

On the location of roots of Steiner polynomials

María A. Hernández Cifre
(joint work with Martin Henk)

Universidad de Murcia

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Notation

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- $\text{vol}(K)$ = volume (Lebesgue measure) of K .
- $+$ = Minkowski (vectorial) addition:

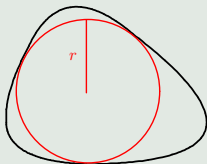
$$A + B = \{a + b : a \in A, b \in B\} = \bigcup_{b \in B} (A + b)$$

Inradius and circumradius

- The **relative inradius** $r(K; E)$ of K with respect to E :

$$r(K; E) = \max\{r \geq 0 : \text{some translate of } rE \subset K\}.$$

The classical inradius and circumradius



If $E = B_n$: $r(K)$

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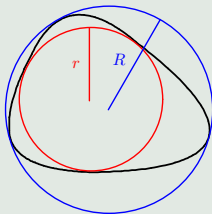
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- The **relative circumradius** $R(K; E)$ of K with respect to E :

$$R(K; E) = \min\{R > 0 : \text{some translate of } K \subset RE\}$$

The classical inradius and circumradius

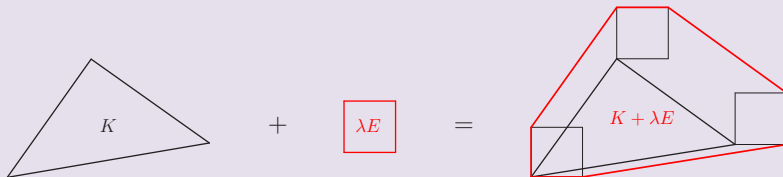


If $E = B_n$: $r(K)$, $R(K)$

The (relative) outer parallel body

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$$\left. \begin{array}{l} K \text{ convex body} \\ \lambda \geq 0, \ E \text{ fixed} \end{array} \right\} \rightsquigarrow K + \lambda E = \text{(relative) outer parallel body of } K \text{ at distance } \lambda$$



The relative Steiner formula

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The volume of the Minkowski sum $K + \lambda E$ is a polynomial of degree n in the parameter λ , the so called **relative Steiner polynomial** of K ,

$$\text{vol}(K + \lambda E) = \sum_{i=0}^n \binom{n}{i} W_i(K; E) \lambda^i.$$

The coefficients $W_i(K; E) = V(K, \binom{n-1}{i}, K, E, \binom{i}{i}, E)$ are called the **relative quermassintegrals** of K .

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The (classical) Steiner formula, 1840

$$\text{vol}(K + \lambda B_n) = \sum_{i=0}^n \binom{n}{i} W_i(K) \lambda^i.$$

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$$f_{K;E}(z) = \sum_{i=0}^n \binom{n}{i} W_i(K; E) z^i, \quad z \in \mathbb{C}$$

- Considering $f_{K;E}(z)$ as a formal polynomial in a complex variable $z \in \mathbb{C}$, **what can we say about its roots?**

Bonnesen's inequality and Teissier's problem

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Bonnesen (Blaschke)'s inequality: In the plane...

$$A(K) + 2W_1(K; E)\lambda + A(E)\lambda^2 \leq 0 \quad \text{if} \quad -R(K; E) \leq \lambda \leq -r(K; E)$$

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B. Teissier: Bonnesen-type inequalities in algebraic geometry I.
Introduction to the problem. *Seminar on Differential Geometry*,
Princeton Univ. Press, Princeton, N. J., 1982, 85–105.

- Let $\gamma_1, \dots, \gamma_n$ be the roots of $f_{K;E}(z)$ with $\operatorname{Re}(\gamma_1) \leq \dots \leq \operatorname{Re}(\gamma_n)$.
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Teissier's problem and Sangwine-Yager's conjecture

Conjecture: For any $K \in \mathcal{K}^n$,

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H.C., M. Henk: Notes on the roots of Steiner polynomials. *Rev. Mat. Iberoamericana* **24** (2) (2008), 631–644.

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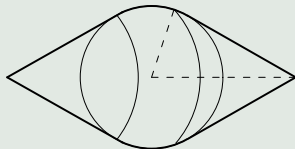
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A cap-body of E is the convex hull of E and countably many points such that the line segment joining any pair of these points intersects E .



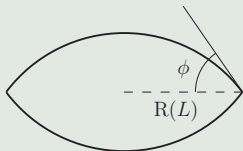
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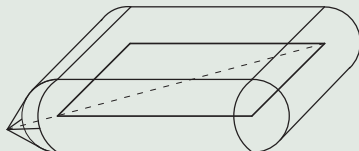
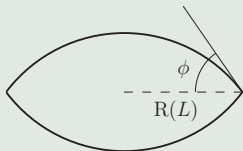
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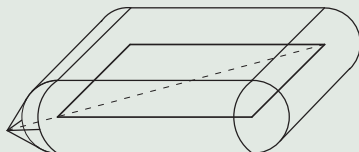
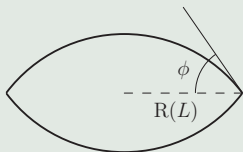
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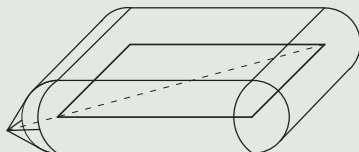
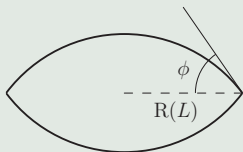
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- **Negativity part:** Counterexample in \mathbb{R}^{12} . **True for $n = 3, \dots, 9$.**

Solutions for Teissier's problem?

- The problem arises to find solutions (particular sets/families of sets) for Teissier's problem:

Let $\gamma_1, \dots, \gamma_n$ be the roots of $f_{K,E}(z)$ with $\operatorname{Re}(\gamma_1) \leq \dots \leq \operatorname{Re}(\gamma_n)$.

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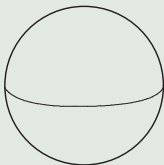
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- Negativity part: YES
- Inradius part: YES
- Circumradius part: YES

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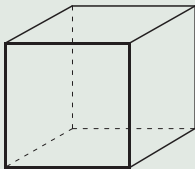
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- Negativity part: **YES** (Katsnelson, 2009)
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- Circumradius part: **?**

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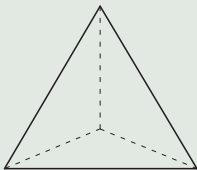
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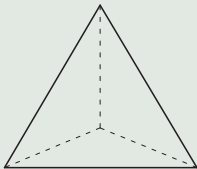
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- Circumradius part: ?

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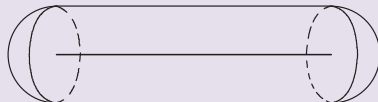
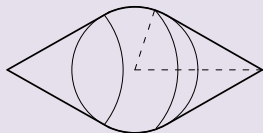
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- Are there solutions for arbitrary dimension n ?
- Only 2 families of convex bodies are known to be solution of Teissier's problem:

Cap-bodies and **sausages** provide a solution



Locating the roots of the Steiner polynomial



H.C., M. Henk: On the location of roots of Steiner polynomials.
To appear in B. Braz. Math. Soc.

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Pure imaginary roots

- Not all roots can be imaginary pure complex numbers.

$$f_{K;E}(z) \neq \begin{cases} \text{vol}(E) \prod_{j=1}^{n/2} (z \pm b_j i), & n \text{ even} \\ \text{vol}(E)(z - a) \prod_{j=1}^{(n-1)/2} (z \pm b_j i), & n \text{ odd} \end{cases}$$

Locating the roots of the Steiner polynomial

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- A pure complex number bi , $b \in \mathbb{R}$ and $b \neq 0$, cannot be a root of the Steiner polynomial for any convex body $K \in \mathcal{K}^n$ with $n \leq 9$.

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- However, in arbitrary dimension (at least in $\dim n \geq 12$) the Steiner polynomial may have pure imaginary roots (but not all of them!).

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$$\mathcal{R}(n, E) := \{z \in \mathbb{C}^+ : f_{K;E}(z) = 0 \text{ for some } K \in \mathcal{K}^n\}$$

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Which complex numbers can be roots of the Steiner polynomial?



Problem: to determine $\mathcal{R}(n, E)$

$$\mathcal{R}(n, E) := \{z \in \mathbb{C}^+ : f_{K;E}(z) = 0 \text{ for some } K \in \mathcal{K}^n\}$$

Locating the roots of the Steiner polynomial

$$f_{K;E}(z) = \sum_{i=0}^n \binom{n}{i} W_i(K;E) z^i$$

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- By the isoperimetric inequality, for $n = 2$ the Steiner polynomial has only real roots. Therefore, $\mathcal{R}(2, E) = \{x + yi \in \mathbb{C}^+ : x \leq 0, y = 0\}$.

Locating the roots of the Steiner polynomial

Theorem: $n = 3$

If $E \in \mathcal{K}_0^3$ is a cap-body of a **planar** convex body then

$$\mathcal{R}(3, E) = \left\{ x + yi \in \mathbb{C}^+ : x + \sqrt{3}y \leq 0 \right\},$$

otherwise

$$\mathcal{R}(3, E) = \left\{ x + yi \in \mathbb{C}^+ : x + \sqrt{3}y < 0 \right\} \cup \{0\}.$$



Locating the roots of the Steiner polynomial

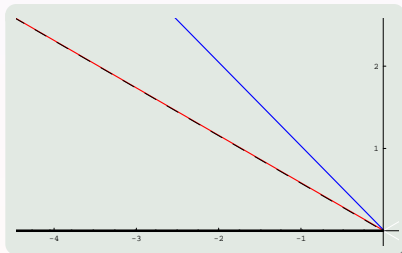
Theorem: $n = 4$

If $E \in \mathcal{K}_0^4$ is a cap-body of a 3-dimensional convex body then

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Moreover, if $n \geq 12$ then

$$\{z \in \mathbb{C}^+ : \operatorname{Re}(z) \leq 0\} \subset \mathcal{R}(n, E).$$

Locating the roots of the Steiner polynomial

Open questions:

- $\mathcal{R}(n, E) \subseteq \mathcal{R}(n+1, E)$ for all n ?



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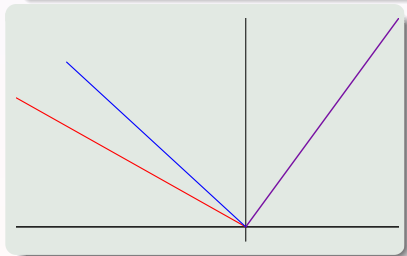
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Locating the roots of the Steiner polynomial

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- $\mathcal{R}(n, E) \subseteq \mathcal{R}(n+1, E)$ for all n ?
- For high dimensions, is the cone $\mathcal{R}(n, E)$ closer and closer to the upper half plane?, i.e.,

$$\mathcal{R}(n, E) \xrightarrow{n \rightarrow \infty} \mathbb{C}^+?$$



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- Is the closure $\text{cl } \mathcal{R}(n, E)$ independent of the gauge body E ?



Moreover, if $n \geq 12$ then

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Characterizing the gauge body E

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A first characterization: the gauge body E

Let $K \in \mathcal{K}^n$, let γ_i , $i = 1, \dots, n$, be the roots of $f_{K;E}(z)$, and let $a > 0$. Then $|\operatorname{Re}(\gamma_i)| = a > 0$ for all $i = 1, \dots, n$ if and only if $K = aE$ (up to translations).

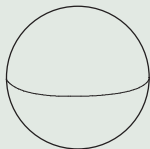
Characterizing the gauge body E

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- Thus, the Euclidean ball aB_n is characterized by an n -fold real root, $-a$, of the Steiner polynomial $f_{K;B_n}(z)$.



$$f_{K;B_n}(z) = \operatorname{vol}(B_n)(z+a)^n$$

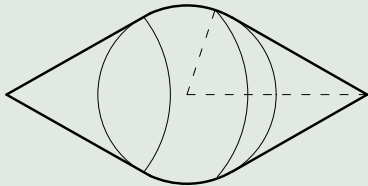
Characterizing cap-bodies

Characterizing cap-bodies of E

Let $K, E \in \mathcal{K}_0^n$, $E \subset K$, and let $\gamma_1, \dots, \gamma_n$ be the roots of $f_{K;E}(z)$. Then K is a cap-body of E if and only if there exists $\alpha \in (0, 1)$ such that $(1/\alpha)^{1/n}(1 + 1/\gamma_k)$, $k = 1, \dots, n$, are the n roots of unity, i.e.,

$$\gamma_k^{-1} = \alpha^{1/n} e^{\frac{2\pi(k-1)}{n}i} - 1, \quad \text{for } k = 1, \dots, n$$

Cap-body of B_3



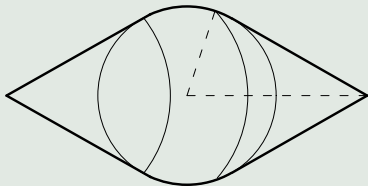
Characterizing cap-bodies

Explicitly, the roots of the Steiner polynomial of a cap-body K of E are

$$\gamma_k = \frac{-1 + \beta \cos \frac{2\pi(k-1)}{n}}{1 + \beta \left(\beta - 2 \cos \frac{2\pi(k-1)}{n} \right)} - \frac{\beta \sin \frac{2\pi(k-1)}{n}}{1 + \beta \left(\beta - 2 \cos \frac{2\pi(k-1)}{n} \right)} i,$$

with $\beta = (1 - \text{vol}(E)/\text{vol}(K))^{1/n}$, $k = 1, \dots, n$.

Cap-body of B_3



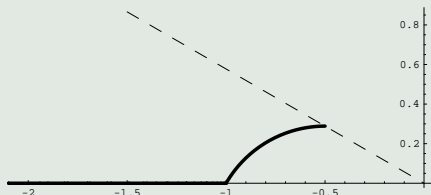
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Complex numbers z which are roots of $f_{K;B_3}(z)$ for any cap-body K of B_3 :

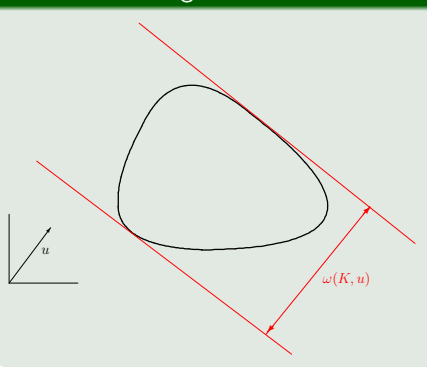


Characterizing constant width sets

Constant width sets

A convex body $K \in \mathcal{K}^n$ is a **constant width set** if the width keeps constant in any direction.

The width in a given direction



Characterizing constant width sets

Theorem

Let $b > 0$. A convex body $K \in \mathcal{K}^n$ verifies the relations

$$W_{n-k}(K; E) = \sum_{i=0}^k (-1)^i \binom{k}{i} b^{k-i} W_{n-i}(K; E) \quad (\dagger)$$

for $k = 0, 1, \dots, n$, if and only if **all the roots of its Steiner polynomial are symmetric with respect to $-b/2$.**

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In particular, if (\dagger) holds and n is odd then $-b/2$ is a root of $f_{K;E}(z)$.

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Remark:

Equations (\dagger) are not independent: they are equivalent to the $(n+1)/2$ relations obtained for only odd values of k .

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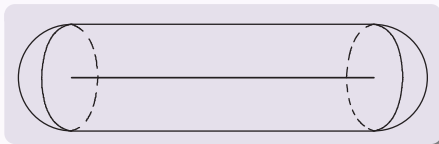
Constant width sets:

When $E = B_n$, **constant width sets** verify the relations (\dagger) . Thus, a constant width set K with breath b verifies that all the roots of $f_{K;B_n}(z)$ are symmetric with respect to $-b/2$.

Characterizing sausages

Conjecture

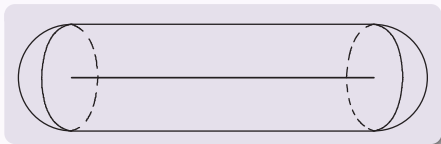
Let $K \in \mathcal{K}^n$, $n \geq 2$. Then K is a sausage with inradius $r(K)$ if and only if $-r(K)$ is an $(n-1)$ -fold root of $f_{K;B_n}(z)$.



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Theorem

Let $K \in \mathcal{K}^n$, $n \geq 2$. Then K is a sausage with inradius $r(K)$ if and only if $-r(K)$ is an $(n-1)$ -fold root of $f_{K;B_n}(z)$ and all its 2-dimensional projections onto any 2-dimensional linear subspace have inradius $r(K)$.

On the location of roots of Steiner polynomials

María A. Hernández Cifre
(joint work with Martin Henk)

Universidad de Murcia

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