

# **Representing abstract semigroups as semigroups of projections of $C^*$ -algebras**

Pere Ara, Francesc Perera, Friedrich Wehrung

The Fields Institute  
November 2007

## Some motivation

Part of the invariant used in classification is  $K_0$ , constructed out of the monoid (i.e. semigroup with 0)  $V(\cdot)$ .

For  $A$ ,

$$V(A) = \{[p] \mid p \text{ a projn in } M_\infty(A)\},$$

where  $[ ]$  is usual MvN equivalence.

In general,  $V(A)$  is a conical monoid:

$$x + y = 0 \text{ if and only if } x = y = 0.$$

Restricting to the real rank zero class, it further enjoys the following property:

**Definition.** A monoid  $M$  is termed a refinement monoid if

$$x_1 + x_2 = y_1 + y_2 \text{ in } M \Rightarrow \exists z_{ij} \text{ with}$$

	$x_1$	$x_2$
$y_1$	$z_{11}$	$z_{12}$
$y_2$	$z_{21}$	$z_{22}$

So we can ask:

**Question 1:** Which conical, refinement monoids  $M$  appear as  $V(A)$  for  $A$  with real rank zero?

**Digression.** Machinery developed by G. Bergman in the mid 70's allows to find, given any conical monoid with order unit, a (generally non-commutative) ring  $R$  such that  $V(R) \cong M$ .

(Here,  $V(R)$  is the monoid of iso classes of fg projective, say, right modules.)

Even in the purely algebraic case, it is of interest to determine which conical refinement monoids are representable as  $V(R)$  where  $R$  is a von Neumann regular ring.

[Wehrung, 1998] **Size matters!**  $\exists$  a conical refinement monoid of size  $\aleph_2$  not representable as  $V(R)$  for any regular ring  $R$ .

So can ask instead:

**Question 2:** Which conical, countable refinement monoids are representable as  $V(A)$  for a real rank zero  $A$ ?

## Graph algebras

**Setup.** Take  $E = (E^0, E^1, r, s)$  a graph (or quiver), with source and range maps

$$s: E^1 \rightarrow E^0, \quad e \mapsto s(e)$$

$$r: E^1 \rightarrow E^0, \quad e \mapsto r(e).$$

Assume  $E$  is countable and row-finite (i.e. every vertex emits finitely many edges, i.e.  $|s^{-1}(v)| < \infty$ ).

The graph  $C^*$ -algebra  $C^*(E)$  is the  $C^*$ -algebra generated by a universal Cuntz-Krieger family: a set of p/w orthogonal projns

$$\{p_v \mid v \in E^0\}$$

and partial isometries

$$\{s_e \mid e \in E^1\}$$

with

$$1) \quad s_e^* s_e = p_{r(e)}$$

$$2) \quad p_v = \sum_{e \in s^{-1}(v)} s_e s_e^*.$$

## Graph monoids

**Definition.** Given a row-finite graph  $E$ , define the graph monoid

$$M(E) = \langle a_v, v \in E^0 \mid a_v = \sum_{e \in s^{-1}(v)} a_{r(e)} \rangle$$

For example, if  $E$



then

$$M(E) = \langle a, b \mid a = a + b \rangle.$$

Write  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$  and  $\mathbb{N} = \{1', 2', 3', \dots\}$ . Then we can identify

$$M(E) \rightarrow \mathbb{N}_0 \sqcup \mathbb{N}, \quad a \mapsto 1', \quad b \mapsto 1.$$

In fact,  $M(E)$  is the projn monoid of the Toeplitz algebra.

## Basic structural results

**Theorem.** [Ara-Moreno-Pardo, 07] For a row-finite graph  $E$ , the natural monoid homomorphism

$$\gamma_E: M(E) \rightarrow V(C^*(E)), a_v \mapsto [p_v]$$

is an isomorphism.

Moreover:

**Theorem.** [Ara-Moreno-Pardo, 07] For a row-finite graph  $E$ , the monoid  $M(E)$  is always a refinement monoid, and is also separative.

$[a + c = b + c \text{ with } c \leq na \text{ and } c \leq mb \text{ implies } a = b.]$

So graph algebras provide us with refinement monoids, although not all  $C^*(E)$  have real rank zero:

**Theorem.** [Jeong-Park, 02]  $C^*(E)$  has real rank zero iff  $E$  satisfies condition (K): every vertex on a cycle has at least two cycles based on it.

## Changing the question

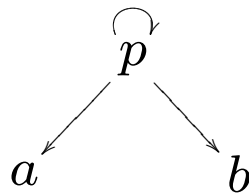
We can reformulate the question

**Question 3:** Which countable, conical, refinement monoids are isomorphic to  $M(E)$  for a row-finite graph  $E$ ?

We shall also restrict attention to fg monoids.

**Some negative situations:**

1) Consider the graph  $E$



so  $M(E) = \langle p, a, b \mid p = p + a + b \rangle$ .

This is not partially ordered  $\because p \leq p + a \leq p + a + b = p$ , yet  $p \neq p + a$ .

Its antisymmetrization is

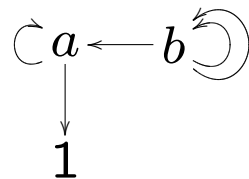
$$M_0 = \langle p, a, b \mid p = p + a = p + b \rangle,$$

still fg, refinement, conical. But

**Theorem 1.** (Ara-P-Wehrung, 07)  $M_0$  is not a graph monoid.

2) Let  $\mathbb{Z}^\infty = \mathbb{N}_0 \cup \{\infty\}$  with addition  $x + \infty = \infty$  for all  $x$ .

Consider the graph  $E$ :



We see that  $M(E) = \langle a, b, 1 \mid a = a + 1, b = 2b + a \rangle$

Note  $2(a + b) = a + a + 2b = a + b$  and

$$a + b + 1 = (a + 1) + b = a + b.$$

So can define

$$\epsilon: \mathbb{Z}^\infty \rightarrow M(E), \quad 1 \mapsto 1, \quad \infty \mapsto a + b,$$



and

$$\rho: M(E) \rightarrow \mathbb{Z}^\infty, 1 \rightarrow 1, a, b \mapsto \infty.$$

So  $\rho\epsilon = \text{id}$  but  $\epsilon\rho \neq \text{id}$ .

Hence  $\mathbb{Z}^\infty$  is a retract of  $M(E)$  with  $E$  finite.

Let us see the following:

**Theorem 2.** (Ara-P-Wehrung, 07).  $\mathbb{Z}^\infty$  is not a graph monoid of any finite graph.

**Proof.** Suppose otherwise, hence  $\exists$  a finite graph  $E$  and

$$\varphi: M(E) \rightarrow \mathbb{Z}^\infty \text{ an isomorphism.}$$

Put

$$U = \{v \in E^0 \mid \varphi(v) < \infty\} = \{x_1, \dots, x_m\}$$

$$V = \{v \in E^0 \mid \varphi(v) = \infty\} = \{y_1, \dots, y_n\}.$$

Put

$$\alpha_{i,i'} = |\{e \in E^1 \mid e: x_i \rightarrow x_{i'}\}|$$

$$\beta_{j,j'} = |\{e \in E^1 \mid e: y_j \rightarrow y_{j'}\}|$$

$$\gamma_{i,j} = |\{e \in E^1 \mid e: y_j \rightarrow x_i\}|$$

Note: No need to consider  $x_i \rightarrow y_j$  as would get  $x_i = y_j + a$  so  $\infty > \varphi(x_i) = \varphi(y_j) + \varphi(a) = \infty$ .

Hence, get a presentation of  $M(E)$ :

$$x_i = \sum_{i'=1}^m \alpha_{i,i'} x_{i'}$$

$$y_j = \sum_{i=1}^m \gamma_{i,j} x_i + \sum_{j'=1}^n \beta_{j,j'} y_{j'}$$

i.e. in obvious matrix notation:

$$(\dagger) \quad X_0 = AX_0, \quad Y_0 = BY_0 + CX_0.$$

Need a

**Lemma.** For any abelian group  $G$ , the only column vectors  $X, Y$  in  $G$  that satisfy  $(\dagger)$  are  $X = Y = 0$ .

**Proof of Lemma.**  $(\dagger)$  gives a presentation of  $M(E)$ , hence of  $\mathbb{Z}^\infty$  inside  $G$ , where the  $x$ 's are integers and the  $y$ 's are infinite. Thus:

$$y_j + x_i = y_j = 2y_j \Rightarrow x_i = y_j = 0 \forall i, j.$$

□

Now put  $G = \mathbb{Q}$  and  $X = 0$ .

Lemma  $\Rightarrow$  the only  $Y \in M_{n,1}(\mathbb{Q})$  that satisfies  $Y = BY$  is  $Y = 0$ .

$\therefore I - B$  is invertible.

But now, in  $(\dagger)$  we have  $X_0 = AX_0$  with  $X_0 \neq 0$  and if we put  $Y := (I - B)^{-1}CX_0$  we verify that

$$B(I - B)^{-1} = (I - B)^{-1} - I \quad \text{and} \quad Y = BY + CX_0$$

Hence  $(X_0, Y)$  satisfies  $(\dagger)$ , a contradiction as  $X_0 \neq 0$ . □

## Main result (I)– turning the negative into positive

**Definition.** An element  $p \in M$  is prime if

$$0 \neq p \text{ and } p \leq a_1 + a_2 \Rightarrow p \leq a_1 \text{ or } p \leq a_2.$$

$M$  is primely generated if every element is a sum of primes.

E.g.  $\mathbb{Z}^\infty$ . The prime elements here are 1,  $\infty$  and is clearly primely generated.

**Theorem.** [Brookfield 01] Every fg refinement monoid  $M$  is primely generated. And every element in  $M$  is either *regular* ( $2x \leq x$ ) or *free* ( $nx \leq mx \Rightarrow n \leq m$ ).

For any prime  $p$ , denote

$$L_{\text{free}}(M, p) =$$

$$\{q \text{ free prime} \mid q < p, \text{ and } \nexists r \text{ prime } q < r < p\}$$

## Main result (II)

**Theorem 2.** [Ara-P-Wehrung] Let  $M$  be a fg refinement monoid which is partially ordered. Then the following are equivalent:

- (i)  $M$  is a graph monoid.
- (ii) For every free prime,  $|L_{\text{free}}(M, p)| \leq 1$ .

**Corollary.**  $\mathbb{Z}^\infty$  is a graph monoid !

### Some ingredients in the proof.

**Definition.** An ideal of  $M$  is an subset  $I$  such that  $x + y \in I$  iff  $x, y \in I$ .

An ideal  $I$  defines a congruence:

$$x \sim y \iff x + z = y + t \text{ for } z, t \in I$$

Denote  $M/I = M / \sim$ .

**Lemma.** [Ara-Moreno-Pardo] The class of graph monoids is closed under ideals and quotients.

$$(i) \Rightarrow (ii)$$

Spse  $\exists p$  free such that  $|L_{\text{free}}(M, p)| \geq 2$ .

$\therefore \exists a, b$  free primes such that  $a < p$ ,  $b < p$  and nothing lies in between.

Write  $a + a' = p$ . Note  $p$  prime and  $a < p$  imply  $p \leq a'$ . Thus

$$p \leq a + p \leq a + a' + p = p \Rightarrow a + p = p$$

Likewise,  $p = b + p$ ,  $\therefore M$  contains a copy of

$M_0 = \langle a, b, p \mid p = p+a = p+b \rangle$  (not a graph monoid).

Put  $N = \{x \in M \mid x \leq np \text{ for some } n\}$ , an ideal of  $M$ , and

$$I = \{x \in N \mid a \not\leq x, b \not\leq x\},$$

an ideal of  $N$ .

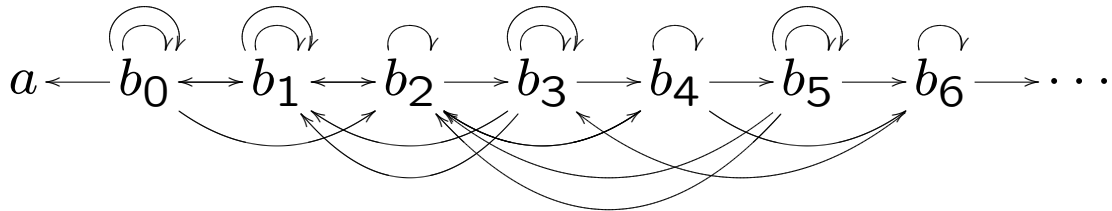
Note  $M_0 \subseteq N$ , hence  $\exists \epsilon: M_0 \rightarrow N/I$ .

**Lemma.**  $\epsilon$  is an isomorphism.

$\therefore N/I$  is not a graph monoid, hence neither is  $M$ .

$$(ii) \Rightarrow (i)$$

Start with  $\mathbb{Z}^\infty$ . What is its graph presentation?



The presentation  $(\ddagger)$  for  $M(E)$  is:

$$b_0 = 2b_0 + b_1 + b_2 + a$$

$$b_1 = b_0 + 2b_1 + b_2$$

$$b_2 = b_2 + b_1 + b_3 + b_4$$

$$b_3 = 2b_3 + 2b_1 + b_4$$

$$b_4 = b_4 + b_2 + b_5 + b_6$$

$$b_5 = 2b_5 + 2b_2 + b_6$$

$$b_6 = b_6 + b_3 + b_7 + b_8$$

Define  $\varphi: M(E) \rightarrow \mathbb{Z}^\infty$  by  $a \mapsto 1$  and  $b_i \mapsto \infty$  for all  $i$ , clearly surjective.

To prove 1-1, enough to show  $a + b_0 = b_0 = b_n = 2b_0$  for all  $n$ .



**Known.** Such an  $M(E) \hookrightarrow \prod G \cup \{\infty\}$  where the  $G$ 's are abelian groups with usual addition.

Thus enough to prove:

**Claim.** For any abelian group  $G$ , if  $a, b_n$  in  $G \cup \{\infty\}$  satisfy  $(\dagger)$ , then  $a + b_0 = b_0 = b_n = 2b_0$ .

To ease notation write  $x \sim y$  if  $x \leq ny$  and  $y \leq mx$ .  
From  $(\dagger)$  get:

$$b_1 \leq b_0 \text{ \& } b_0 \leq b_1 \Rightarrow b_0 \sim b_1$$

$$b_2 \leq b_1 \text{ \& } b_1 \leq b_2 \Rightarrow b_1 \sim b_2$$

...so  $b_i \sim b_j$  for all  $i, j$ .

$\therefore$  one  $b_i = \infty$  iff all are and the rels hold trivially.

May thus assume all  $b_i$ 's are in  $G$ .

1st equation:

$$b_0 = 2b_0 + b_1 + b_2 + a \Rightarrow a \in G \text{ and } b_0 + b_1 + b_2 + a = 0$$

2nd equation:

$$b_1 = b_0 + 2b_1 + b_2 \Rightarrow b_0 + b_1 + b_2 = 0 \text{ hence } a = 0$$

3rd and 4th eqns imply:

$$0 = b_1 + b_3 + b_4$$

$$0 = b_3 + 2b_1 + b_4, \text{ so also } b_1 = 0$$

5th and 6th eqns imply similarly that  $b_2 = 0$ , hence  $b_0 + b_1 + b_2 = 0$  ensures  $b_0 = 0$ .

Continuing in this way, find that  $a = b_n = 0$  for all  $n$ , hence rels also hold in a trivial way.  $\square$

## Two more questions

The construction for  $\mathbb{Z}^\infty$  gives a graph with condition (K), hence is representable with a graph  $C^*$ -algebra with real rank zero. The result however motivates.

**Question 3.** If  $M$  satisfies the conditions of the thm, when can we find a graph  $E$  with condition (K) such that  $M(E) \cong M$ ?

**Question 4.** Even if  $E$  does not satisfy (K), is it possible to represent  $M(E)$  as  $V(A)$  for  $A$  with real rank zero?

Take  $E$  as:



so  $M(E) = \langle a, b \mid a = a + b \rangle$ . Spse  $A$  has real rank zero and  $V(A) = M(E)$ .

Let  $I_0 = \{x \in M(E) \mid x \leq nb \text{ some } n\}$ , an ideal, and  $I_0 = V(I) \cong \mathbb{N}_0$  for an ideal of  $A$ ; so  $I$  is simple and so elementary.

This implies  $K_1(I) = 0$ .

Also  $V(A/I) = V(A)/V(I) \cong \mathbb{N}_0$ , so that similarly as before  $K_1(A/I) = 0$ . That implies:

$$0 \rightarrow K_0(I) \rightarrow K_0(A) \rightarrow K_0(A/I) \rightarrow 0$$

is exact, which is impossible as all three groups are  $\mathbb{Z}$ .