## Axes in Outer Space

Michael Handel and Lee Mosher

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Dictionary of Spaces:

| Group: | $\operatorname{Isom}\left(\mathbf{H}^{n}\right)$ | $\mathcal{M C G}(S)$ | $\operatorname{Out}\left(F_{n}\right)$ |
| :--- | :---: | :---: | :---: |
| Space: | Hyperbolic | Teichmüller | Outer |
| Notation: | $\mathbf{H}^{n}$ | $\mathcal{T}(S)$ | $\mathcal{X}_{n}$ |
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| $\sqrt{ }$ | $\sqrt{ }$ | $? ? ?$ |  |

## Outer Space $\mathcal{X}_{n}$ :

The moduli space of marked graphs (of rank $n$ )


$$
\begin{aligned}
R_{n} & =\text { the rose of rank } n \\
F_{n} & =\text { the free group of rank } n \\
& =\left\langle x_{1}, x_{2}, x_{3}, \ldots, x_{n}\right\rangle \\
& =\pi_{l}\left(R_{n}\right)
\end{aligned}
$$

$\operatorname{Out}\left(F_{n}\right)=\operatorname{Aut}\left(F_{n}\right) / \operatorname{Inn}\left(F_{n}\right)$
$=\left\{\right.$ homotopy equivalences of $\left.R_{n}\right\}$

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& =\frac{\left\{\text { homotopy equivalences of } R_{n}\right\}}{\text { homotopy }}
\end{aligned}
$$

$\phi=\quad$ an element of $\operatorname{Out}\left(F_{n}\right)$
$\Phi=$ a representative in $\operatorname{Aut}\left(F_{n}\right)$
or a representative homotopy equivalence

$$
R_{n} \mapsto R_{n}
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Example of a fully irreducible $\phi \in \operatorname{Out}\left(F_{3}\right)$ : Represented by the automorphism

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Try to prove:

- No nontrivial conjugacy class is periodic (easy).
- In particular, no rank 1 free factor has a periodic conjugacy class.
- No rank 2 free factor has a periodic conjugacy class (trickier).


A graph of rank 3


## A graph of rank 3 with a metric

12

A graph of rank 3

# Definition of a marked graph (of rank $n$ ): 

 A graph $G$ with no vertices of valence 1 , equipped with:- A geodesic metric, determined (up to isotopy) by assigning a length to each edge.
- A homotopy equivalence

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A homotopy equivalence $f: G \rightarrow G^{\prime}$ of marked graphs such that

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## Types of marked homotopy equivalences:

- Marked isometry (preserves metric).
- Marked homothety (multiplies metric by a constant).
- Marked homeomorphism (preserves topology).


## Deprojectivized Outer Space $\widehat{\mathcal{X}_{n}}$ :

- One element for each marked graph, up to marked isometry.

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Cone stratification of $\widehat{\mathcal{X}_{n}}$, Simplex stratification of $\mathcal{X}_{n}$ :

- One cone (simplex) for each marked graph $G$, up to marked homeomorphism.



# Cone stratification of $\widehat{\mathcal{X}_{n}}$, 

Simplex stratification of $\mathcal{X}_{n}$ :

- One cone (simplex) for each marked graph $G$, up to marked homeomorphism.
- Open cone parameterized by $(0, \infty)^{k}$, one coordinate for each of the $k$ edges of $G$.
- Closed cone parameterized by $[0, \infty)^{k}$ minus one face for each nonforest subgraph of $G$ :
- Edge lengths may be zero on a subforest, collapsing each component of the subforest to a point.
- Edge lengths may not be zero on a loop, else the homotopy type changes.


## Topology of $\widehat{\mathcal{X}_{r}}$ :

- Closed cones inherit topology from parameterization.
- Weak topology w.r.t. collection of closed cones.


## Topology of $\mathcal{X}_{r}$ :

- Quotient topology w.r.t. projection $\widehat{\mathcal{X}_{r}} \rightarrow \mathcal{X}_{r}$.
- Quotients of open cells form open simplex decomposition.
- Quotients of closed cells form closed (but noncompact) simplex decomposition
- Weak topology w.r.t. collection of closed simplices.

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- For each marked graph $\rho_{G}: R_{n} \rightarrow G$
- Define marked graph $\rho_{G \cdot \phi}=\rho_{G} \circ \Phi: R_{n} \rightarrow G$



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- A point in the compactification $\overline{\mathcal{X}}_{r}=\mathcal{X}_{r} \cup \partial \mathcal{X}_{r}$ is:
- An R-tree, on which $F_{n}$ acts, minimally, "very small" (up to $F_{n}$-equivariant homothety)

Fold line in Outer Space:
Continuous path in $\mathcal{X}_{r}$ interpolated by edge isometries

## Periodic fold lines:

Arise from train track maps








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Fold line: a continuous, 1-parameter family of marked graphs

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t \mapsto G_{t}, \quad-\infty<t<+\infty
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satisfying the semiflow identity

$$
\begin{gathered}
h_{t s} \circ h_{s r}=h_{t r}, \quad-\infty<r<s<t<+\infty \\
G_{r} \xrightarrow[h_{t r}]{\stackrel{h_{s r}}{\longrightarrow} G_{s} \xrightarrow{h_{t s}} G_{t}}
\end{gathered}
$$

## Train track maps: Given:

- $\phi \in \operatorname{Out}\left(F_{n}\right)$
- marked graph $G$
- homotopy equivalence $g: G \rightarrow G$
$g$ is an (affine) train track representative of $\phi$ if:


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g \circ \rho_{G} \sim \rho_{G} \circ \Phi
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- $\exists \lambda>1$ such that $\forall i \geq 1$ and $\forall E$ an edge of $G$,
$g^{i} \mid \operatorname{int}(E)$ is a local homothety with stretch $\lambda^{i}$

Example of a train track map:
On the 3-petalled rose:

$$
\Phi: \begin{cases}A & \rightarrow B \\ B & \rightarrow C \\ C & \rightarrow \bar{B} A\end{cases}
$$

Only "illegal turns" (turns which are not locally injective under all powers) are:

$$
A C, \overline{C A}, C B, \overline{B C}, B A, \overline{A B}
$$

No edge has an image containing one of these illegal turns.
So, $\Phi$ is a train track map.

$$
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$$

Sufficient condition for $\phi \in \operatorname{Out}\left(F_{3}\right)$ to be fully irreducible:
Check that the map $M_{\Phi}$ on $H_{1}(G ; \mathbf{Z})$ induced by the train track map $\Phi$

$$
M_{\Phi}=\left(\begin{array}{ccc}
0 & 1 & 0 \\
0 & 0 & 1 \\
1 & -1 & 0
\end{array}\right)
$$

has no eigenvalue on the unit circle.
(This sufficient condition only works in rank 3 ).

Eigenvalues $\left(M_{\Phi}\right)=\{0.6823278040$,
$-0.3411639019 \pm 1.161541400 i\}$

Theorem (Bestvina, Handel). For every fully irreducible $\phi \in \operatorname{Out}\left(F_{r}\right)$ there exists an affine train track representative

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From this we shall obtain:
A $\phi$-periodic fold line

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First get a $\phi$-periodic "discrete" fold line:

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G \cdot \phi^{i}, \quad i \in \mathbf{Z}
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For $i<j<k \in \mathbf{Z}$, the identity

$$
g^{k-j} \circ g^{j-i}=g^{k-i}
$$

induces the semiflow identity

$$
h_{k j} \circ h_{j i}=h_{k i}
$$

From this "discrete" fold line:

- $G_{i}=\frac{1}{\lambda^{i}} G \cdot \phi^{i}, \quad i \in \mathbf{Z}$
- $h_{j i}: G_{i} \rightarrow G_{j}$ for $i<j \in \mathbf{Z}$
- $h_{k j} \circ h_{j i}=h_{k i}$ for $i<j<k \in \mathbf{Z}$

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To get a continuous fold line:

- Interpolate $h_{i+1, i}: G_{i} \rightarrow G_{i+1}$ by a fold path.
- Fit together to give a $\phi$-periodic fold line.


## $\mathcal{A}_{\phi}$ <br> The Axis Bundle for $\phi$ :

Periodic fold lines for powers of $\phi$ all bundled together

The axis bundle:

- Choices in the construction of a periodic fold line for power of $\phi$ :
- Positive power $\phi^{k}$
- Train track map $g: G \rightarrow G$ representing $\phi^{k}$
- The interpolations of $h_{i+1, i}: G_{i} \rightarrow G_{i+1}$.


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- Positive power $\phi^{k}$
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- The interpolations of $h_{i+1, i}: G_{i} \rightarrow G_{i+1}$.
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Define the axis bundle $\mathcal{A}_{\phi} \subset \mathcal{X}_{r}$ to be:

## The axis bundle:

- Choices in the construction of periodic fold lines
- The train track map $g: G \rightarrow G$
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Define the axis bundle $\mathcal{A}_{\phi} \subset \mathcal{X}_{r}$ to be:

- The closure of the union of all $\phi^{i}$-periodic fold lines for $i \geq 1$.

Theorem. For each fully irreducible $\phi \in \operatorname{Out}\left(F_{n}\right)$, with source $T_{-} \in \partial \mathcal{X}_{r}$ and sink $T_{+} \in \partial \mathcal{X}_{r}$ :

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Natural dependence implies:

- $\mathcal{A}_{\phi^{i}}=\mathcal{A}_{\phi}$ for $i \geq 1$
- If $\psi \in \operatorname{Out}\left(F_{n}\right)$ commutes with a (nonzero) power of $\phi$ then

$$
\psi\left(\mathcal{A}_{\phi}\right)=\mathcal{A}_{\phi}
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Natural dependence on $\left(T_{-}, T_{+}\right)$:

> Theorem. For each fully irreducible $\phi \in \operatorname{Out}\left(F_{n}\right)$, with source $T_{-} \in \partial \mathcal{X}_{r}$ and sink $T_{+} \in \partial \mathcal{X}_{r}$, $\mathcal{A}_{\phi}$ is

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Theorem. For each fully irreducible $\phi \in \operatorname{Out}\left(F_{n}\right)$, with source $T_{-} \in \partial \mathcal{X}_{r}$ and sink $T_{+} \in \partial \mathcal{X}_{r}$, $\mathcal{A}_{\phi}$ is the union of all fold lines (periodic or not), whose negative end converges to $T_{-}$, and whose positive end converges to $T_{+}$.

So far two characterizations of when $G \in \mathcal{X}_{r}$ is in the axis bundle $\mathcal{A}_{\phi}$ :

- (3) $G$ is a limit of points on $\phi^{i}$-periodic fold lines, $i \geq 1$.
- (1) $G$ is on a fold line with ends $T_{-}, T_{+}$.

These are both "global" or "extrinsic" conditions: existence of certain fold lines passing through or near $G$.
Need a "local" or "intrinsic" condition on $G$.

- (3) $G$ is a limit of points on $\phi^{i}$-periodic fold lines, $i \geq 1$.
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For the cognoscenti, alternate condition depends on the lamination theory of Bestvina, Feighn, and Handel:
$\Lambda_{-}=\Lambda_{-}(\phi)$, the expanding lamination of $\phi:$

- Limits of iterates of edges of a train track map.
- Minimal lamination whose leaves have length zero in $T_{-}$.
- (3) $G$ is a limit of points on $\phi^{i}$-periodic fold lines, $i \geq 1$.
- (1) $G$ is on a fold line with ends $T_{-}, T_{+}$.

Alternate characterization of $G \in \mathcal{A}_{\phi}$ :

- (2) $G \in \mathcal{X}_{r}$ is a weak train track, meaning $G$ has a normalization in $\widehat{\mathcal{X}_{r}}$ so that:
- there exists an edge isometry $\widetilde{G} \rightarrow T_{+}$such that every leaf of $\Lambda_{-}$realized in $\widetilde{G}$ embeds in $T_{+}$.

Main point to take from this definition: each weak train track has a "canonical" normalization, and hence has a "canonical" length.

Where's the hard work?

- For all $G \in \mathcal{X}_{r}$, TFAE:
(1) $G$ is on a fold line with ends $T_{-}, T_{+}$
(2) $G$ is a weak train track.
(3) $G$ is a limit of points on $\phi^{i}$-periodic fold lines, $i \geq 1$.
- Proving that $\mathcal{A}_{\phi}$ is proper homotopy equivalent to $\mathbf{R}$.

Main technical result from which others flow:
Proposition 1 (The $S-T$ Lemma (Prop. 5.4 but it doesn't look like this)).
For every $L>0$ there exists a train track $S$ of length $>L$ such that for each weak train track $T$ of length $<L$, there is an edge isometry $S \mapsto T$.

In other words: From a certain sufficiently long $S$, can fold to anything sufficiently short.

Proof that (2) $\Longrightarrow$ (1): every weak train track lies on a fold line going from $T_{-}$to $T_{+}$.

- Every train track $S$ is on a periodic fold line (proved earlier).
- Every periodic fold line goes from $T_{-}$to $T_{+}$ (apply Source-Sink dynamics).

Construction of a fold line from $T_{-}$through $T$ to $T_{+}$:

- Fold from $T_{-}$to $S$ by part of a periodic fold line;
- Fold from $S$ to $T$ by interpolating the edge isometry $S \mapsto T$;
- Fold from $T$ to $T_{+}$by interpolating the edge isometry $T \mapsto T_{+}$.

Proving that $\mathcal{A}_{\phi}$ is proper homotopy equivalent to R:

- Length map $\mathcal{A}_{\phi} \rightarrow(0, \infty)$ is a proper map:
- Use the $S-T$ Iemma: those $T$, foldable from $S$, with length in a compact interval $[a, b]$, forms a compact set.
- Skora's method, which has been used to prove contractibility of $\mathcal{X}_{r}, \overline{\mathcal{X}}_{r}$, etc., can also be used to prove that the length map $\mathcal{A}_{\phi} \rightarrow(0, \infty)$ is a proper homotopy equivalence.

