

Mapping Flux to Geometry :

## Calabi-Yau Duals of Torus Orientifolds

Michael Schulz

March 2005

Based on

hep-th/020128 S. Kachru, MS, S. Trivedi

hep-th/0211182 S. Kachru, MS, P. Tripathy, S. Trivedi

hep-th/0406001 MS

hep-th/0412270 MS

D3/D7 warped compactifications with flux are an exciting arena in which one can readily address

moduli stabilization  
realizations of de Sitter space  
inflation  
statistics of the landscape  
MSSM-like model building  
SUSY-breaking soft terms

in many cases with a holographic interpretation (due to warping) that lets us view field theory phenomena in an intuitive geometrical way.

Q: To what extent are these just alternative descriptions of more conventional fluxless compactifications?

As usual,  $\mathcal{N}=4$  easy  $\cong \text{type I or Het} / T^6 \cong \text{IIA} / K3 \times T^2$

$\mathcal{N}=2$  nontrivial but tractable

$\mathcal{N}=1$  hard.

We'll focus on the  $\mathcal{N}=2$  case. For  $\mathcal{N}=2$ ,  $\exists$  large webs of connected  $CY_3$  vacua.

Are  $\mathcal{N}=2$  flux compactifications part of a  $CY_3$  web?

## $\mathcal{N} = 2$ Flux Compactifications

p.2

- Assumption of  $\mathcal{N} = 2$

$\Rightarrow$  orientifold of  $T^6$  or  $K3 \times T^2$ .

- Focus on  $T^6/\mathbb{Z}_2$ .

$$T^6: \quad x^m \cong x^m + 1$$

$$\mathbb{Z}_2: \quad \Omega (-1)^{F_L} \mathbb{I}_6$$

WS parity  $\uparrow$   $x^m \rightarrow -x^m$  Inversion of  $T^6$

for  $m=0$  states, acts as  
-1 on  $L$  Ramond sector

- For  $F_{(3)} = H_{(3)} = 0$ ,

$$T^6/\mathbb{Z}_2 \cong \text{type I on } T^6$$

via 6 T-dualities.

$$\begin{array}{c} 16 \text{ D3} \\ + 2^6 \text{ O3} \end{array} \leftrightarrow \begin{array}{c} 16 \text{ D9} \\ + 2^0 \text{ O9} \end{array}$$

(O3 planes are located at the fixed points of  $\mathbb{I}_6$ .)



- $T^6/\mathbb{Z}_2$  w.o. flux preserves  $\mathcal{N}=4$ .
- More generally, can trade D3s for flux:

$$2M + N_{\text{flux}} = 32, \quad \in H^2(T^6, 2\mathbb{Z})$$

$$M = \#D3, \quad N_{\text{flux}} = \frac{1}{(2\pi)^2 \alpha'^4} \int_{T^6} H_{(3)} \wedge F_{(3)}.$$

- Metric:

$$ds^2 = \mathbb{Z}^{-1/2} \eta_{\mu\nu} dx^\mu dx^\nu + \mathbb{Z}^{1/2} ds_{T^6}^2$$

$$-\nabla_{T^6}^2 \mathbb{Z} = (2\pi)^4 \alpha'^2 g_s \left( \sum_i Q_i^{D3} \frac{\delta^6(x-x_i)}{\sqrt{g_{T^6}}} + \frac{N_{\text{flux}}}{V_{T^6}} \right).$$

- 5-form flux:

$$\tilde{F}_{(5)} = (1 + *) g_s^{-1} d \left( \mathbb{Z}^{-1} dx^0 \wedge dx^1 \wedge dx^2 \wedge dx^3 \right).$$

(We do not get to choose  $\tilde{F}_{(5)}$ .)

- Which  $F_{(3)}, H_{(3)}$  give  $\mathcal{N}=2$ ?

- $T^6/\mathbb{Z}_2$  has only one known choice of  $\mathcal{N}=2$  flux (up to  $SL(6, \mathbb{Z}) \times SL(2, \mathbb{Z})$ ):

$$F_{(3)} = 2m(dx^4 \wedge dx^6 + dx^5 \wedge dx^7) \wedge dx^9$$

$$H_{(3)} = 2n(dx^4 \wedge dx^6 + dx^5 \wedge dx^7) \wedge dx^8.$$

(Note, S-duality +  $90^\circ$   $sp$ -rotation:  $m \leftrightarrow n$ .)

Tadpole :  $4mn + M = 16$ ,  $M = \# D3$ .  
canc.

- SUSY:  $G_{(3)} = F_{(3)} - \tau_{dil} H_{(3)}$

must be  $(2,1)$  and primitive ( $J \wedge G_{(3)} = 0$ ).

- This  $\Rightarrow T^4_{(4567)} \times T^2_{(89)}$

w.r.t. cpx and Kähler str,

together with further constraints:

(\*)  $T^4$ :  $dx^4 \wedge dx^6 + dx^5 \wedge dx^7$   $(1,1)$  and primitive,

$$T^2: dz \equiv dx^8 + \tau dx^9 \Rightarrow -1/\tau = \frac{m}{n} \tau_{dil}.$$

- $\mathcal{N}=2$ :  $J_a{}^b = i\chi^\dagger \gamma_a{}^b \chi$ , so count cpx str.

$\exists$  an  $S^2$  of cpx strs on  $T^4$  satisfying (\*).  $\checkmark$

We will ultimately relate this background to IIA on  $CY_3$  via a duality chain:

3 T-dualities + 9-10 circle swap.

To leading order (in  $g_s, \alpha'$ ) this reduces to mapping of massless dof through classical supergravity dualities.

So, let us begin by describing the massless dof of  $\mathcal{N}=2$   $T^6/\mathbb{Z}_2$ .

First focus on metric.

- On  $T^4$ , natural to write metric in terms of

$$z^i = x^i + \underset{\substack{\uparrow \\ 4 \text{ cpx} = 8 \text{ real dof}}}{\tau^i{}_j} y^j \quad \text{and} \quad g_{ij} \underset{\substack{\uparrow \\ 4 \text{ real dof}}}$$

but this is redundant since  $g_{mn}^{T^4}$  has 10 real dof.

- Fortunately there is another convenient parameterization that avoids this problem.



- Instead, write the  $T^4$  metric as a flat  $T^2_{(4,5)}$  fibration over  $T^2_{(6,7)}$ :

$$ds^2_{T^4} = \frac{V_1}{\text{Im } \tau_1} \left| dx^4 + \tau_1 dx^5 + a^4 + \tau_1 a^5 \right|^2 \\ + \frac{V_2}{\text{Im } \tau_2} \left| dx^6 + \tau_2 dx^7 \right|^2.$$

$a^4, a^5$  are flat connections  
( = const 1-forms on  $T^2_{(6,7)}$  ).

- For  $T^2$ , write

$$ds^2_{T^2} = \frac{V_3}{\text{Im } \tau_3} \left| dx^8 + \tau_3 dx^9 \right|^2.$$

$\text{Im } \tau$ 's are so that  $J_{T^2} = V_3 dx^8 \wedge dx^9$ , etc.

- SUSY conditions:

$$\tau_1 \tau_2 = -1, \quad (m/n) \tau_{\text{dil}} \tau_3 = -1, \\ (a^4)_7 = (a^5)_6, \quad V_1, V_2, V_3 \text{ arbitrary.}$$

$N=2$   $T^6/Z_2$  massless spectrum p. 7

Flux breaks  $N=4$  to  $N=2$ .

- Ignoring flux, massless fields of orientifold are

$$\text{Bulk} \begin{cases} 1 & 4D & \text{graviton} & g_{\mu\nu} \\ 12 & 4D & \text{vectors} & B_{m\mu}, C_{m\mu} \\ 38 & 4D & \text{scalars} & C_{mnpq}, g_{mn}, \tau_{dil} = C_0 + ie^{-\phi} \end{cases}$$

$\begin{matrix} 15 & 21 & 2 \end{matrix}$

$$M \text{ D3 Branes} \begin{cases} 1 & 4D & \text{vector} & A^\mu \\ 6 & 4D & \text{scalars} & \Phi^m \end{cases}$$

$$\Rightarrow \begin{matrix} 1 & N=4 & \text{gravity mult} \\ 6+M & N=4 & \text{vector mults.} \end{matrix}$$

- Including flux :

Have 10 unlifted metric moduli/dilaton-axion,  
 $V_1, V_2, V_3$ , 2 indep  $\tau$ , 3 indep  $(a^m)_n$ .

From  $|dC_{(4)} - \frac{1}{2} B \wedge F_{(3)} + \frac{1}{2} C_{(2)} \wedge H_{(3)}|^2 = |\tilde{F}_{(5)}|^2$ ,  
 9 vectors eat 9  $C_{(4)}$  axions  
 leaving 3 vectors, 6  $C_{(4)}$  axions.

D3 WV fields unlifted.

$$\Rightarrow \begin{matrix} 1 & N=2 & \text{gravity mult} \\ 2+M & N=2 & \text{vector mults} \\ 3+M & N=2 & \text{hyper mults} \end{matrix}$$

$$\left( \begin{array}{l} \text{In IIA on } CY_3 : \\ \hline = h^{1,1} \\ = h^{2,1} + 1 \end{array} \right)$$



## Action of T-duality on NS flux p.8

Focus on a  $T^3$  in  $T^6/\mathbb{Z}_2$  w.  $H_{(3)} \neq 0$ .

$$ds^2 = dx^2 + dy^2 + dz^2, \quad H_{(3)} = N dx \wedge dy \wedge dz, \\ B_{(2)} = N x dy \wedge dz.$$

T-dualize in the  $z$ -direction.

Buscher rules  $\Rightarrow$

$$ds^2 = dx^2 + dy^2 + (dz + Nx dy)^2, \quad H = B = 0.$$

$$\text{I.e., } \underset{\substack{\uparrow \\ \text{U(1) connection}}}{\mathcal{A}} = Nx dy, \quad \underset{\substack{\uparrow \\ [\mathcal{F}] \text{ chern class}}}{\mathcal{F}} = N dx \wedge dy.$$

T-duality has interchanged two  $S^1$ -fibrations:

1. geometrical  $S^1$
2. formal  $\tilde{S}^1$  of

$$\begin{array}{ll} \text{connection} & \tilde{\mathcal{A}} = - \int_{S^1} B, \\ \text{curvature} & \tilde{\mathcal{F}} = \int_{S^1} H. \end{array}$$

This illustrates a general rule.

( See, e.g., Bouwknegt - Evslin - Mathai,  
Fidanza - Minasian - Tomasiello. )

## O6/D6 T-dual Orientifold p.9

---

Starting from  $T^6/\mathbb{Z}_2$  w.  $N=2$  flux,  
T-dualize in 4,5,9 directions.

- Obtain  $T^3$  fibration over  $T^3$  in internal dirs:

$$ds^2 = Z^{-1/2} (\eta_{\mu\nu} dx^\mu dx^\nu + ds^2_{T^3_{\text{fib}}}) + Z^{1/2} ds^2_{T^3_{\text{base}}}.$$

Here,

$$ds^2_{T^3_{\text{base}}} = \frac{V_2}{\text{Im } \tau} |dx^6 + \tau dx^7|^2 + R_8^2 dx^8^2$$

$$ds^2_{T^3_{\text{fib}}} = \frac{V_1'}{\text{Im } \tau} |\theta^4 + \tau \theta^5|^2 + R_9^2 dx^9^2$$

with  $\theta^m = dx^m + \mathcal{A}^m$ ,  $m = 4, 5$ .

$$(\mathcal{F}^4 = 2n dx^6 \wedge dx^8, \quad \mathcal{F}^5 = 2n dx^7 \wedge dx^8.)$$

- The  $x^9$  circle is trivially fibered.
- M D6 +  $2^3$  O6 wrap  $T^3$  fiber.
- Have  $F_{(2)}$  flux only.

$\Rightarrow$  Geometrical M theory lift w. no flux!

- In M theory lift,

$F_{(2)} \longrightarrow$  fibration of  $x^{10}$  over IIA geom.

$D6 \xrightarrow{\text{locally}}$  smooth Taub-NUT space  $\times \mathbb{R}^{6,1}$

$Ob \xrightarrow{\text{locally}}$  smooth Atiyah-Hitchin space  $\times \mathbb{R}^{6,1}$ .

Also,  $S'_{(9)}$  factorizes

( since:  $x^9$  not fibered in IIA  
 $F_{(2)}$  indep of  $x^9$   
 warp factors cancel for  $(dx^9)^2$  ).

- Thus,

$T^6/\mathbb{Z}_2 \longleftrightarrow$  M theory on  $X_6 \times S'_{(9)}$

with  $N=2$  and  $X_6$  smooth.

$\Rightarrow X_6$  is a Calabi-Yau.

- Compactifying on  $S'_{(9)}$  gives

$T^6/\mathbb{Z}_2 \longleftrightarrow$  IIA on  $CY_3 \times X_6$ .

(Compactifying on  $S'_{(10)} \subset X_6$  gives Ob/D6 dual.)



Which  $CY_3$  ?

- Hodge numbers :

$M+2$  vector mults,  $M+3$  hyper mults

$$\Rightarrow h^{1,1} = h^{2,1} = M+2.$$

Here,  $M = 16 - 4mn = 0, 4, 8, 12,$   
with different possible  $(m,n)$  for each  $M$ .

- Intersection numbers ?
- Fibration structure ?
- $\pi_1(X_6)$  ?
- Discrete isometries ?
- $m \leftrightarrow n$  duality ?

- To answer these questions, we must be more specific about the second part of the duality

$$T^6/\mathbb{Z}_2 \leftrightarrow \text{O6/D6 dual} \xLeftrightarrow{\quad} \text{IIA on } CY_3$$

(i.e., the circle swap: up on  $x^{10}$ , down on  $x^9$ ).

- It will help if we first gain intuition on leading order vs. exact M theory lifts [Sen].
- From the usual  $\text{IIA} \leftrightarrow \text{M theory}$  identifications, lift of (leading sugra) D6 or O6 background is  $\mathbb{R}^{6,1} \times X_4$ :

$$ds_4^2 = \mathbb{Z}^{-1} R_{10}^2 (dx^{10} + A)^2 + \mathbb{Z} ds_{\mathbb{R}^3}^2,$$

$$\text{where } \mathbb{Z} = 1 + \frac{R_{10}}{8\pi^2} \frac{Q}{|\vec{X}|} \quad \vec{X} \in \mathbb{R}^3$$

$$dA = R_{10}^{-1} *_3 d\mathbb{Z} \quad \left( = \frac{1}{2\pi\sqrt{\alpha'}} F_{(2)} \text{ IIA} \right).$$

- For D6,  $Q=1$  and this defines a smooth Taub-NUT space.
- For O6,  $Q=-4$  and this defines the large radius approximation to an Atiyah-Hitchin space.

It is singular at small enough  $\vec{X}$ , where  $\mathbb{Z}=0$ .



- However, this metric is just the truncation of the A-H metric obtained by discarding all but the lowest Fourier mode along  $x^{10}$ .

The complete A-H metric is smooth  
(also need  $x^{10}, \vec{x} \cong -x^{10}, -\vec{x}$ ).

- The approximation is worst at small  $\vec{x}$  where  $Z^{-1} \rightarrow \infty$  and the M theory circle decompactifies.
- In IIA language, D0 branes become light near O6 planes, where  $e^{\Phi} \rightarrow \infty$ .

So, need to include sugra fields from tower of D0 bound states.

- (• D2 probing O6 : 1-loop moduli space metric, corrected to full A-H metric by 3D instantons;  
[Seiberg, Seiberg-Witten].)



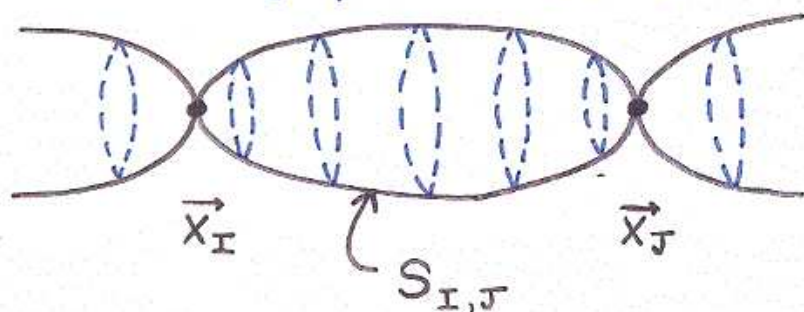
### M D6s

- Same form of metric

$$ds^2 = Z^{-1} R_{10}^2 (dx^{10} + A)^2 + Z ds^2_{\mathbb{R}^3},$$

but now  $Z = 1 + \sum_{J=1}^M Z^J$ ,  $Z^J = \frac{R_{10}^2 Q}{8\pi^2 |\vec{x} - \vec{x}_J|}$ .

- $S^1$  fiber shrinks over each  $\vec{x}_J$  on  $\mathbb{R}^3$  base, so obtain  $S^2$  from fibration over curves connecting pairs  $\vec{x}_I, \vec{x}_J$ :



- Basis of  $H_2(\mathbb{Z})$  is  $\{S_{12}, S_{23}, \dots, S_{M-1,M}\}$ .

- Intersections :  $S_{I,I+1} \cdot S_{I+1,I+2} = 1$ ,  
 $S_{I,I+1}^2 = -2$ ,

$$\Rightarrow \mathbb{I} = \begin{pmatrix} -2 & 1 & & \\ 1 & -2 & 1 & \\ & 1 & \ddots & 1 \\ & & 1 & -2 \end{pmatrix} = -\text{Cartan}(A_{M-1}).$$

- Similarly, obtain one  $L_2$  harmonic form for each center:

$$F^J = (Z^J/Z)_{,m} \left( -dx^m \wedge (dx^{10} + A) + \frac{1}{2} R_{10}^{-1} \text{Vol}_{\mathbb{R}^3}{}^m{}_{np} dx^n \wedge dx^p \right) \\ \left( \text{locally } d[A^J - (Z^J/Z)(dx^{10} + A)] \right).$$

- The  $F^J$  are anti-selfdual, and satisfy

$$\int_{X_4} F^I \wedge F^J = -\delta^{IJ}.$$

- The 2-form  $\omega^{I,J} = F^I - F^J$  is Poincaré dual to the sphere  $S_{I,J}$ :

$$\int_{X_4} \omega^{I,J} \wedge \omega^{K,L} = S_{I,J} \cdot S_{K,L}.$$

- It will be more convenient to work with cohomology when we discuss the  $CY_3$  duals of  $T^6/\mathbb{Z}_2$ .



- Now have

$$ds_4^2 = Z^{-1} R_{10}^2 (dx^{10} + A)^2 + Z ds_{\mathbb{R}^3}^2$$

$$\text{with } Z = 1 + \frac{R_{10}}{8\pi^2} \left[ \underbrace{-\frac{4}{|\vec{x}|}}_{\substack{\uparrow \\ O6}} + \sum_{J=1}^M \left( \underbrace{\frac{1}{|\vec{x} - \vec{x}_J|}}_{\substack{\uparrow \\ MD6}} + \underbrace{\frac{1}{|\vec{x} + \vec{x}_J|}}_{\substack{\uparrow \\ M \text{ image } D6'}} \right) \right]$$

modulo  $x^{10}, \vec{x} \cong -x^{10}, -\vec{x}$ .

- Obtain  $S^2$  from  $S^1$ -fibration btw centers :

$$\begin{aligned} & \vec{x}_I \quad \text{---} \quad \vec{x}_J \quad + \quad Z_2 \text{ image} \quad (S_{I,J}) \\ & \vec{x}_I \quad \text{---} \quad \vec{x}_{J'} = -\vec{x}_J \quad + \quad Z_2 \text{ image} \quad (S_{I,J'}). \end{aligned}$$

- The spheres  $S_{I,I+1}$  ( $I=1, \dots, M$ ) and  $S_{M-1,M'}$  form a simple basis w.  $D_M$  intersection matrix.
- Metric is singular at small  $\vec{x}$  but can choose representative cycles that avoid this region.
- So, obtain correct topological data (intersection matrix) from singular leading order lift. (Likewise for cohomology.)



## Leading Order $CY_3$ Metric Dual to $T^6/Z_2$ p.17

- Now return to Ob/D6 dual of  $T^6/Z_2$  and perform leading order M theory lift +  $S^1(x^9)$  compactification. Obtain IIA on

$$ds^2_{CY_3} \simeq \frac{V_1'}{\text{Im } \tau} \left| \theta^4 + \tau \theta^5 \right|^2 + Z^{-1} R_{10}^2 (dx^{10} + A)^2 \quad \text{fib} \\ + \frac{V_2}{\text{Im } \tau} \left| dx^6 + \tau dx^7 \right|^2 + Z R_8^2 dx^8^2, \quad \text{base}$$

modulo  $x^{10}, \vec{x} \cong -x^{10}, -\vec{x} \quad (\vec{x} = x^6, x^7, x^8).$

- Here:  $V_1' = (m/n) R_8 R_{10}$ ,

4,5 fibrations are as before,

$$dA = R_{10}^{-1} \underset{\substack{\uparrow \\ \text{like Taub-NUT/A-H}}}{*}_3 dZ - 2m \left( \theta^4 \wedge dx^7 - \underset{\substack{\uparrow \\ \text{new}}}{\theta^5} \wedge dx^6 \right).$$

- There is a natural cpx pairing, w. (1,0) forms

$$\theta^4 + \tau \theta^5, \quad dx^6 + \tau dx^7, \quad Z dx^8 + it(dx^{10} + A), \quad t = \frac{R_{10}}{R_8}. \\ \text{modulus } \delta A = a dx^8$$

- Can compute corresponding  $J, \Omega$ ; find that  $dJ = d\Omega = 0$  away from 8 pts on  $T^3_{\text{base}}$  (from Ob's).

$\Rightarrow$   $SU(3)$  holonomy away from  $Z \leq 0$  region.

## Validity of leading order description p.18

---

Can show that  $z = 1 + \frac{n}{m} \frac{v_1'}{v_2} \hat{z}$ ,  
with  $\hat{z} = O(1)$  as  $v_1'/v_2 \rightarrow 0$ .

- By tuning  $v_1'/v_2$ , can make bad  $z \leq 0$  regions smaller and smaller.
- Leading order metric becomes an arbitrarily good approximation at most points.
- Nothing special happens at bad loci ( $\sim A-H \times \mathbb{R}^2$  in full lift).

So, expect homology to be reliably computable by leading order description of duality.



## Intersection numbers of CY<sub>3</sub> duals p.19

- Define Kähler moduli  $s, h$  by

$$v_1' = \bar{m}h, \quad v_2 = 2s, \quad R_8 R_{10} = \bar{n}h,$$

with  $(\bar{m}, \bar{n}) = (m, n) / \gcd(m, n)$ .

- The Kähler form is  $J = s\omega_s + h\omega_H$ ,

$$\omega_s = 2dx^6 \wedge dx^7,$$

$$\omega_H = \bar{m}\theta^4 \wedge \theta^5 + 2\hat{Z} dx^6 \wedge dx^7 + \bar{n} dx^8 \wedge (dx^{10} + A)$$

Also have  $M$  harmonic forms

$$\omega_J = F^J - F^{J'},$$

$$F^J = (Z^J/Z)_{,m} \left[ -dx^m \wedge (dx^{10} + A) + \frac{1}{2} Z R_{10}^{-1} \text{Vol}_3{}^m{}_{np} dx^n \wedge dx^p \right] \\ + (Z^J/Z) 2m (\theta^4 \wedge dx^7 - \theta^5 \wedge dx^6).$$

- Can check that the  $\omega$  span  $H^2(X_6, \mathbb{Z})$  using  $B_{(2)} \in H^2(\mathbb{R})/H^2(\mathbb{Z})$  and periodicities like  $C_{(0)} \cong C_{(0)} +$  in  $T^6/\mathbb{Z}_2$  dual.

- Intersection numbers are  $A \cdot B \cdot C = \frac{1}{2} \int \omega_A \wedge \omega_B \wedge \omega_C$ .  
 $\uparrow$   
 $\mathbb{Z}_2$  coordinate identification

$$\Rightarrow H^2 \cdot S = 2\bar{m}\bar{n}, \quad H \cdot I \cdot J = -\bar{m}d_{IJ}, \quad \text{others} = 0.$$



## Fibration structure of $CY_3$ duals p.20

- $CY_3$  metric came out as a fibration over  $T^3/\mathbb{Z}_2$ , but  $T^3/\mathbb{Z}_2$  nonorientable, so not a useful fibration.
- Instead, view as fibration over  $T^2_{(6,7)} \cong \mathbb{P}^1$ .

$X_6$  was  $T^2_{(4,5)}$  fibered over  $T^3_{(6,7,8)}$ ,  
 $S^1_{(10)}$  fibered over that,  
mod  $\mathbb{Z}_2(6,7,8,10)$ .

All fibration curvatures restrict trivially to  $x^{6,7} = \text{const}$

$\Rightarrow$  generic fiber over  $\mathbb{P}^1_{(6,7)}$  is just  $T^4$  !

- Fiber is an abelian surface :
- The Kähler form is  $J = h\omega$ ,

$$\omega = \bar{m} dy^4 \wedge dy^5 + \bar{n} dy^8 \wedge dy^{10},$$

where  $(\bar{m}, \bar{n}) \in \{(1,1), (1,2), (1,3), (1,4), + \text{interchanges}\}$ .

- In general, when  $T^{2d}$  has  $J \propto \omega$ ,

$$\omega = \sum_{i=1}^d a_i dx^{2i-1} \wedge dx^{2i}, \quad a_i | a_{i+1},$$

$[\omega]$  is called a polarization and determines an embedding of  $T^{2d}$  in a projective space.

Then  $T^{2d} = \text{abelian variety } (d=2, \text{ abelian surface})$

Can now give a simple description of the divisors dual to our cohomology basis.

- $\omega_S$

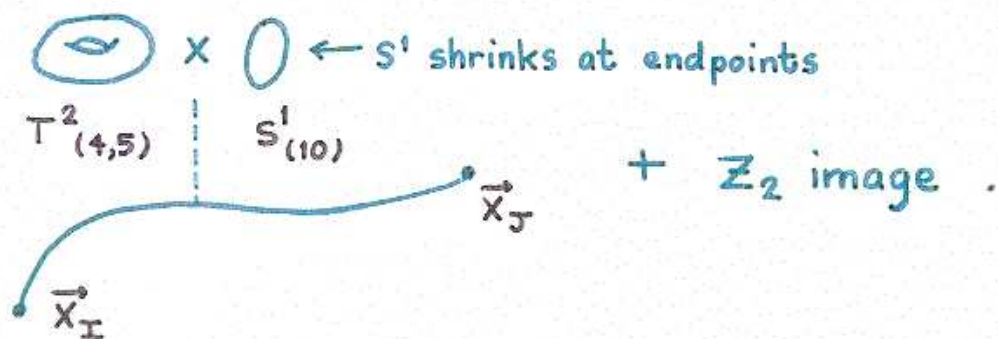
Dual to  $S = T^4$  (abelian surface fiber).

- $\omega_H$

Dual to  $H =$  hyperplane divisor of  $T^4$  fibered over  $\mathbb{P}^1$  base.

- $\omega_{I,J} = \omega_I - \omega_J$

Dual to 4-cycle from  $T^2_{(4,5)} \times S^1_{(10)}$  fibration over  $T^3/\mathbb{Z}_2$  base:



(Again, can choose cycles that avoid singular loci.)



- As a check that the  $CY_3$  duals of  $N=2$   $T^6/\mathbb{Z}_2$  are abelian surface fibrations over  $\mathbb{P}^1$ , note

Thm (Oguiso): Given  $CY_3$  with a divisor  $D$  s.t.  $D^2=0$  and  $D$  is nef (i.e.,  $D \cdot C \geq 0$  for all algebraic curves  $C$ ),

- $CY_3$  is fibration over  $\mathbb{P}^1$
- fibers are K3 or abelian surface.

We have  $S^2 = 0$ .

$S: z^2 = \text{const.}$  is effective  $\Rightarrow$  nef.  
 $\uparrow x^6 + \tau x^2$

- K3 or  $T^4$ ?

$$\text{Have } S \cdot c_2(X_6) = \chi(S) = \begin{cases} 0 & T^4 \\ 24 & K3. \end{cases}$$

The LHS appears in

$$F_1 = -\frac{4\pi i}{12} \sum_{\alpha} (D_{\alpha} \cdot c_2(X_6)) t^{\alpha} + \text{WS instantons.}$$

$F_1$  enters in the  $R^2$  coupling  $\propto \text{Re} \int F_1 \text{tr}(R - iR^*)^2$ .

In  $T^6/\mathbb{Z}_2$ , as in  $\text{Het}_{\text{or I}}/T^6$ ,  $F_1 = \tau_{\text{dil}} + \dots$ .

We will see that  $1/g_s$  of  $T^6/\mathbb{Z}_2 \leftrightarrow h$  of  $CY_3$ .

$$\Rightarrow \chi(S) = S \cdot c_2(X_6) = 0, \text{ abelian surface.}$$

## $\pi_1$ and discrete isometries of $CY_3$ duals p.23

- From kinetic terms in  $T^6/\mathbb{Z}_2$ ,

$$\left| dC_{(4)} - \frac{1}{2} B_{(2)} \wedge F_{(3)} + \frac{1}{2} C_{(2)} \wedge H_{(3)} \right|^2,$$

$C_{(4)}$  has axionic couplings to  $B_{(2)}a_\mu$  and  $C_{(2)}b_\mu$ .

- When  $C_{(4)}$  couples to  $NB_{(2)}a_\mu$  or  $NC_{(2)}b_\mu$ , the corresponding gauge symmetry is broken to  $\mathbb{Z}_N$ .

This tells us about torsion cycles and discrete isometries:

Charge	Gauge sym ( $CY_3$ )	$T^6/\mathbb{Z}_2$ field	IIA $CY_3$ field
m	$\mathbb{Z}_m$ (winding)	$C_{(2)}4_\mu$	$B_{(2)}5_\mu$
m	$\mathbb{Z}_m$ (winding)	$C_{(2)}5_\mu$	$B_{(2)}4_\mu$
n	$\mathbb{Z}_n$ (isometry)	$B_{(2)}4_\mu$	$A^4_\mu$ (KK vector)
n	$\mathbb{Z}_n$ (isometry)	$B_{(2)}5_\mu$	$A^5_\mu$ (KK vector)

(+ other discrete gauge symmetries that correspond to higher dimensional torsion cycles.)

$\Rightarrow \mathbb{Z}_m \times \mathbb{Z}_m$  winding,  $\mathbb{Z}_n \times \mathbb{Z}_n$  isometry.

$$H_1(X_6, \mathbb{Z}) = \mathbb{Z}_m \times \mathbb{Z}_m (= \pi_1/\text{commutators}).$$

- T-duality interchanges winding and isometries.

$m \leftrightarrow n$  duality a T-duality?



# $T^6/\mathbb{Z}_2$ S-duality as $CY_3$ T-duality p. 24

- In the  $T^6/\mathbb{Z}_2$  orientifold with  $N=2$  flux

$$\begin{aligned} F_{(3)}/(2\pi)^2\alpha' &= 2m (dx^4 \wedge dx^6 + dx^5 \wedge dx^7) \wedge dx^9, \\ H_{(3)}/(2\pi)^2\alpha' &= 2n (dx^4 \wedge dx^6 + dx^5 \wedge dx^7) \wedge dx^8, \end{aligned}$$

we can interpret  $m \leftrightarrow n$  interchange as S-duality  $\otimes$   $90^\circ$   $R_{89}$ -rotation. Here,

$$S: \begin{cases} g_s \rightarrow \tilde{g}_s = 1/g_s, \\ R_m/\alpha' \rightarrow (\widetilde{R_m/\alpha'}) = \frac{1}{g_s} (R_m/\alpha'). \end{cases}$$

- We can map this duality to the IIA  $CY_3$  dual description. It acts on the Kähler modulus  $h$  as

$$\frac{\bar{m}h}{(2\pi)^2\alpha'} \rightarrow \left( \widetilde{\frac{\bar{m}h}{(2\pi)^2\alpha'}} \right) = \frac{(2\pi)^2\alpha'}{\bar{m}h}.$$

(I.e., it inverts the  $T^4$  volume.)

- So,  $m \leftrightarrow n$  duality is

<u><math>T^6/\mathbb{Z}_2</math></u>		<u><math>CY_3</math></u>		
S-duality $\otimes R_{89}(90^\circ)$			$\longleftrightarrow m \leftrightarrow n$	
				T-duality of entire $T^4$ fiber.

First place to look :

- Kreuzer and Skarke have tabulated all 473, 800, 766 reflexive polyhedra in 4D.

So, all hypersurface  $CY_3$  s known, and all connected through flops + conifolds.  $\left. \vphantom{\begin{array}{l} \text{So, all hypersurface } CY_3 \text{ s known, and} \\ \text{all connected through flops + conifolds.} \end{array}} \right\} \pi_1 = 0$

- Look for

$$(h^{1,1}, h^{2,1}) = (2,2), (6,6), (10,10), \underline{(14,14)}, \text{ w. } m=1.$$

Find three  $(14,14)$  candidates ...

but do not appear to be abelian surface fibrations.

$\Rightarrow$   $CY_3$  duals of  $T^6/\mathbb{Z}_2$  do not seem to be in the known web, but could still be in other large webs that remain to be explored

(or, could be isolated).



Are these  $CY_3$  known? (cont'd) p.26

---

Another guess:

- Appearance of  $T^2$  moduli

$\tau$  in 4,5 and 6,7 directions  
 $\tilde{\tau}$  in 8,10 directions

suggests

$$X_6 = \left( E_\tau \times E_\tau \times E_{\tilde{\tau}} \right) / \Gamma.$$

↑                      ↑  
elliptic curves    discrete group

- For  $\Gamma = D_8$ , obtain  $(h^{1,1}, h^{2,1}) = (2, 2)$  ✓  
but wrong  $c_2(X_6)$  and  $\pi_1(X_6)$ . ✗
- Still an open problem to identify explicit constructions of the  $CY_3$  duals.

## What could we learn from explicit constructions?

p. 27

- Could ask about flops and extremal transitions. (Web or isolated?)
- Rational curves  $\subset CY_3 \Rightarrow$  WS instantons  
 $\Leftrightarrow$  dual  $T^6/\mathbb{Z}$  instantons.

- This would tell us about instantons in  $T^6/\mathbb{Z}_2$  that are not currently known.

(E.g.,  $\mathbb{P}^1$  section of  $T^4$  fibration  $\Rightarrow$  D3 instanton on  $T^4 \subset T^6/\mathbb{Z}_2$ .)

Potentially useful for model building on chiral orientifolds of  $T^6/\Gamma$ .

- Most (all?)  $CY_3$  other than free quotients of  $T^6$  have rational curves.

Our  $CY_3$  are not free quotients of  $T^6$  since  $c_2 \neq 0$ .

- So, either learn about new  $CY_3$  w.o. rational curves or gain prediction for instanton corrections to  $T^6/\mathbb{Z}_2$ .



We have seen that

$CY_3$  duals of  $T^6/\mathbb{Z}_2$  with  $N=2$  flux have:

- $(h^{1,1}, h^{2,1}) = (2, 2)^3, (6, 6)^2, (10, 10)^2, (14, 14)^1$

- Degeneracies distinguished by  $(m, n)$

$$4mn = 16 - M, \quad h^{1,1} = h^{2,1} = M + 2$$

- $\mathbb{Z}_m \times \mathbb{Z}_m$  winding,  $\mathbb{Z}_n \times \mathbb{Z}_n$  isometry

- Intersection numbers

$$H^2 \cdot S = \frac{2mn}{(\gcd(m, n))^2}, \quad H \cdot I \cdot J = -\frac{m}{\gcd(m, n)} \delta_{IJ}$$

- Abelian surface ( $T^4$ ) fibrations over  $\mathbb{P}^1$ .

We identified  $m \leftrightarrow n$  interchange with

- S-duality  $\otimes R_{89}(90^\circ)$   $T^6/\mathbb{Z}_2$

- T-duality of entire  $T^4$  fiber  $CY_3$ .

Would like to identify these  $CY_3$  in terms of concrete algebro-geometric constructions.

- Could then ask about flops and extremal transitions (i.e., web).
- Rational curves / ws instanton ?

This would teach us about instantons in  $T^6/\mathbb{Z}_2$  or new  $CY_3$  without rational curves.

Finally,

- To what extent are there standard (fluxless) duals of  $\mathcal{N}=1$  flux compactifications ?