

How secure is QKD?

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Overview

Key S : uniformly distributed n -bit string

Question: How to define “security”?

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Informal definition of security

S is *perfectly secure* with respect to an adversary E
if E has **no** information on S .

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S is ϵ -secure if the information of E (adversary) on S is not larger than ϵ .

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Should we use, e.g., *Shannon (mutual) information*?

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- How to choose ϵ ?

Is $\epsilon := 2^{-1000}$ sufficient?

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Goal of this talk

- Answer these questions \longrightarrow “good” security definition.

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Should we use, e.g., *Shannon (mutual) information*?

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Goal of this talk

- Answer these questions \longrightarrow “good” security definition.
- Generate fully secure keys from only partially secure data.

Classical situation

Notation

- S secret key
- Z (overall) information of adversary
- P_{SZ} joint distribution of S and Z

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Definition

S is *perfectly secure with respect to* Z if

$$P_{SZ} = P_U \times P_Z$$

where P_U is the uniform distribution.

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S is ε -secure with respect to Z if

$$\|P_{SZ} - P_U \times P_Z\| \leq \varepsilon$$

where P_U is the uniform distribution.

Statistical distance: $\|P_X - P_{X'}\| := \frac{1}{2} \sum_x |P_X(x) - P_{X'}(x)|$

Classical situation: interpretation

Lemma

Let S_ε be an ε -secure key (with respect to Z).

Then there exists a key S_0 which is perfectly secure (with respect to Z)
and

$$\Pr[S_\varepsilon \neq S_0] \leq \varepsilon .$$

Classical situation: interpretation

Lemma

Let S_ε be an ε -secure key (with respect to Z).

Then there exists a key S_0 which is perfectly secure (with respect to Z) and

$$\Pr[S_\varepsilon \neq S_0] \leq \varepsilon .$$

Main implication for applications

If we use an ε -secure key S_ε instead of a perfectly secure key S_0 , then the error probability cannot grow by more than ε .

—→ parameter ε has a well-defined interpretation: failure probability

Keys in a quantum world

Notation

S secret key

ρ_E state of adversary's quantum system E (might depend on S)

S

ρ_E

Keys in a quantum world

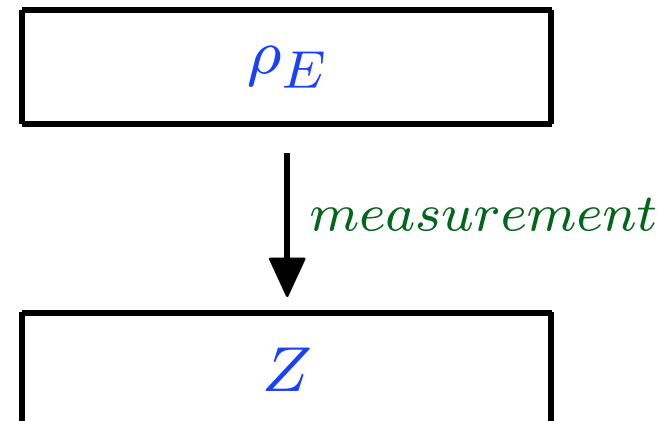
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S is *perfectly secure w.r.t. E* if $P_{SZ} = P_U \times P_Z$ for any measurement of E giving Z .



Keys in a quantum world

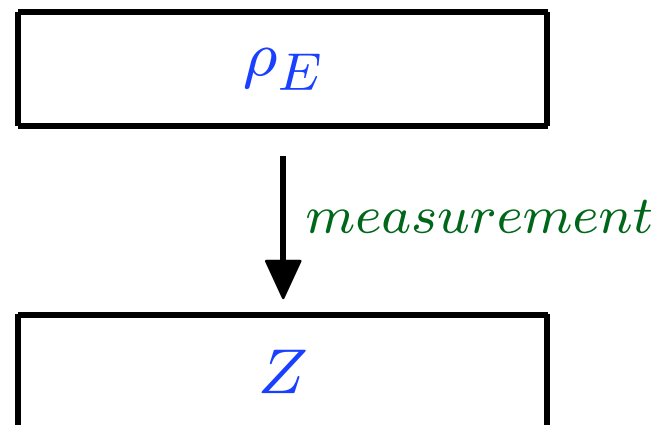
Notation

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Definition

S is *perfectly secure w.r.t. E* if $P_{SZ} = P_U \times P_Z$ for any measurement of E giving $Z \iff \rho_E$ completely independent of S .



Keys in a quantum world

Example

adv. E has encodings of rand. bits R_i w.r.t. basis depending on key bits S_i

uniform key S

S_1	S_2	\dots	S_n
-------	-------	---------	-------

0

1

0

adversary's state ρ_E

$ R_1\rangle_{S_1}$	$ R_2\rangle_{S_2}$	\dots	$ R_n\rangle_{S_n}$
---------------------	---------------------	---------	---------------------

$|R_1\rangle_+$ $|R_2\rangle_\times$

$|R_n\rangle_+$

$S_i = 0$ rectilinear basis $+$

$S_i = 1$ diagonal basis \times

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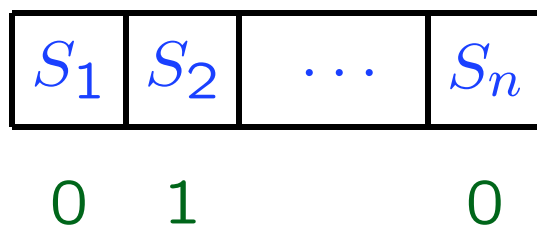
Observation: S and ρ_E are completely independent.

Keys in a quantum world

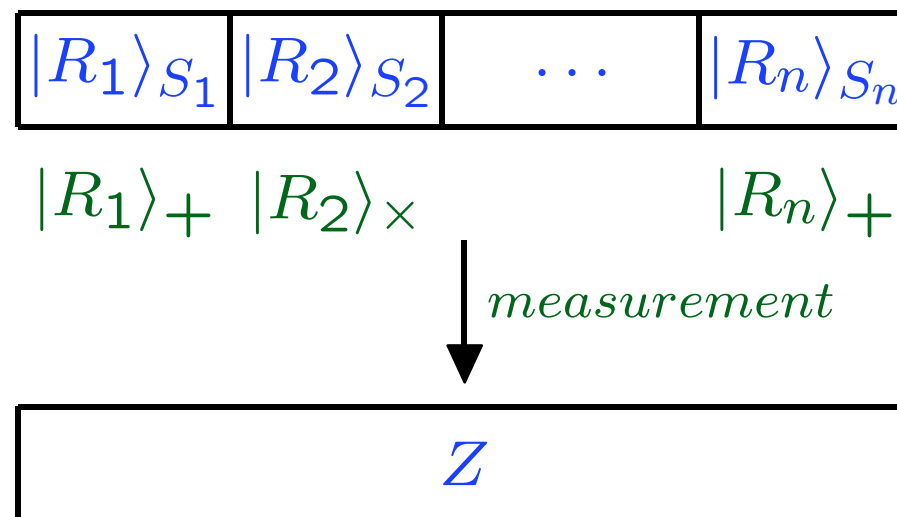
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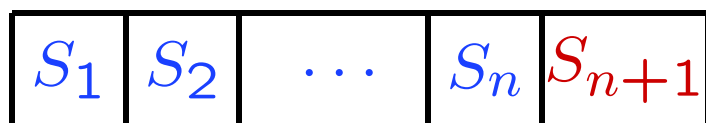
In particular: $P_{SZ} = P_U \times P_Z \longrightarrow S$ is perfectly secure w.r.t. E .

Keys in a quantum world

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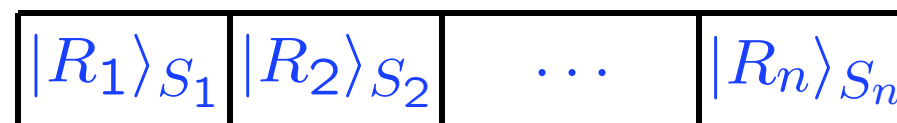
uniform key S



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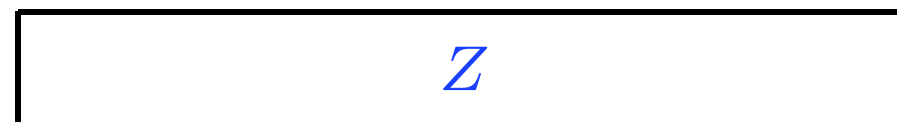
$$S_{n+1} := R_1 \oplus \dots \oplus R_n$$

adversary's state ρ_E



$|R_1\rangle_+$ $|R_2\rangle_\times$ $|R_n\rangle_+$

measurement



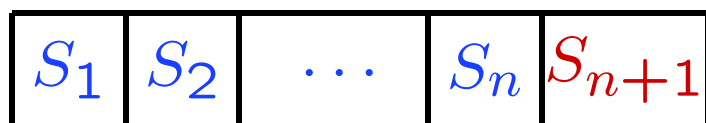
Consider additional key bit S_{n+1} .

Keys in a quantum world

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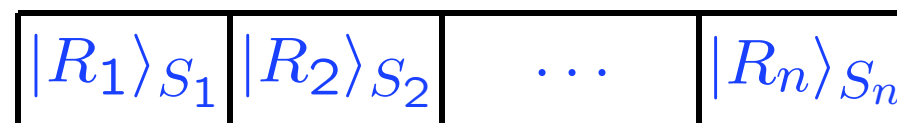
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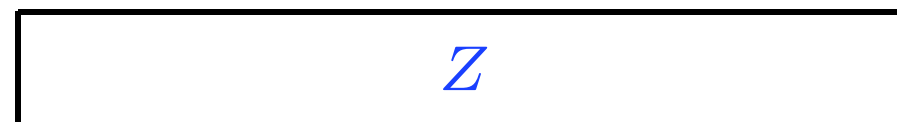
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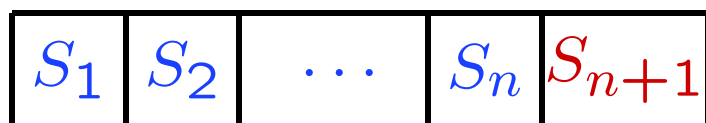
Observation: S is still ε -secure w.r.t. Z , for $\varepsilon \leq 2^{-\gamma n}$.

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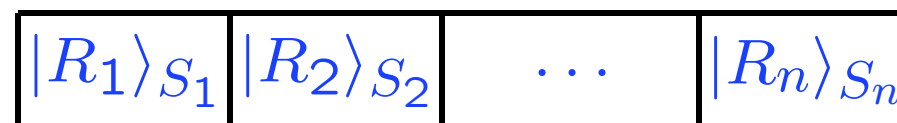
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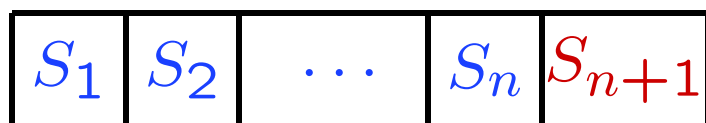
Remark: Shannon (mutual) information is small as well: $I(S; Z) \leq \varepsilon$.

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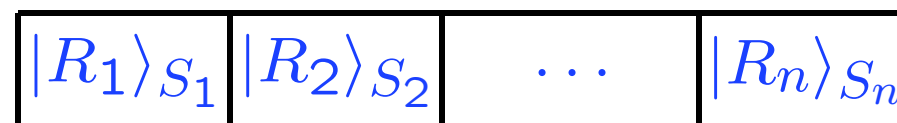
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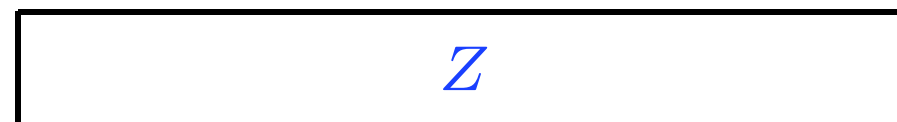
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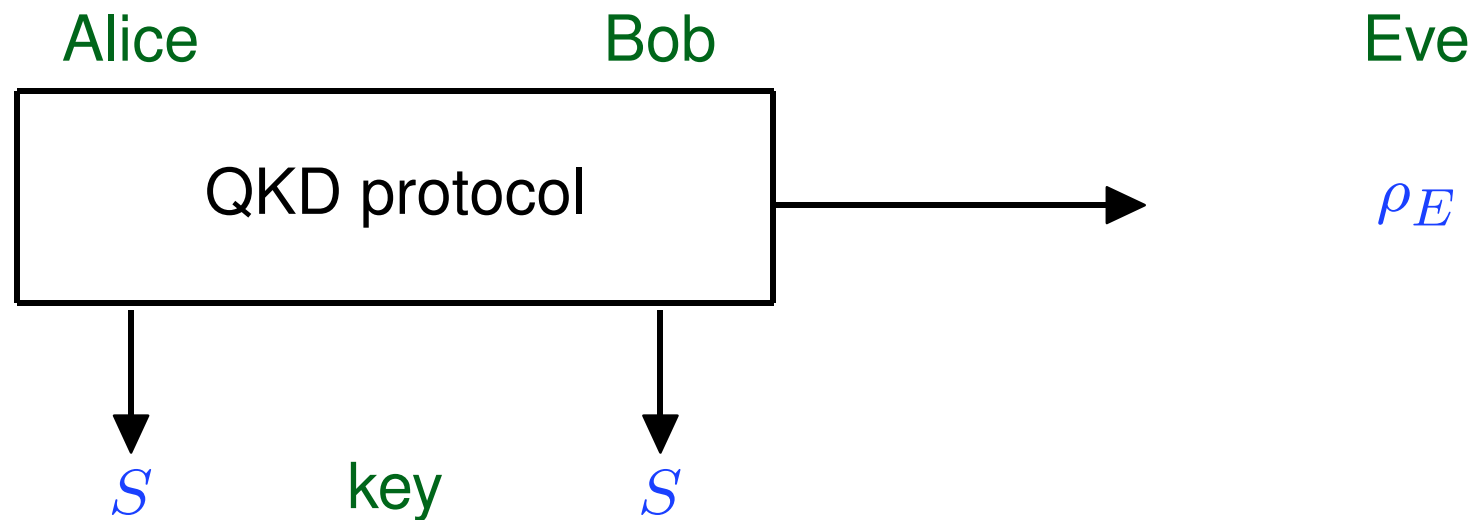
Observation: S is still ε -secure w.r.t. Z , for $\varepsilon \leq 2^{-\gamma n}$.

Remark: Shannon (mutual) information is small as well: $I(S; Z) \leq \varepsilon$.

But: Given S_1, \dots, S_n , the bit S_{n+1} is completely insecure w.r.t. E !

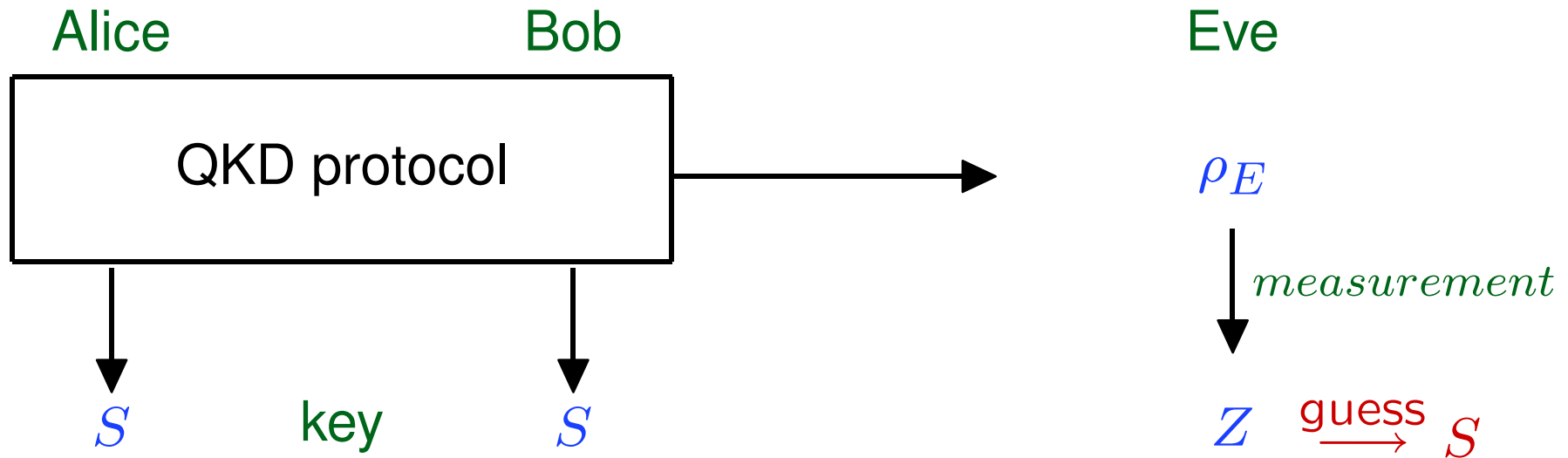
Keys in a quantum world

Implications for QKD



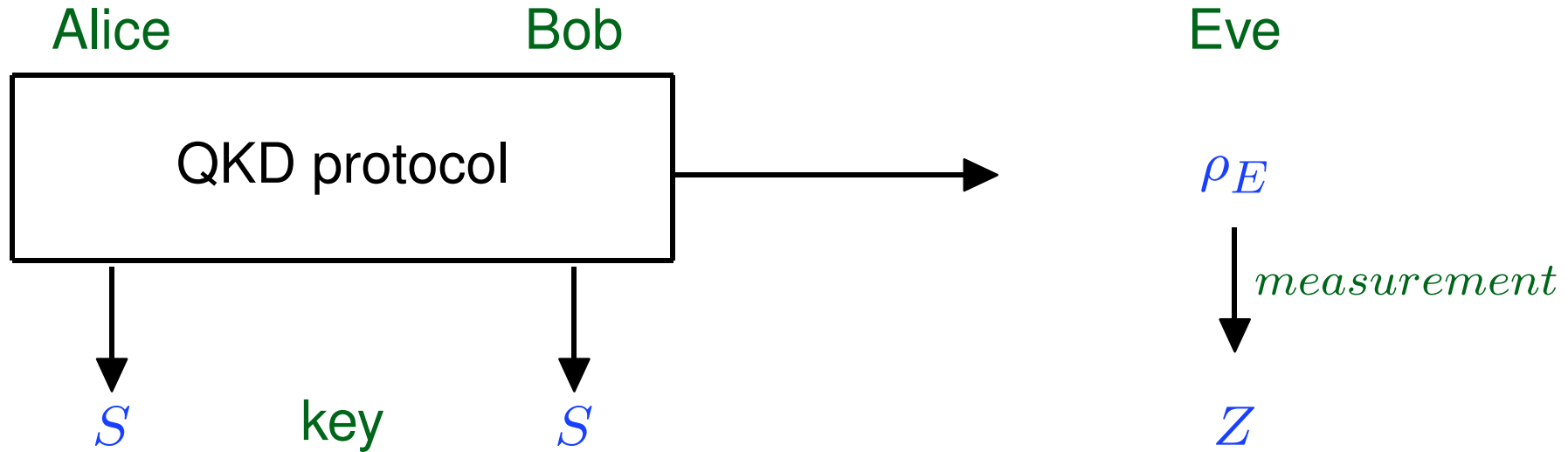
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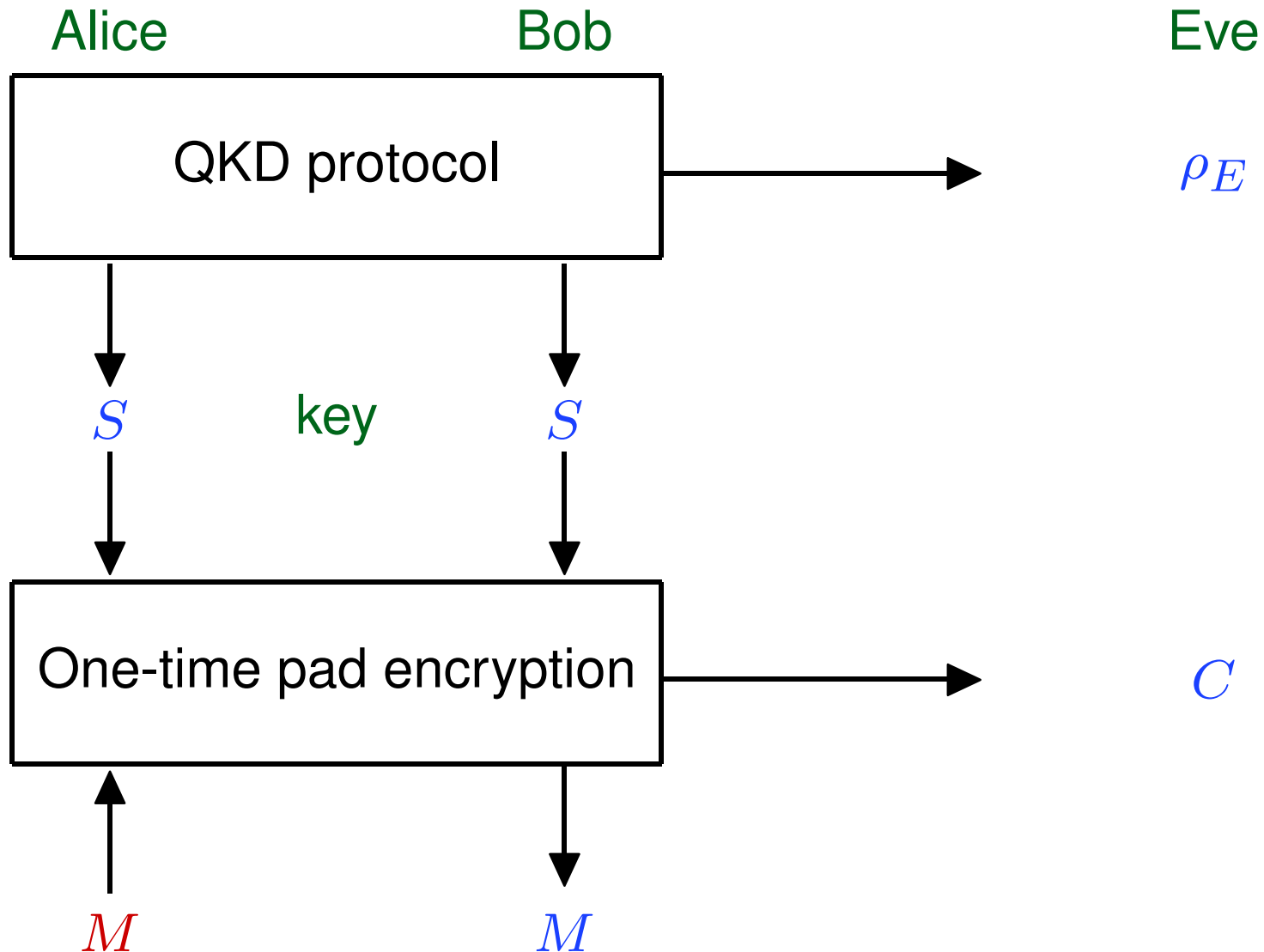
Implications for QKD



$I(Z; S) \leq \varepsilon \iff$ guessing of S not possible $\stackrel{?}{\iff} S$ secure

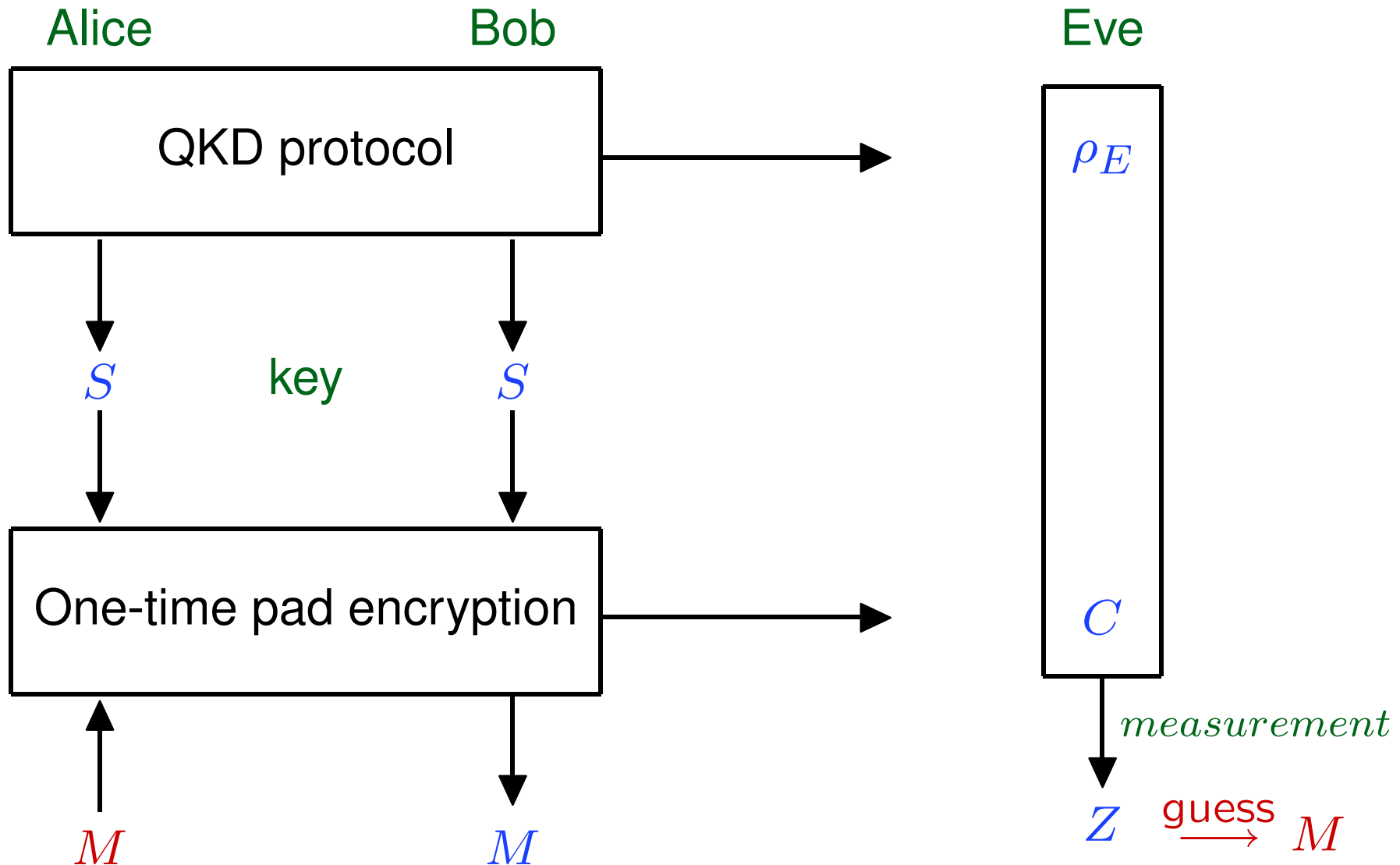
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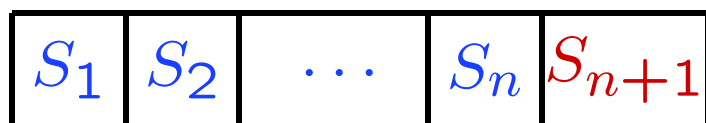


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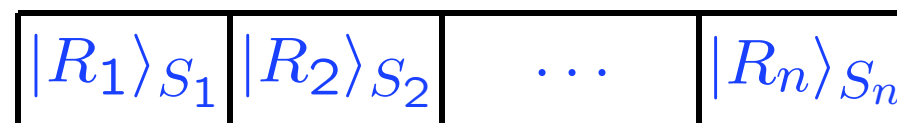
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0 1 0

$$S_{n+1} := R_1 \oplus \dots \oplus R_n$$

adversary's state ρ_E



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measurement

Z

Observation: S and Z almost independent: $I(S; Z) \leq 2^{-\Omega(n)}$.

But: Given S_1, \dots, S_n , the bit S_{n+1} is completely insecure w.r.t. E !

Keys in a quantum world

Recall the **classical definition**

S is ε -secure with respect to Z if $\|P_{SZ} - P_U \times P_Z\| \leq \varepsilon$ for P_U uniform.

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Idea: Translate this definition to quantum states.

Let $\rho_{SE} := \sum_s P_S(s) \cdot |s\rangle\langle s| \otimes \rho_E^s$ where

$|s\rangle$ orthogonal states representing the value of S

ρ_E^s state of E conditioned on $S = s$.

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Definition [BHLMO04, RK04]

S is ε -secure with respect to E if $\|\rho_{SE} - \rho_U \otimes \rho_E\| \leq \varepsilon$.

ρ_U fully mixed state

$\|\cdot\|$ trace norm

Generating secure keys

Question

Is the definition achievable?

(Can we generate ϵ -secure keys, e.g., by QKD?)

If yes, how?

Generating secure keys

Transforming partially secure data X into a fully secure key S

Alice

X

Bob

X

Eve

E

Generating secure keys

Transforming partially secure data X into a fully secure key S

Alice

X



S

hashing

Bob

X



S

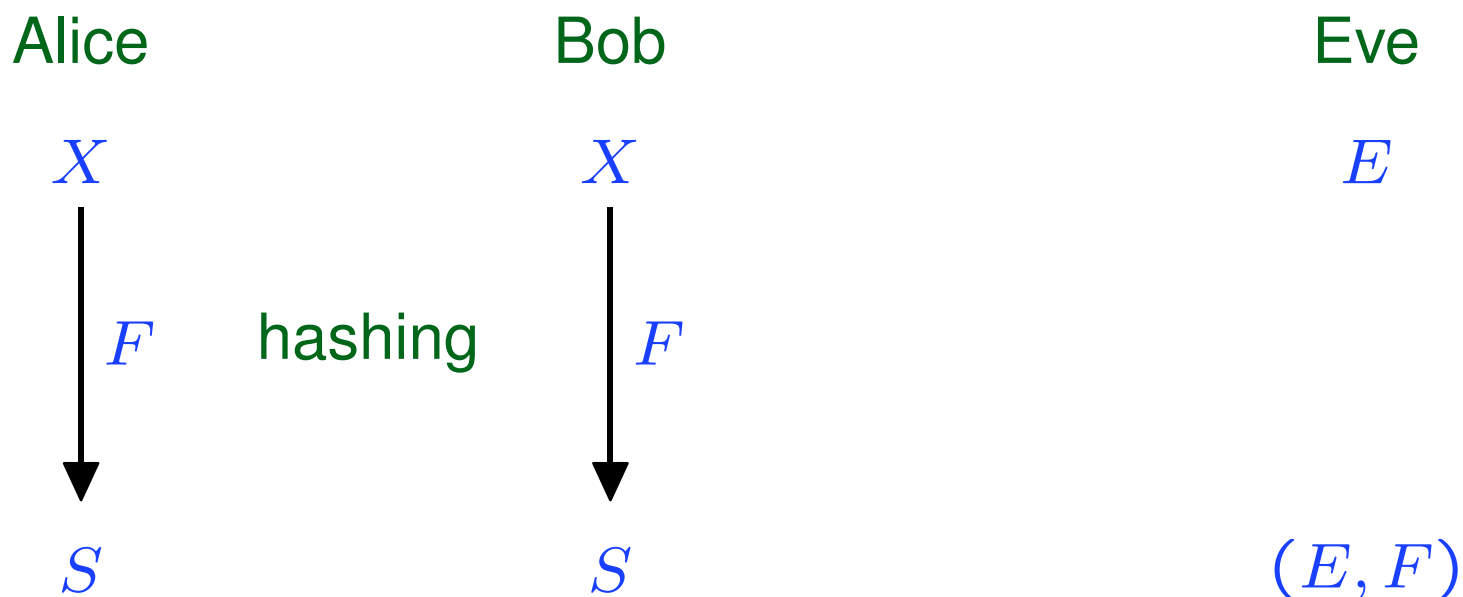
Eve

E

(E, F)

Generating secure keys

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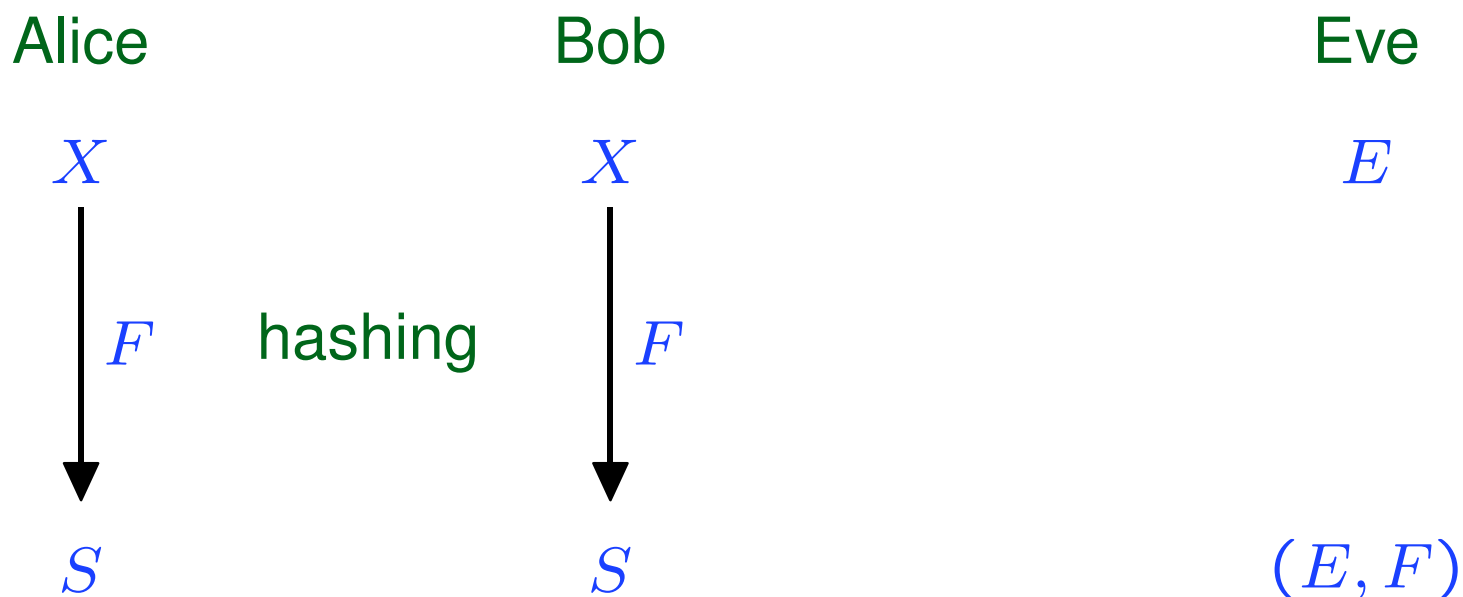


Informal result (Privacy amplification)

If X has **sufficient entropy** given E and if F is a **two-universal hash funct.** then $S = F(X)$ is ε -secure with respect to (E, F) .

Generating secure keys

Transforming partially secure data X into a fully secure key S



Result [BBR88,ILL89,BBCM95] (for class. adv.); [RK05] (for quant. adv.)

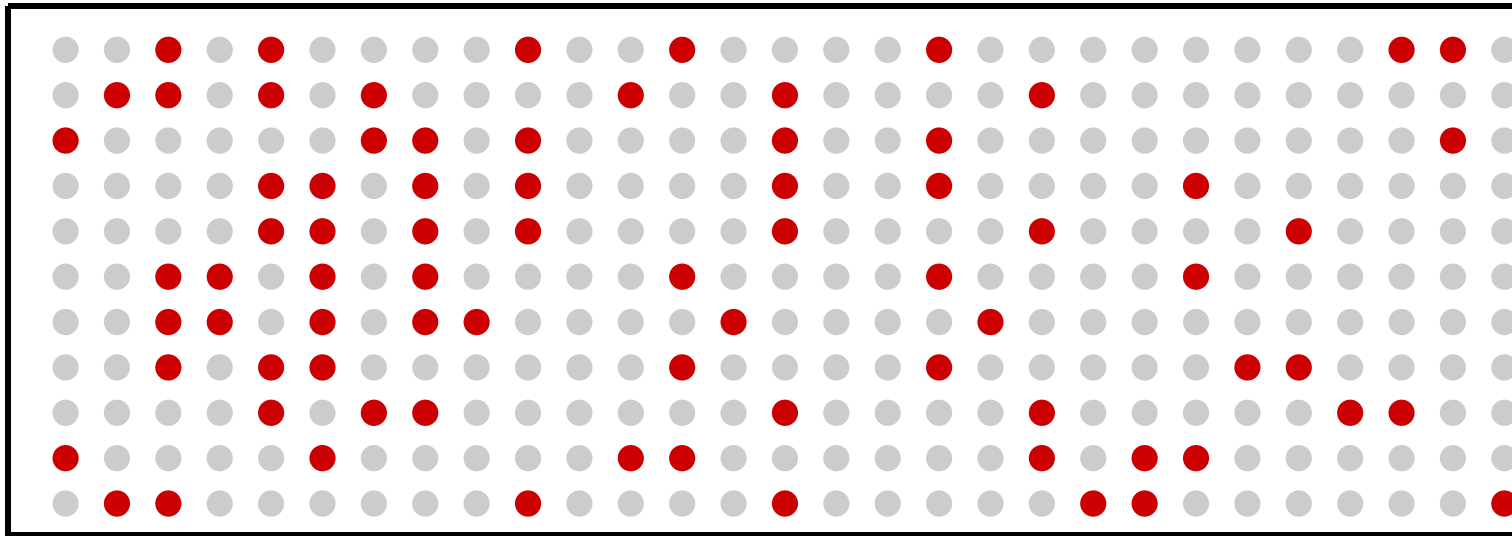
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Generating secure keys

How does privacy amplification work?

(random) hash function $F : \mathcal{X} \mapsto \{0, 1\}$

\mathcal{X} (range of X)



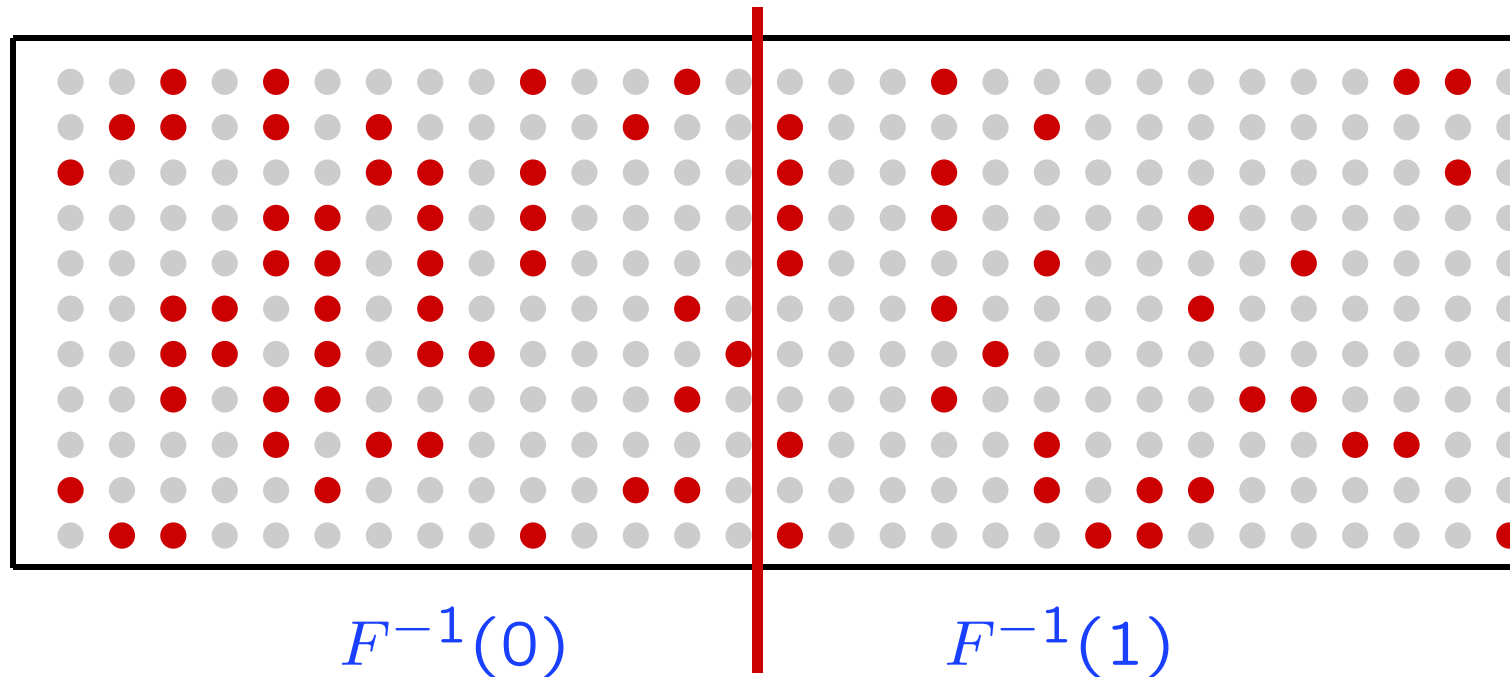
- $x \in \mathcal{X}$ with $P_{X|Z=z}(x) > 0$

Generating secure keys

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Generating secure keys

Definition

A family \mathcal{F} of functions from \mathcal{X} to \mathcal{Y} is called **two-universal** if

$$\Pr_{F \leftarrow \mathcal{F}}[F(x) = F(x')] \leq \frac{1}{|\mathcal{Y}|}$$

for all $x \neq x'$.

Generating secure keys

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Examples

- The set of all functions from \mathcal{X} to \mathcal{Y} .
- $\{F_a\}_{a \in \text{GF}(2^M)}$, where $F_a(x) := [a \cdot x]_n$ (computed in $\text{GF}(2^M)$).

Generating secure keys

How to measure the entropy?

Generating secure keys

How to measure the entropy?

Classical case

X initial key

Z information of adversary on S

P_{XZ} joint distribution of X and Z

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Definition

The *min-entropy* of X given Z is defined by

$$H_{\min}(X|Z) := -\log \max_{x,z} \frac{P_{XZ}(x, z)}{P_Z(z)}$$

Remark: There are alternative definitions (e.g., Dodis, Smith).

Generating secure keys

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Theorem (Privacy amplification) [ILL89,BBCM95]

Two-universal hashing gives a secure key of length $n \approx H_{\min}(X|Z)$.

Generating secure keys

Quantum case

X initial key

E information of adversary on S

$$\rho_{XE} = \sum_x P_X(x) \cdot |x\rangle\langle x| \otimes \rho_E^x$$

Definition

The *min-entropy* of X given E is defined by

$$H_{\min}(X|E) := -\log \max_{\nu} \text{ev}[(\text{id}_X \otimes \rho_E)^{-1/2} \rho_{XE} (\text{id}_X \otimes \rho_E)^{-1/2}]$$

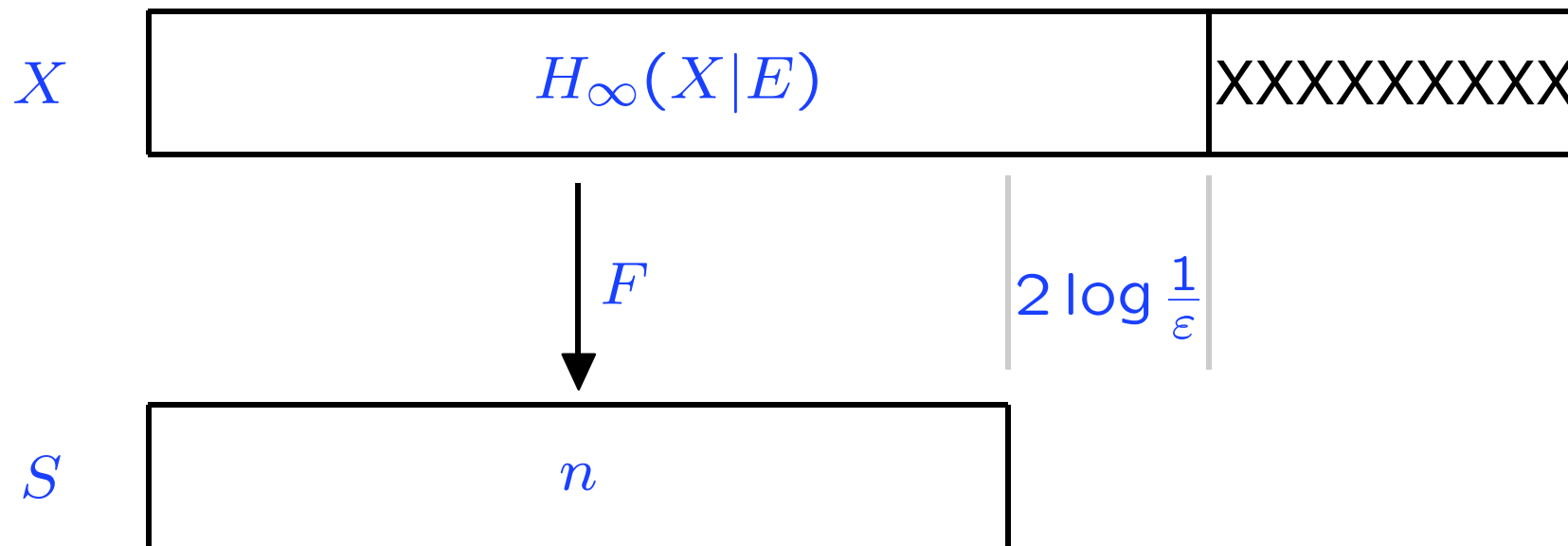
Theorem (Privacy amplification) [R05]

Two-universal hashing gives a secure key of length $n \approx H_{\min}(X|E)$.

Generating secure keys

Theorem (Privacy amplification against quantum adv.) [R05]

$S = F(X)$ is ε -secure with respect to (E, F) , for $\varepsilon = 2^{-\frac{1}{2}(H_\infty(X|E) - n)}$.



Conclusions

Main points

- Definition of ϵ -security where ϵ is a finite and well-defined parameter (ϵ : failure probability).
- ϵ -secure keys can be generated from partially secure data X with sufficiently large entropy $H_\infty(X|E)$ (two-universal hashing).

Conclusions

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- Definition of ϵ -security where ϵ is a finite and well-defined parameter (ϵ : failure probability).
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Remarks related to QKD

- definitions based on Shannon information are not sufficient (even if the security parameter is exponentially small)
- use two-universal hashing as a last protocol step to get ϵ -secure keys (choice of ϵ might be left to the user).

For more details: [quant-ph/0512021](#), [quant-ph/0512258](#) .

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I would like to thank my collaborators

- Andor Bariska
- Robert König
- Ueli Maurer

Thanks.