

Problem

Understanding the asymptotic behavior, as $\varepsilon \downarrow 0$, of the variational problems generated by the energies

$$F_\varepsilon(u) = \int_\Omega \frac{(1 - |\nabla u|^2)^2}{\varepsilon} + \varepsilon |\nabla \nabla u|^2$$

where $u : \mathbf{R}^2 \supset \Omega \rightarrow \mathbf{R}$

and some boundary conditions must be added.

Motivations

a) Smectic liquid crystals:

P. Aviles, Y. Giga (1987)

b) Thin-films under biaxial compression:

G. Gioia, M. Ortiz (1994)

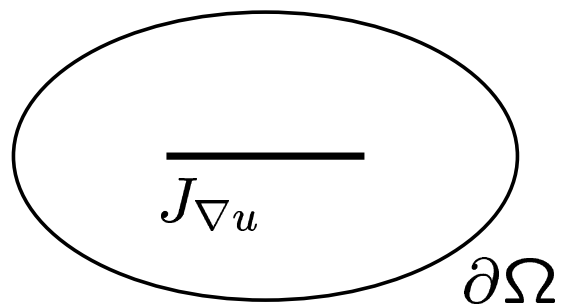
c) Micromagnetics:

A. De Simone, R. Kohn, S. Müller, F. Otto.

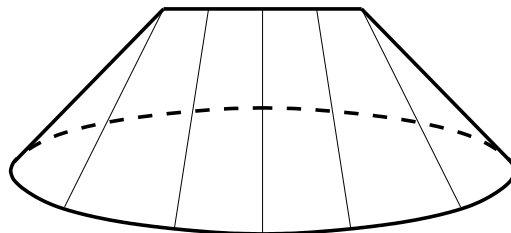
d) Analogies with lower order functionals (successfully studied by many authors).

A solution of the eikonal equation:

Ω is an ellipse
 $u(x) = \text{dist}(x, \partial\Omega)$
(Hence $u \equiv 0$ on $\partial\Omega$).



graph of u



A conjectured asymptotic energy

Aviles–Giga 87

If $\nabla u = 1$ -a.e.

∇u is “smooth” out of a 1-d set J_u

∇u has right and left trace on J_u

then

the asymptotic energy of u should be

$$F(u) = \int_{J_{\nabla u}} |\nabla u^+ - \nabla u^-|^3 d\mathcal{H}^1$$

Results

Compactness If $\limsup_{\varepsilon} F_{\varepsilon}(u_{\varepsilon}) \leq K < \infty$, then $\{u_{\varepsilon}\}$ is strongly precompact in $W^{1,3}$.
(Ambrosio–D.–Mantegazza 99
De Simone–Kohn–Müller–Otto 99).

Asymptotic functional The conjectured asymptotic energy F has a weak formulation \tilde{F} in a larger space. Direct methods give the existence of minimizers.
(Ambrosio–D.–Mantegazza 99)

Lower bound If an asymptotic energy G exists, then $G(u) \geq \tilde{F}(u)$
Jin–Kohn + Aviles–Giga 99
ADM for the weak setting

Upper bound G coincides with F on piecewise affine functions.
D. 00

Entropies

Jin–Kohn 99

If $|\nabla u| = 1$ and u is smooth, then

$$\partial_x[(u_x)^3] - \partial_y[(u_y)^3] = 0$$

There exist many Φ such that

$$\nabla \cdot [\Phi(\nabla u)] = 0.$$

Connections to the theory of hyperbolic conservation laws.

Non-smooth solutions

If u is not smooth, then $\nabla \cdot [\Phi(\nabla u)]$ detects the one-dimensional singularities of ∇u .

u piecewise $C^1 \implies \nabla \cdot [\Phi(\nabla u)]$ is a 1-dimensional measure supported on the set of discontinuity of ∇u

Jin-Kohn computations:

a) $\|\nabla \cdot [\Phi(\nabla u)]\| \leq C_\Phi F(u);$

b) If there exists $u_\varepsilon \rightarrow u$ with $F_\varepsilon(u_\varepsilon) \leq C$, then $F(u) \leq C;$

c) Some Φ 's “calibrate” $F(u)$.

Weak setting

$$\mathcal{E} := \left\{ \Phi \text{ entropies for } |\nabla u| = 1 \right\}.$$

$$\mathcal{S} := \left\{ |\nabla u| = 1 \text{ and } \mu_\Phi := \nabla \cdot [\Phi(\nabla u)] \right. \\ \left. \text{is a measure } \forall \Phi \in \mathcal{E} \right\}$$

$$\mathcal{F}(u) := \text{“supremum” of } c_\Phi \nabla \cdot [\Phi(\nabla u)]$$

Natural questions

If $u \in \mathcal{S}$, is it true (in some suitable weak sense) that ∇u is “smooth” outside a 1–dimensional set $J_{\nabla u}$ and has right and left traces on $J_{\nabla u}$?

Can we compute $\mathcal{F}(u)$ as

$$\int_{J_{\nabla u}} |\nabla u^+ - \nabla u^-|^3 d\mathcal{H}^1 ?$$

Internal motivations

- a) Natural path in variational formulations:
Classical \Rightarrow Weak \Rightarrow “Almost classical”
- b) Mild regularity theorem for the class \mathcal{S} and hence for a minimizer.
- c) A first step towards closing the Γ –convergence problem (and hence towards a full answer to the starting problem).

BV functions

They would provide a good setting for the limiting variational problem. For two reasons:

Theorem 1 (DG, FF) *If $v : \mathbf{R}^m \rightarrow \mathbf{R}^k$ is a BV function, there exists a rectifiable set J_v of dimension $m - 1$ s.t.:*

v has right and left trace \mathcal{H}^{m-1} almost everywhere on J ;

every $x \in \mathbf{R}^m \setminus J$ is a Lebesgue point for v .

Theorem 2 (Vol’pert chain rule) *v as above. We use the notation:*

$$Dv = Dv_{diffused} + (v^+ - v^-) \otimes \nu \mathcal{H}^{m-1} \llcorner J_v.$$

If $F : \mathbf{R}^k \rightarrow \mathbf{R}^h$ is C^1 , then

$$D(F(v)) = DF(v)Dv_{diffused} +$$

$$(F(v^+) - F(v^-)) \otimes \nu \mathcal{H}^{m-1} \llcorner J_v.$$

Example 3 $v : \mathbb{R} \rightarrow \mathbb{R}$.

$$Dv = Dv_{diffused} + Dv_{atomic}$$

$$= Dv_d + \sum_{x \in J_v} [v^+(x) - v^-(x)] \delta_x$$

$$D(F(v)) = DF(v)Dv_d$$

$$+ \sum_{x \in J_v} [F(v^+(x)) - F(v^-(x))] \delta_x .$$

NO BV

- There exist sequences $\{u_\varepsilon\}$ such that

$$F_\varepsilon(u_\varepsilon) \leq K < \infty,$$

$$u_\varepsilon \rightarrow u$$

$$\nabla u \notin BV.$$

Ambrosio– D.–Mantegazza 99

- Vel. averaging lemmas \implies
 ∇u almost in $W^{1/3,3/2}$

Perthame–Jabin '00 – '01

- ∇u not better than $W^{1/3,3}$

D.–Westdickenberg '02

Remark 4 *Almost optimality of Perthame–Jabin's velocity averaging lemmas.*

Two general problems

Problem 5 *Let $\mathcal{E} \subset C^\infty(\mathbf{R}^n, \mathbf{R}^n)$ be given. Let $v \in L^\infty(\mathbf{R}^k, \mathbf{R}^n)$ and assume that*

$$\nabla \cdot [\Phi(v)] \text{ is a measure } \forall \Phi \in \mathcal{E}.$$

Do the conclusions of De Giorgi–FF structure Theorem hold?

Problem 6 *Assume \mathcal{E} is the set of entropies of an appropriate system of PDEs and assume that v is a solution of this system.*

Can we compute $\nabla \cdot [\Phi(v)]$ in a “Vol’pert” way?

External motivations

- a) A regularity theory for entropy solutions to scalar conservation laws, allowing for rough initial data and undercompressive solutions.
- b) A regularity theory for compensated– compactness solutions to 2×2 systems of conservation laws.
- c) Connections with the theory of renormalized solutions
see Ambrosio 03 (Remark 3.5)
Ambrosio – Bouchut – D. 03 (final section).

Some answers

Theorem 7 (D.–Otto 02) $u \in \mathcal{S}$

Then there is J , rectifiable, $\dim J = 1$ s.t.

(a) ∇u is VMO outside J

(b) ∇u has right and left trace on J

*(c) $\mu_\Phi = \Phi(\nabla u^+) - \Phi(\nabla u^-) \mathcal{H}^1 \llcorner J + \nu$
(Some information on ν)*

Theorem 8 (D.–O.–Westdick. 02)

$u \in L^\infty(\mathbb{R}^n, \mathbb{R})$ weak solution of

$$\nabla \cdot [F(u)] = 0 \quad (1)$$

\mathcal{E} is the set of entropies for (1).

Some assumptions on F needed.

Then $\exists J$, rectifiable, cod. 1, s.t.

(a) u is VMO outside J

(b) u has traces on J

(c) relations between entropy prod. and traces

Theorem 9 (D.–Rivière 03)

$u \in L^\infty(\mathbb{R}, \mathbb{R})$ is an entropy solution of

$$\partial_t u + \partial_x [f(u)] = 0. \quad (2)$$

Some assumptions on f .

Then $\exists J$, rectifiable, dim. 1 s.t.

(a) every $x \notin J$ is a Lebesgue point

(b) u has traces on J

**(c) A rule like Vol'pert chain rule
applies to the entropy prod.**

Related works

Problem 10 *An asymptotic variational problem which is similar to the starting one and comes from micromagnetics (see the works of Rivière–Serfaty).*

For this problem there are also stronger informations (Ambrosio–Lecumberry–Rivière '02): Interesting (and nontrivial) connections with the viscosity solutions of the eikonal equation.

Exploiting these connections (and using blow-up arguments) one has a structure theorem (Ambrosio–Kirchheim–Lecumberry–Rivière 02)

These methods can be generalized to 1-d conservation laws with f strictly convex, when the entropy production is a *Radon measure*. (Lecumberry–Rivière 02)