# The Gauge Group of a Strongly Spatial $E_0$ -semigroup

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# CP-semigroups and E<sub>0</sub>-semigroups

#### Definition

A CP-semigroup is a family  $\{\alpha_t : t \ge 0\}$  of normal completely positive maps of B(H) such that:

- $\alpha_t \circ \alpha_s = \alpha_{t+s}$ , for all  $t, s \ge 0$
- $\alpha_0(X) = X$ , for all  $X \in B(H)$ ;
- the map  $t \mapsto \langle \alpha_t(X)\xi, \eta \rangle$  is continuous, for all  $\xi, \eta \in H$ ,  $X \in B(H)$

We call it an E-semigroup if  $\alpha_t$  is a \*-endomorphism for all t. If  $\alpha_t(I) = I$  it is called an E<sub>0</sub>-semigroup .

### Definition

A unit for an  $E_0$ -semigroup  $\alpha$  on B(H) is a strongly continuous one-parameter semigroup of operators  $T_t$  such that  $T_0 = I$  and

$$\alpha_t(X)T_t = T_tX, \quad \forall t \geq 0, \forall X \in B(H).$$

# Cocycles and the Gauge group

#### Definition

An cocycle is a strongly continuous family of operators  $(C_t)_{t\geq 0}$  in B(H) such that

$$C_{t+s} = C_t \alpha_t(C_s)$$
 for  $t, s \ge 0$ .

We say that the cocycle  $C_t$  is local if  $C_t \in \alpha_t(B(H))'$  for all t > 0.

### Definition

The gauge group of an  $E_0$ -semigroup  $\alpha$  is given by

$$G(\alpha) = \{ \text{ unitary local cocycles of } \alpha \}$$

# Cocycle Conjugacy

#### Definition

Two  $E_0$ -semigroups  $\alpha$ ,  $\beta$  are cocycle equivalent if there exists a unitary  $\alpha$ -cocycle  $(U_t)_{t\geq 0}$  such that

$$\beta_t(X) = U_t \alpha_t(X) U_t^*.$$

They are cocycle conjugate ( $\alpha \sim \beta$ ) if there exists a conjugacy  $\theta$  such that  $\alpha$  and  $\theta^{-1} \circ \beta \circ \theta$  are cocycle equivalent.

### Remark

If *E* is the product system of an E<sub>0</sub>-semigroup  $\alpha$ , then  $G(\alpha) \simeq Aut(E)$ .

# Action of the Gauge group on the Units

#### Remark

If  $U_t$  is a local unitary cocycle and  $T_t$  is a unit, then  $U_tT_t$  is a unit.

### Theorem (Arveson, Memoirs AMS 1989)

If  $\alpha$  is an  $E_0$ -semigroup of type  $I_n$ , then for every  $(a, \psi) \in \mathbb{C} \times \mathbb{C}^n$  there exists a unique unit  $T_t(a, \psi)$ . Furthermore, if  $U_t$  is a unitary local cocycle, there exists a unique triple  $(\lambda, \xi, W) \in \mathbb{R} \times \mathbb{C}^n \times U(n)$  such that

$$U_t T_t(a, \psi) = T_t(a + i\lambda - \|\xi\|^2 / 2 - \langle W\psi, \xi \rangle, \xi + W\psi), \quad \forall a, \psi.$$

### Corollary

Let  $\alpha$  be an  $E_0$ -semigroup of type I. The action of  $G(\alpha)$  on the set of normalized units is transitive.

### Full tuples of units

#### Definition

Given two CP-semigroups  $\alpha$  and  $\beta$ , we say that  $\beta$  is a subordinate of  $\alpha$ , denoted  $\beta \leq \alpha$ , if  $\alpha_t - \beta_t$  is completely positive for all  $t \geq 0$ .

### Definition

Let  $\alpha$  be an E<sub>0</sub>-semigroup of index n. We will say that a k-tuple of units ( $k \ge n + 1$ ) is full if together its elements generate the subordinate E-semigroup of type  $I_n$ .

### Definition

Two full n-tuples of units  $(U_t^{(0)}, U_t^{(1)}, \dots, U_t^{(n)})$  and  $(V_t^{(0)}, V_t^{(1)}, \dots, U_t^{(n)})$  share the same covariances if

$$(U_t^{(j)})^* U_t^{(k)} = (V_t^{(j)})^* V_t^{(k)}, \quad \forall j, k, t \ge 0$$

### N-fold transitivity

### Definition

Let  $\alpha$  be an E<sub>0</sub>-semigroup of index n. We will say that  $G(\alpha)$  is (n+1)-fold transitive if given any two full n+1-tuples  $((U_t^{(0)}, U_t^{(1)}, \ldots, U_t^{(n)})$  and  $(V_t^{(0)}, V_t^{(1)}, \ldots, U_t^{(n)})$  sharing the same covariances, there exists a local unitary cocycle  $\theta_t$  such that  $\theta_t U_t^{(k)} = V_t^{(k)}$ , for all  $k, t \geq 0$ 

#### Remark

If an  $E_0$ -semigroup is of type  $I_n$ , then its gauge group is (n+1)-fold transitive.

# Lack of N-fold transitivity

### Theorem (M.-Powers)

There exists an  $E_0$ -semigroup of type  $II_1$  whose gauge group is not 2-fold transitive.

#### Theorem (Tsirelson)

There exists an  $E_0$ -semigroup whose gauge group is not transitive.

### Corollary $(II_1 \neq II_0 \otimes I_1)$

There exists a type  $II_1$   $E_0$ -semigroup which is not the tensor product of an  $E_0$ -semigroup of type  $II_0$  and another of type  $I_1$ .

# Powers Approach (New York Journal of Math. 2003)

#### Definition

Let K be a separable Hilbert space, and let  $H = K \otimes L^2(0, \infty)$ . Let  $U_t$  denote the right translation of H by  $t \geq 0$ . We will say that a CP semigroup  $\alpha$  of B(H) is a CP-flow if  $U_t$  is a unit of  $\alpha$ .

#### Basic definitions

- $\delta$  = generator of CP-flow  $\alpha$
- -d = generator of  $U_t$
- $\pi_0$  = boundary representation of  $\mathcal{D}(\delta)$  on  $K \simeq \mathcal{D}(d^*)/\mathcal{D}(d)$
- $\Lambda: B(K) \to B(H)$  given by  $(\Lambda(A)f)(x) = e^{-x}Af(x)$
- $\bullet \ \Lambda = \Lambda(I)$
- Boundary weight map  $\rho \mapsto \omega(\rho)$  from  $B(H)_*$  to boundary weights on  $[(I \Lambda^{1/2})B(H)(I \Lambda^{1/2})]_*$ .

# Strongly Spatial CP-flows

#### Definition

A CP-semigroup  $\alpha$  is strongly spatial if there exists  $\beta$  cocycle conjugate to  $\alpha$  whose boundary representation is  $\sigma$ -weakly continuous and unital.

### Theorem (Powers)

Suppose  $\pi: B(H) \to B(K)$  is a  $\sigma$ -weakly continuous CP contraction. Then the map

$$\omega(\rho) = \hat{\pi}(\rho) + \hat{\pi}(\hat{\Lambda}(\hat{\pi}(\rho))) + \hat{\pi}(\hat{\Lambda}(\hat{\pi}(\hat{\Lambda}(\hat{\pi}(\rho))))) + \dots$$

converges as a weight and it is the boundary weight of the minimal CP flow which is derived from  $\pi$ . Furthermore it is the unique such CP-flow if  $(\pi \circ \Lambda)^n(I) \to 0$  weakly.

# Framework for the examples

•  $K = \bigotimes_{j=1}^{\infty} L^2(0, \infty)$  with reference vector  $v_1 \otimes v_2 \otimes \cdots$  where

$$v_j(x) = \lambda_j e^{-\frac{1}{2}\lambda_j^2 x}$$

where  $\lambda_i > 0$  for all j and

$$\sum_{j=1}^{\infty} \frac{|\lambda_j - \lambda_{j+1}|^2}{\lambda_j^2 + \lambda_{j+1}^2} < \infty, \qquad \sum_{j=1}^{\infty} \lambda_j^{-2} < \infty$$

(example  $\lambda_i = j$  but not  $2^j$ ).

- $H = K \otimes L^2(0, \infty)$
- $\pi : B(H) \to B(K)$  given by  $\pi(X) = SXS^*$  where

$$S(f_1 \otimes f_2 \otimes \cdots \otimes h) = h \otimes f_1 \otimes f_2 \otimes \cdots$$

•  $\Delta := \lim_{n \to \infty} (\pi \circ \Lambda)^n(I) \neq 0.$ 

### Existence

### Theorem (M.-Powers)

Suppose  $\alpha$  is a CP-flow derived from  $\pi$  as given above, with boundary weight  $\omega$ . The  $\omega$  is of the form

$$\omega(\rho) = \omega^1(\rho) + \rho(\Delta)\xi$$

where  $\omega^1$  is the boundary weight of the minimal CP-flow derived from  $\pi$  and  $\xi$  is a positive boundary weight with  $\xi(I-\Lambda) \leq 1$ . The CP flow  $\alpha$  is unital if and only if  $\xi(I-\Lambda) = 1$ . Furthermore, there exist unital CP-flows derived from  $\pi$  which are strongly spatial but with  $\xi \neq 0$ .

### Theorem (M.-Powers)

If  $\alpha$  is the Bhat dilation of a strongly spatial CP-flow as above with  $\xi \neq 0$ , then it has index one but its gauge group is not 2-fold transitive.

### Corners

### Definition (Powers)

Suppose that  $\alpha$  and  $\beta$  are E<sub>0</sub>-semigroups. We say that  $\gamma$  is a corner from  $\alpha$  to  $\beta$  if  $\theta_t$  given by

$$\theta_t \left( \begin{array}{cc} A & B \\ C & D \end{array} \right) = \left( \begin{array}{cc} \alpha_t(A) & \gamma_t(B) \\ \gamma_t^*(C) & \beta_t(D) \end{array} \right)$$

is a CP semigroup. We say  $\gamma$  is hypermaximal if for every CP semigroup  $\theta'_t$  subordinate to  $\theta_t$  of the form

$$\theta'_t \left( \begin{array}{cc} A & B \\ C & D \end{array} \right) = \left( \begin{array}{cc} \alpha'_t(A) & \gamma_t(B) \\ \gamma^*_t(C) & \beta'_t(D) \end{array} \right),$$

we have that  $\alpha'_t = \alpha_t$  and  $\beta'_t = \beta_t$ .

### Some main ideas of the proof

### Remark

Unitary Local Cocycles for  $\alpha \Leftrightarrow$  Hypermaximal corners from  $\alpha$  to  $\alpha$ 

### Outline

- In our case, look for local unitary cocycles leaving right shift invariant (=flow corners)
- Observe that if  $\gamma$  is a flow corner corresponding to a rotation, then  $\theta_t = \begin{pmatrix} \alpha_t' & \gamma_t \\ \gamma_t^* & \beta_t' \end{pmatrix}$  has to be derived from  $\begin{pmatrix} \pi & z\pi \\ \overline{z}\pi & \pi \end{pmatrix}$  for some  $|z| \leq 1$ .
- Describe possible boundary weight maps of possible  $\theta_t$ ; in this case a dychotomy appears: z = 1 versus  $z \neq 1$ .
- Only z = 1 possible in the hypermaximal case.