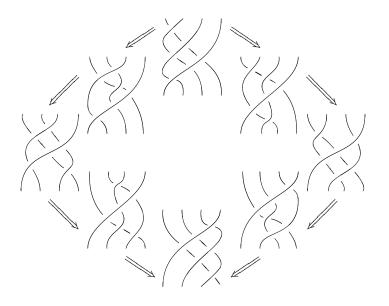
A Survey of Higher Lie Theory

Alissa S. Crans

Joint work with:

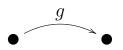
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Higher Gauge Theory

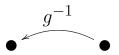
It is natural to assign a group element to each path:



since composition of paths then corresponds to multiplication:



while reversing the direction of a path corresponds to taking inverses:



and the associative law makes this composite unambiguous:



Internalization

Often a useful first step in the categorification process involves using a technique developed by Ehresmann called 'internalization.'

How do we do this?

- Given some concept, express its definition completely in terms of commutative diagrams.
- Now interpret these diagrams in some ambient category K.

We will consider the notion of a 'category in K' for various categories K.

A **strict 2-group** is a category in Grp, the category of groups.

Categorified Lie Theory, strictly speaking...

A strict Lie 2-group G is a category in LieGrp, the category of Lie groups.

A strict Lie 2-algebra L is a category in LieAlg, the category of Lie algebras.

We can also define **strict homomorphisms** between each of these and **strict 2-homomorphisms** between them, in the obvious way. Thus, we have two strict 2categories: SLie2Grp and SLie2Alg.

The picture here is very pretty: Just as Lie groups have Lie algebras, strict Lie 2-groups have strict Lie 2-algebras.

Proposition. There exists a unique 2-functor

 $d\colon \mathrm{SLie2Grp} \to \mathrm{SLie2Alg}$

Examples of Strict Lie 2-Groups

Let G be a Lie group and \mathfrak{g} its Lie algebra.

• Automorphism 2-Group

Objects : = $\operatorname{Aut}(G)$ Morphisms : = $G \rtimes \operatorname{Aut}(G)$

• Shifted U(1)

Objects : = *Morphisms : = U(1)

• Tangent 2-Group

Objects : = GMorphisms : $= \mathfrak{g} \rtimes G \cong TG$

• Poincaré 2-Group

Objects : = SO(n, 1)Morphisms : = $\mathbb{R}^n \rtimes SO(n, 1) \cong ISO(n, 1)$

Coherent 2-Groups

A **coherent 2-group** is a weak monoidal category in which every morphism is invertible and every object is equipped with an adjoint equivalence.

A **homomorphism** between coherent 2-groups is a weak monoidal functor. A **2-homomorphism** is a monoidal natural transformation. The coherent 2-groups X and X' are **equivalent** if there are homomorphisms

 $f: X \to X' \qquad \overline{f}: X' \to X$

that are inverses up to 2-isomorphism:

$$f\bar{f} \cong 1, \qquad \bar{f}f \cong 1.$$

Theorem. Coherent 2-groups are classified up to equivalence by quadruples consisting of:

- a group G,
- an abelian group H,
- an action α of G as automorphisms of H,
- an element $[a] \in H^3(G, H)$.

Categorified vector spaces

Kapranov and Voevodsky defined a finite-dimensional 2-vector space to be a category of the form Vect^n .

Instead, we define a 2-vector space to be a category in Vect, the category of vector spaces.

Thus, a 2-vector space is a category where everything in sight is *linear*!

A **2-vector space**, V, consists of:

• a vector space of objects, Ob(V)

• a **vector space** of morphisms, Mor(V) together with:

• linear source and target maps

 $s,t\colon Mor(V)\to Ob(V),$

 \bullet a **linear** identity-assigning map

$$i\colon Ob(V) \to Mor(V),$$

• a **linear** composition map

 $\circ \colon Mor(V) \times_{Ob(V)} Mor(V) \to Mor(V)$

such that the following diagrams commute, expressing the usual category laws:

• laws specifying the source and target of identity morphisms:

$$\begin{array}{cccc} Ob(V) \stackrel{i}{\longrightarrow} Mor(V) & Ob(V) \stackrel{i}{\longrightarrow} Mor(V) \\ & & & & & \\ & & & \\ & & & & & \\ & & &$$

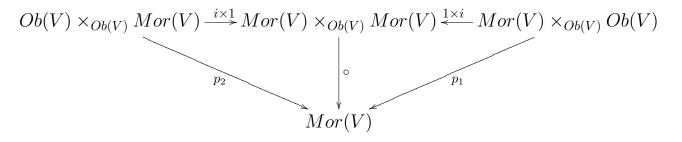
• laws specifying the source and target of composite morphisms:

$$\begin{array}{c|c}Mor(V) \times_{Ob(V)} Mor(V) \stackrel{\circ}{\longrightarrow} Mor(V) \\ & p_1 \\ & p_1 \\ & & s \\ Mor(V) \stackrel{s}{\longrightarrow} Ob(V) \end{array} \\ Mor(V) \stackrel{\bullet}{\longrightarrow} Ob(V) \\ \hline Mor(V) \times_{Ob(V)} Mor(V) \stackrel{\circ}{\longrightarrow} Mor(V) \\ & p_2 \\ & & t \\ Mor(V) \stackrel{t}{\longrightarrow} Ob(V) \end{array}$$

• the associative law for composition of morphisms:

$$\begin{array}{c|c}Mor(V) \times_{Ob(V)} Mor(V) \times_{Ob(V)} Mor(V) \xrightarrow{\circ \times_{Ob(V)} 1} Mor(V) \times_{Ob(V)} Mor(V) \\ & 1 \times_{Ob(V)} \circ & & & & & & & \\ & Mor(V) \times_{Ob(V)} Mor(V) \xrightarrow{\circ} & Mor(V) \end{array}$$

• the left and right unit laws for composition of morphisms:



2-Vector Spaces

We can also define **linear functors** between 2-vector spaces, and **linear natural transformations** between these, in the obvious way.

Theorem. The 2-category of 2-vector spaces, linear functors and linear natural transformations is equivalent to the 2-category of:

- 2-term chain complexes $C_1 \xrightarrow{d} C_0$,
- chain maps between these,
- chain homotopies between these.

2-Vector Spaces

Proposition. Given 2-vector spaces V and V' there is a 2-vector space $V \oplus V'$ having:

- $Ob(V) \oplus Ob(V')$ as its vector space of objects,
- $Mor(V) \oplus Mor(V')$ as its vector space of morphisms,

Proposition. Given 2-vector spaces V and V' there is a 2-vector space $V \otimes V'$ having:

- $Ob(V) \otimes Ob(V')$ as its vector space of objects,
- $Mor(V) \otimes Mor(V')$ as its vector space of morphisms,

Moreover, we have an 'identity object', K, for the tensor product of 2-vector spaces, just as the ground field k acts as the identity for the tensor product of usual vector spaces:

Proposition. There exists a unique 2-vector space K, the **categorified ground field**, with

$$Ob(K) = Mor(K) = k$$
 and
 $s, t, i = 1_k.$

Semistrict Lie 2-Algebras

A semistrict Lie 2-algebra consists of:

 \bullet a 2-vector space L

equipped with:

• a functor called the **bracket**:

$$[\cdot,\cdot]\colon L\times L\to L$$

bilinear and skew-symmetric as a function of objects and morphisms,

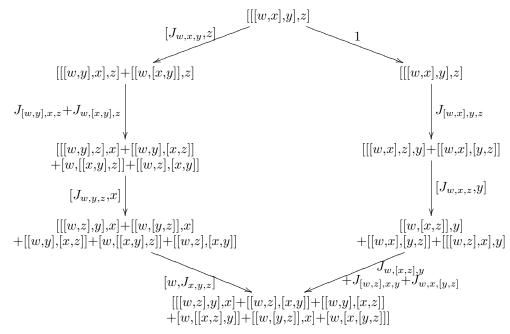
• a natural isomorphism called the **Jacobiator**:

 $J_{x,y,z}: [[x,y],z] \to [x,[y,z]] + [[x,z],y],$

trilinear and antisymmetric as a function of the objects x, y, z,

such that:

• the **Jacobiator identity** holds, meaning the following diagram commutes:

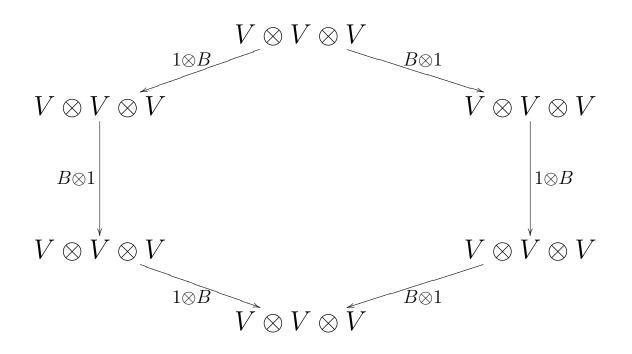


Given a vector space V and an isomorphism

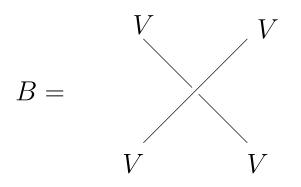
 $B\colon V\otimes V\to V\otimes V,$

we say *B* is a **Yang–Baxter operator** if it satisfies the **Yang–Baxter equation**, which says that:

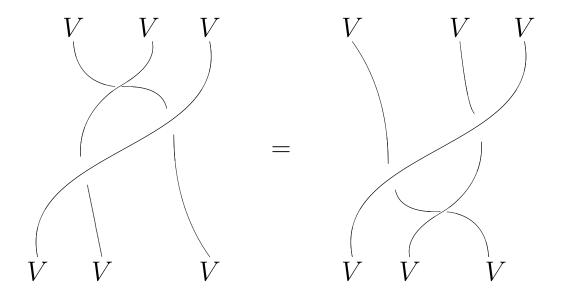
 $(B \otimes 1)(1 \otimes B)(B \otimes 1) = (1 \otimes B)(B \otimes 1)(1 \otimes B),$ or in other words, that this diagram commutes:



If we draw $B: V \otimes V \to V \otimes V$ as a braiding:



the Yang–Baxter equation says that:



Proposition: Let L be a vector space over k equipped with a skew-symmetric bilinear operation

 $[\cdot, \cdot] \colon L \times L \to L.$

Let $L' = k \oplus L$ and define the isomorphism

$$B: L' \otimes L' \to L' \otimes L'$$
 by

 $B((a,x)\otimes(b,y))=(b,y)\otimes(a,x)+(1,0)\otimes(0,[x,y]).$

Then B is a solution of the Yang–Baxter equation if and only if $[\cdot, \cdot]$ satisfies the Jacobi identity.

Zamolodchikov tetrahedron equation

Given a 2-vector space V and an invertible linear functor $B: V \otimes V \to V \otimes V$, a linear natural isomorphism

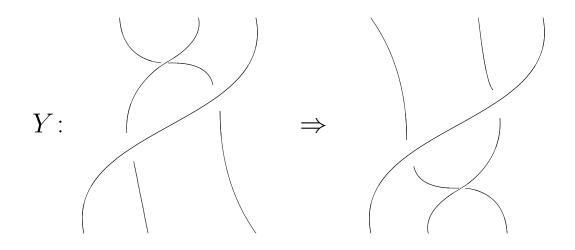
$Y \colon (B \otimes 1)(1 \otimes B)(B \otimes 1) \Rightarrow (1 \otimes B)(B \otimes 1)(1 \otimes B)$

satisfies the **Zamolodchikov tetrahedron equation** if:

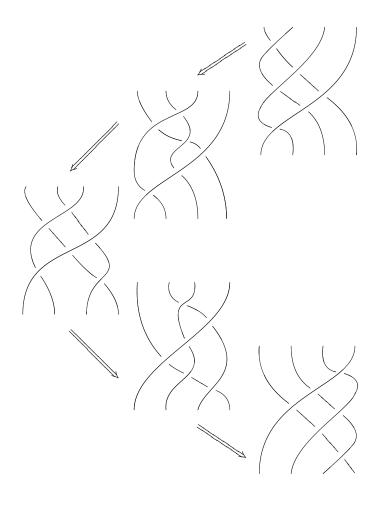
$$\begin{split} & [Y \circ (1 \otimes 1 \otimes B) (1 \otimes B \otimes 1) (B \otimes 1 \otimes 1)] [(1 \otimes B \otimes 1) (B \otimes 1 \otimes 1) \circ Y \circ (B \otimes 1 \otimes 1)] \\ & [(1 \otimes B \otimes 1) (1 \otimes 1 \otimes B) \circ Y \circ (1 \otimes 1 \otimes B)] [Y \circ (B \otimes 1 \otimes 1) (1 \otimes B \otimes 1) (1 \otimes 1 \otimes B)] \end{split}$$

$$\begin{split} & [(B \otimes 1 \otimes 1)(1 \otimes B \otimes 1)(1 \otimes 1 \otimes B) \circ Y][(B \otimes 1 \otimes 1) \circ Y \circ (B \otimes 1 \otimes 1)(1 \otimes B \otimes 1)] \\ & [(1 \otimes 1 \otimes B) \circ Y \circ (1 \otimes 1 \otimes B)(1 \otimes B \otimes 1)][(1 \otimes 1 \otimes B)(1 \otimes B \otimes 1)(B \otimes 1 \otimes 1) \circ Y] \end{split}$$

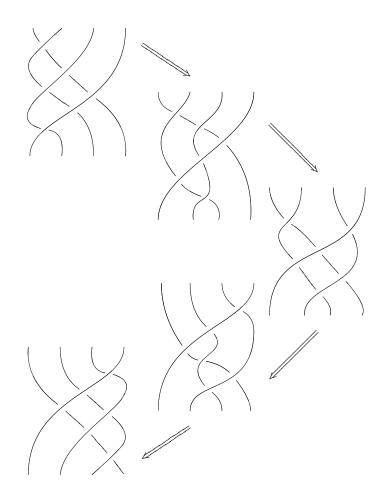
We should think of Y as the surface in 4-space traced out by the *process of performing* the third Reidemeister move:



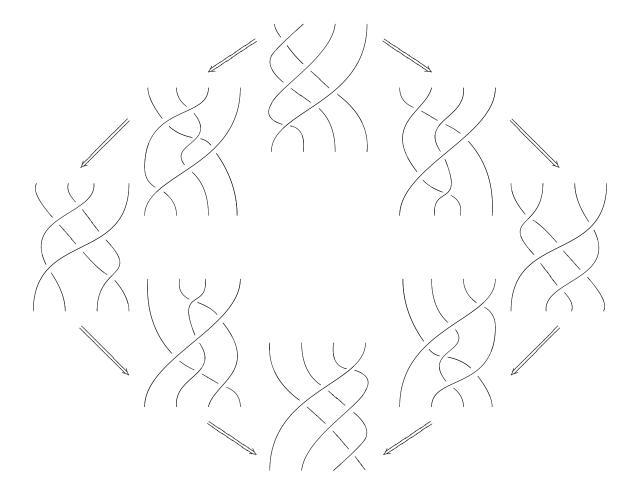
Left side of Zamolodchikov tetrahedron equation:



Right side of Zamolodchikov tetrahedron equation:



In short, the Zamolodchikov tetrahedron equation is a formalization of this commutative octagon:



Theorem: Let L be a 2-vector space, let $[\cdot, \cdot]: L \times L \to L$ be a skewsymmetric bilinear functor, and let J be a completely antisymmetric trilinear natural transformation with

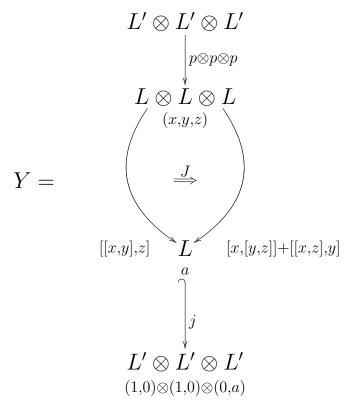
$$J_{x,y,z}: [[x,y],z] \to [x,[y,z]] + [[x,z],y].$$

Let $L' = K \oplus L$, where K is the categorified ground field. Let $B: L' \otimes L' \to L' \otimes L'$ be defined as follows:

 $B((a,x)\otimes (b,y))=(b,y)\otimes (a,x)+(1,0)\otimes (0,[x,y])$

whenever (a, x) and (b, y) are both either objects or morphisms in L'. Finally, let

 $Y \colon (B \otimes 1)(1 \otimes B)(B \otimes 1) \Rightarrow (1 \otimes B)(B \otimes 1)(1 \otimes B)$ be defined as follows:



where a is either an object or morphism of L. Then Y is a solution of the Zamolodchikov tetrahedron equation if and only if J satisfies the Jacobiator identity.

Hierarchy of Higher Commutativity

Topology	Algebra
Crossing	Commutator
Crossing of crossings	Jacobi identity
Crossing of crossing	Jacobiator
of crossings	identity
:	:

We can define **homomorphisms** between Lie 2-algebras, and **2-homomorphisms** between these.

Given Lie 2-algebras L and L', a **homomorphism** $F: L \to L'$ consists of:

- a functor F from the underlying 2-vector space of L to that of L', linear on objects and morphisms,
- a natural isomorphism

$$F_2(x,y) \colon [F(x),F(y)] \to F[x,y],$$

bilinear and skew-symmetric as a function of the objects $x, y \in L$,

such that:

• the following diagram commutes for all objects $x, y, z \in L$:

Theorem. The 2-category of Lie 2-algebras, homomorphisms and 2-homomorphisms is equivalent to the 2-category of:

- 2-term L_{∞} -algebras,
- L_{∞} -homomorphisms between these,
- L_{∞} -2-homomorphisms between these.

The Lie 2-algebras L and L' are **equivalent** if there are homomorphisms

$$f: L \to L' \qquad \overline{f}: L' \to L$$

that are inverses up to 2-isomorphism:

$$f\bar{f} \cong 1, \qquad \bar{f}f \cong 1.$$

Theorem. Lie 2-algebras are classified up to equivalence by quadruples consisting of:

- \bullet a Lie algebra ${\mathfrak g},$
- an abelian Lie algebra (= vector space) \mathfrak{h} ,
- a representation ρ of \mathfrak{g} on \mathfrak{h} ,
- an element $[j] \in H^3(\mathfrak{g}, \mathfrak{h})$.

The Lie 2-Algebra \mathfrak{g}_k

Suppose \mathfrak{g} is a finite-dimensional simple Lie algebra over \mathbb{R} . To get a Lie 2-algebra having \mathfrak{g} as objects we need:

- \bullet a vector space $\mathfrak{h},$
- a representation ρ of \mathfrak{g} on \mathfrak{h} ,
- an element $[j] \in H^3(\mathfrak{g}, \mathfrak{h})$.

Assume without loss of generality that ρ is irreducible. To get Lie 2-algebras with nontrivial Jacobiator, we need $H^3(\mathfrak{g},\mathfrak{h})\neq 0$. By Whitehead's lemma, this only happens when $\mathfrak{h} = \mathbb{R}$ is the trivial representation. Then we have

$$H^3(\mathfrak{g},\mathbb{R})=\mathbb{R}$$

with a nontrivial 3-cocycle given by:

$$\nu(x,y,z) = \langle [x,y],z\rangle.$$

The Lie algebra \mathfrak{g} together with the trivial representation of \mathfrak{g} on \mathbb{R} and k times the above 3-cocycle give the Lie 2-algebra \mathfrak{g}_k .

In summary: every simple Lie algebra \mathfrak{g} gives a oneparameter family of Lie 2-algebras, \mathfrak{g}_k , which reduces to \mathfrak{g} when k = 0!

Puzzle: Does \mathfrak{g}_k come from a Lie 2-group?

Suppose we try to copy the construction of \mathfrak{g}_k for a particularly nice kind of Lie group. Let G be a simplyconnected compact simple Lie group whose Lie algebra is \mathfrak{g} . We have

$$H^3(G, \mathrm{U}(1)) \xrightarrow{\iota} \mathbb{Z} \hookrightarrow \mathbb{R} \cong H^3(\mathfrak{g}, \mathbb{R})$$

Using the classification of 2-groups, we can build a skeletal 2-group G_k for $k \in \mathbb{Z}$:

- G as its group of objects,
- U(1) as the group of automorphisms of any object,
- the trivial action of G on U(1),
- $[a] \in H^3(G, U(1))$ given by $k \iota[\nu]$, which is nontrivial when $k \neq 0$.

Question: Can G_k be made into a Lie 2-group?

Here's the bad news:

(Bad News) Theorem. Unless k = 0, there is no way to give the 2-group G_k the structure of a Lie 2-group for which the group G of objects and the group U(1) of endomorphisms of any object are given their usual topology. (Good News) Theorem. For any $k \in \mathbb{Z}$, there is a Fréchet Lie 2-group $\mathcal{P}_k G$ whose Lie 2-algebra $\mathcal{P}_k \mathfrak{g}$ is equivalent to \mathfrak{g}_k .

An object of $\mathcal{P}_k G$ is a smooth path $f: [0, 2\pi] \to G$ starting at the identity. A morphism from f_1 to f_2 is an equivalence class of pairs (D, α) consisting of a disk D going from f_1 to f_2 together with $\alpha \in \mathrm{U}(1)$:

$$\begin{array}{c} 1\\ G & {}_{f_1 \overrightarrow{D} f_2} \end{array}$$

For any two such pairs (D_1, α_1) and (D_2, α_2) there is a 3-ball B whose boundary is $D_1 \cup D_2$, and the pairs are equivalent when

$$\exp\left(2\pi ik\int_B\nu\right) = \alpha_2/\alpha_1$$

where ν is the left-invariant closed 3-form on G with

$$\nu(x,y,z) = \langle [x,y],z\rangle$$

and $\langle \cdot, \cdot \rangle$ is the smallest invariant inner product on \mathfrak{g} such that ν gives an integral cohomology class.

$\mathcal{P}_k G$ and Loop Groups

We can also describe the 2-group $\mathcal{P}_k G$ as follows:

- An object of $\mathcal{P}_k G$ is a smooth path in G starting at the identity.
- Given objects $f_1, f_2 \in \mathcal{P}_k G$, a morphism

$$\widehat{\ell} \colon f_1 \to f_2$$

is an element $\widehat{\ell} \in \widehat{\Omega_k G}$ with

$$p(\widehat{\ell}) = f_2 / f_1$$

where $\widehat{\Omega}_k \widehat{G}$ is the level-k Kac–Moody central extension of the loop group ΩG :

$$1 \longrightarrow \mathrm{U}(1) \longrightarrow \widehat{\Omega_k G} \xrightarrow{p} \Omega G \longrightarrow 1$$

Remark: $p(\hat{\ell})$ is a loop in G. We can get such a loop with $p(\hat{\ell}) = f_2/f_1$

from a disk D like this:

$$\begin{array}{c} 1\\ G & {}_{f_1 \overrightarrow{D} f_2} \end{array}$$

The Lie 2-Group $\mathcal{P}_k G$

Thus, $\mathcal{P}_k G$ is described by the following where $p \in P_0 G$ and $\hat{\gamma} \in \widehat{\Omega_k G}$:

• A Fréchet Lie group of **objects**:

 $\operatorname{Ob}(\mathcal{P}_k G) = P_0 G$

• A Fréchet Lie group of **morphisms**:

$$Mor(\mathcal{P}_k G) = P_0 G \ltimes \widehat{\Omega_k G}$$

- source map: $s(p, \hat{\gamma}) = p$
- target map: $t(p, \hat{\gamma}) = p\partial(\hat{\gamma})$ where ∂ is defined as the composite

$$\widehat{\Omega_k G} \xrightarrow{p} \Omega G \xrightarrow{i} P_0 G$$

- composition: $(p_1, \hat{\gamma}_1) \circ (p_2, \hat{\gamma}_2) = (p_1, \hat{\gamma}_1 \hat{\gamma}_2)$ when $t(p_1, \hat{\gamma}_1) = s(p_2, \hat{\gamma}_2)$, or $p_2 = p_1 \partial(\hat{\gamma}_1)$
- identities: i(p) = (p, 1)

The Lie 2-Algebra $\mathcal{P}_k \mathfrak{g}$

 $\mathcal{P}_k G$ is a particularly nice kind of Lie 2-group: a *strict* one! Thus, its Lie 2-algebra is easy to compute.

The 2-term L_{∞} -algebra V corresponding to the Lie 2-algebra $\mathcal{P}_k \mathfrak{g}$ is given by:

• $V_0 = P_0 \mathfrak{g}$

•
$$V_1 = \widehat{\Omega_k \mathfrak{g}} \cong \Omega \mathfrak{g} \oplus \mathbb{R},$$

• $d: V_1 \to V_0$ equal to the composite

$$\widehat{\Omega_k \mathfrak{g}} \to \Omega \mathfrak{g} \hookrightarrow P_0 \mathfrak{g} ,$$

• $l_2: V_0 \times V_0 \to V_0$ given by the bracket in $P_0 \mathfrak{g}$: $l_2(p_1, p_2) = [p_1, p_2],$

and $l_2: V_0 \times V_1 \to V_1$ given by the action $d\alpha$ of $P_0\mathfrak{g}$ on $\Omega_k\mathfrak{g}$, or explicitly:

$$l_2(p,(\ell,c)) = \left([p,\ell], \ 2k \int_0^{2\pi} \langle p(\theta), \ell'(\theta) \rangle \, d\theta \right)$$

for all $p \in P_0 \mathfrak{g}, \ \ell \in \Omega G$ and $c \in \mathbb{R}$,

• $l_3: V_0 \times V_0 \times V_0 \rightarrow V_1$ equal to zero.

The 2-term L_{∞} -algebra V corresponding to the Lie 2-algebra \mathfrak{g}_k is given by:

- V_0 = the Lie algebra \mathfrak{g} ,
- $V_1 = \mathbb{R},$
- $d: V_1 \to V_0$ is the zero map,
- $l_2: V_0 \times V_0 \to V_0$ given by the bracket in \mathfrak{g} :

$$l_2(x,y) = [x,y],$$

and $l_2: V_0 \times V_1 \to V_1$ given by the trivial representation ρ of \mathfrak{g} on \mathbb{R} ,

• $l_3: V_0 \times V_0 \times V_0 \to V_1$ given by:

$$l_3(x,y,z) = k \langle [x,y], z \rangle$$

for all $x, y, z \in \mathfrak{g}$.

The Equivalence $\mathcal{P}_k \mathfrak{g} \simeq \mathfrak{g}_k$

We describe the two Lie 2-algebra homomorphisms forming our equivalence in terms of their corresponding L_{∞} -algebra homomorphisms:

• $\phi \colon \mathcal{P}_k \mathfrak{g} \to \mathfrak{g}_k$ has:

$$\phi_0(p) = p(2\pi)$$

$$\phi_1(\ell, c) = c$$

where $p \in P_0 \mathfrak{g}$, $\ell \in \Omega \mathfrak{g}$, and $c \in \mathbb{R}$.

• $\psi \colon \mathfrak{g}_k \to \mathcal{P}_k \mathfrak{g}$ has:

$$\psi_0(x) = xf$$

$$\psi_1(c) = (0, c)$$

where $x \in \mathfrak{g}$, $c \in \mathbb{R}$, and $f: [0, 2\pi] \to \mathbb{R}$ is a smooth function with f(0) = 0 and $f(2\pi) = 1$.

Theorem. With the above definitions we have:

- $\phi \circ \psi$ is the identity Lie 2-algebra homomorphism on \mathfrak{g}_k , and
- $\psi \circ \phi$ is isomorphic, as a Lie 2-algebra homomorphism, to the identity on $\mathcal{P}_k \mathfrak{g}$.

Topology of $\mathcal{P}_k G$

The **nerve** of any topological 2-group is a **simplicial** topological group and therefore when we take the **geo-metric realization** we obtain a topological group:

Theorem. For any $k \in \mathbb{Z}$, the geometric realization of the nerve of $\mathcal{P}_k G$ is a topological group $|\mathcal{P}_k G|$. We have

$$\pi_3(|\mathcal{P}_kG|) \cong \mathbb{Z}/k\mathbb{Z}$$

When $k = \pm 1$,

$$|\mathcal{P}_k G| \simeq \widehat{G},$$

which is the topological group obtained by killing the third homotopy group of G.

When G = Spin(n), \widehat{G} is called String(n). When $k = \pm 1$, $|\mathcal{P}_k G| \simeq \widehat{G}$.

The Lie 2-Algebra $\mathcal{P}_k \mathfrak{g}$

 $\mathcal{P}_k G$ is a particularly nice kind of Lie 2-group: a *strict* one! Thus, its Lie 2-algebra is easy to compute. Moreover,

Theorem. $\mathcal{P}_k \mathfrak{g} \simeq \mathfrak{g}_k$

What's Next?

We know how to get Lie n-algebras from Lie algebra cohomology! We should:

- Classify their representations
- Find their corresponding Lie n-groups
- Understand their relation to higher braid theory

Moreover, many other questions remain:

- Weak *n*-categories in Vect?
- Weakening laws governing addition and scalar multiplication?
- Weakening the antisymmetry of the bracket in the definition of Lie 2-algebra?
- What's a free Lie 2-algebra on a 2-vector space?
- Lie 2-algebra cohomology? L_{∞} -algebra cohomology?
- Deformations of Lie 2-algebras?