

II_1 factors with at most one Cartan subalgebra

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Joint work with Sorin POPA

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What do we classify?

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 (X, μ) standard probability measure space
 $\Gamma \curvearrowright (X, \mu)$ (ergodic) measure preserving action

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$\Gamma \curvearrowright X$ is *essentially-free* i.e. $\mu(\{x : sx = x\}) = 0 \ \forall s \in \Gamma \setminus \{1\};$

or

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How do we classify?

GA

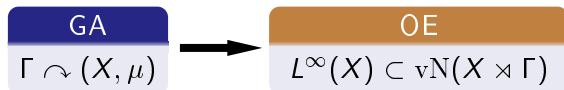
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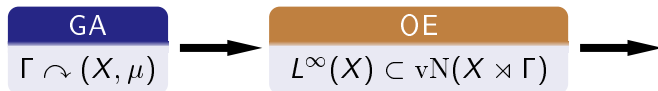
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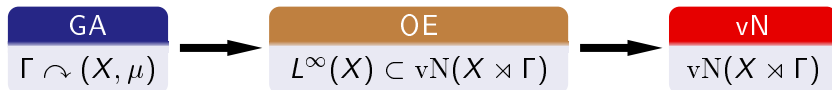
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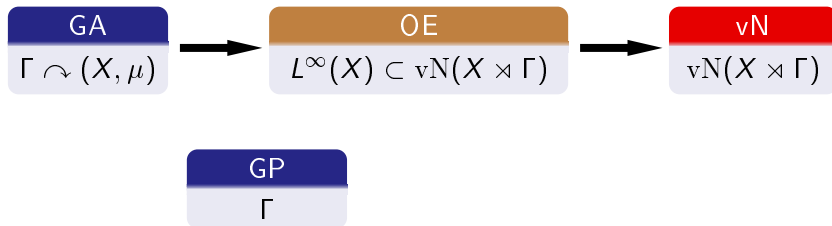
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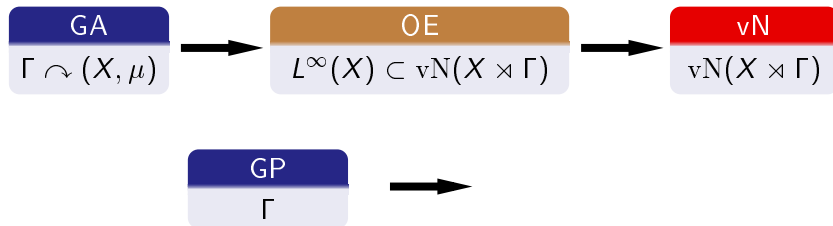
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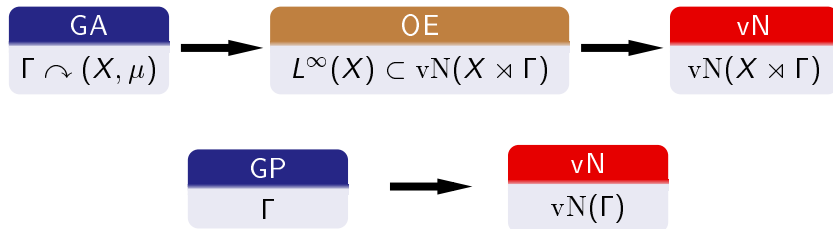
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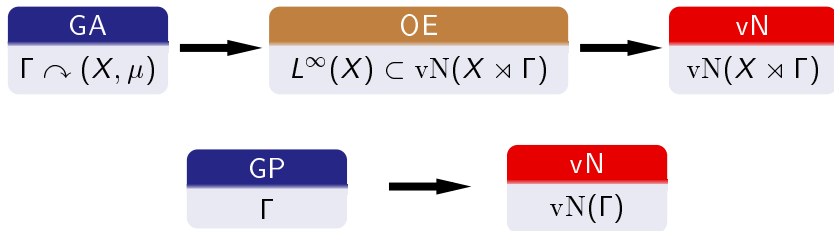
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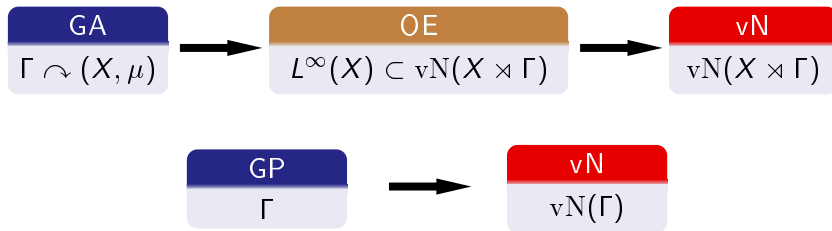


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Group measure space constructions

$$\Gamma \curvearrowright (X, \mu) \text{ p.m.p.} \iff \begin{aligned} \sigma &: \Gamma \curvearrowright L^\infty(X, \mu) \\ \sigma_s(f)(x) &= f(s^{-1}x) \\ \int \sigma_s(f) d\mu &= \int f d\mu \end{aligned}$$

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The unitary element $u_s = \sigma_s \otimes \lambda_s \in \mathbb{B}(L^2(X) \otimes \ell_2(\Gamma))$ satisfies

$$u_s f u_s^* = \sigma_s(f)$$

for all $f \in L^\infty(X, \mu)$, identified with $f \otimes 1 \in \mathbb{B}(L^2(X) \otimes \ell_2(\Gamma))$.

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We encode the information of $\Gamma \curvearrowright X$ into a single vN algebra

$$\text{vN}(X \rtimes \Gamma) := \left\{ \sum_{s \in \Gamma}^{\text{finite}} f_s u_s : f_s \in L^\infty(X) \right\}'' \subset \mathbb{B}(L^2(X) \otimes \ell_2(\Gamma)).$$

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$\text{vN}(X \rtimes \Gamma)$ is same as the crossed product vN algebra $L^\infty(X) \rtimes \Gamma$.

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$\text{vN}(X \rtimes \Gamma)$ is a vN algebra of type II_1 , with the trace τ given by

$$\tau\left(\sum_s f_s u_s\right) = \left\langle \sum_s f_s u_s (\mathbf{1} \otimes \delta_1), (\mathbf{1} \otimes \delta_1) \right\rangle = \int f_1 d\mu.$$

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Definition

A von Neumann subalgebra $A \subset M$ is called a *Cartan subalgebra* if it is a maximal abelian subalgebra such that the normalizer

$$\mathcal{N}(A) = \{u \in M : \text{unitary } uAu^* = A\}$$

generates M .

Orbit Equivalence Relation

Theorem (Singer, Dye, Krieger, Feldman-Moore 1977)

Let $\Gamma \curvearrowright X$ and $\Lambda \curvearrowright Y$ be ess-free p.m.p. actions, and

$$\theta: (X, \mu) \rightarrow (Y, \nu)$$

be an isomorphism. Then, the isomorphism

$$\theta^*: L^\infty(Y, \nu) \ni f \mapsto f \circ \theta \in L^\infty(X, \mu)$$

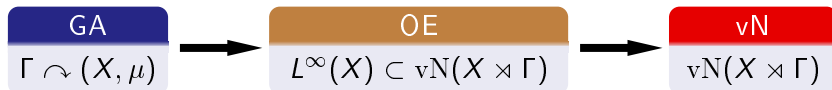
extends to a $*$ -isomorphism

$$\pi: \text{vN}(Y \rtimes \Lambda) \rightarrow \text{vN}(X \rtimes \Gamma)$$

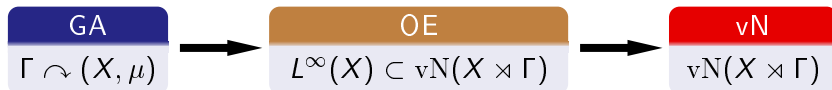
if and only if θ preserves the **orbit equivalence** relation:

$$\theta(\Gamma x) = \Lambda \theta(x) \quad \text{for } \mu\text{-a.e. } x.$$

Lack of rigidity



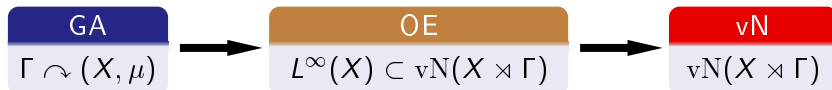
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$vN(X \rtimes \Gamma)$ is injective (amenable) $\Leftrightarrow \Gamma$ is amenable.

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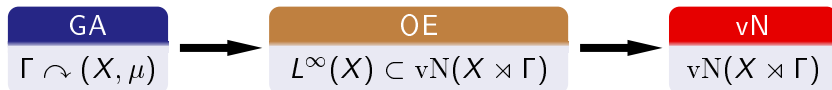


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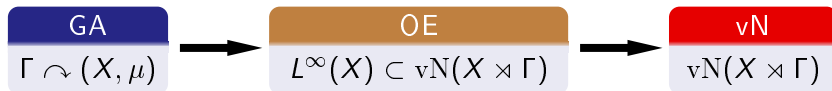
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Amenable **vN** and **OE** are unique modulo center.

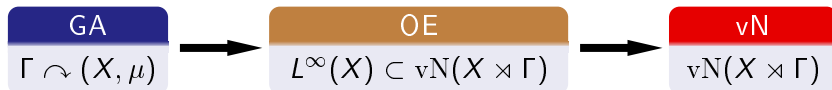
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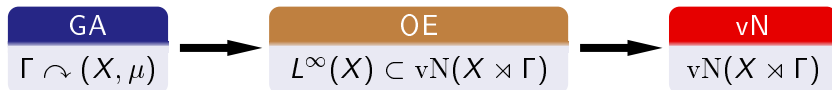
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OE \twoheadrightarrow **vN** *is not one-to-one,*
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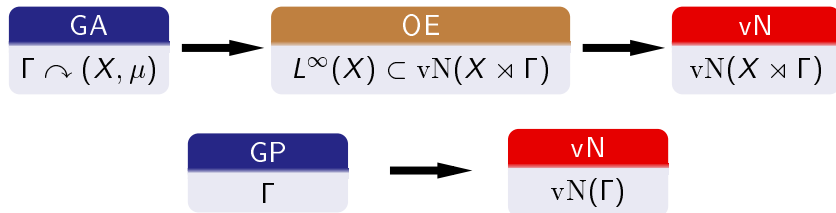
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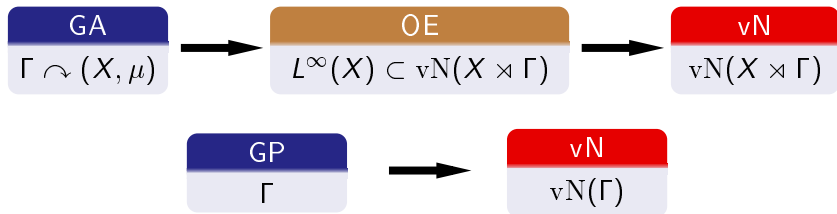
Theorem (Dykema 1993)

*$vN(\Gamma_1 * \Gamma_2) \cong vN(\mathbb{F}_2)$ for any infinite amenable groups Γ_1 and Γ_2 .*

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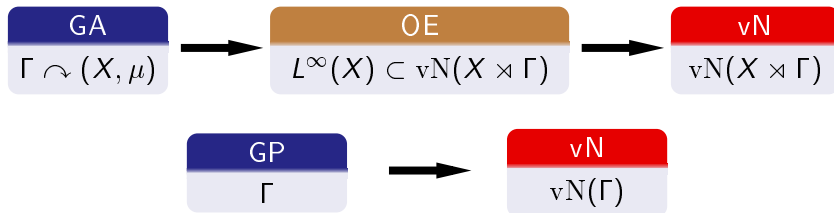
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\exists a II_1 -factor which is not $*$ -isomorphic to its complex conjugate.

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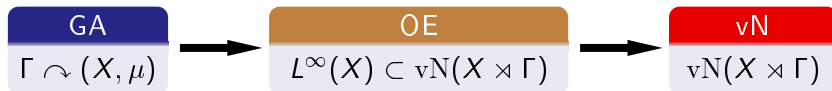
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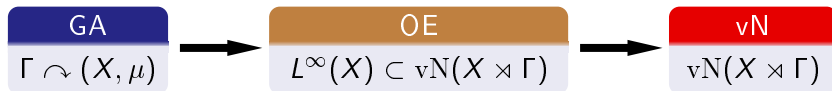
Theorem (Voiculescu 1994)

$vN(\mathbb{F}_r)$ does not have a Cartan subalgebra.

Rigidity



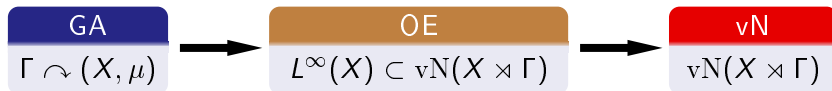
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Theorem (Furman 1999, (Monod-Shalom,) Popa, Kida, Ioana)

*Some **OE** fully remembers **GA**.*

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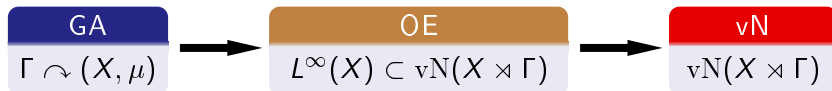
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*Some **vN** fully remembers **OE**, i.e., \exists a (non-amenable) II_1 -factor with a unique Cartan subalgebra*

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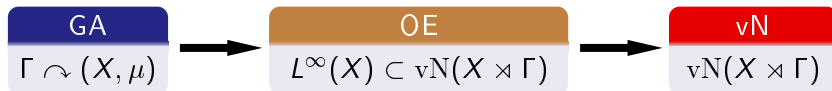
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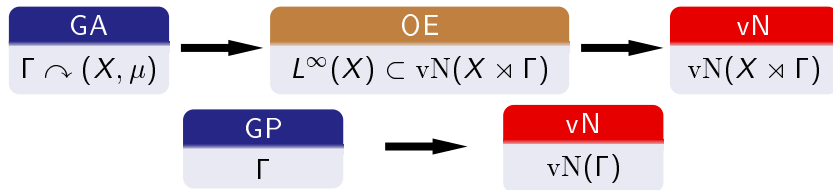
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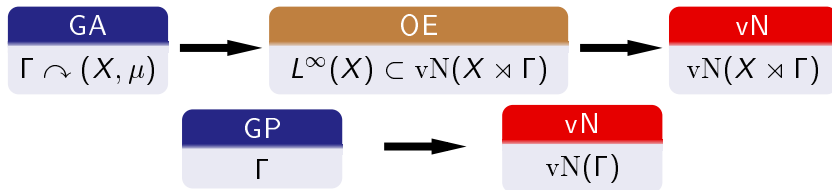
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Note: Popa (2000) proved $vN(\mathbb{Z}^2) \subset vN(\mathbb{Z}^2 \rtimes SL(2, \mathbb{Z}))$ is a unique “Cartan subalgebra with the relative property (T).”

Open problems

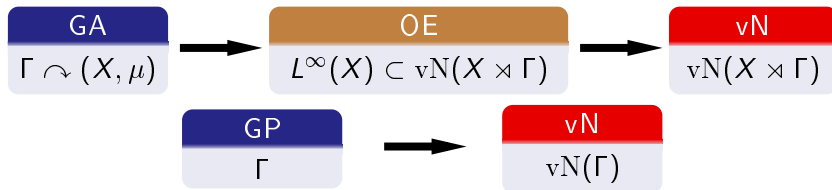


Open problems



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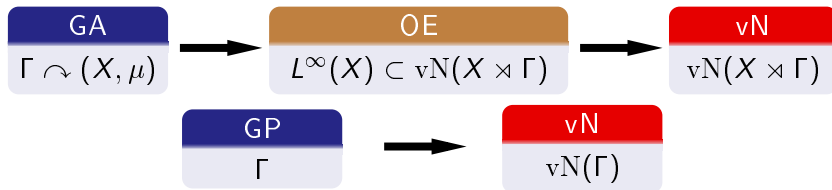
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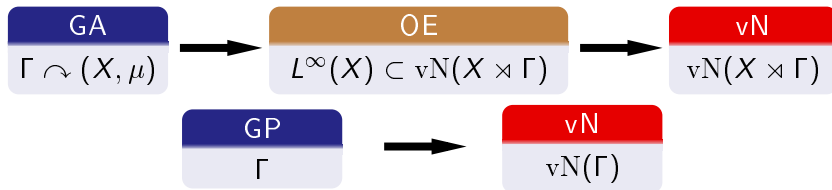
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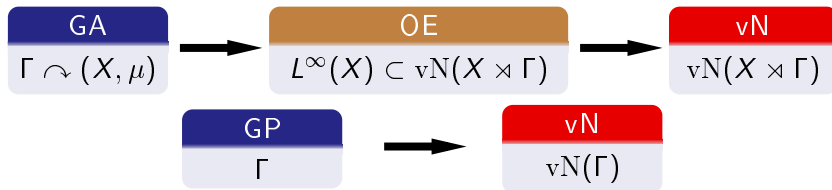
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Note: Popa (2004) proved $vN([0, 1]^\Gamma \rtimes \Gamma) \cong vN(Y \rtimes \Lambda)$ implies $(\Gamma \curvearrowright [0, 1]^\Gamma) \cong (\Lambda \curvearrowright Y)$ provided that Λ has the property (T).
 Further results by Popa and Vaes.

OE to Cocycle (after Zimmer)

Suppose $(\Gamma \curvearrowright X) \cong_{\text{OE}} (\Lambda \curvearrowright Y)$, i.e. $\exists \theta: X \xrightarrow{\sim} Y$ such that

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Cocycles α and β are *equivalent* if $\exists \phi: X \rightarrow \Lambda$ such that

$$\beta(x, s) = \phi(x)\alpha(x, s)\phi(s^{-1}x)^{-1}.$$

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Theorem (Cocycle Superrigidity)

With some assumption on $\Gamma \curvearrowright X$ (and not on Λ), *any* cocycle

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$$\sigma: \Gamma \curvearrowright L^\infty(X)$$

$$\alpha: \Gamma \rightarrow L^\infty(X, \text{vN}(\Lambda))$$

$$\cong L^\infty(X) \bar{\otimes} N$$

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Since $\sigma_s(f) = u_s f u_s^*$ in $\text{vN}(X \rtimes \Gamma)$,

$$\Gamma \ni s \mapsto \alpha_s u_s \in \text{vN}(X \rtimes \Gamma) \bar{\otimes} N$$

is a group homomorphism which extends to an inclusion

$$\Theta: \text{vN}(\Gamma) \hookrightarrow \text{vN}(X \rtimes \Gamma) \bar{\otimes} N.$$

Profinite Action

Definition

An ergodic action $\Gamma \curvearrowright X$ is *profinite* if $X = \varprojlim \Gamma/\Gamma_n$ for some finite index subgroups $\Gamma \geq \Gamma_1 \geq \Gamma_2 \geq \cdots$;
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Because of the Kazhdan property (T), for a large n ,
 $\Theta(\text{vN}(\Gamma))$ is almost contained in $\text{vN}((\Gamma/\Gamma_n) \rtimes \Gamma) \bar{\otimes} N$.

Complete Metric Approximation Property

Definition

A group Γ has the CMAP if $\exists f_n$ such that

- $f_n: \Gamma \rightarrow \mathbb{C}$ finitely supported,
- $f_n \rightarrow 1$ pointwise,
- $\|m_{f_n}\|_{\text{cb}} \leq 1$.

Here the Herz-Schur multiplier $m_f: \text{vN}(\Gamma) \rightarrow \text{vN}(\Gamma)$ is defined by

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Theorem (De Cannière-Haagerup 1985, Cowling-Haagerup 1989)

Besides amenable groups, *free groups \mathbb{F}_r* have the CMAP,
 and so are discrete subgroups of $\text{SO}(n, 1)$ and $\text{SU}(n, 1)$.

Groups with CMAP

Theorem A (Oz-Popa)

Suppose Γ CMAP and $\exists \Lambda \triangleleft \Gamma$ infinite normal amenable subgroup. Then, \exists a Λ -invariant mean on $\ell_\infty(\Lambda)$, which is $\text{Ad}(\Gamma)$ -invariant. In particular, Γ is inner-amenable.

Proof (Assuming Λ is abelian).

Recall $\text{vN}(\Lambda) \cong L^\infty(\widehat{\Lambda})$ via the Fourier transform $\ell_2(\Lambda) \cong L^2(\widehat{\Lambda})$. Let $\tau_0: C(\widehat{\Lambda}) \rightarrow \mathbb{C}$ be the evaluation at the trivial character 1. $f: \Lambda \rightarrow \mathbb{C}$ fin. supp. $\Rightarrow \tau_0 \circ m_f \cong \widehat{f} \in L^1(\widehat{\Lambda})$ and $\|\widehat{f}\|_1 = \|m_f\|_{\text{cb}}$. Take (f_n) as in Definition. Then $\forall s \quad \|m_{f_n} - m_{f_n} \circ \text{Ad}_s\|_{\text{cb}} \rightarrow 0$. Hence, if $\ell_2(\Lambda) \ni \xi_n \xrightarrow{\text{Fourier}} |\widehat{f_n}|_{\Lambda}|^{1/2} \in L^2(\widehat{\Lambda})$, then $|\xi|^2 \in \ell_1(\Lambda)$ is approximately Λ -invariant and approximately $\text{Ad}(\Gamma)$ -invariant.

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The lamplighter group

$$(\mathbb{Z}/2\mathbb{Z}) \wr \mathbb{F}_r = \left(\bigoplus_{\mathbb{F}_r} (\mathbb{Z}/2\mathbb{Z}) \right) \rtimes \mathbb{F}_r$$

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Theorem (de Cornulier-Stalder-Valette)

The lamplighter group $(\mathbb{Z}/2\mathbb{Z}) \wr \mathbb{F}_r$ has the Haagerup property.

von Neumann algebra with CMAP

Definition

A finite vN algebra M has the CMAP if $\exists \phi_n$ such that

- $\phi_n: M \rightarrow M$ finite rank,
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- Γ has CMAP and $\Gamma \curvearrowright X$ profinite $\Rightarrow \text{vN}(X \rtimes \Gamma)$ has CMAP.
 (Note: $\text{vN}(X \rtimes \Gamma)$ can be non-(Γ).)

Upgrading Theorem A

Use $\mu: P \otimes \bar{P} \ni \sum a_k \otimes \bar{b}_k \mapsto \tau(\sum a_k b_k^*) \in \mathbb{C}$ instead of τ_0 .

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- $P \subset \text{vN}(\mathbb{F}_r)$ diffuse amenable $\Rightarrow \mathcal{N}(P)''$ amenable.
- Q CMAP $\Rightarrow Q \bar{\otimes} \text{vN}(\mathbb{F}_r)$ has no Cartan subalgebra.
- $\mathbb{F}_r \curvearrowright X$ profinite $\Rightarrow L^\infty(X) \subset \text{vN}(X \rtimes \mathbb{F}_r)$ is the unique Cartan subalgebra.

Proof in the case of $P \subset \text{vN}(\mathbb{F}_r)$ diffuse amenable

Let $a_1, \dots, a_r \in M = \text{vN}(\mathbb{F}_r)$ be the standard unitary generators, and $M_1 = \langle b_1, \dots, b_r \rangle$ be a copy of $\text{vN}(\mathbb{F}_r)$.

For $t \in \mathbb{R}$, define a $*$ -homomorphism $\alpha_t: M \rightarrow M * M_1$ by

$$\alpha_t(a_k) = a_k \exp(t \log b_k).$$

Observe that $E_M \circ \alpha_t$ is the Haagerup multiplier on M associated with $\mathbb{F}_r \ni s \mapsto \gamma_t^{|s|} \in \mathbb{R}$, where $\gamma_t = \tau(\exp(t \log b_k)) = \frac{\sin(\pi t)}{\pi t}$.

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Proof in the case of $P \subset \text{vN}(\mathbb{F}_r)$ diffuse amenable

Let $a_1, \dots, a_r \in M = \text{vN}(\mathbb{F}_r)$ be the standard unitary generators, and $M_1 = \langle b_1, \dots, b_r \rangle$ be a copy of $\text{vN}(\mathbb{F}_r)$.

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Thank you to organizers

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wish you all a happy holiday season!

