

INDISCREET APPLICATIONS OF DISCRETE MATHEMATICS

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Toronto, 02.17.2011

THE SIGN OF FOUR

- 1) **SELF-REPRODUCTION** (Gödel, Watson & Crick)
- 2) **APPORTIONMENT** (Balinsky & Young)
- 3) **SOCIAL CHOICES** (Sen, Arrow)
- 4) **REALITY** (Bell)

1) SELF-REPRODUCTION

Problem:

How to build self-reproducing objects?

First trial



A = universal constructor

x = description of X

(A, x) produces X

In particular: (A, a) produces A

Not yet self-reproducing!

Second trial

B = copying machine

(B, x) produces x

C = coupling of A plus B

1st diagonalization (on X): (C, x) produces (X, x)

2nd diagonalization (on C): (C, c) produces (C, c)

Now self-reproducing!

Applications



1) Self-referential sentences

“I have the property P”

Gödel 1931: $P(x) = x$ is (not) provable

Tarski 1936: $P(x) = x$ is (not) true

2) Fixed-point theorem

Kleene 1938: recursive programs

Applications



3) Self-reproduction

Von Neumann 1948: cellular automata

Watson & Crick 1953: biological cells

A = ribosome building proteins

x = gene (DNA)

B = enzyme (RNA polymerase)

C = self-reproducing cell

2) APPORTIONMENT

Problem:

How to assign seats to parties or districts
according to their votes or population?

Axioms



1) Proportionality

Use excess or defect approximations

E.g. a proportion of $10/3$ produces 3 or 4 seats

2) Monotonicity

More votes = more seats

Balinsky & Young, 1982



No apportionment method satisfies both

1) proportionality

and

2) monotonicity

Proof

Parties	First election	Second election
A	$5 + \varepsilon$ (≥ 5)	$4 - \varepsilon$ (≤ 4)
B	$2/3$	2 (2)
C	$2/3$	$1/2$
D	$2/3 - \varepsilon$ (0)	$1/2 + \varepsilon$ (≥ 1)
Total	7	7

A loses one seat and D gains one

this is against relative monotonicity, if
 $(4-\varepsilon)/(1/2 + \varepsilon) > (5+\varepsilon)/(2/3 - \varepsilon)$

Example

Parties	First election	Second election
A	5.01 (5)	3.99 (4)
B	0.67 (1)	2.00 (2)
C	0.67 (1)	0.50 (0)
D	0.65 (0)	0.51 (1)

A loses one seat and D gains one

$$\begin{array}{l} \text{but } 3.99/0.51 > 5.01/0.65 \\ \text{i.e. } 8 > 7.5 \end{array}$$

3) SOCIAL CHOICES

Problem:

How to amalgamate the
individual orders of preferences
into a social order?

Axioms



1) **Totality**

Either A is preferred to B,
or B is preferred to A,
or they are indifferent

2) **Transitivity**

If A is preferred to B,
and B to C,
then A is preferred to C

Axioms



3) Unanimity (Pareto's principle)

If every individual prefers A to B,
then society does not prefer B to A

4) Freedom of choice

Any individual order of preference is
acceptable

Definition



An individual has a **right** over the alternatives A and B if, whenever he prefers one over the other, so does society

Amartya Sen, 1970



Unanimity and freedom of choice
imply that, in a society,
at most one individual
can have rights!

Proof

Suppose 1 has a right over A and B, and prefers

$$D < A < B < C.$$

Suppose 2 has a right over C and D, and prefers

$$B < C < D < A.$$

Then society must have the order

$$A < B \leq C < D \leq A,$$

contradiction.

Definition



A system is **vote-dependent** if social choices are made solely on the basis of individual preferences

Arrow, 1951



Unanimity, freedom of choice and vote-dependence
imply that, in a society,
exactly one individual
has rights!

In other words, there must be a dictator!

Proof



If A and B are not socially indifferent, **some** individual must have a right over them.

Otherwise, all individuals would prefer one over the other, and society would prefer the other, against unanimity.

But **only one** individual can have rights, so it must always be the same.

4) REALITY

Problem:

Does classical metaphysics accord
with quantum mechanics?

Classical metaphysics



The universe consists of systems that are:

1) **Real**

Their properties are independent of observation

2) **Separated**

In space-time

3) **Local**

There is no action-at-a-distance,
or faster-than-light

Einstein, 1935



Realism, separation and locality
imply

Incompleteness of quantum mechanics

A thought experiment



Suppose two observers 1 and 2
receive envelopes A, B and C
which contain blue or green sheets of paper.

1) If they open the same envelope,
they always observe the same colour

2) If they open random envelopes,
they observe the same colour at least $5/9$ of the times

Bell, 1964



Realism, separation and locality

Imply that it is impossible to find the same colour:

- 1) always on the same envelope
- 2) $1/2$ of the times on random envelopes

Proof. $5/9$ is greater than $1/2$

Aspect, 1982



Reality, separation and locality
are in contrast with experience!

Proof. Experiments with polarizing filters show that
correlation is exactly $1/2$
(in accordance with quantum mechanics)

A modern metaphysics



Realism, separation and locality cannot stand together.
Since nobody really doubts separation,

- 1) either the universe is not real
- 2) or it is holistic, i.e. not local



CONCLUSION

A little logic and
some discrete mathematics
show that common conceptions of
life, democracy and reality
are naive and wrong.