

Optimality of approximate encryption schemes

Ashwin Nayak

University of Waterloo, and
Perimeter Institute for Theoretical Physics

Joint work with
Paul Dickinson, Leonard Schulman

Encryption of quantum states

- To encrypt qubit state ρ ,
map to completely mixed state $\frac{1}{2} I$

- Scheme

- Pick random Pauli $U \in \{I, X, Y, Z\}$
- Apply U to state $\rho \rightarrow U\rho U^*$

$$\rho \rightarrow \frac{1}{4} (\rho + X\rho X + Y\rho Y + Z\rho Z)$$

- Fact: above operation maps every state ρ to $\frac{1}{2} I$

Size of key

- Picked one of 4 Paulis: 2^2 bits to encrypt 2-dim state
- Scheme generalizes to n quantum bits
 - Apply independently chosen random Pauli to each qubit
 - $d^2 = (2^n)^2$ operators for $d = 2^n$ dimensional states
- Theorem [BR'03, AMTdW'00, Jain'05, NS'06]

d^2 unitaries are required for perfect randomization of d -dimensional states
- Relaxed notion [HLSW'04]

Target state close to completely mixed

Approximate encryption

- **Theorem** [HLSW'04]

If randomized state is ε close to completely mixed state

$$O(d \log d / \varepsilon^2))$$

unitary operators suffice

$$\text{key length} = n + \log n + O(\log(1/\varepsilon))$$

Closeness in trace norm

$$\|M\|_{\text{tr}} = \text{Tr} \sqrt{M^*M}$$

characterizes distinguishability via measurements

- **Efficient, explicit scheme** [AS'04]

- Same parameters, or
- With $O(d/\varepsilon^2)$ unitaries, but cipher text has extra $2 \log d$ bits

Key size for approximate encryption

- Observation [DN'06]

Improved efficient scheme

$O(d / \varepsilon^2)$ unitary operators,

i.e., $n + 2 \log(1/\varepsilon) + 4$ bits of key suffice

(No increase in length of cipher text)

Unitary operators used: Pauli operators

- This talk [DN'06; NS, ongoing]

$\Omega(d / \varepsilon)$ Pauli operators are necessary

$n + \log(1/\varepsilon) - O(1)$ bits of key are necessary

Lower bound for key length

- Kind of randomizing map studied
- Connection to pseudo-randomness
- Lower bound for sample space of pseudo-random distribution

Kind of randomizing map

- Consider n qubit states; dimension $d = 2^n$
- Randomizing map defined by a distribution π over n -qubit Pauli operators

$$R(\rho) = \sum_s \pi_s P_s \rho P_s^*$$

- n -qubit Pauli operators:

$$P = P_1 \otimes P_2 \otimes \cdots \otimes P_n$$

where each $P_i \in \{I, X, Y, Z\}$

Single qubit Pauli operators

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \quad X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad Y = iXZ = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

- Form an orthogonal basis for matrices

$$(A, B) = \text{Tr}(B^* A)$$

- Unitary, Hermitian, self-inverse

$$X^* = X, \quad X^2 = I, \quad \text{etc.}$$

- X, Y, Z anti-commute: $XY = -YX$, etc.

Higher dimensions

- n -qubit Pauli \leftrightarrow $2n$ -bit strings

$$(a,b) \leftrightarrow i^{|a \cap b|} X^a Z^b$$

where $X^a = X^{a_1} \otimes X^{a_2} \otimes \dots$, etc.

- Phase irrelevant
- Form an orthogonal basis for matrices
- Unitary, Hermitian, self-inverse

- Commutation

$$(X^a Z^b) (X^u Z^v) = (-1)^{(a,b) \circ (u,v)} (X^u Z^v) (X^a Z^b)$$

$$(a,b) \circ (u,v) = a \cdot v + b \cdot u \quad \text{“symplectic inner product”}$$

Randomizing map

- Randomizing map defined by a distribution π over $2n$ -bit strings

$$R(\rho) = \sum_{(a,b)} \pi(a,b) X^a Z^b \rho Z^b X^a$$

- If π were uniform over all $2n$ -bit strings, R would be perfectly randomizing
- More efficient maps are constructed from sparse, *pseudo-random* subsets of strings
- We will connect arbitrary approximately randomizing maps back to pseudo-random distributions

Connection to pseudo-randomness

- Randomizing map

$$R(\rho) = \sum_{(a,b)} \pi(a,b) X^a Z^b \rho Z^b X^a$$

- Let V be the random variable over $2n$ -bit strings corresponding to π
- Let M be an n by $2n$ boolean matrix, representing the n independent generators of a pure *stabilizer state*
- **Proposition 1**
If R is ε -approximately randomizing,
then $M \circ V$ is ε -close to uniform (in L_1 distance).

n -qubit Stabilizer states

- **Stabilizer group G**
group generated by a set of commuting Pauli operators
- **Stabilizer subspace**
 - common +1 eigenspace of all operators in G
 - dimension = 2^{n-k} if G does not contain $-I$, and is generated by k independent generators
- **Stabilizer state**
 - pure state in 1-dimensional subspace stabilized by group G of order 2^n
 - G is generated by n independent commuting Paulis

Properties of Stabilizer states I

Let $|\psi\rangle$ be a stabilizer state, P any Pauli operator.
Then, $P|\psi\rangle$ is either parallel to $|\psi\rangle$ or perpendicular.

Proof: If P commutes with every stabilizer generator g , then

$$g P|\psi\rangle = P g|\psi\rangle = P|\psi\rangle$$

So $P|\psi\rangle$ lies in the stabilizer subspace. Since the subspace is one dimensional...

If not, then for some generator g

$$\langle\psi|P|\psi\rangle = \langle\psi|gP|\psi\rangle = -\langle\psi|Pg|\psi\rangle = -\langle\psi|P|\psi\rangle.$$

So $P|\psi\rangle$ is perpendicular to $|\psi\rangle$.

Properties II

- If $P \leftrightarrow (a,b)$,
 $P|\psi\rangle$ is parallel to $|\psi\rangle$ iff $M^\circ(a,b) = 0^n$.
- Let $|\psi\rangle$ be a stabilizer state, P and Q any Pauli operators.
Then, $P|\psi\rangle$ and $Q|\psi\rangle$ are either parallel or perpendicular.
- If $P \leftrightarrow (a,b)$, $Q \leftrightarrow (u,v)$, then
 $P|\psi\rangle$ and $Q|\psi\rangle$ are parallel iff $M^\circ(a,b) = M^\circ(u,v)$.

Properties III

- For an n -bit string s , let $|\psi_s\rangle = P|\psi\rangle$, for some P such that $M \circ (a,b) = s$.
- Since M has full rank, the states $|\psi_s\rangle$ form an orthonormal basis for n -qubit states

Proposition 1

$$R(\rho) = \sum_{(a,b)} \pi(a,b) X^a Z^b \rho Z^b X^a.$$

V : random variable over $2n$ -bit strings given by π .

M : n by $2n$ matrix representing a stabilizer state.

Then, if R is ε -approximately randomizing, then $M \circ V$ is ε -close to uniform (in L_1 distance).

Proof: Consider state $|\psi\rangle$ generated by M .

Image under $R =$ mixture of orthogonal states $|\psi_s\rangle$.

Trace distance from completely mixed = distance of the distribution over $s = M \circ V$.

Lower bound for key length

- Kind of randomizing map studied
- Connection to pseudo-randomness
- Lower bound for sample space of pseudo-random distribution

Lower bound for sample space

Given V : any random variable over $2n$ -bit strings.

For any n by $2n$ boolean matrix M , rows orthogonal with respect to symplectic inner product, $M \circ V$ is ε -close to uniform (in L_1 distance).

Proposition 2

Sample space of V has size at least $\Omega(2^n/\varepsilon)$.

Implication:

Distribution π has support over the same number of Pauli operators

Proof sketch for Proposition 2

Consider M of the following form:

- The first $(n-m)$ rows are the same number of standard basis vectors for \mathbb{Z}_2^{2n} .
- The next row is chosen so that it determines the parity of an arbitrary subset of $2m$ bits, different from the first $(n-m)$.
- The remaining rows are immaterial.

Proof sketch...

Pseudo-randomness condition on V implies

- The first $(n-m)$ bits of V are near uniform.
- (Informally) $2m$ bits of V are ϵ -biased, even conditioned on those first bits.
(parity of every subset of bits is almost uniform)
- Let $m = \log(1/\epsilon)$.
Conditioned on any value of the first $(n-m)$ bits of V , the size of sample space is $\geq 2^{2m} = 2^m/\epsilon$
- Net size of sample space $\geq 2^{n-m} \cdot 2^m/\epsilon = 2^n/\epsilon$.

Concluding remarks

- $\Omega(2^n/\varepsilon^2)$ unitary operators likely optimal
Under investigation
- Explicit scheme takes time $\tilde{O}(n^2)$ with $\tilde{O}(n^4)$ preprocessing
Faster encryption possible?
- Perfect encryption \leftrightarrow Unitary orthogonal basis
Characterization for approximate encryption?