

PROBLEM LIST FROM ‘CURRENT TRENDS IN HARMONIC ANALYSIS’ FIELDS INSTITUTE, JANUARY—JUNE 2008

COMPILED BY MICHAEL LACEY

ABSTRACT. These are problems that were suggested by participants in the *Thematic Program on New Trends in Harmonic Analysis*, held January—June 2008 at the Fields Institute, at the University of Toronto, Canada.

The presentation of the problems is kept to a minimum, largely sticking to a statement of the problem, a small number of remarks, and and equality small bibliography. Those intrigued by a particular problem should survey the literature, starting with the cited references, for a fuller understanding of the problem and its subtleties.

CONTENTS

1. Interpolating Blaschke Products	1
2. Corona Theorem with Bounds	3
3. Quasiconformal Maps	4
4. Hilbert Space valued Holomorphic maps	4
5. Hankel Forms on Dirichlet Spac	5
6. Cauchy Transforms of Measures	6
7. Positive Polynomials on the Tridisk	7
8. On Certain L^p Operators	7
9. Bounds for Polynomials on the Bidisk	7
10. Trilinear Hilbert Transform	8
11. Small Ball Inequality	8

1. INTERPOLATING BLASHKE PRODUCTS

From the talk of Artur Nicolau Recall that a sequence $\{z_n\} \in \mathbb{D}$ is *interpolating* iff the trace of $H^\infty(\mathbb{D})$ on the set $\{z_n\}$ is all of ℓ^∞ . Namely,

$$\{\{\varphi(z_n) : n \in \mathbb{N}\} : \varphi \in H^\infty(\mathbb{D})\} = \ell^\infty(\mathbb{N}).$$

Theorem (Carleson's Theorem characterizing interpolating sequences). $\{z_n\}$ is interpolating iff

a. (Zeros are separated in the hyperbolic metric.)

$$\inf_{m \neq n} \frac{|z_m - z_n|}{|1 - \bar{z}_m z_n|} > 0.$$

b. (Zeros determine a Carleson measure.) There is a constant C so that for all balls B with center on the boundary of the disk,

$$\sum_n 1 - |z_n| \leq C \text{radius}(B).$$

Call a Blaschke product B an *interpolating Blaschke product* iff the zeros of B , written $Z(B)$ form an interpolating sequence. This is equivalent to the two conditions below.

$$\inf_{z \in Z(B)} (1 - |z|^2) |B'(z)| > 0,$$

$$|B(z)| \simeq \inf_{z \in Z(B)} \frac{|z - w|}{|1 - \bar{z}w|} \quad z \in \mathbb{D}.$$

Recall that analytic I is *inner* iff almost all radial limits of I at the boundary have complex modulus one.

Question (J. Garnett and Peter Jones, 1981). For all inner I and $\epsilon > 0$ does there exist an interpolating Blaschke product B such that $\|B - I\|_\infty < \epsilon$?

Remark. One can construct two Blaschke products B and B^* so that the zeros of B^* are a hyperbolic perturbation of B , with the perturbation of arbitrarily small size, and yet $\|B - B^*\|_\infty = 2$.

Question. For all inner I and $\epsilon > 0$ does there exist an interpolating Blaschke product B such that $\|\arg(B) - \arg(I)\|_{\text{BMO}(\partial\mathbb{D})} < \epsilon$? Equivalently, does there exist $h \in H^\infty$, h invertible in H^∞ , such that $\|I - Bh\|_\infty < \epsilon$?

Question (Nikolskii, 1984). For all inner I does there exist an interpolating Blaschke product B such that

$$\text{dist}(I\bar{B}, H^\infty) < 1,$$

$$\text{dist}(\bar{I}B, H^\infty) < 1.$$

Remark. The last condition has an equivalent formulation in terms arising from the Helson-Szego Theorem.

Question. For all $\epsilon > 0$ and any sequence of zeros $\{z_n\}$ arising from a Blaschke product B does there exist an interpolating sequence $\{y_n\}$ so that

$$\left\| \sum_n \ln|x - z_n|^2 - \ln|x - y_n|^2 \right\|_{\text{BMO}(\mathbb{R})} < \epsilon.$$

That this is true with ϵ replaced by a fixed constant C is a result of Nicolau and Suárez.

Jones, Peter W. 1981. *Ratios of interpolating Blaschke products*, Pacific J. Math. **95**, no. 2, 311–321. MR **632189** (82m:30032)

Nicolau, Artur and Daniel Suárez. 2006. *Approximation by invertible functions of H^∞* , Math. Scand. **99**, no. 2, 287–319. MR 2289026

Nikol’skiĭ, N. K. 1986. *Treatise on the shift operator*, Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], vol. 273, Springer-Verlag, Berlin. Spectral function theory; With an appendix by S. V. Hruščev [S. V. Khrushchëv] and V. V. Peller; Translated from the Russian by Jaak Peetre. MR **827223** (87i:47042)

2. CORONA THEOREM WITH BOUNDS

From Sergei Treil Let $\psi : \mathbb{R}_+ \longrightarrow \mathbb{R}_+$ be decreasing, bounded, and satisfying

$$\int_0^\infty \psi(x) dx = \infty.$$

Set $\varphi(x) = x^2\psi(x)$. Is the following true? There exist $\tau \in H^\infty(\mathbb{D})$ and integer n , $f_1, \dots, f_n \in H^\infty(\mathbb{D})$ so that

$$|\tau(x)| \leq \varphi(|(f_1, \dots, f_n)|)$$

where the norm of the vector is euclidean norm, and τ is not in the ideal generated by f_1, \dots, f_n .

Let H^∞ be any of the spaces $H^\infty(\mathbb{D}^n)$ or $H^\infty(\mathbb{B}^n)$ for $n \geq 2$.

Problem. Give a ‘real variable’ description of $(H^\infty)^\perp$. In the case of the polydisc this is the space

$$(H^\infty(\mathbb{D}^n))^\perp = \{\varphi \in L^1((\partial\mathbb{D})^n) : \langle \varphi, \psi \rangle = 0 \ \forall \ \psi \in H^\infty(\mathbb{D}^n)\}.$$

By ‘real variable’ is meant a description which if specialized to the case of $n = 1$ coincides with the description of $H^1(\mathbb{D})$ in terms of maximal function, area integral or some cognate description.

Problem. Let F be a (rectangular) matrix with entries in H^∞ with H^∞ left inverse. Can F be completed to a square H^∞ invertible matrix?

Remark. True for $n = 1$. The proof is short, can be found in standard references, such as Nikol’skiĭ. Beurling’s theorem is used in the proof.

Treil, Sergei. 2007. *The problem of ideals of H^∞ : beyond the exponent 3/2*, J Funct. Anal. **253**, 220–240, available at [arXiv.org/abs/math/0702806](https://arxiv.org/abs/math/0702806).

3. QUASICONFORMAL MAPS

From Ignacio Uriarte-Tuero, by way of K. Astala A function $f : \mathbb{C} \rightarrow \mathbb{C}$ is K -quasiconformal if it is an orientation preserving homeomorphism, $f \in W_{\text{loc}}^{1,2}$ and

$$|Df|^2 \leq K J(f)$$

where J is the Jacobian. Equivalently, f is a homeomorphism solving the Beltrami equation $\bar{\partial}f = \mu\partial f$, $\|\mu\|_{\infty} \leq k < 1$ where

$$K = \frac{1+k}{1-k}.$$

Theorem (Astala). *If $E \subset \mathbb{C}$ is compact,*

$$\frac{1}{K} \left(\frac{1}{\dim(E)} - \frac{1}{2} \right) \leq \frac{1}{\dim(f(E))} - \frac{1}{2} \leq K \left(\frac{1}{\dim(E)} - \frac{1}{2} \right)$$

All dimensions are Hausdorff. This means that if $\dim(E) \leq d$, then $\dim(f(E)) \leq d'$, where d' can be computed from the Theorem above. This next problem asks for an essential strengthening of this observation.

Question (Astala). *For d' as above,*

$$\mathcal{H}^d(E) = 0 \quad \text{implies} \quad \mathcal{H}^{d'}(f(E))?$$

Astala, Kari. 1994. *Area distortion of quasiconformal mappings*, Acta Math. **173**, no. 1, 37–60. MR **1294669** (95m:30028b)

4. HILBERT SPACE VALUED HOLOMORPHIC MAPS

From Joseph Cima Let B be the open unit ball of a separable Hilbert space H . For $F : B \rightarrow H$ the Frechet derivative of F at $x \in B$ is a bounded linear map $L : H \rightarrow H$ such that

$$\lim_{\|y\| \rightarrow 0} \frac{F(x+y) - F(x) - L(y)}{\|y\|} = 0.$$

Write $L = DF$. Say that F is holomorphic if $DF(x)$ exists for all $x \in B$ and the map $x \rightarrow DF(x)$ is continuous in the uniform topology on $B(H)$, the space of bounded linear operators on H .

Question. *Suppose that $F : B \rightarrow H$ is holomorphic, injective and $F(B)$ is an open set. Is F biholomorphic, that is is every $DF(x)$ invertible for all $x \in B$.*

Remark. The previous question is true for $H = \mathbb{C}^n$.

Question. Assume $F : B \longrightarrow H$ is holomorphic and $K \subset B$ is compact. If F is injective on B and biholomorphic on $B - K$, is F biholomorphic on B ?

For this last question, the answer is yes in \mathbb{C}^n .

Question. If $F : B \longrightarrow H$ is holomorphic and injective on B and $DF = 0$ can $F(B)$ be an open set?

Mujica, Jorge. 2005/06. *Holomorphic functions on Banach spaces*, Note Mat. **25**, no. 2, 113–138. MR **2259962** (2007f:46040)

5. HANKEL FORMS ON DIRICHLET SPAC

From Richard Rochberg's Talk Let X denote a Hilbert space of functions holomorphic on \mathbb{D} . A *Hankel form on X* is defined by

$$H_b^X = \langle fg, b \rangle_X.$$

A positive measure μ on \mathbb{D} is a *X -Carleson Measure*, written $\mu \in \text{CM}(X)$ iff

$$\int_{\mathbb{D}} |\varphi|^2 \mu(dx, dy) \leq C^2 \|\varphi\|_X^2.$$

The constant C is independent of the choice of $\varphi \in X$. The least such constant is denoted by $\|\mu\|_{\text{CM}(X)}$

Theorem. For $X = H^2(\mathbb{D})$, $H_b^{H^2}$ is bounded iff $|b'|^2 dx dy \in \text{CM}(H^2)$.

Define the Dirichlet space \mathcal{D} to be the space of analytic functions on the disk for which the norm below is finite.

$$\|f\|_{\mathcal{D}} = |f(0)| + \left[\int_{\mathbb{D}} |f'|^2 dx dy \right]^{1/2}.$$

This is a Hilbert space, with the pairing

$$\langle f, g \rangle_{\mathcal{D}} = f(0)\overline{g(0)} + \int_{\mathbb{D}} f' \overline{g'} dx dy.$$

Problem. Characterize the bounded Hankel forms on \mathcal{D} .

Question. Do we have the equivalence below for b analytic on \mathcal{D} ?

$$\sup_{\|f\|_{\mathcal{D}} = \|g\|_{\mathcal{D}} = 1} |H_b(f, g)| \simeq \| |b'| \|_{\mathcal{D}\text{-Carleson}}$$

The inequality ' \lesssim ' above is straight forward.

Arcozzi, Nicola and Richard Rochberg. 2004. *Topics in dyadic Dirichlet spaces*, New York J. Math. **10**, 45–67 (electronic). MR **2052364** (2005f:30069)

Maz'ya, Vladimir G. and Igor E. Verbitsky. 2002. *The Schrödinger operator on the energy space: boundedness and compactness criteria*, Acta Math. **188**, no. 2, 263–302. MR **1947894** (2004b:35050)

Rochberg, Richard and Zhi Jian Wu. 1993. *A new characterization of Dirichlet type spaces and applications*, Illinois J. Math. **37**, no. 1, 101–122. MR **1193132** (93j:30039)

6. CAUCHY TRANSFORMS OF MEASURES

From Alexander Volberg For measure μ on the complex plane, set

$$C^\mu(z) = \int \frac{d\mu(\zeta)}{z - \zeta}$$

Problem. *Is there a positive measure μ on $[0, 1]^2$ such that $\|\mu\| < \epsilon$, but*

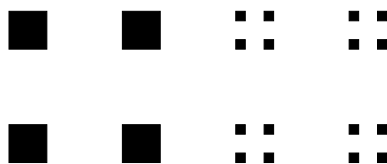
$$\forall x \in [0, 1] \exists y \in [0, 1] \ni |C^\mu(x + iy)| > 1$$

Failing that, is the following true?

$$|\{x \in [0, 1] \exists y \in [0, 1] \ni |C^\mu(x + iy)| > 1\}| = 1$$

Remark. In the last display, examples show that one can get a positive proportion of x .

Consider the set G_1 which consists of the four closed squares and their interiors of sidelength of $\frac{1}{4}$ at each of the four corners of the unit square. Let G_2 be the recursive extension of this consisting of 16 squares of side length $\frac{1}{16}$, and so on. G_1 and G_2 are pictured below.



Problem. *Is there a positive measure μ on the complex plane such that $\|\mu\| \asymp n^{-1/2}$ and $|C^\mu(z)| > 1$ for all $z \in G_n$.*

Remark. The second problem implies the first.

A measure μ on \mathbb{C} is called *reflectionless* iff μ is positive, has compact support and $C^\mu(z) = 0$ μ -a.e.

Problem. *Show that there is a positive δ such that all reflectionless measures are orthogonal to Hausdorff measure $H^{2-\delta}$.*

Peherstorfer, F., A. Volberg, and P. Yuditskii. 2007. *Two-weight Hilbert transform and Lipschitz property of Jacobi matrices associated to hyperbolic polynomials*, J. Funct. Anal. **246**, no. 1, 1–30. MR 2316875

7. POSITIVE POLYNOMIALS ON THE TRIDISK

From Gregory Knese Let n_1, n_2, n_3 be positive integers and D a contractive $(n_1 + n_2 + n_3) \times (n_1 + n_2 + n_3)$ matrix. By *contractive* we mean that the norm of the matrix as an operator on Euclidean space has norm at most one. Observe that the polynomial

$$p(z_1, z_2, z_3) = \det \left(I - D \begin{bmatrix} z_1 I_{n_1} & 0 & 0 \\ 0 & z_2 I_{n_2} & 0 \\ 0 & 0 & z_3 I_{n_3} \end{bmatrix} \right)$$

has no zeros on the tridisk $\mathbb{D}^3 = \mathbb{D} \times \mathbb{D} \times \mathbb{D}$.

In contrast to the bidisk, not all polynomials with no zeros on \mathbb{D}^3 can be written this way, up to constant multiples.

Question. *Are there any 'geometric' properties of the polynomials of type p above that distinguish them from the class of all polynomials with no zeros on \mathbb{D}^3 ?*

Agler, Jim, John E. McCarthy, and Mark Stankus. 2006. *Toral algebraic sets and function theory on polydisks*, J. Geom. Anal. **16**, no. 4, 551–562. MR **2271943** (2007j:32002)

8. ON CERTAIN L^p OPERATORS

From Tao Mei Consider an operator T defined simultaneously on $L^p(\mathbb{R})$ for all $1 \leq p \leq \infty$. Suppose that T satisfies

- (i) $\|T\|_{p \rightarrow p} \leq 1$, $1 \leq p \leq \infty$.
- (ii) T is self adjoint on $L^2(\mathbb{R})$.
- (iii) $T(\mathbf{1}_{\mathbb{R}}) = \mathbf{1}_{\mathbb{R}}$.
- (iv) there is a constant C so that

$$T^{2j} f \leq C \cdot T^j f, \quad f \geq 0, \quad j \in \mathbb{N}.$$

Question. *Does there exist $\alpha > 0$ such that*

$$T^k f \leq \left(\frac{k}{j}\right)^\alpha T^j f \quad f \geq 0, \quad k \geq j \in \mathbb{N}.$$

Remark. The case $k \geq 2j$ is trivial above.

9. BOUNDS FOR POLYNOMIALS ON THE BIDISK

From Tavan Trent

Question. *Does there exist a universal constant $C < \infty$ so that for each polynomial $p(z, w)$ with $|p(z, w)| > 0$ for $|z| < 1$ and $|w| < 1$, and*

$$\int_{\mathbb{T}} \int_{\mathbb{T}} |p|^2 d\sigma d\sigma = 1$$

there exists a $h \in H^2(\mathbb{D}^2)$ with norm at most C and

$$1 + |p(z, w)|^2 \leq |h(z, w)|^2, \quad |z|, |w| < 1.$$

This question can be rephrased as the question as to whether or not this quantity is finite.

$$\sup_{\substack{p \text{ poly in } z, w \\ |p| > 0 \text{ on } \mathbb{D}^2 \\ \|p\|_2 = 1}} \inf \{ \|q\|_{H^2(\mathbb{D}^2)} : 1 + |p(z, w)|^2 \leq |q(z, w)|^2, \forall |z|, |w| < 1 \}$$

The following is true, in which we change the formula above in two ways.

$$\sup_{\substack{p \text{ poly in } z, w \\ \|p\|_2 = 1}} \inf \{ \|q\|_{H^2(\mathbb{D}^2)} : 1 + |p(z, w)|^2 \leq \operatorname{Re}(q(z, w))^2, \forall |z|, |w| < 1 \} = \infty.$$

10. TRILINEAR HILBERT TRANSFORM

From Michael Lacey.

Question. Is there any finite $1 < p < \infty$ for which there is a finite constant C for which we have the inequality below?

$$\left\| \int f_1(x-y) f_2(x-2y) f_3(x-3y) \frac{dy}{y} \right\|_{p/3} \leq C \prod_{j=1}^3 \|f_j\|_p$$

The integral is taken in the principal value sense. The arguments of the f_j are the simplest possible choices.

Tao, Terence. 2007. *Open question: boundedness of the trilinear Hilbert transform*, available at <http://terrytao.wordpress.com/2007/05/10/open-question-boundedness-of-the-trilinear-hilbert-transform/>.

11. SMALL BALL INEQUALITY

Let h_R be a L^∞ normalized Haar function adapted to dyadic rectangle R . In particular, h_R has mean zero in each coordinate separately. We consider a ‘reverse triangle inequality’ for such functions.

Problem. In dimensions $d \geq 3$, show that there is a constant C so that for all integers $n \geq 1$, and all choices of real coefficients a_R ,

$$n^{(d-2)/2} \left\| \sum_{\substack{R \subset [0,1]^d \\ |R|=2^{-n}}} a_R \cdot h_R(x) \right\|_\infty \geq C 2^{-n} \sum_{\substack{R \subset [0,1]^d \\ |R|=2^{-n}}} |a_R|.$$

Remark. (1) With $n^{d/2}$ on the left above replaced by $n^{(d-1)/2}$, the inequality is trivial. (2) The power on $(d-2)/2$ would be optimal. (3) The case of two dimensions, $d = 2$, is a Theorem of Talagrand. (4) In dimensions $d \geq 3$, there is partial information about this conjecture in the article by Dmitriy Bilyk, Michael Lacey, and Armen Vagharshakyan.

Lacey, Michael T, Dmitriy Bilyk, and Armen Vagharshakyan. *On the Small Ball Inequality in All Dimensions*, J Func Anal, to appear, available at [arXiv:0705.4619](https://arxiv.org/abs/0705.4619).