + n_e) (\partial_t v_e + v_e \cdot \nabla v_e) = -\frac{\gamma_e T_e}{m_e} \nabla n_e - \frac{e(n_0 + n_e)}{m_e} (E + \frac{1}{c} v_e \times B)$$

$$(n_0 + n_i) (\partial_t v_i + v_i \cdot \nabla v_i) = -\frac{\gamma_i T_i}{m_i} \nabla n_i + \frac{e(n_0 + n_i)}{m_i} (E + \frac{1}{c} v_i \times B)$$

$$\partial_t n_e + \nabla \cdot ((n_0 + n_e) v_e) = 0$$

$$\partial_t n_i + \nabla \cdot ((n_0 + n_i) v_i) = 0.$$

2. Three waves interaction model.

For the **electronic-plasma** waves :

$$\begin{aligned}\partial_t \mathbf{B} + c \nabla \times \mathbf{E} &= 0, \\ \partial_t \mathbf{E} - c \nabla \times \mathbf{B} &= 4\pi e ((n_0 + n_e) \mathbf{v}_e),\end{aligned}$$

For the **electromagnetic** waves :

$$\begin{aligned}\partial_t \psi &= c \nabla A \\ \partial_t A + c E &= c \nabla \psi \\ \partial_t E - c \nabla \times \nabla \times A &= 4\pi e (n_0 + n_e) \mathbf{v}_e\end{aligned}$$

2. Three waves interaction model.

b. Polarization conditions.

Linearization + Decomposition

longitudinal part + transverse part

$$B = B_{\parallel} + B_{\perp}$$

with

$$\nabla \times B_{\parallel} = 0$$

and

$$\nabla \cdot B_{\perp} = 0$$

same for E et v_e

2. Three waves interaction model.

electronic-plasma waves:

$$\partial_t B_{\parallel} = 0, \quad \partial_t E_{\parallel} = 4\pi e n_0 v_{e\parallel}$$

$$\partial_t v_{e\parallel} = -\frac{\gamma_e T_e}{m_e n_0} \nabla n_e - \frac{e}{m_e} E_{\parallel}, \quad \partial_t n_e + n_0 \nabla \cdot v_{e\parallel} = 0$$

$$[\partial_t^2 - v_{th}^2 \Delta + \omega_{pe}^2] v_{e\parallel} = 0$$

Plasma Pulsation $\omega_{pe} = \sqrt{\frac{4\pi e^2 n_0}{m_e}}$

and thermal velocity $v_{th} = \sqrt{\frac{\gamma_e T_e}{m_e}}$

2. Three waves interaction model.

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Plasma Pulsation $\omega_{pe} = \sqrt{\frac{4\pi e^2 n_0}{m_e}}$

and thermal velocity $v_{th} = \sqrt{\frac{\gamma_e T_e}{m_e}}$

dispersion relation :

$$\omega^2 = \omega_{pe}^2 + k^2 v_{th}^2.$$

2. Three waves interaction model.

Electromagnetic waves

$$\partial_t \mathbf{B}_\perp + c \nabla \times \mathbf{E}_\perp = 0$$

$$\partial_t \mathbf{E}_\perp - c \nabla \times \mathbf{B}_\perp = 4\pi e n_0 \mathbf{v}_{e\perp}$$

$$\partial_t \mathbf{v}_{e\perp} = -\frac{e}{m_e} \mathbf{E}_\perp$$

2. Three waves interaction model.

Electromagnetic waves

$$\partial_t \mathbf{B}_\perp + c \nabla \times \mathbf{E}_\perp = 0$$

$$\partial_t \mathbf{E}_\perp - c \nabla \times \mathbf{B}_\perp = 4\pi e n_0 \mathbf{v}_{e\perp}$$

$$\partial_t \mathbf{v}_{e\perp} = -\frac{e}{m_e} \mathbf{E}_\perp$$

$$\Rightarrow \partial_t^2 \mathbf{E}_\perp - c^2 \Delta \mathbf{E}_\perp + \omega_{pe}^2 \mathbf{E}_\perp = 0$$

dispersion relation :

$$\omega^2 = \omega_{pe}^2 + k^2 c^2.$$

2. Three waves interaction model.

$$\textcolor{red}{A}_0 : (K_0, \omega_0), \textcolor{red}{A}_R : (K_R, \omega_R), \textcolor{red}{E} : (K_1, \omega_1 + \omega_{pe})$$

Condition for interactions :

$$\omega_0 = \omega_{pe} + \omega_R + \omega_1, \quad K_0 = K_R + K_1$$

with

$$\omega_0^2 = \omega_{pe}^2 + c^2 |K_0|^2,$$

$$\omega_R^2 = \omega_{pe}^2 + c^2 |K_R|^2,$$

$$(\omega_{pe} + \omega_1)^2 = \omega_{pe}^2 + v_{th}^2 |K_1|^2$$

2. Three waves interaction model.

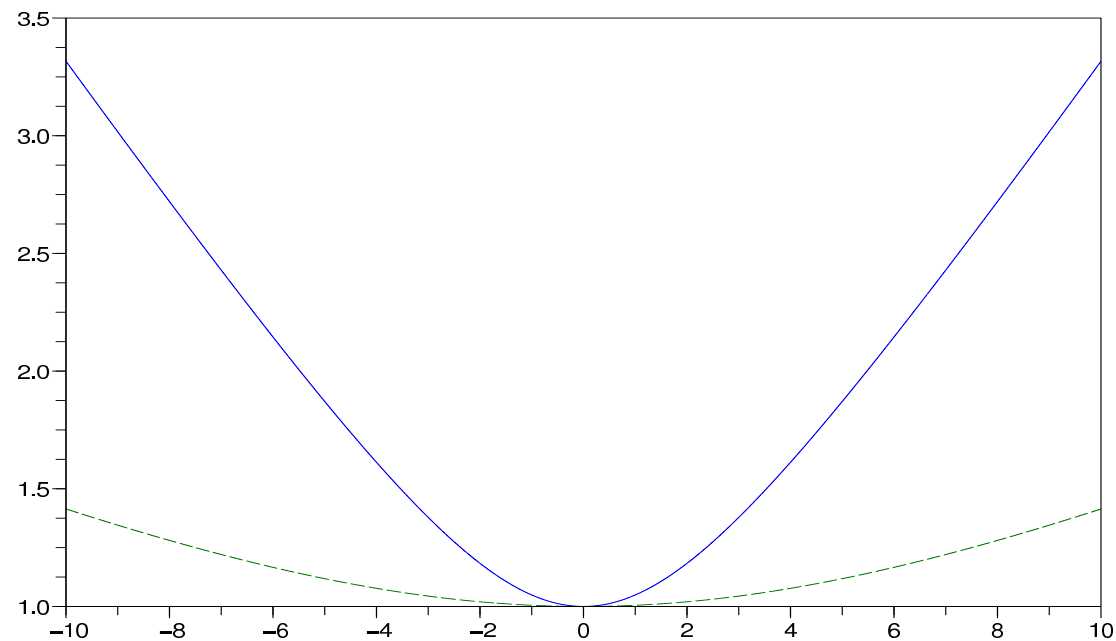
$$v_{th} \ll c$$

=>

$$[\partial_t^2 - v_{th}^2 \Delta + \omega_{pe}^2] E_{||} = 0$$

$$[\partial_t^2 - c^2 \Delta + \omega_{pe}^2] E_{\perp} = 0$$

have different status



2. Three waves interaction model.

- electromagnetic waves under the form:

$e^{i(kx-\omega t)} E_{\perp}(t, x)$ with $\partial_t E_{\perp} \ll \omega E_{\perp}$ et $\partial_x E_{\perp} \ll k E_{\perp}$.

- electronic-plasmas waves under the form $e^{-i\omega_{pe} t} E_{\parallel}$
with $\partial_t E_{\parallel} \ll \omega_{pe} E_{\parallel}$.

2. Three waves interaction model.

- electromagnetic waves under the form:

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with $\partial_t E_{\parallel} \ll \omega_{pe} E_{\parallel}$.

Oscillations + nonlinearity create low frequency waves: ionic acoustic waves.

Scenario: send the laser,

=> backscattered electromagnetic wave (Raman component).

=> electronic plasma wave.

=> ionic acoustic wave.

And retroaction!

2. Three waves interaction model.

Weakly nonlinear theory

$$F = F_0 e^{i(K_0 \cdot X - \omega_0 t)} + F_R e^{i(K_R \cdot X - \omega_R t)} + F_e e^{-i\omega_e t}$$

and **collect** !

=> phase mismatch

$$e^{i(K_1 \cdot X - \omega_1 t)}$$

2. Three waves interaction model.

Electromagnetic waves:

$$(\partial_t^2 - c^2 \Delta + \omega_{pe}^2) E_{\perp} = 0,$$

$$(A_{\perp}, E_{\perp}, v_{e\perp}) = e^{iK \cdot X - \omega t} (A_{\perp}, E_{\perp}, v_{e\perp}) + cc, \quad K = \begin{pmatrix} k \\ l \end{pmatrix}$$

$$E_{\perp} = \frac{i\omega}{c} A_{\perp}, \quad v_{e\perp} = \frac{e}{m_e c} A_{\perp}$$

$$-i\omega E_{\perp} + cK \times (K \times A) = 4\pi e n_0 v_{e\perp}$$

$$\implies (E, A, v_e) \in K^{\perp}$$

2. Three waves interaction model.

Equation on A_0 :

$$i\left(\partial_t + \frac{c^2}{\omega_0} K_0 \cdot \nabla\right) A_0 + \frac{1}{2\omega_0} \left(c^2 \Delta - \frac{c^4}{\omega_0^2} (K_0 \cdot \nabla)^2\right) A_0$$
$$= \frac{2\pi e^2}{\omega_0 m_e} \langle n_e \rangle A_0 - \frac{e}{2\omega_0 m_e} (\nabla \cdot E) A_R e^{-i\theta_1} \frac{K_0 \cdot K_R}{|K_0||K_R|}.$$

2. Three waves interaction model.

Equation on A_0 :

$$\begin{aligned} & i \left(\partial_t + \frac{c^2}{\omega_0} K_0 \cdot \nabla \right) A_0 + \frac{1}{2\omega_0} \left(c^2 \Delta - \frac{c^4}{\omega_0^2} (K_0 \cdot \nabla)^2 \right) A_0 \\ &= \frac{2\pi e^2}{\omega_0 m_e} \langle n_e \rangle A_0 - \frac{e}{2\omega_0 m_e} (\nabla \cdot E) A_R e^{-i\theta_1} \frac{K_0 \cdot K_R}{|K_0| |K_R|}. \end{aligned}$$

Equation on A_R :

$$\begin{aligned} & i \left(\partial_t + \frac{c^2}{\omega_R} K_R \cdot \nabla \right) A_R + \frac{1}{2\omega_R} \left(c^2 \Delta - \frac{c^4}{\omega_R^2} (K_R \cdot \nabla)^2 \right) A_R \\ &= \frac{2\pi e^2}{\omega_R m_e} \langle n_e \rangle A_R - \frac{e}{2\omega_R m_e} (\nabla \cdot E^*) A_0 e^{i\theta_1} \frac{K_0 \cdot K_R}{|K_0| |K_R|}. \end{aligned}$$

2. Three waves interaction model.

Equation on E_0

$$i\partial_t E_0 + \frac{v_{th}^2}{2\omega_{pe}} \nabla \nabla \cdot E_0 - \frac{c^2}{2\omega_{pe}} \nabla \times \nabla \times E_0$$
$$= \frac{\omega_{pe}}{2} \langle n_e \rangle E_0 + \frac{e\omega_{pe}}{2m_e c^2} \nabla \left(A_0 \cdot A_R^* e^{i\theta_1} \frac{K_0 \cdot K_R}{|K_0||K_R|} \right).$$

2. Three waves interaction model.

$$i\left(\partial_t + \frac{c^2}{\omega_0} K_0 \cdot \nabla\right) A_0 + \frac{\varepsilon}{2\omega_0} \left(c^2 \Delta - \frac{c^4}{\omega_0^2} (K_0 \cdot \nabla)^2\right) A_0$$

$$= -\varepsilon \frac{e}{2\omega_0 m_e} (\nabla \cdot \mathbf{E}_{||}) A_R e^{-i\theta_1} \frac{K_0 \cdot K_R}{|K_0| |K_R|},$$

$$i\left(\partial_t + \frac{c^2}{\omega_R} K_R \cdot \nabla\right) A_R + \frac{\varepsilon}{2\omega_R} \left(c^2 \Delta - \frac{c^4}{\omega_R^2} (K_R \cdot \nabla)^2\right) A_R$$

$$= -\varepsilon \frac{e}{2\omega_R m_e} (\nabla \cdot \mathbf{E}_{||}^*) A_0 e^{i\theta_1} \frac{K_0 \cdot K_R}{|K_0| |K_R|},$$

$$i\partial_t \mathbf{E}_{||} + \varepsilon \left(\frac{v_{th}^2}{2\omega_{pe}} \nabla \nabla \cdot \mathbf{E}_{||} - \frac{c^2}{2\omega_{pe}} \nabla \times \nabla \times \mathbf{E}_{||} \right)$$

$$= \varepsilon \frac{e\omega_{pe}}{2m_e c^2} \nabla \left(A_0 A_R^* e^{i\theta_1} \frac{K_0 \cdot K_R}{|K_0| |K_R|} \right).$$

2. Three waves interaction model.

$$E_{||} = \mathcal{E} e^{i(K_1 \cdot X - \omega_1 t)}, \text{ with } \partial_t E_{||} \ll \omega_1 E_{||}, \quad \nabla E_{||} \ll K_1 E_{||}$$

$$(\omega_{pe} + \omega_1)^2 = \omega_{pe}^2 + v_{th}^2 |K_1|^2,$$

then

$$\omega_1 \approx \frac{v_{th}^2 |K_1|^2}{2\omega_{pe}}.$$

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then

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$$\begin{aligned} i \left(\partial_t + \frac{v_{th}^2}{\omega_{pe}} K_1 \cdot \nabla \right) \mathcal{E} + \varepsilon \Delta \mathcal{E} &= i \frac{e\omega_{pe}}{2m_e c^2} \left(A_0 A_R^* \frac{K_0 \cdot K_R}{|K_0| |K_R|} \right) K_1 \\ &+ \varepsilon \frac{e\omega_{pe}}{2m_e c^2} \nabla \left(A_0 A_R^* \frac{K_0 \cdot K_R}{|K_0| |K_R|} \right). \end{aligned}$$

2. Three waves interaction model.

$$f_0 = \frac{\omega_{pe}}{c} A_0, \quad f_R = \frac{\omega_{pe}}{c} A_R, \quad f = \frac{K_1 \cdot \mathcal{E}}{|K_1|},$$

Take f_0 fixed (pump wave). Leading order in ε :

$$\left(\partial_t + \frac{c^2}{\omega_R} K_R \cdot \nabla \right) f_R = \frac{e|K_1|}{2m_e\omega_R} f^* f_0 \cos(\theta)$$

$$\left(\partial_t + \frac{v_{th}^2}{\omega_{pe}} K_1 \cdot \nabla \right) f = \frac{e|K_1|}{2m_e\omega_{pe}} f_0 f_R^* \cos(\theta).$$

$\theta =$ angle between K_0 and K_R

2. Three waves interaction model.

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$$\left(\partial_t + \frac{v_{th}^2}{\omega_{pe}} K_1 \cdot \nabla \right) f = \frac{e|K_1|}{2m_e\omega_{pe}} f_0 f_R^* \cos(\theta).$$

$\theta =$ angle between K_0 and K_R

Amplification rate is proportional to

$$\beta^2 = \frac{\cos^2(\theta) |K_1|^2}{\omega_R \omega_{pe}} = \frac{|K_1|^2}{\omega_R} \frac{K_0 \cdot K_R}{|K_0| |K_R|}$$

2. Three waves interaction model.

$$K_0 = \begin{pmatrix} k_0 \\ 0 \end{pmatrix}, \quad K_R = \begin{pmatrix} k \\ l \end{pmatrix}, \quad K_1 = \begin{pmatrix} k_1 \\ l_1 \end{pmatrix}.$$

$$K_0 = K_R + K_1, \quad \omega_0 = \omega_{pe} + \omega_R + \omega_1$$

$$l_1 = -l$$

2. Three waves interaction model.

$$K_0 = \begin{pmatrix} k_0 \\ 0 \end{pmatrix}, \quad K_R = \begin{pmatrix} k \\ l \end{pmatrix}, \quad K_1 = \begin{pmatrix} k_1 \\ l_1 \end{pmatrix}.$$

$$K_0 = K_R + K_1, \quad \omega_0 = \omega_{pe} + \omega_R + \omega_1$$

$$l_1 = -l$$

$$\omega_0^2 = 1 + k_0^2, \quad \omega_R^2 = 1 + (k^2 + l^2)$$

$$(1 + \omega_1^2) = 1 + \alpha^2(k_1^2 + l_1^2), \quad \alpha = \frac{v_{th}}{c} \ll 1$$

2. Three waves interaction model.

System

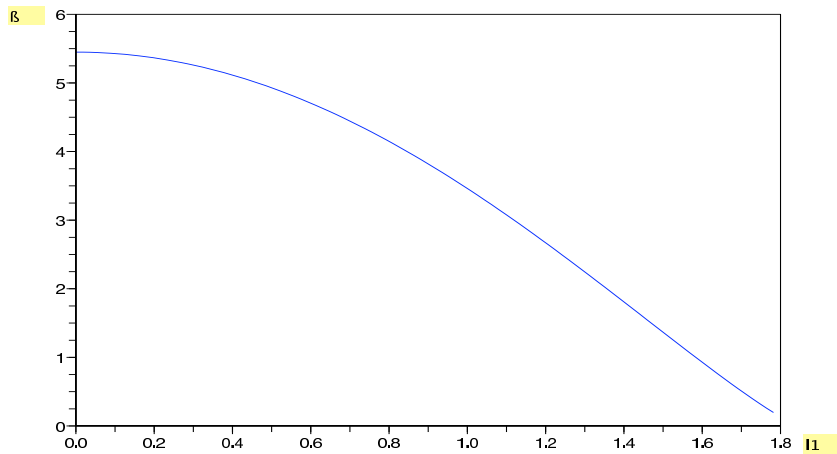
$$\text{Maximize } \beta = \frac{\sqrt{k_1^2 + l_1^2}}{\sqrt{1 + (k_0 - k_1)^2 + l_1^2}} \frac{|k_0 - k_1|}{\sqrt{(k_0 - k_1)^2 + l_1^2}}$$

$$\text{with } \sqrt{1 + k_0^2} = \sqrt{1 + (k_0 - k_1)^2 + l_1^2} + \sqrt{1 + \alpha(k_1^2 + l_1^2)}$$

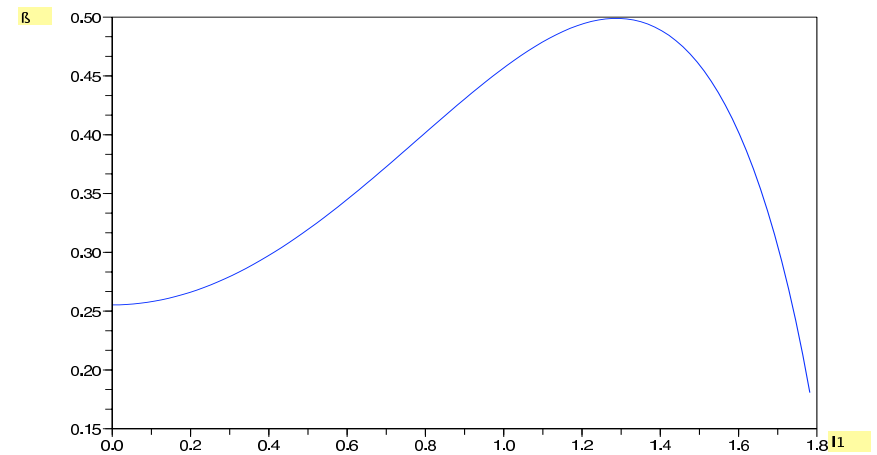
2. Three waves interaction model.

Results:

Backscattered Raman :



Forward Raman:



2. Three waves interaction model.

$$\begin{aligned} K_0 &= \begin{pmatrix} k_0 \\ 0 \end{pmatrix} = K_R^1 + K_1^1 = \begin{pmatrix} k_R^1 \\ l_R^1 \end{pmatrix} + \begin{pmatrix} k_1^1 \\ l_1^1 \end{pmatrix} \\ &= K_R^2 + K_1^2 = \begin{pmatrix} k_R^2 \\ l_R^2 \end{pmatrix} + \begin{pmatrix} k_1^2 \\ l_1^2 \end{pmatrix} \\ &= K_R^{2,sym} + K_1^{2,sym} = \begin{pmatrix} k_R^{2,sym} \\ l_R^{2,sym} \end{pmatrix} + \begin{pmatrix} k_1^{2,sym} \\ l_1^{2,sym} \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \omega_0 &= \omega_{pe} + \omega_R^i + \omega_1^i, \quad i = 1, 2 \\ &= \omega_{pe} + \omega_R^{2,sym} + \omega_1^{2,sym} \end{aligned}$$

2. Three waves interaction model.

$$E = \textcolor{red}{E}_0 e^{-i\omega_{pe}t} + \frac{i\omega_0}{c} A_0 e^{i(K_0 \cdot X - \omega_0 t)} + \frac{i\omega_R^1}{c} \textcolor{blue}{A}_R^1 e^{i(K_R^1 \cdot X - \omega_R^1 t)} \\ + \frac{i\omega_R^2}{c} \textcolor{blue}{A}_R^2 e^{i(K_R^2 \cdot X - \omega_R^2 t)} + \frac{i\omega_R^{2,sym}}{c} \textcolor{blue}{A}_R^{2,sym} e^{i(K_R^{2,sym} \cdot X - \omega_R^{2,sym} t)}$$

2. Three waves interaction model.

$$\begin{aligned}
 E &= \mathbf{E}_0 e^{-i\omega_p t} + \frac{i\omega_0}{c} \mathbf{A}_0 e^{i(K_0 \cdot X - \omega_0 t)} + \frac{i\omega_R^1}{c} \mathbf{A}_R^1 e^{i(K_R^1 \cdot X - \omega_R^1 t)} \\
 &+ \frac{i\omega_R^2}{c} \mathbf{A}_R^2 e^{i(K_R^2 \cdot X - \omega_R^2 t)} + \frac{i\omega_R^{2,sym}}{c} \mathbf{A}_R^{2,sym} e^{i(K_R^{2,sym} \cdot X - \omega_R^{2,sym} t)} \\
 &i\left(\partial_t + \frac{c^2}{\omega_0} K_0 \cdot \nabla\right) \mathbf{A}_0 + \frac{1}{2\omega_0} \left(c^2 \Delta - \frac{c^4}{\omega_0^2} (K_0 \cdot \nabla)^2\right) \mathbf{A}_0 \\
 &= \frac{2\pi e^2}{\omega_0 m_e} \langle n_e \rangle \mathbf{A}_0 - \frac{e}{2\omega_0 m_e} \left((\nabla \cdot \mathbf{E}) \mathbf{A}_R^1 e^{-i\theta_1^1} \frac{K_0 \cdot K_R^1}{|K_0| |K_R^1|} \right. \\
 &\left. + (\nabla \cdot \mathbf{E}) \mathbf{A}_R^2 e^{-i\theta_1^2} \frac{K_0 \cdot K_R^2}{|K_0| |K_R^2|} + (\nabla \cdot \mathbf{E}) \mathbf{A}_R^{2,sym} e^{-i\theta_1^{2,sym}} \frac{K_0 \cdot K_R^{2,sym}}{|K_0| |K_R^{2,sym}|} \right)
 \end{aligned}$$

2. Three waves interaction model.

$$i\left(\partial_t + \frac{c^2}{\omega_R^1} K_R^1 \cdot \nabla\right) A_R^1 + \frac{1}{2\omega_R^1} \left(c^2 \Delta - \frac{c^4}{(\omega_R^1)^2} (K_R^1 \cdot \nabla)^2\right) A_R^1$$

$$= \frac{2\pi e^2}{\omega_R^1 m_e} \langle n_e \rangle A_R^1 - \frac{e}{2\omega_R^1 m_e} (\nabla \cdot E^*) A_0 e^{i\theta_1^1} \frac{K_0 \cdot K_R^1}{|K_0| |K_R^1|}$$

$$i\left(\partial_t + \frac{c^2}{\omega_R^2} K_R^2 \cdot \nabla\right) A_R^2 + \frac{1}{2\omega_R^2} \left(c^2 \Delta - \frac{c^4}{(\omega_R^2)^2} (K_R^2 \cdot \nabla)^2\right) A_R^2$$

$$= \frac{2\pi e^2}{\omega_R^2 m_e} \langle n_e \rangle A_R^2 - \frac{e}{2\omega_R^2 m_e} (\nabla \cdot E^*) A_0 e^{i\theta_1^2} \frac{K_0 \cdot K_R^2}{|K_0| |K_R^2|}$$

2. Three waves interaction model.

$$i\partial_t \mathbf{E} + \frac{v_{th}^2}{2\omega_{pe}} \Delta \mathbf{E} = \frac{\omega_{pe}}{2} P_{||} (\langle n_e \rangle \mathbf{E}) + \frac{\omega_{pe} e}{2m_e c^2} \nabla \left(A_0 A_R^1 * \frac{K_0 \cdot K_R^1}{|K_0| |K_R^1|} e^{i\theta_1^1} \right. \\ \left. + A_0 A_R^2 * \frac{K_0 \cdot K_R^2}{|K_0| |K_R^2|} e^{i\theta_1^2} + A_0 A_R^{2,sym} * \frac{K_0 \cdot K_R^{2,sym}}{|K_0| |K_R^{2,sym}|} e^{i\theta_1^{2,sym}} \right)$$

$$(\partial_t^2 - c_s^2 \Delta) \langle n_e \rangle = \frac{1}{4\pi n_i} \Delta \left(|\mathbf{E}|^2 + \frac{\omega_{pe}^2}{c^2} (|A_0|^2 + |A_R^1|^2 + |A_R^2|^2 + |A_R^{2,sym}|^2) \right)$$

Pertinent parameter : $\sqrt{\frac{\omega_R^1}{\omega_R^2}}$

2. Three waves interaction model.

$$x \in [0, 150], y \in [0, 80], N_x = 150, N_y = 80$$

$$T = 50, N_t = 288$$

Collision test case + (A_R^1, K_0) in the x -axis.

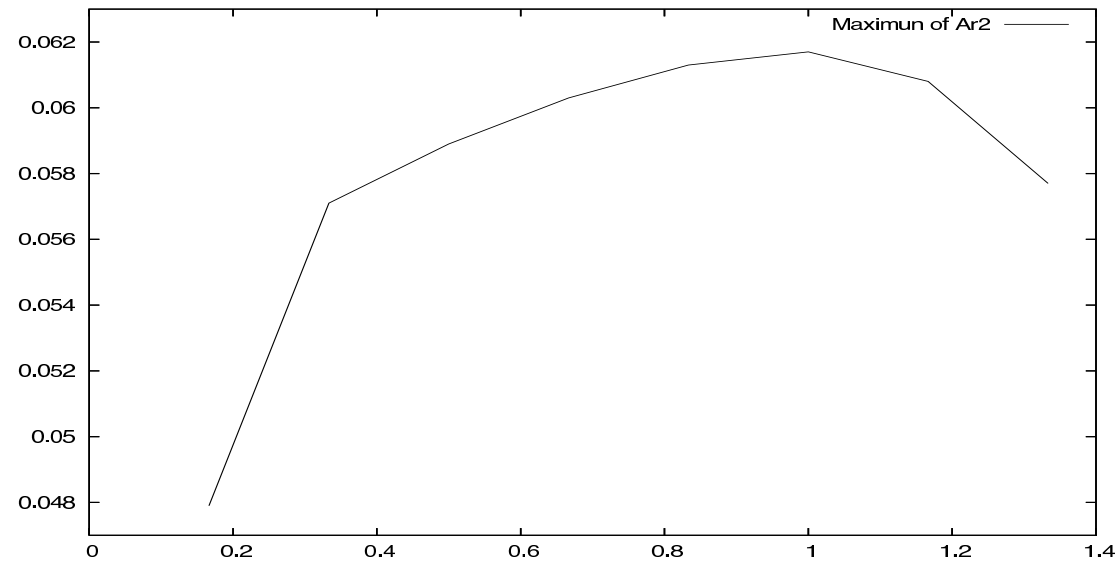
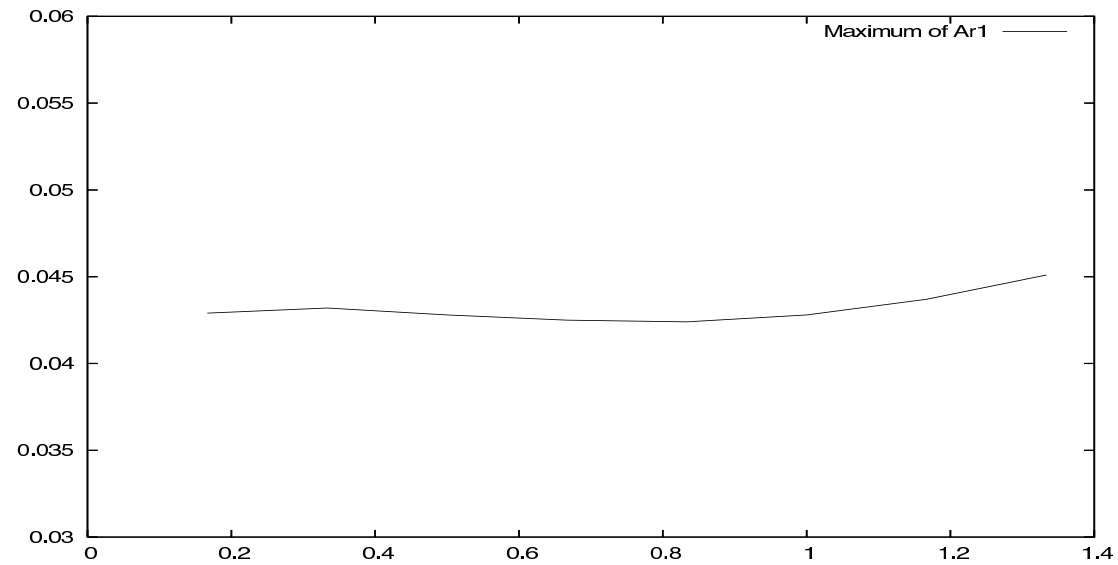
$$A_C(0) = 0.3e^{-0.03(x-75)^2}e^{-0.01(y-40)^2}.$$

$$A_R^1(0) = 0.03e^{-0.003(x-90)^2}e^{-0.1(y-40)^2}$$

$$A_R^2(0) = 0.03e^{-0.003(x-55)^2}e^{-0.1(y-40)^2}$$

$$E(0) = 0, \quad \langle n_e \rangle = \partial_t \langle n_e \rangle = 0$$

2. Three waves interaction model.



3. The Cauchy problem.

Structure of the system:

$$i \left(\partial_t + \begin{pmatrix} v_1 \\ v_2 \\ 0 \end{pmatrix} \partial_z \right) \begin{pmatrix} A_0 \\ AR \\ E_0 \end{pmatrix} + \Delta \begin{pmatrix} A_0 \\ AR \\ E_0 \end{pmatrix}$$

$$= n \begin{pmatrix} A_0 \\ AR \\ E_0 \end{pmatrix} + \begin{pmatrix} -\nabla \cdot E_0 A_R \\ -\nabla \cdot E_0^* A_0 \\ \nabla(A_R^* \cdot A_0) \end{pmatrix}$$

$$(\partial_t^2 - \Delta) n = \Delta (|A_0|^2 + |A_R|^2 + |E_0|^2),$$

3. The Cauchy problem.

The system is an **extension** of :

$$i\partial_t E + \Delta E = nE,$$

$$\partial_t^2 n - \Delta n = \Delta |E|^2$$

Cauchy problem: Sulem-Sulem (79), Added-Added (88), Ozawa-Tsutsumi (92), Bourgain (96), Ginibre-Tsutsumi-Velo (97).

Finite-time blow-up: Glangetas-Merle (94).

Numerical scheme: Glassey, huge physical litterature

3. The Cauchy problem.

Theorem (Colins) The system is locally **well-posed** in H^s .

Conservation:

$$\int 2|A_0|^2 + |A_r|^2 + |E_0|^2(t) = Cte$$

Idea: couple **Ozawa-Tsutsumi** with **energy estimates** for quasilinear **hyperbolic** systems.

Problem :

$$i\partial_t \begin{pmatrix} A_0 & AR \\ E_0 \end{pmatrix} = \begin{pmatrix} -\nabla \cdot E_0 A_R \\ -\nabla \cdot E_0^* A_0 \\ \nabla(A_R^* \cdot A_0) \end{pmatrix}$$

is not hyperbolic!

3. The Cauchy problem.

If $A_0 = u_1 + iu_2$, $A_R = u_3 + iu_4$, $E_0 = u_5 + iu_6$, the system reads:

$$\partial_t \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{pmatrix} = M \partial_x \begin{pmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{pmatrix}$$

3. The Cauchy problem.

with

$$M = \begin{pmatrix} 0 & 0 & 0 & 0 & -u_4 & -u_3 \\ 0 & 0 & 0 & 0 & u_3 & -u_4 \\ 0 & 0 & 0 & 0 & -u_2 & u_1 \\ 0 & 0 & 0 & 0 & u_1 & u_2 \\ -u_4 & u_3 & u_2 & -u_1 & 0 & 0 \\ -u_3 & -u_4 & -u_1 & -u_2 & 0 & 0 \end{pmatrix}$$

Idea : $i \frac{\partial \cdot}{\partial t}$ behaves like $-\Delta$.

4. Solitary waves

a) The semi-trivial waves.

$$i\partial_t u_1 = -\Delta u_1 - |u_1|^{p-1} u_1 + \gamma \overline{u_2} u_3,$$

$$i\partial_t u_2 = -\Delta u_2 - |u_2|^{p-1} u_2 - \gamma u_3 \overline{u_1},$$

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$$(e^{2i\omega t} \varphi, 0, 0), \quad (0, e^{2i\omega t} \varphi, 0), \quad (0, 0, e^{2i\omega t} \varphi)$$

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$$-\Delta \varphi + 2\omega \varphi - |\varphi|^{p-1} \varphi = 0$$

4. Solitary waves

- Conserved Quantities :

$$E(\vec{u}) = \sum_{j=1}^3 \left(\frac{1}{2} \|\nabla u_j\|_{L^2}^2 - \frac{1}{p+1} \|u_j\|_{L^{p+1}}^{p+1} \right) - \gamma \operatorname{Re} \int_{\mathbb{R}^N} u_1 u_2 \overline{u_3} dx,$$

$$Q_1(\vec{u}) = \|u_1\|_{L^2}^2 + \|u_3\|_{L^2}^2 \quad \text{and} \quad Q_2(\vec{u}) = \|u_2\|_{L^2}^2 + \|u_3\|_{L^2}^2,$$

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- Global Well-Posedness : in H^1 for $N=1,2,3$, $1 < p < 1 + 4/N$, $\gamma > 0$.

see T. Cazenave

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see T. Cazenave

- $u_\omega(t) = e^{2i\omega t} \varphi$ solution to

$$i\partial_t u = -\Delta u - |u|^{p-1}u$$

– stable if $1 < p < 4/N$

– unstable if $1 + 4/N \leq p < 1 + 4/(N-2)$

4. Solitary waves

- What about

$$(e^{2i\omega t}\varphi, 0, 0), \quad (0, e^{2i\omega t}\varphi, 0), \quad (0, 0, e^{2i\omega t}\varphi)?$$

4. Solitary waves

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- **Def :** $(e^{2i\omega t}\varphi, 0, 0)$ is stable if for any $\varepsilon > 0$ there exists $\delta > 0$ such that if $u_0 \in H^1(\mathbb{R}^N, \mathbb{C}^3)$ and $\|u_0 - (\varphi, 0, 0)\|_{H^1} < \delta$, then the solution $u(t)$ with $u(0) = u_0$ satisfies

$$\sup_{t \geq 0} \inf_{\theta \in \mathbb{R}, y \in \mathbb{R}^N} \|u(t) - (e^{i\theta}\varphi(\cdot + y), 0, 0)\|_{H^1} < \varepsilon.$$

4. Solitary waves

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- $1 + 4/N \leq p < 1 + 4/(N - 2) \implies$ **No**

4. Solitary waves

Theorem 1: (MC, T. Colin and M. Ohta, Ann. IHP, 09') Let $1 \leq N \leq 3$, $1 < p < 1 + 4/N$, $\gamma > 0$, $\omega > 0$, Then, the solitary wave solutions $(e^{2i\omega t}\varphi, 0, 0)$ and $(0, e^{2i\omega t}\varphi, 0)$ are orbitally **stable**.

↪ Variational method

4. Solitary waves

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↪ Variational method

Theorem 2 : (MC, T. Colin and M. Ohta, Ann. IHP, 09') Let $1 \leq N \leq 3$, $1 < p < 1 + 4/N$, $\omega > 0$. Then, there exists a positive constant γ^* satisfying the following.

- (i) If $0 < \gamma < \gamma^*$, then the solitary wave solution $(0, 0, e^{2i\omega t}\varphi)$ is orbitally **stable**.
- (ii) Assume further that $N \leq 2$ and $p > 2$. If $\gamma > \gamma^*$, then the solitary wave solution $(0, 0, e^{2i\omega t}\varphi)$ is orbitally **unstable**.

↪ Spectral method

4. Solitary waves

$$\gamma^* = \inf \left\{ \frac{\|\nabla v\|_{L^2}^2 + \omega \|v\|_{L^2}^2}{\int_{\mathbb{R}^N} \varphi_\omega(x) |v(x)|^2 dx} : v \in H^1(\mathbb{R}^N) \setminus \{0\} \right\}.$$

4. Solitary waves

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Theorem 3 : (MC, T. Colin and M. Ohta, Funkcialaj Ekvacioj, 09') Let $1 \leq N \leq 3$, $1 < p < 1 + 4/N$, $\omega > 0$. If $\gamma > \gamma^*$, then the standing wave solution $(0, 0, e^{2i\omega t}\varphi)$ is orbitally unstable.

4. Solitary waves.

b) Bifurcation from Semi-Trivial solitary waves

$$i\partial_t u_1 = -\Delta u_1 - |u_1|^{p-1} u_1 + \gamma \overline{u_2} u_3,$$

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$\rightsquigarrow$ What about the structure and stability properties?

$\rightsquigarrow$ Difficult to answer in the general setting!

$\rightsquigarrow p = 2$ and $u_1 = u_2$

4. Solitary waves.

$$\begin{cases} i\partial_t u_1 = -\Delta u_1 - \kappa |u_1| u_1 - \gamma \overline{u_1} u_2 \\ i\partial_t u_2 = -2\Delta u_2 - 2|u_2| u_2 - \gamma u_1^2 \end{cases}$$

$$\rightsquigarrow \gamma^* = 1$$

$$\rightsquigarrow (0, e^{2i\omega t} \varphi)$$

Theorem 4 : Let $N \leq 3$, $\kappa \in \mathbb{R}$, $\gamma > 0$, $\omega > 0$. Then, the semi-trivial standing wave solution $(0, e^{2i\omega t} \varphi)$ is **stable** if $0 < \gamma < 1$, and it is **unstable** if $\gamma > 1$.

4. Solitary waves.

Bifurcation Branch : $(\alpha\varphi, \beta\varphi), (\alpha, \beta) \in]0, \infty[^2$

$$-\Delta\varphi + 2\omega\varphi - \varphi^2 = 0$$

$$\begin{cases} -\Delta\varphi_1 + \omega\varphi_1 = \kappa|\varphi_1|\varphi_1 + \gamma\overline{\varphi_1}\varphi_2 \\ -\Delta\varphi_2 + \omega\varphi_2 = |\varphi_2|\varphi_2 + (\gamma/2)\varphi_1^2 \end{cases}$$

$$\kappa\alpha + \gamma\beta = 1, \quad \gamma\alpha^2 + 2\beta^2 = 2\beta$$

4. Solitary waves.

$$\mathcal{S}_{\kappa,\gamma} = \{(x, y) \in]0, \infty[^2 : \kappa x + \gamma y = 1, \gamma x^2 + 2y^2 = 2y\}.$$

$$\alpha_{\pm} = \frac{(2 - \gamma)\kappa \pm \gamma\sqrt{\kappa^2 + 2\gamma(\gamma - 1)}}{2\kappa^2 + \gamma^3}$$

$$\beta_{\pm} = \frac{\kappa^2 + \gamma^2 \pm \kappa\sqrt{\kappa^2 + 2\gamma(\gamma - 1)}}{2\kappa^2 + \gamma^3},$$

$$\alpha_0 = \frac{(2 - \gamma)\kappa}{2\kappa^2 + \gamma^3}, \quad \beta_0 = \frac{\kappa^2 + \gamma^2}{2\kappa^2 + \gamma^3}$$

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$$\mathcal{S}_{\kappa,\gamma} = \{(x, y) \in]0, \infty[^2 : \kappa x + \gamma y = 1, \gamma x^2 + 2y^2 = 2y\}.$$

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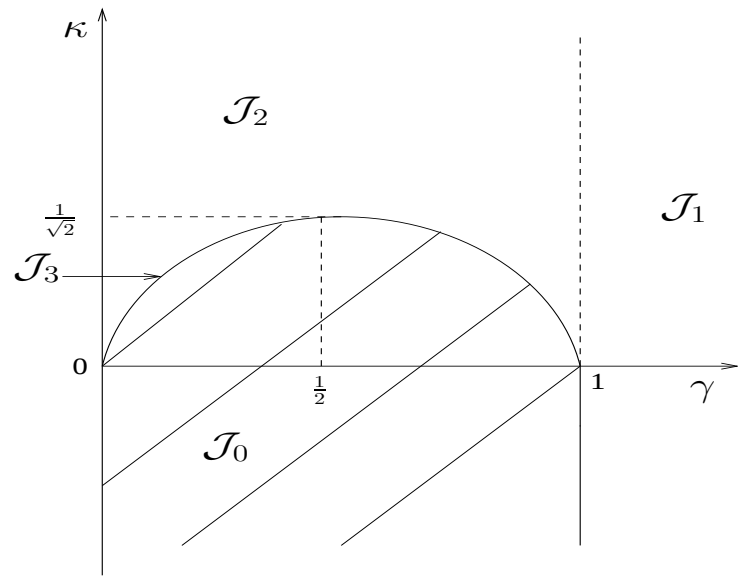
$$\beta_{\pm} = \frac{\kappa^2 + \gamma^2 \pm \kappa\sqrt{\kappa^2 + 2\gamma(\gamma - 1)}}{2\kappa^2 + \gamma^3},$$

$$\alpha_0 = \frac{(2 - \gamma)\kappa}{2\kappa^2 + \gamma^3}, \quad \beta_0 = \frac{\kappa^2 + \gamma^2}{2\kappa^2 + \gamma^3}$$

- $\kappa \leq 0$, $(\alpha_+, \beta_-) \rightarrow (0, 1)$ as $\gamma \rightarrow 1 + 0$: $\{(\alpha_+ \varphi, \beta_- \varphi) : \gamma > 1\}$ bifurcate from $(0, \varphi)$ at $\gamma = 1$
- $\kappa > 0$, $(\alpha_-, \beta_+) \rightarrow (0, 1)$ as $\gamma \rightarrow 1 - 0$: $\{(\alpha_- \varphi, \beta_+ \varphi) : \gamma_m < \gamma < 1\}$

4. Solitary waves.

$$\mathcal{J}_3 = \{(\kappa, \gamma) : 0 < \gamma < 1, \kappa = \sqrt{2\gamma(1-\gamma)}\}$$



Proposition 1 : (0) If $(\kappa, \gamma) \in \mathcal{J}_0$, then $\mathcal{S}_{\kappa, \gamma}$ is empty.

(1) If $(\kappa, \gamma) \in \mathcal{J}_1$, then $\mathcal{S}_{\kappa, \gamma} = \{(\alpha_+, \beta_-)\}$.

(2) If $(\kappa, \gamma) \in \mathcal{J}_2$, then $\mathcal{S}_{\kappa, \gamma} = \{(\alpha_+, \beta_-), (\alpha_-, \beta_+)\}$.

(3) If $(\kappa, \gamma) \in \mathcal{J}_3$, then $\mathcal{S}_{\kappa, \gamma} = \{(\alpha_0, \beta_0)\}$.

4. Solitary waves.

Theorem 5 : (MC and M. Ohta, 11') Let $N \geq 3$. Then

- 1) If $(\kappa, \gamma) \in \mathcal{J}_1 \cup \mathcal{J}_2$, the standing wave $(e^{i\omega t} \alpha_+ \varphi, e^{2i\omega t} \beta_- \varphi)$ is **stable**.
- 2) If $(\kappa, \gamma) \in \mathcal{J}_2$, the standing wave $(e^{i\omega t} \alpha_- \varphi, e^{2i\omega t} \beta_+ \varphi)$ is **unstable**.
- 3) If $\kappa > 0$ and $\gamma = 1$, then for any $\omega > 0$, the standing wave $(0, e^{2i\omega t} \varphi)$ is **unstable**.
- 4) If $\kappa \leq 0$ and $\gamma = 1$, then for any $\omega > 0$, the standing wave $(0, e^{2i\omega t} \varphi)$ is **stable**.

4. Solitary waves.

$$\langle S''_{\omega}(\Phi)\vec{u}, \vec{u} \rangle = \langle \mathcal{L}_R \operatorname{Re}(\vec{u}), \operatorname{Re}(\vec{u}) \rangle + \langle \mathcal{L}_I \operatorname{Im}(\vec{u}), \operatorname{Im}(\vec{u}) \rangle$$

$$\mathcal{L}_R = \begin{bmatrix} -\Delta + \omega & 0 \\ 0 & -\Delta + \omega \end{bmatrix} - \begin{bmatrix} (2\alpha + \gamma\beta)\varphi & \gamma\alpha\varphi \\ \gamma\alpha\varphi & 2\beta\varphi \end{bmatrix},$$

$$\mathcal{L}_I = \begin{bmatrix} -\Delta + \omega & 0 \\ 0 & -\Delta + \omega \end{bmatrix} - \begin{bmatrix} (\alpha - \gamma\beta)\varphi & \gamma\alpha\varphi \\ \gamma\alpha\varphi & \beta\varphi \end{bmatrix}.$$

4. Solitary waves.

c) The Ground State problem.

$$\begin{cases} -\Delta\varphi_1 + \omega\varphi_1 = \kappa|\varphi_1|\varphi_1 + \gamma\overline{\varphi_1}\varphi_2 \\ -\Delta\varphi_2 + \omega\varphi_2 = |\varphi_2|\varphi_2 + (\gamma/2)\varphi_1^2 \end{cases}$$

$$\begin{aligned} S_\omega(\vec{u}) &= \frac{1}{2} (\|\nabla u_1\|_{L^2}^2 + \|\nabla u_2\|_{L^2}^2) - \frac{\kappa}{3} \|u_1\|_{L^3}^3 - \frac{1}{3} \|u_2\|_{L^3}^3 \\ &\quad - \frac{\gamma}{2} \operatorname{Re} \int_{\mathbb{R}^N} u_1^2 \overline{u_2} dx + \omega \frac{1}{2} (\|\vec{u}_1\|_{L^2}^2 + \|u_2\|_{L^2}^2) \end{aligned}$$

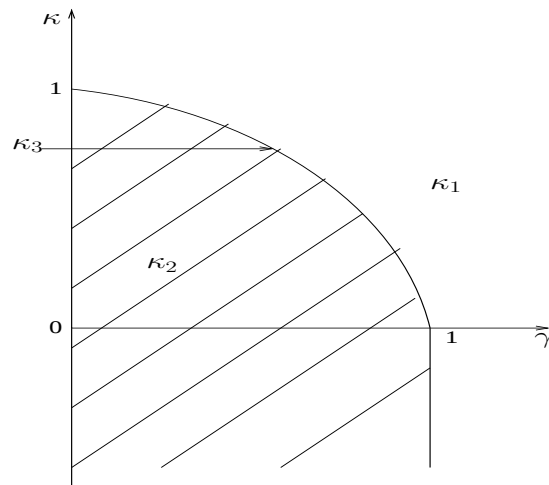
Ground state : solution which minimize the action S_ω among all the solutions.

4. Solitary waves.

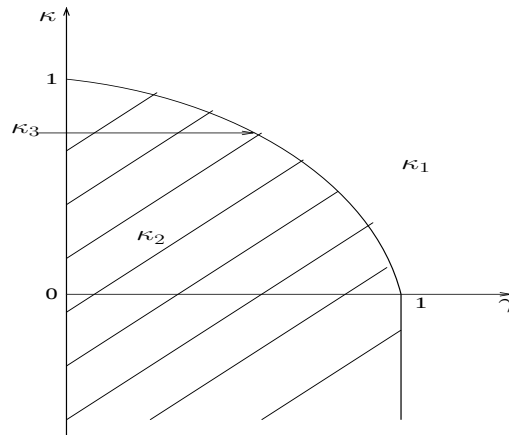
$$\kappa(\gamma) = \frac{1}{2}(\gamma + 2)\sqrt{1 - \gamma}, \quad 0 < \gamma < 1$$

$$\rightsquigarrow \gamma(\kappa)$$

$$\mathcal{K}_3 = \{(\kappa, \gamma) : 0 < \kappa < 1, \gamma = \gamma(\kappa)\}$$



4. Solitary waves.



$$\mathcal{G}_{\omega}^0 = \{G(\theta)\tau_y(0, \varphi) : \theta \in \mathbb{R}, y \in \mathbb{R}^N\},$$

$$\mathcal{G}_{\omega}^1 = \{G(\theta)\tau_y(\alpha_+\varphi, \beta_-\varphi) : \theta \in \mathbb{R}, y \in \mathbb{R}^N\}$$

Theorem 6 : (MC and M. Ohta, 11') Let $N \leq 3$ and $\omega > 0$.

- (1) If $(\kappa, \gamma) \in \mathcal{K}_1$, then $\mathcal{G}_{\omega} = \mathcal{G}_{\omega}^1$
- (2) If $(\kappa, \gamma) \in \mathcal{K}_2$, then $\mathcal{G}_{\omega} = \mathcal{G}_{\omega}^0$
- (3) If $(\kappa, \gamma) \in \mathcal{K}_3$, then $\mathcal{G}_{\omega} = \mathcal{G}_{\omega}^0 \cup \mathcal{G}_{\omega}^1$.

4. Solitary waves.

- **First step :** Variational characterization of φ .

$$\|\varphi\|_{L^3} = \inf \left\{ \|v\|_{H_\omega^1}^2 / \|v\|_{L^3}^2 : v \in H^1(\mathbb{R}^N) \setminus \{0\} \right\}.$$

$$\{v \in H^1(\mathbb{R}^N) : \|v\|_{H_\omega^1}^2 = \|v\|_{L^3}^3 = \|\varphi\|_{L^3}^3\} = \{e^{i\theta}\varphi(\cdot + y) : \theta \in \mathbb{R}, y \in \mathbb{R}^N\}.$$

4. Solitary waves.

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$$\{v \in H^1(\mathbb{R}^N) : \|v\|_{H_\omega^1}^2 = \|v\|_{L^3}^3 = \|\varphi\|_{L^3}^3\} = \{e^{i\theta}\varphi(\cdot + y) : \theta \in \mathbb{R}, y \in \mathbb{R}^N\}.$$

- **Second step** : Let $(u_1, u_2) \in \mathcal{G}_\omega$ and put

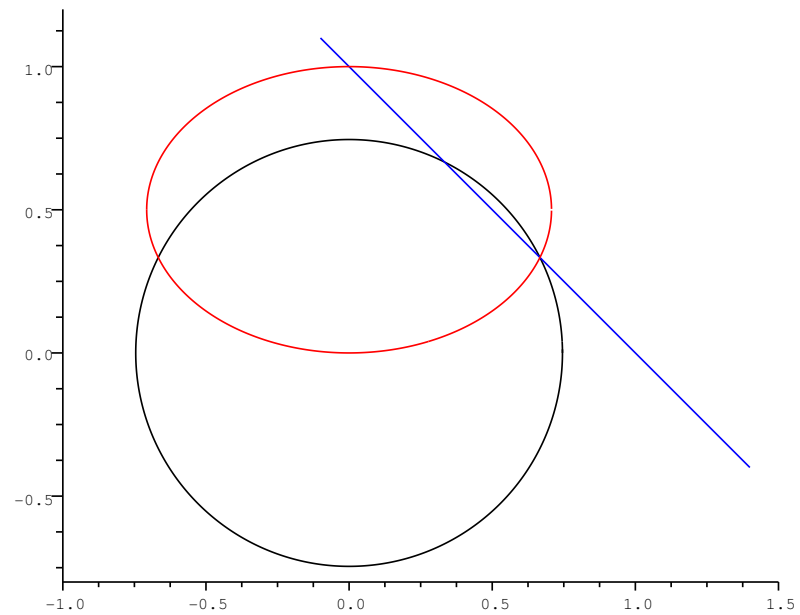
$$a = \|u_1\|_{L^3} / \|\varphi_\omega\|_{L^3}, \quad b = \|u_2\|_{L^3} / \|\varphi_\omega\|_{L^3}.$$

Then, $a \geq 0$, $b > 0$

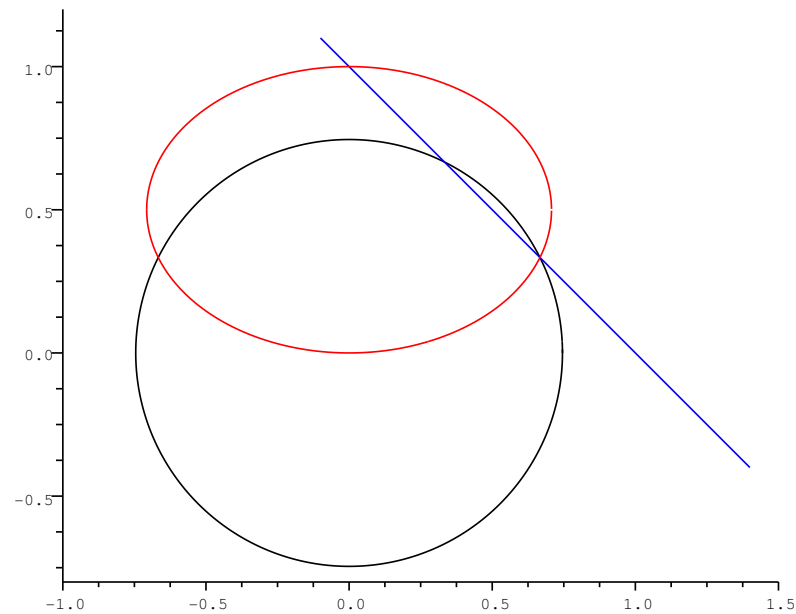
$$a^2 \leq a^2(\kappa a + \gamma b), \quad 2b \leq 2b^2 + \gamma a^2, \quad a^2 + b^2 \leq \ell.$$

$$\ell = \begin{cases} \alpha_+^2 + \beta_-^2 & \text{if } (\kappa, \gamma) \in \mathcal{K}_1, \\ 1 & \text{if } (\kappa, \gamma) \in \mathcal{K}_2 \cup \mathcal{K}_3, \end{cases}$$

4. Solitary waves.



4. Solitary waves.



- Third step :

(1) If $(\kappa, \gamma) \in \mathcal{K}_1$, then $(a, b) = (\alpha_+, \beta_-)$.

(2) If $(\kappa, \gamma) \in \mathcal{K}_2$, then $(a, b) = (0, 1)$.

(3) If $(\kappa, \gamma) \in \mathcal{K}_3$, then $(a, b) \in \{(\alpha_+, \beta_-), (0, 1)\}$.

4. Solitary waves.

$$\|u_1/\alpha_+\|_{H_\omega^1}^2 = \|\varphi_\omega\|_{L^3}^3 = \|u_1/\alpha_+\|_{L^3}^3$$

$$\|u_2/\beta_-\|_{H_\omega^1}^2 = \|\varphi_\omega\|_{L^3}^3 = \|u_2/\beta_-\|_{L^3}^3$$

$$\int_{\mathbb{R}^N} u_1^2 \overline{u_2} \, dx = \|u_1\|_{L^3}^2 \|u_2\|_{L^3}$$