# Preliminary Comparisons of Physical Experiments of Waves on Deep Water with Perturbed Solutions of NLS

John Carter\*

Mathematics Department Seattle University carterj1@seattleu.edu

\* Joint work with Joe Hammack, Diane Henderson, and Harvey Segur. All physical experiments conducted by Hammack and Henderson. **Experiments** 

# PENNSTATE



# Experimental Features Observed

Description	Data
Persistence	Images, Contour maps
Connecting leg between cells	Contour maps
Oscillations in nodal region	x-time series in nodal region
Dips in crestlines	y-time series
Time varying width of nodal region	Contour maps

# Theory



#### **NLS**

$$i\psi_t + \alpha\psi_{xx} + \beta\psi_{yy} + \gamma|\psi|^2\psi = 0$$

- $\Rightarrow$   $\psi = \psi(x, y, t)$  is a complex-valued function that represents the envelope of an underlying carrier wave.
- $\rightarrow$  x represents a slow horizontal spatial coordinate perpendicular to the paddles.
- $\Rightarrow$  y represents a slow horizontal spatial coordinate parallel with the paddles.
- ightharpoonup t represents a slow time or propagation distance.
- $ightharpoonup \alpha < 0, \, \beta > 0, \, \gamma < 0$  are determined by the experimental parameters.

#### A Solution

A 1-D traveling wave solution of NLS

$$\psi(y,t) = \phi(y)e^{i\lambda t} = \sqrt{-2\frac{\beta}{\gamma}} bk \operatorname{sn}(by,k)e^{i\lambda t}, \quad (1)$$

where

$$\lambda = -\beta b^2 (1 + k^2),$$

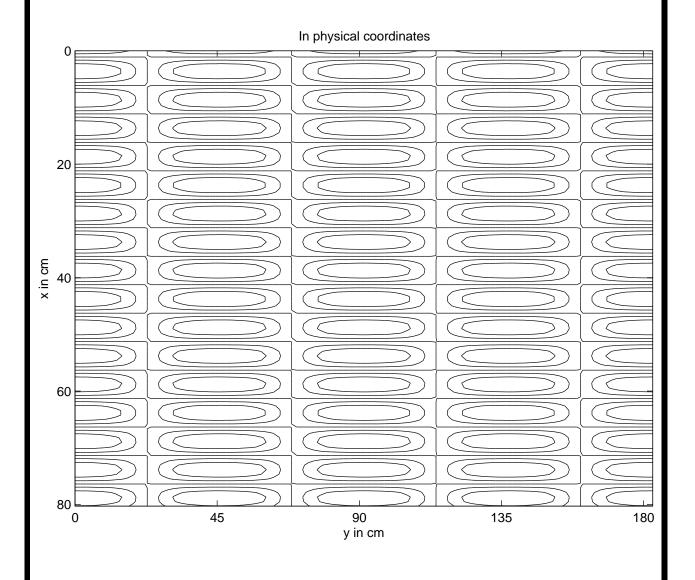
and  $k \in [0, 1]$  and b are free parameters.

#### Experimental Data

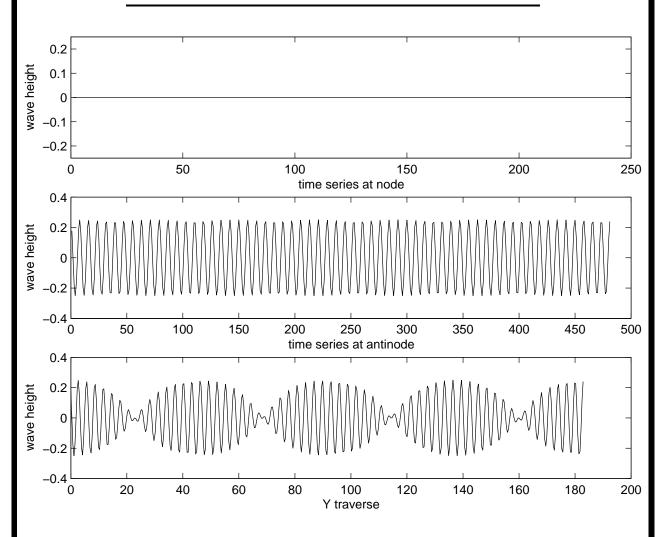
Data from a physical experiment.

- Carrier wave parameters
  - $\rightarrow a_0 = 0.250cm, \, \kappa_0 = 0.626cm^{-1}$
  - $ightharpoonup \alpha = -0.0988, \, \beta = 0.268, \, \gamma = -2.041$
- Solution parameters (dimensionless)
  - $ightharpoonup b = 0.157 \text{ and } k = \sqrt{0.8}$
  - $\phi(y) = 0.0710 \sin(by, k)$

### Unperturbed Surface



#### **Unperturbed Surface**



#### Stability Analysis

Consider perturbed solutions with structure

$$\psi_p(x,y,t) = (\phi(y) + \epsilon u(x,y,t) + i\epsilon v(x,y,t)) \mathrm{e}^{i\lambda t},$$
 where

- $\epsilon$  is a small real parameter,
- u(x, y, t) and v(x, y, t) are real-valued functions.

Substituting  $\psi_p$  into NLS, linearizing and separating into real and imaginary parts gives

$$-\lambda u + 3\gamma \phi^2 u + \beta u_{yy} + \alpha u_{xx} = v_t,$$
  
$$-\lambda v + \gamma \phi^2 v + \beta v_{yy} + \alpha v_{xx} = -u_t.$$

Without loss of generality, assume that u(x, y, t) and v(x, y, t) have the forms

$$u(x, y, t) = U(y, \rho)e^{i\rho x - \Omega t} + c.c.,$$

$$v(x, y, t) = V(y, \rho)e^{i\rho x - \Omega t} + c.c.,$$

where

- $\rho$  is a real constant,
- $\Omega$  is a complex constant,
- $\bullet$  U and V are complex-valued functions,
- c.c. denotes complex conjugate.

This leads to the eigenvalue problem

$$\lambda U - 3\gamma \phi^2 U + \alpha \rho^2 U - \beta \partial_y^2 U = \Omega V,$$

$$\lambda V - \gamma \phi^2 V + \alpha \rho^2 V - \beta \partial_y^2 V = -\Omega U.$$

In order to establish that (1) is unstable, we must find a solution of \* that corresponds to an  $\Omega$  with negative real part.

We require U and V to be periodic with the same period as  $\phi$ .

#### Small- $\rho$ Limit

In the small- $\rho$  limit, \* can be solved asymptotically. This establishes that (1) is unstable with respect to the "neck" mode:

$$U_n(y,\rho) = O(\rho)$$

$$V_n(y,\rho) = \phi(y) + O(\rho)$$

$$\Omega_n^2 = -\alpha\beta b^2 \rho^2 \omega_{1n} + O(\rho^3)$$

where  $\omega_{1n}$  is a known positive real constant.

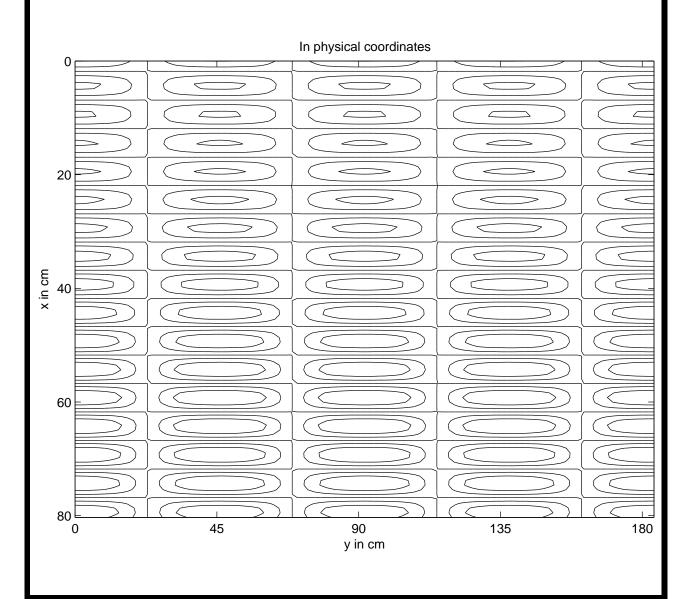
#### Neck Mode

A solution perturbed by the "neck" mode.

$$\rho = 0.0987$$

$$\Omega = -0.00387$$

$$\epsilon = 0.05$$



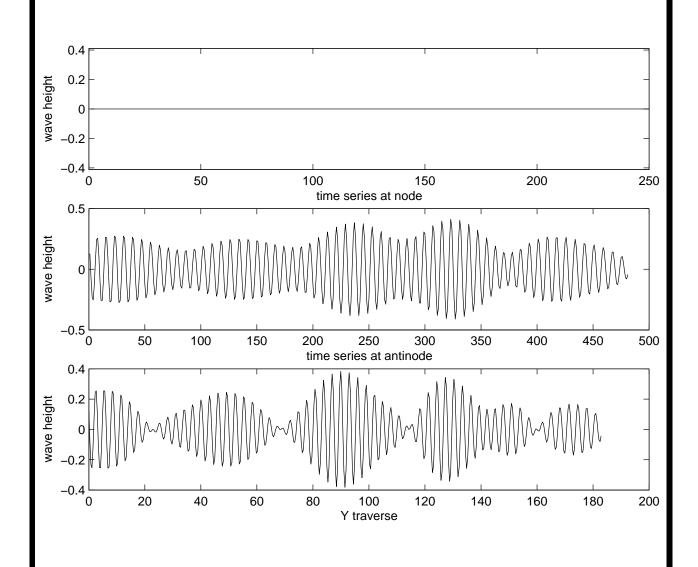
#### Neck Mode

A solution perturbed by the "neck" mode.

$$\rho = 0.0987$$

$$\Omega = -0.00387$$

$$\epsilon = 0.05$$



#### Large- $\rho$ Limit

In the large- $\rho$  limit, \* can be solved asymptotically. This establishes that (1) is unstable with respect to the "large- $\rho$ " mode:

$$U_{l}(y,\rho) = \zeta_{11} \sin(\mu y + y_{0}) + O(\rho^{-2}),$$

$$V_{l}(y,\rho) = \xi_{11} \sin(\mu y + y_{0}) + O(\rho^{-2}),$$

$$\Omega_{l}^{2} = \alpha^{2} b^{2} \omega_{1l} + O(\rho^{-2}),$$

$$\mu^{2} = -\frac{\beta}{\alpha} \rho^{2} + \lambda - 2\alpha b \sqrt{\omega_{1l}},$$

where  $\omega_{1n}$  is a known positive constant and  $\zeta_{11}$ ,  $\xi_{11}$  and  $y_0$  are constants.

#### Large- $\rho$ Mode

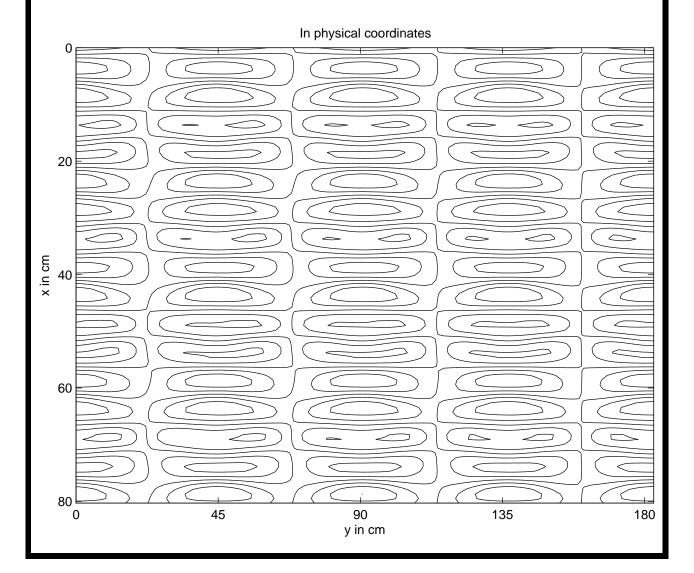
A solution perturbed by the "large- $\rho$ " mode.

$$\rho = 0.5559$$

$$N = 3$$

$$\Omega = -0.00710$$

$$\epsilon = 0.02$$



#### Large- $\rho$ Mode

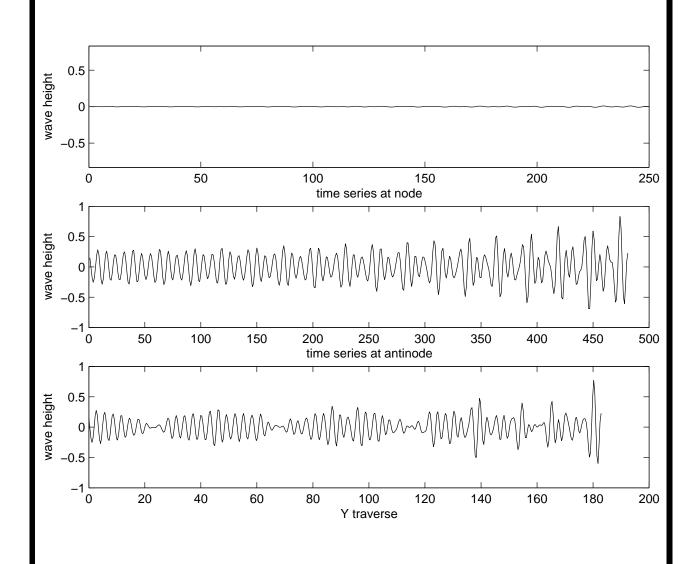
A solution perturbed by the "large- $\rho$ " mode.

$$\rho = 0.5559$$

$$N = 3$$

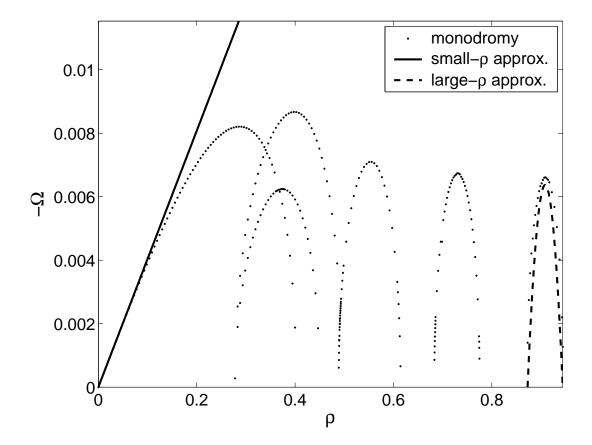
$$\Omega = -0.00710$$

$$\epsilon = 0.02$$



#### General- $\rho$ Results

Monodromy (Floquet theory) can be used to find periodic solutions of \* corresponding to negative  $\Omega$  for arbitrary values of  $\rho$ .



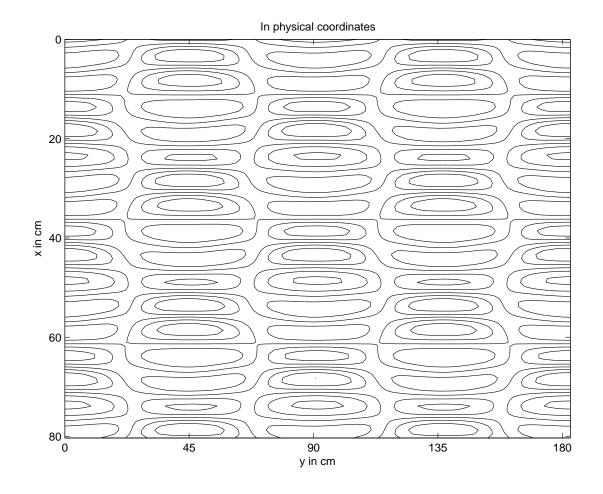
#### Even Mode

A solution perturbed by the "even" mode.

$$\rho = 0.3975$$

$$\Omega = -0.008673$$

$$\epsilon = 0.05$$



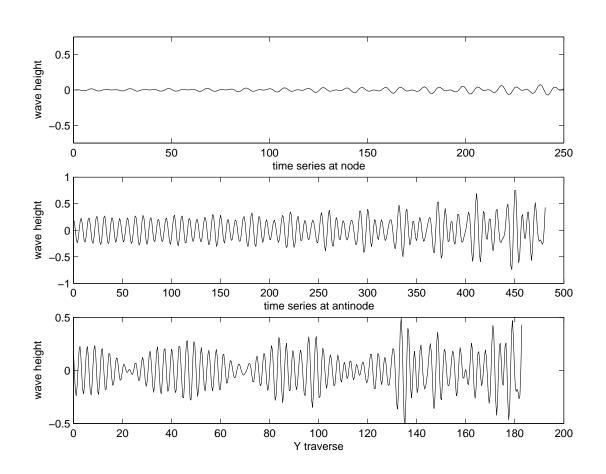
#### Even Mode

A solution perturbed by the "even" mode.

$$\rho = 0.3975$$

$$\Omega = -0.008673$$

$$\epsilon = 0.05$$



# Mathematical Features Observed

Description	Instability
Connecting leg between cells	Even, Large- $\rho$
Oscillations in nodal region	Even, Large- $\rho$
Dips in crestlines	Even, Large- $\rho$
Time varying width of nodal region	All