

Euclidean Symmetry and the Dynamics of Spiral Waves

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Outline

- **Waves**
- **Spirals**
- **Euclidean symmetry**
- **Relative equilibria**
- **Bifurcation to meandering**
- **Broken symmetry**
- **Conclusions and ongoing work**

Waves

- **Wave = Pattern in space which evolves over time**
- **Pervade every aspect of our lives:**

light, microwaves, radio waves ...

atmospheric pressure waves influence weather

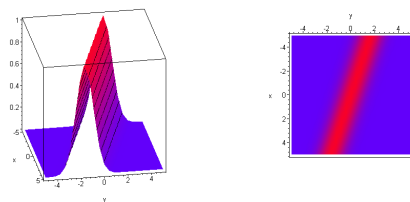
physiological waves keep you alive!

e.g. electric wave causes heart beat

Some examples of 2-d waves:

- **Plane waves**

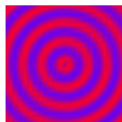
$t = 0$ *snapshot* \longrightarrow



e.g. $u(x, y, t) = e^{-\frac{1}{10}(x+3y-t)^2}$

- **Target pattern waves**

$t = 0$ *snapshot* \longrightarrow



e.g. $u(x, y, t) = \sin(\sqrt{x^2 + y^2} - t)$

Spirals

Snapshot of a spiral wave (seen from above):



Spiral waves are observed in:

- **certain types of chemical reactions (Belousov-Zhabotinsky)**
- **slime-mold aggregates**
- **cardiac tissue (may lead to fatal arrhythmias)**
- **other excitable media (including biological tissue)**

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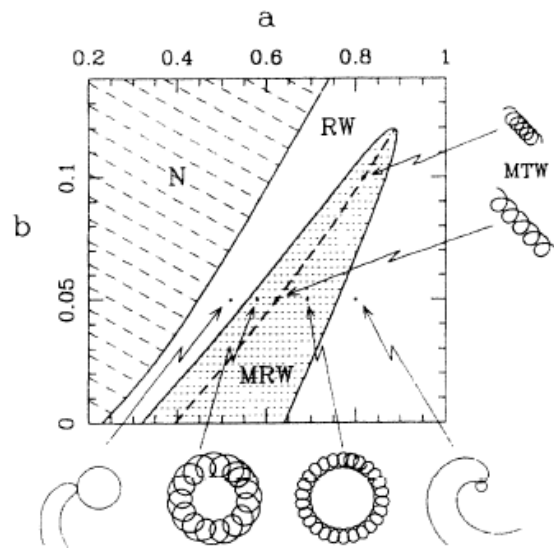
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"...spirals on the heart are fatal, spirals on the cerebral cortex may lead to epileptic seizures, and spirals on the retina may cause hallucinations " — Mathematical Physiology
J. Keener & J. Sneyd, P. 305

How do (isolated) spirals move? (*A. Winfree*)

- rigid uniform rotation
- quasi-periodic meandering
- linear drifting
- hypermeander (chaotic?)
 -
 -
 -

Transition to meandering



D. Barkley (1994) PRL

Numerical simulation of a model for cardiac electrophysiology

Transition to meandering

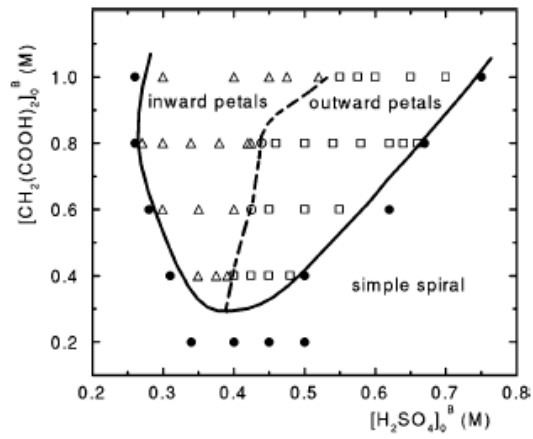


FIG. 4. Dynamics of spirals as a function of $[\text{H}_2\text{SO}_4]_0^B$ and $[\text{CH}_2(\text{COOH})_2]_0^B$ (with other conditions as in Fig. 1). The solid line marks the transition from simple spirals (●) to meandering spirals with inward (△) and outward (□) petals. Traveling spirals (○) exist along the dashed line that separates the two types of meandering spirals.

Li, Ouyang, Petrov & Swinney (1996) PRL
Actual chemical reaction

Transition to meandering

Phenomenon appears to be model independent!

Euclidean symmetry

Mathematical models of phenomena where spirals are observed are (typically) reaction-diffusion PDEs

—→ *nonlinear! (can not solve them exactly)*

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e.g. Belousov–Zhabotinsky chemical reaction

$$\begin{array}{ll} \text{two species, concentrations} & u = u(x, y, t) \\ & v = v(x, y, t) \end{array}$$

$$\frac{\partial u}{\partial t} = D_u \nabla^2 u + \frac{1}{\varepsilon} \left(u - u^2 - f v \frac{u-q}{v-q} \right)$$

$$\frac{\partial v}{\partial t} = D_v \nabla^2 v + (u - v)$$

$$\text{N.B. } \nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

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diffusion

reaction

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e.g. FitzHugh-Nagumo (electric waves in biological tissue)

electric potential $\Phi = \Phi(x, y, t)$

recovery function $v = v(x, y, t)$

$$\frac{\partial \Phi}{\partial t} = \nabla^2 \Phi + \frac{1}{\varepsilon} \left(\Phi - \frac{\Phi^3}{3} - v \right)$$

$$\frac{\partial v}{\partial t} = \varepsilon (\Phi + \beta - \gamma v)$$

N.B. $\nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$

General class of models

$$\vec{u} = (u_1(x,y,t), \dots, u_n(x,y,t))$$

$$\frac{\partial \vec{u}}{\partial t} = D \cdot \nabla^2 \vec{u} + \mathcal{F}(\vec{u})$$

(RD)

$D = n \times n$ matrix of diffusion constants

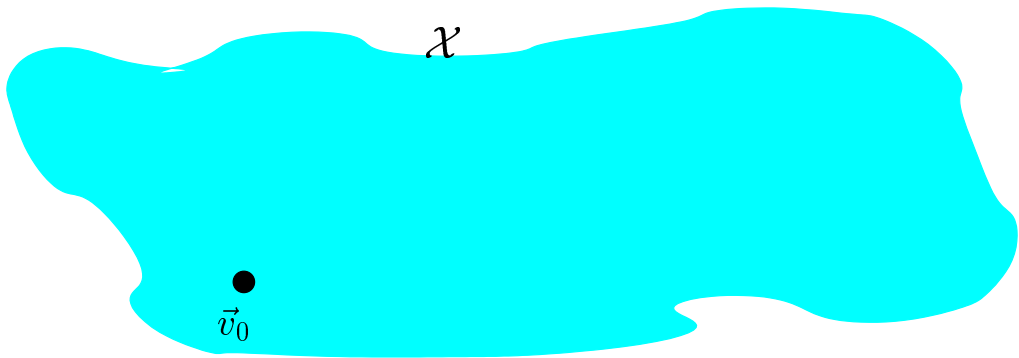
$\mathcal{F} : \mathbb{R}^n \longrightarrow \mathbb{R}^n$ "smooth enough"

**(RD) defines a dynamical system on a suitable space
of functions :** $\mathcal{X} = \{ \vec{v} : \mathbb{R}^2 \longrightarrow \mathbb{R}^n \mid \vec{v} \text{ satisfies } \dots \}$

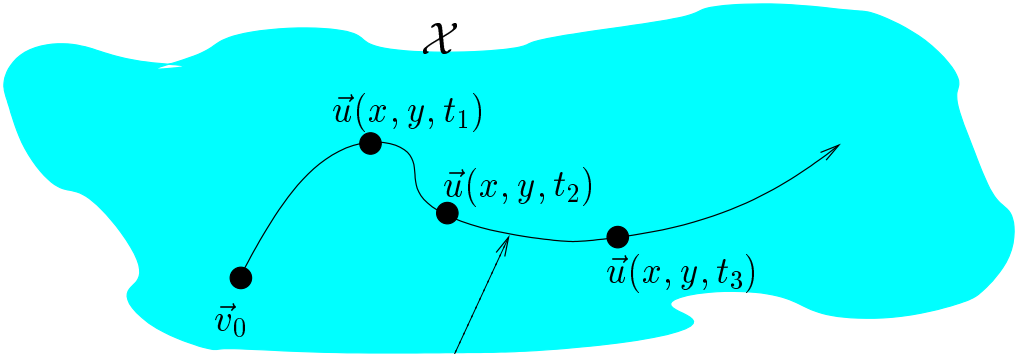
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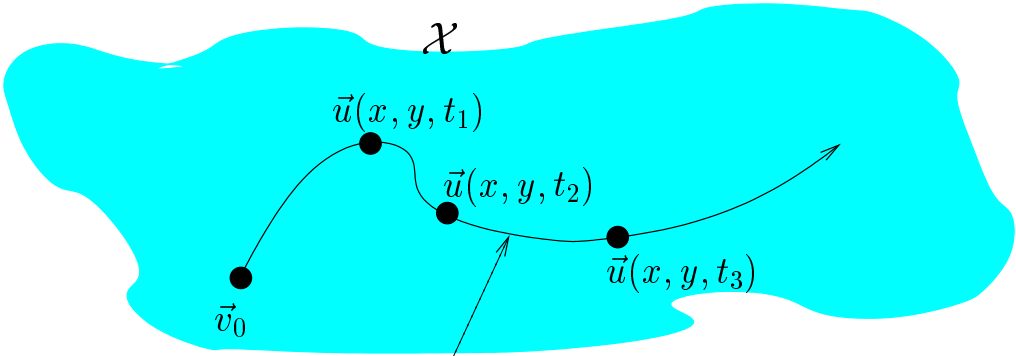


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$$\vec{u}(x, y, t) = \varphi(t, \vec{v}_0) \equiv \text{solution curve of (RD)}$$

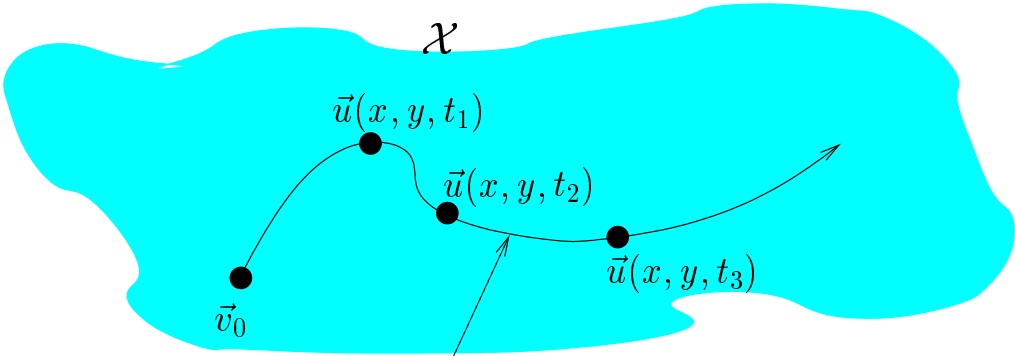
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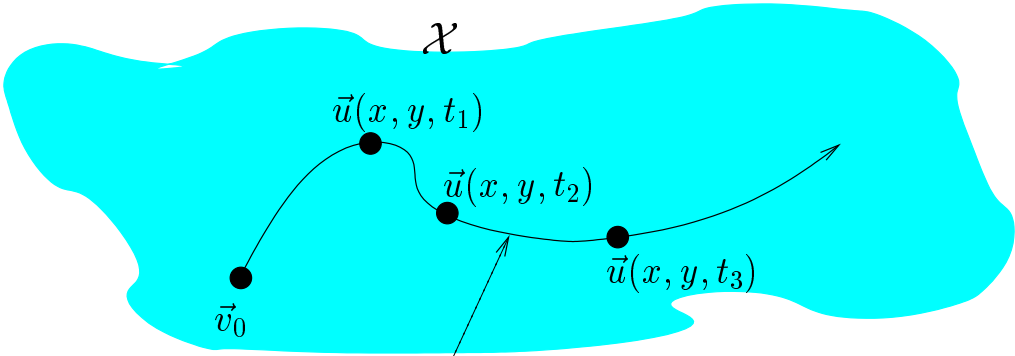


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$$\varphi(t_1 + t_2, \cdot) = \varphi(t_1, \varphi(t_2, \cdot)) \text{ (semi-flow property)}$$

This dynamical system has nice symmetry properties :

$\mathbb{SE}(2) \equiv$ special Euclidean group action on \mathbb{R}^2

$$(\gamma_{\theta,p_1,p_2}) \cdot \begin{pmatrix} x \\ y \end{pmatrix} = \underbrace{\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}}_{rotation} + \underbrace{\begin{pmatrix} p_1 \\ p_2 \end{pmatrix}}_{translation}$$

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Complex notation: $z = x + iy, p = p_1 + ip_2$
 $\gamma_{\theta,p} z = e^{i\theta} z + p \quad (\theta, p) \in \mathbb{S}^1 \times \mathbb{C}$

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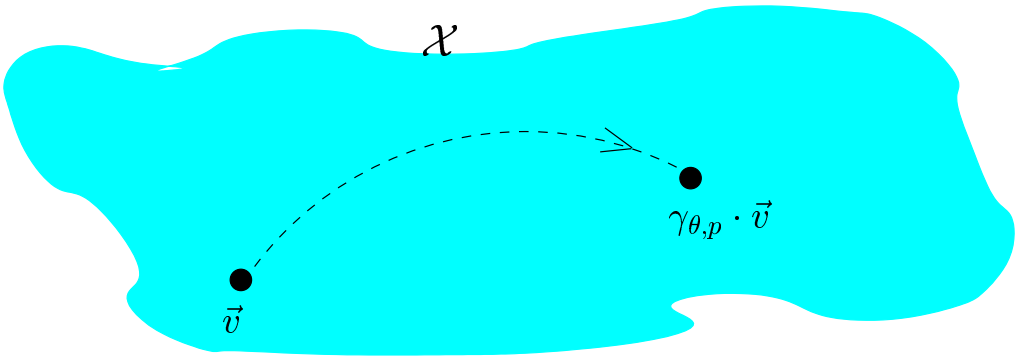
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Group product: $(\theta_b,p_b) \cdot (\theta_a,p_a) = (\theta_a + \theta_b,p_b + e^{i\theta_b}p_a)$

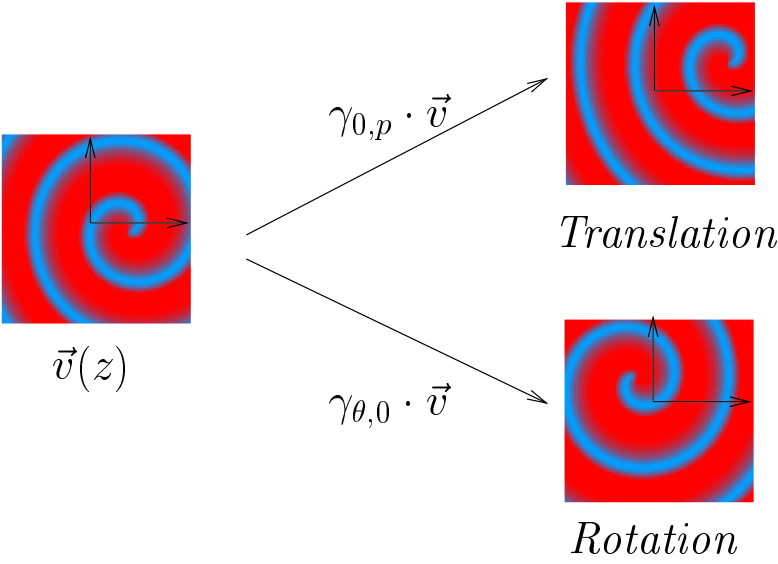
This dynamical system has nice symmetry properties :

Induced $\mathbb{SE}(2)$ – action on \mathcal{X}



$$(\gamma_{\theta,p} \cdot \vec{v})(z) \equiv \vec{v}(\gamma_{\theta,p}^{-1} z)$$

Example of group action :



This dynamical system has nice symmetry properties :

$$\varphi(t, \gamma \cdot \vec{v}) = \gamma \cdot \varphi(t, \vec{v}), \quad \forall \gamma \in \mathrm{SE}(2), \quad \forall \vec{v} \in \mathcal{X}, \quad \forall t > 0$$

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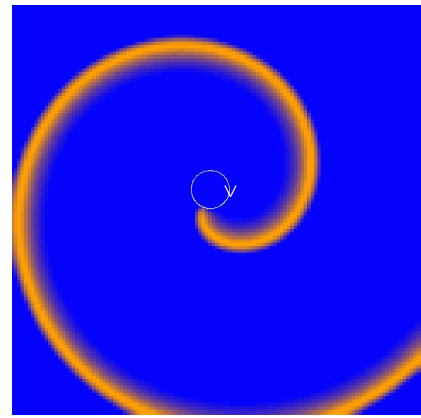
Consequence:

If $\vec{u}(t, x, y)$ is a solution of (RD),

then so is $\vec{u}_\gamma(t, x, y) \equiv \gamma \cdot \vec{u}(t, x, y), \quad \forall \gamma \in \mathbb{SE}(2)$

Relative equilibria

Rotating wave :



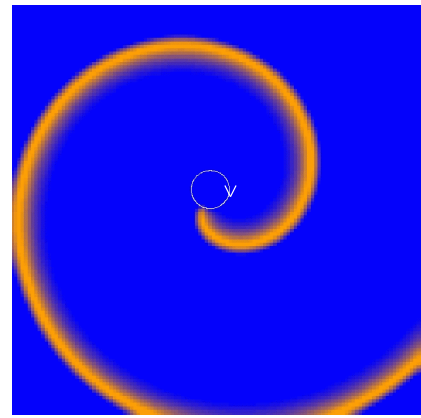
$$\vec{u}(t, z) = \gamma_{\omega t, 0} \cdot \vec{u}^* = \vec{u}^*(e^{-i\omega t} z)$$

for some $\vec{u}^* \in \mathcal{X}$

Time evolution =

uniform rigid spatial rotation

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$$\omega \left(y \frac{\partial \vec{u}^*}{\partial x}(x, y) - x \frac{\partial \vec{u}^*}{\partial y}(x, y) \right) = D \cdot \nabla^2 \vec{u}^*(x, y) + \mathcal{F}(\vec{u}^*(x, y))$$

Group orbit: $\mathcal{Y} \equiv \{ \gamma \cdot \vec{u}^* \mid \gamma \in \mathbb{SE}(2) \} \subset \mathcal{X}$

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Dynamics of the semi-flow φ on \mathcal{Y} are described by ODEs

$\begin{aligned} \dot{\theta} &= \omega \\ \dot{p} &= 0 \end{aligned}$	<i>Describes motion of spiral "tip"</i>
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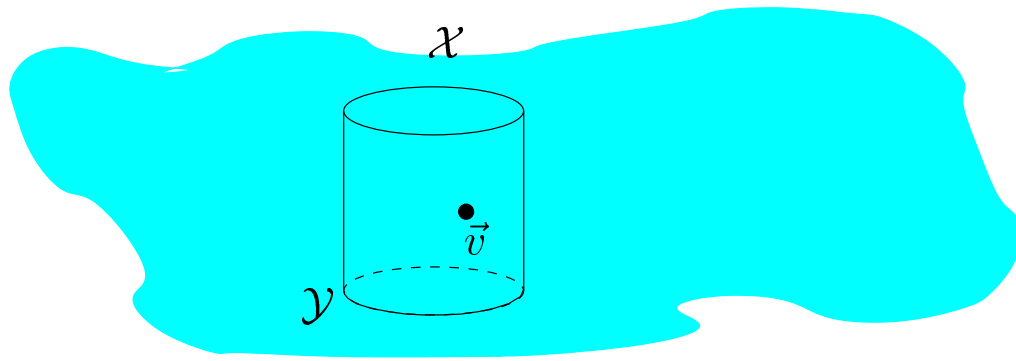
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$$\theta(t) = \omega \, t + \omega_0, \; p(t) = p_0$$

Rotation about p_0 with frequency ω

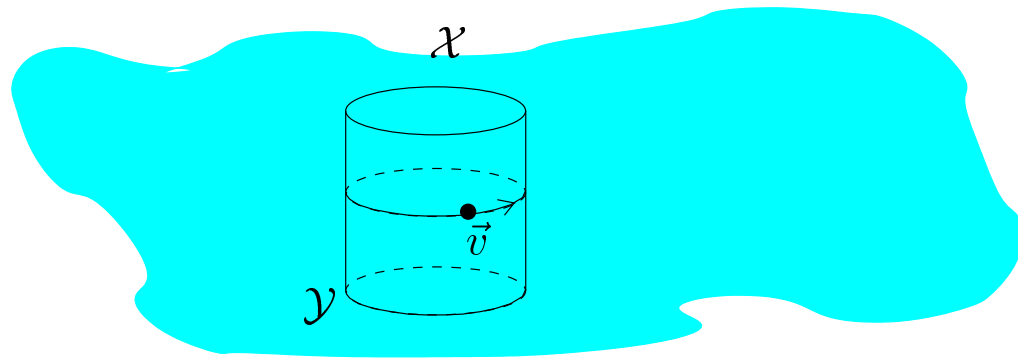
Bifurcation to meandering

Linearized stability :



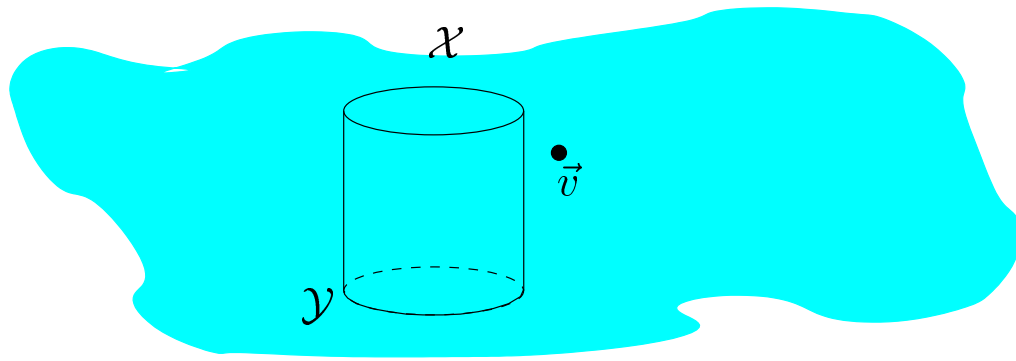
If you start (initial condition) on $\mathcal{Y} \dots$

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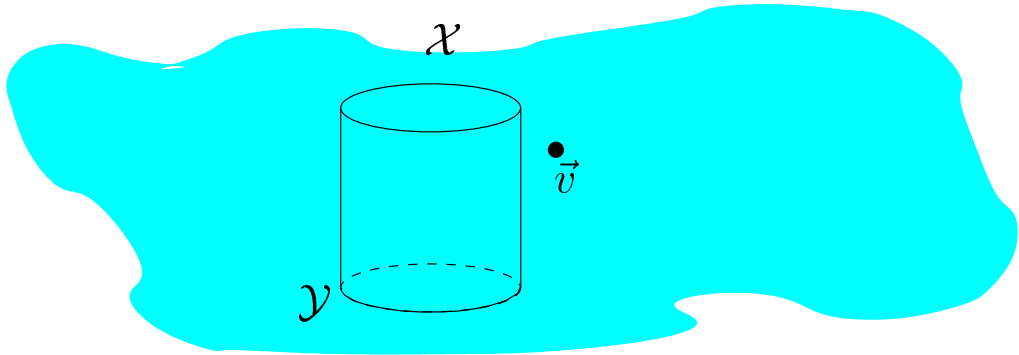
If you start (initial condition) on $\mathcal{Y} \dots$
you stay on \mathcal{Y} for all $t > 0$ ($\varphi(t, \mathcal{Y}) \subset \mathcal{Y}$)

Linearized stability :



What if the initial condition is off (but close to) \mathcal{Y} ?

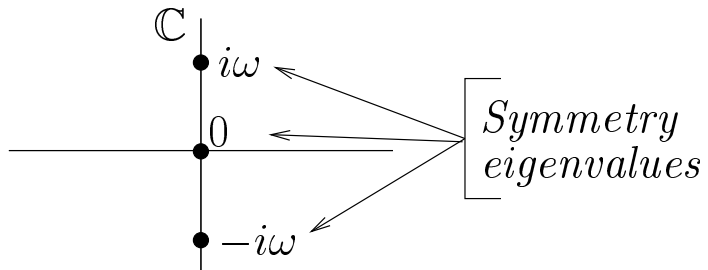
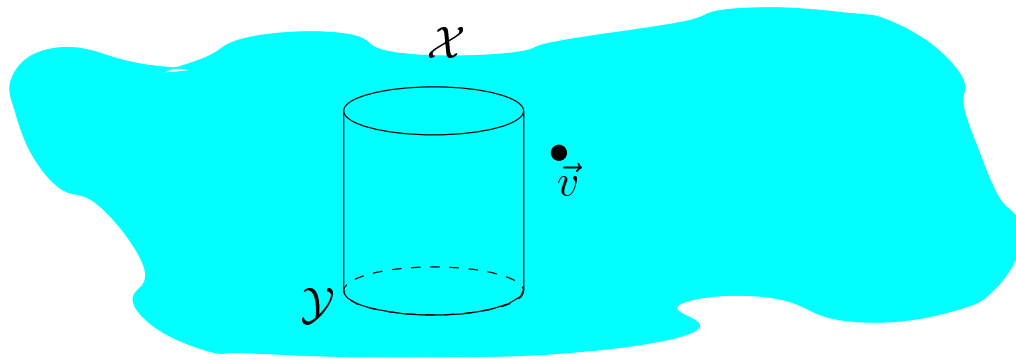
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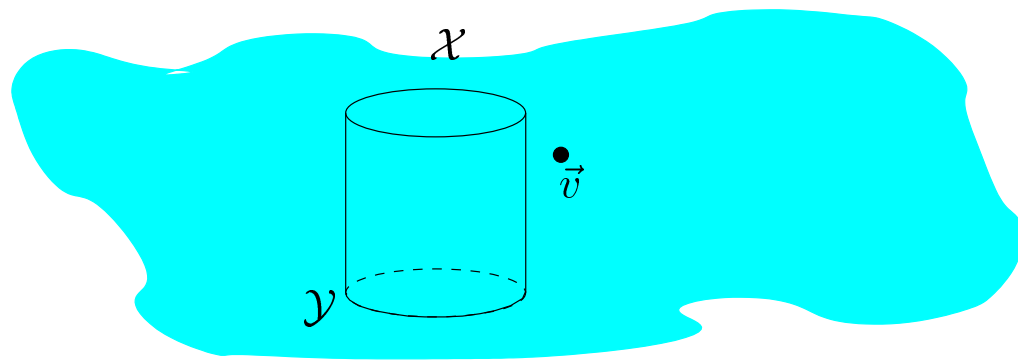
To answer this question, need to consider
the eigenvalue problem $\mathcal{L}\vec{u} = \lambda\vec{u}$

$$\mathcal{L} = D \cdot \nabla^2 + d\mathcal{F}(\vec{u}^*) - \omega \left(y \frac{\partial}{\partial x} - x \frac{\partial}{\partial y} \right)$$

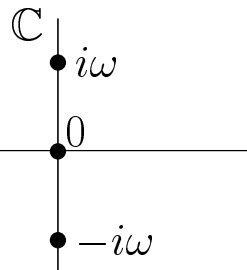
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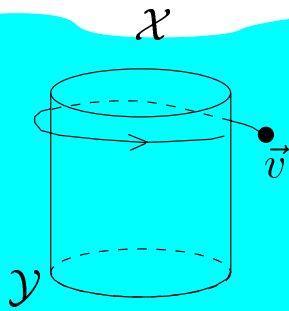
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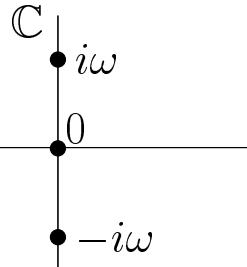
*If all other
eigenvalues
are here...*



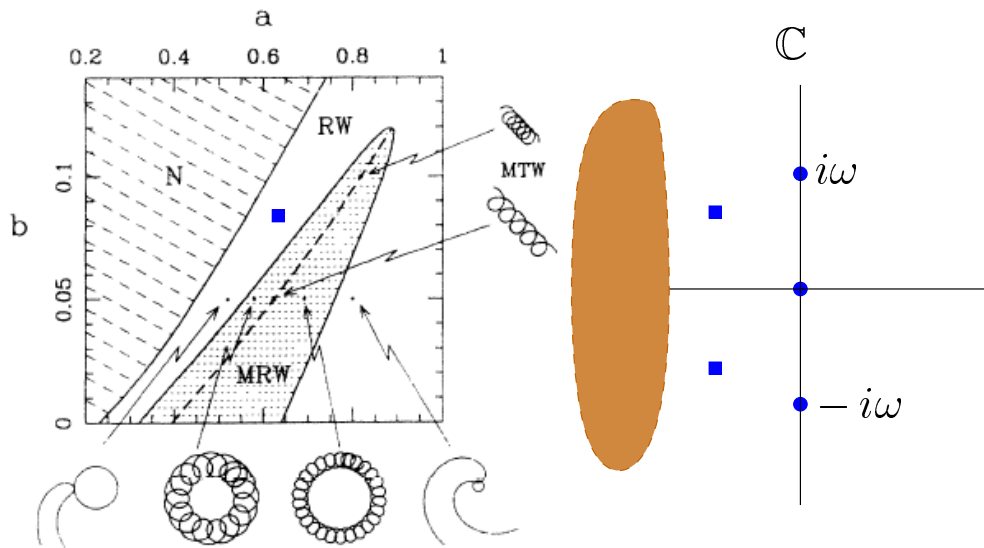
Linearized stability :



*If all other
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 \mathcal{Y} is stable*



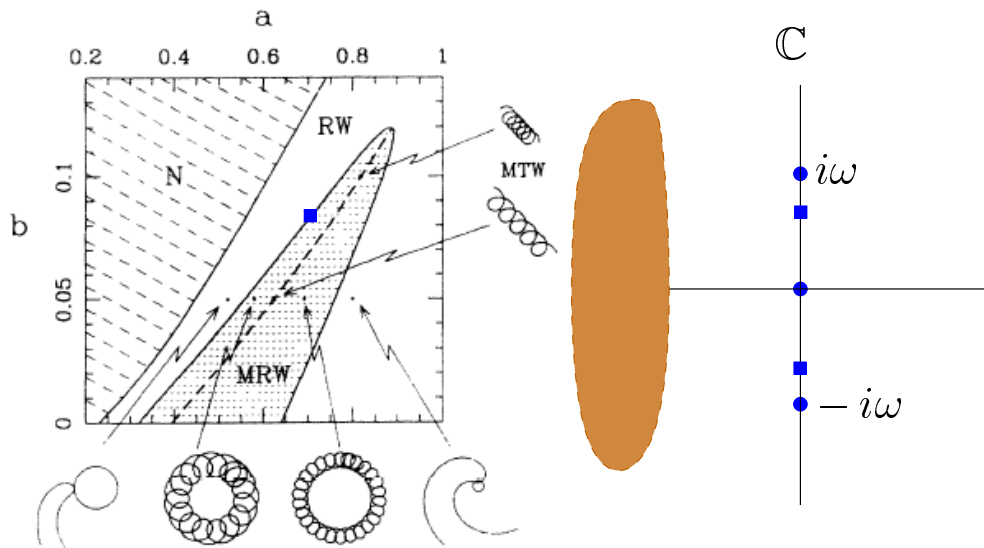
Transition to meandering : linearized stability



D. Barkley (1994) PRL

Numerical simulation of a model for cardiac electrophysiology

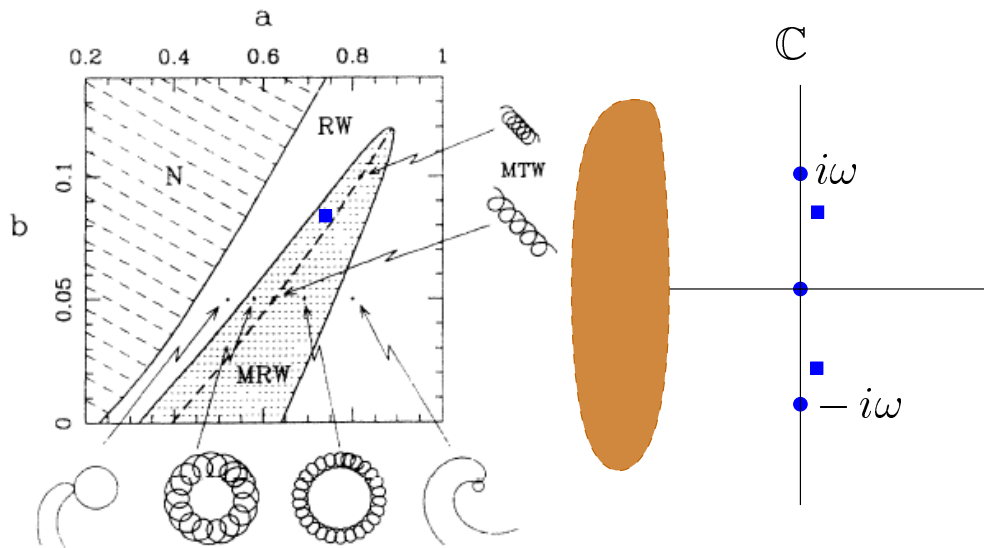
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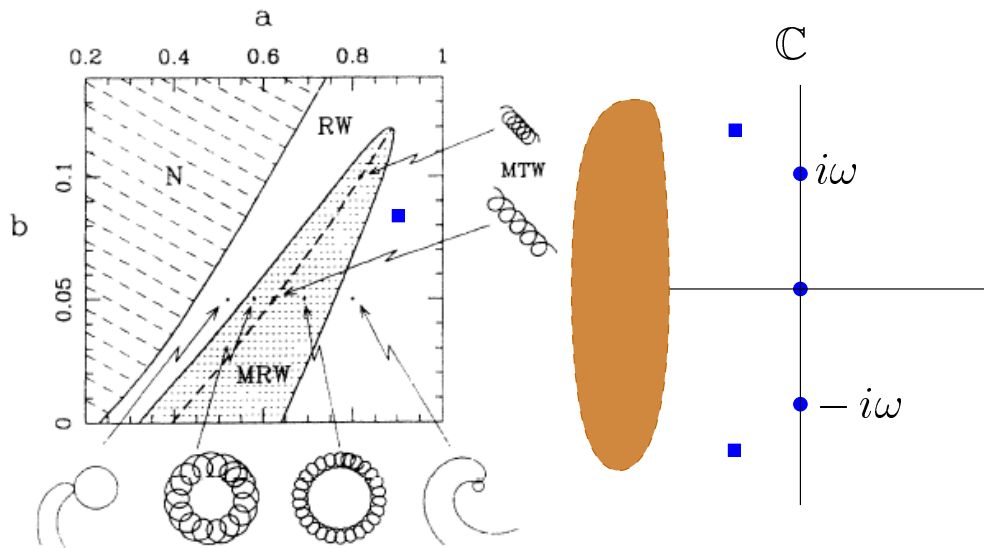
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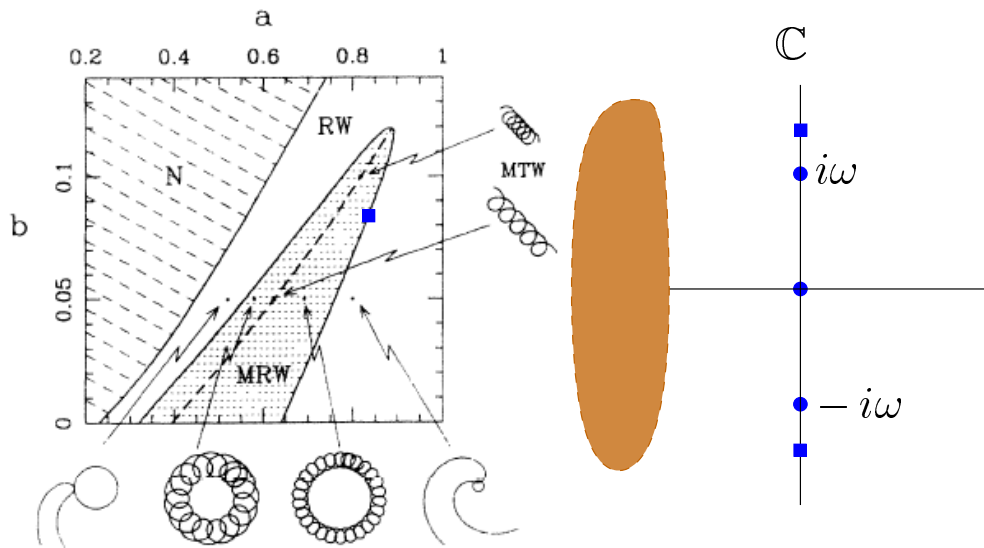
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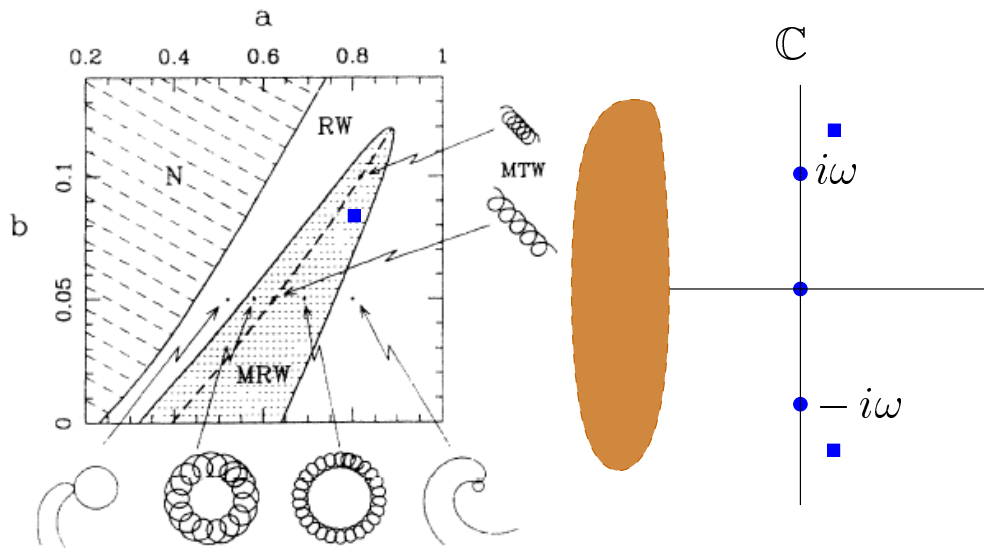
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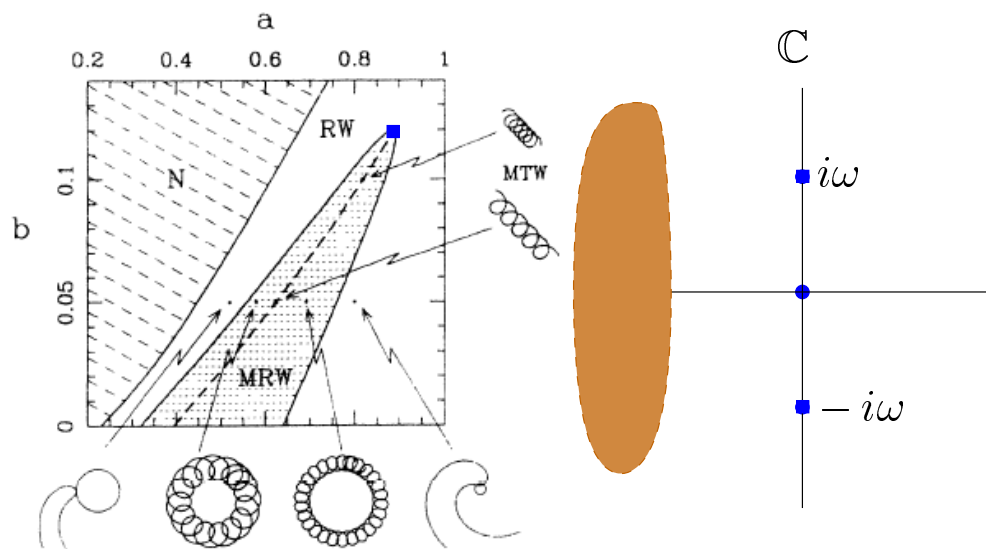
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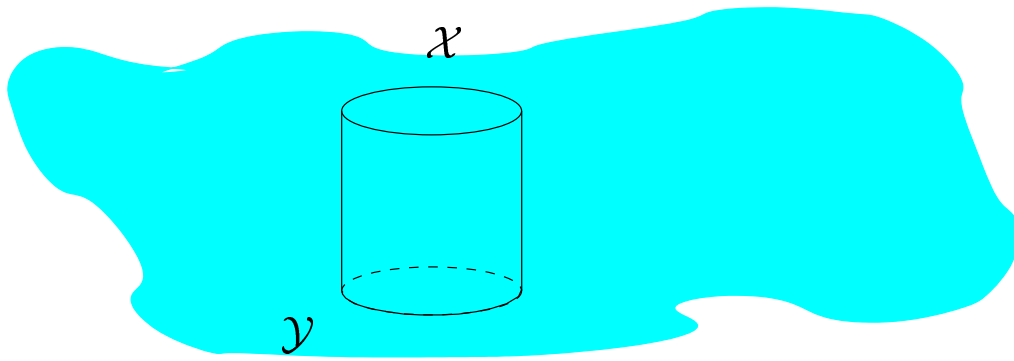
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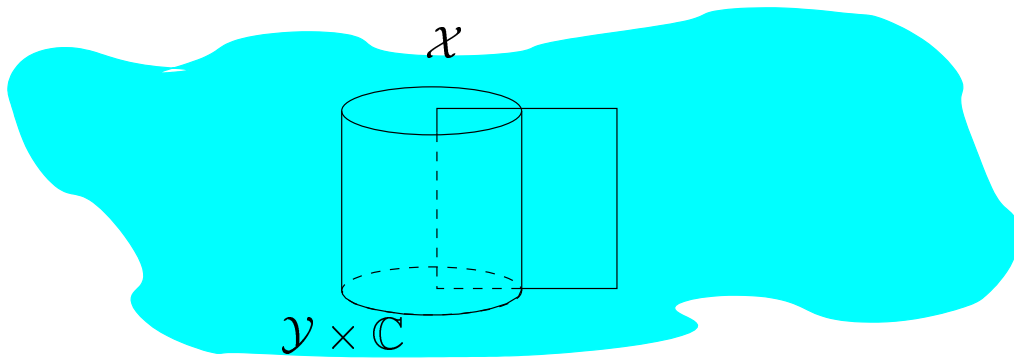
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Hopf bifurcation from \mathcal{Y} (Sandstede, Scheel, Wulff, JDE (1997))



If \mathcal{L} has eigenvalues $0, \pm i\omega, \pm i\Omega$ and all other eigenvalues bounded away from imaginary axis in left-plane...

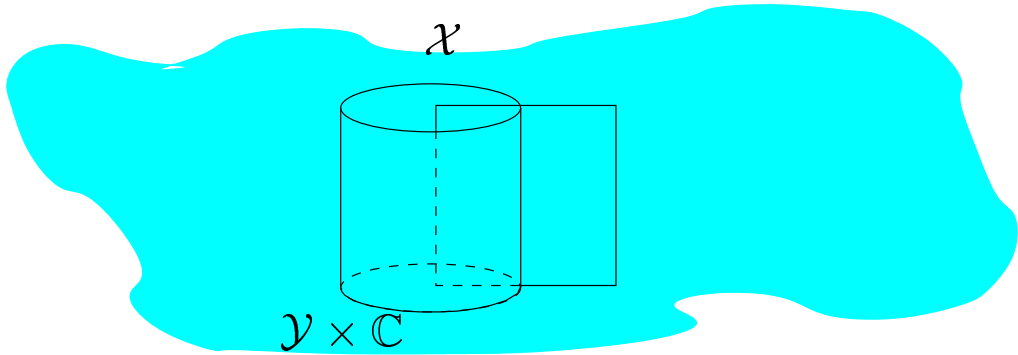
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If \mathcal{L} has eigenvalues $0, \pm i\omega, \pm i\Omega$ and all other eigenvalues bounded away from imaginary axis in left-plane...

\exists invariant 5-d "center-bundle" $\mathcal{Y} \times \mathbb{C}$ which is stable, and dynamics of φ on $\mathcal{Y} \times \mathbb{C}$ reduce to...

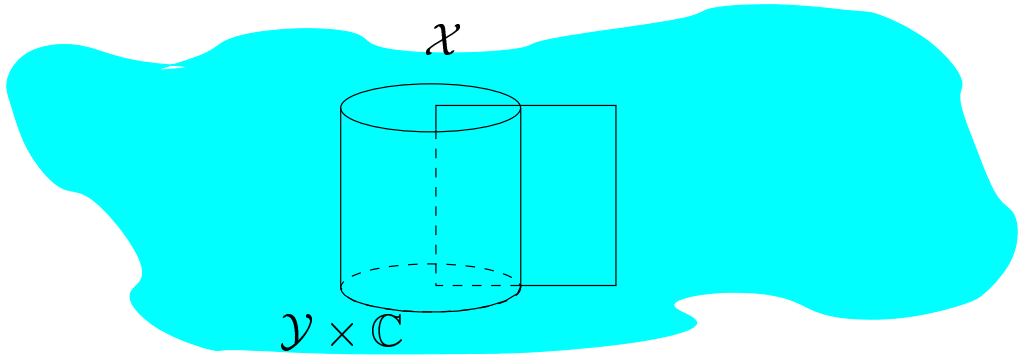
Hopf bifurcation from \mathcal{Y} (Sandstede, Scheel, Wulff, JDE (1997))



$$\begin{aligned}\dot{\theta} &= \omega + F^\theta(q, \bar{q}) \\ \dot{p} &= e^{i\theta} F^p(q, \bar{q}) \\ \dot{q} &= F^q(q, \bar{q})\end{aligned}$$

$$F^\theta(0, 0) = 0, \quad F^p(0, 0) = 0, \quad F^q(0, 0) = 0$$
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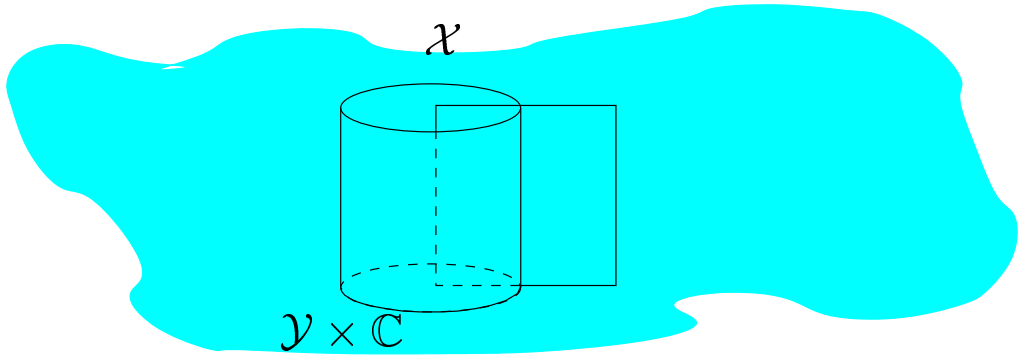


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Analysis of center–bundle ODEs

Golubitsky, LeBlanc & Melbourne, JNS (1997, 2000)

$$\begin{aligned}\dot{\theta} &= \omega + F^{\theta}(q, \bar{q}, \beta) \\ \dot{p} &= e^{i\theta} F^p(q, \bar{q}, \beta) \\ \dot{q} &= F^q(q, \bar{q}, \beta)\end{aligned}$$

$\beta \equiv$ bifurcation
parameter

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Perform "normal form" change of coordinates on \dot{q} eqn :

$$\dot{q} = (\beta + i\Omega(\beta))q - |q|^2 q$$

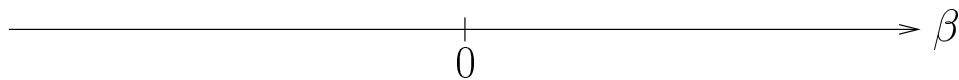
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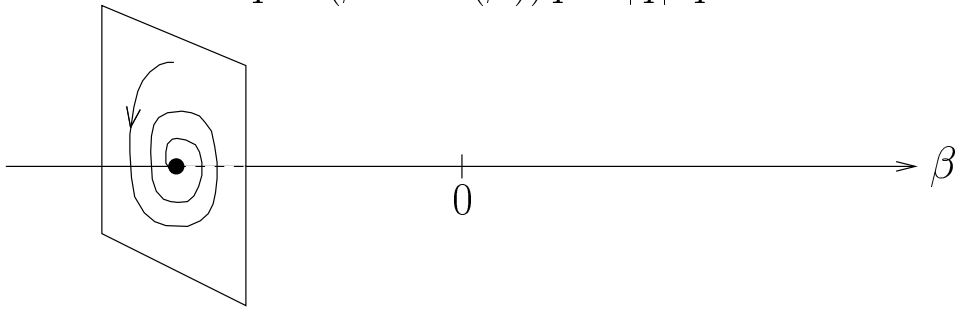
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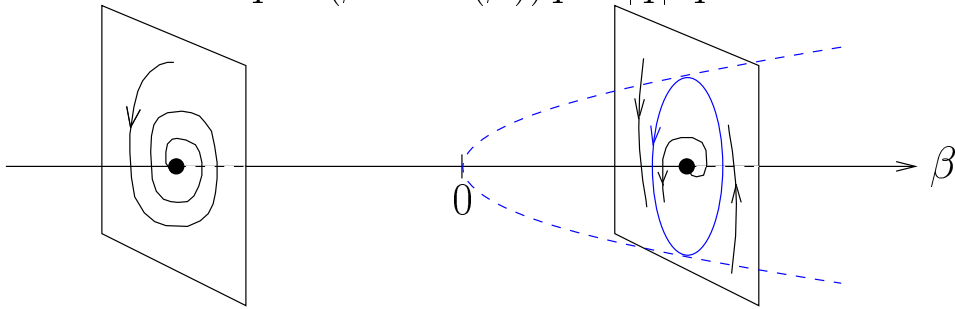
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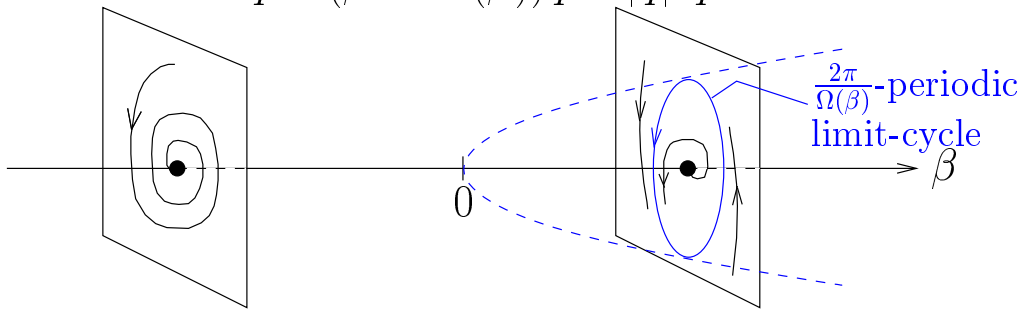
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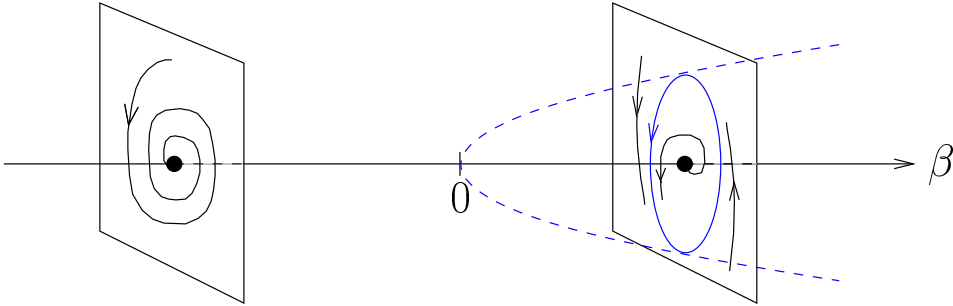
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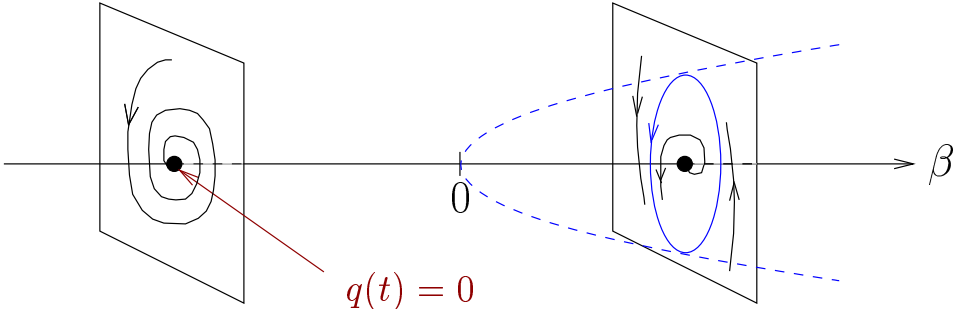
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$$\begin{aligned}\dot{\theta} &= \omega + F^\theta(q(t), \bar{q}(t), \beta) \\ \dot{p} &= e^{i\theta(t)} F^p(q(t), \bar{q}(t), \beta)\end{aligned}$$

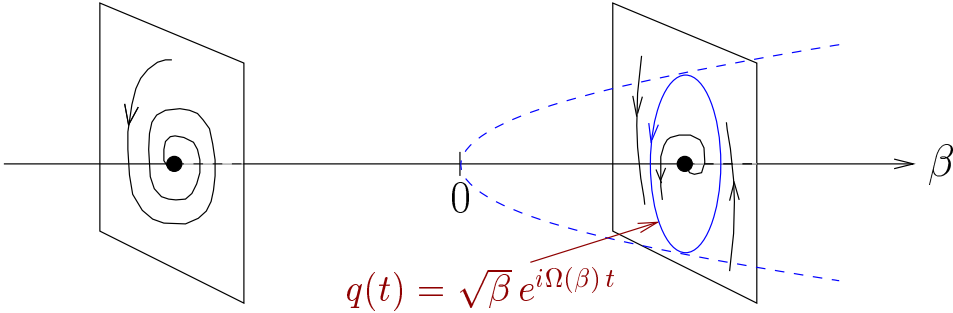


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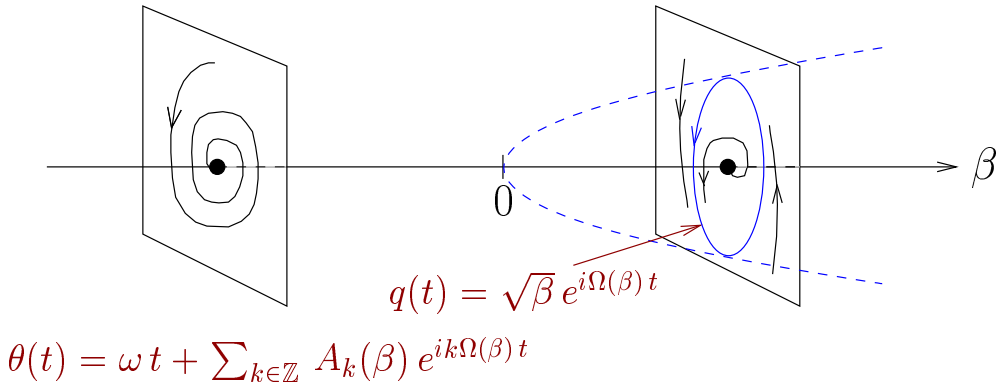


$q(t) = 0$
 $\Rightarrow \dot{\theta} = \omega, \dot{p} = 0$
 $\Rightarrow \theta(t) = \omega t + \theta_0, p(t) = p_0$
 \Rightarrow *rotating wave*

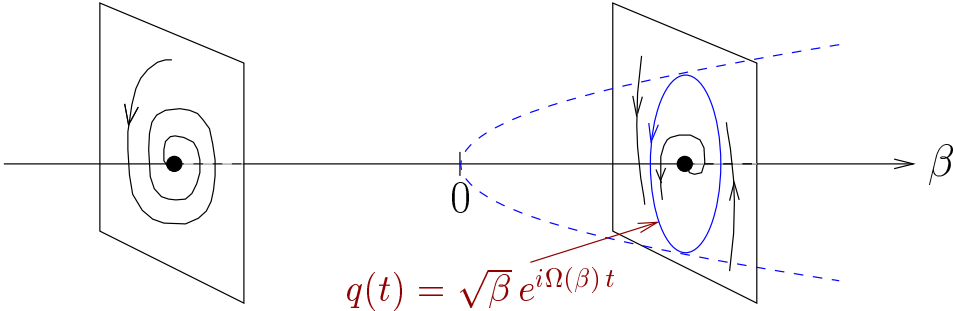
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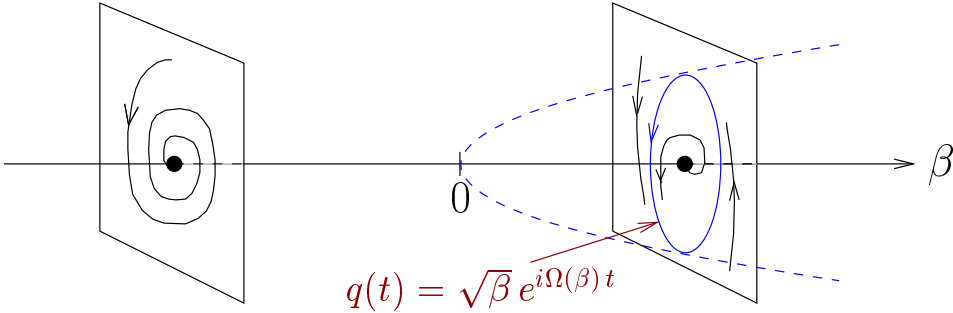


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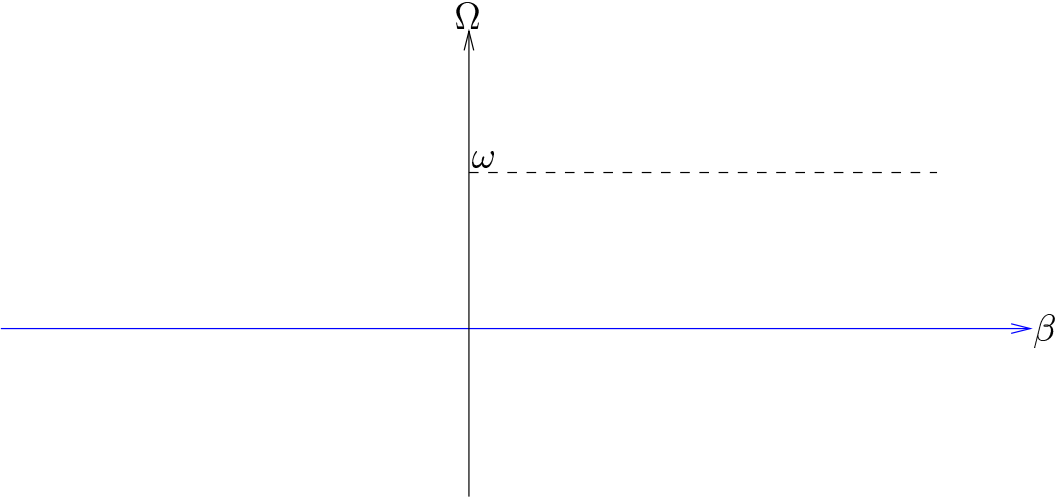
$$\theta(t) = \omega\, t + \sum_{k \in \mathbb{Z}} A_k(\beta)\, e^{ik\Omega(\beta)\, t} \qquad \dot{p}(t) = e^{i\omega\, t} \sum_{k \in \mathbb{Z}} B_k(\beta)\, e^{ik\Omega(\beta)\, t}$$

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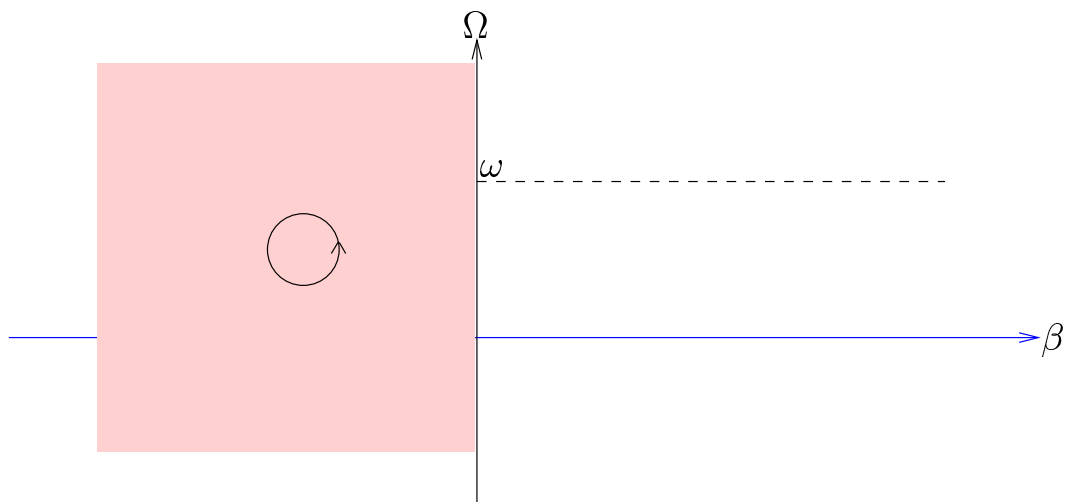
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$$p(t) = \begin{cases} \sum_{k \in \mathbb{Z}} \frac{B_k(\beta)}{i(\omega + k \Omega(\beta))} e^{i(\omega + k \Omega(\beta))t} & \text{if } \omega / \Omega(\beta) \notin \mathbb{Z} \\ B_{-1}(\beta)t + \sum_{k \in \mathbb{Z}, k \neq -1} \frac{B_k(\beta)}{i(k + 1)} e^{i(k+1)\omega \, t} & \text{if } \Omega(\beta) = \omega \end{cases}$$



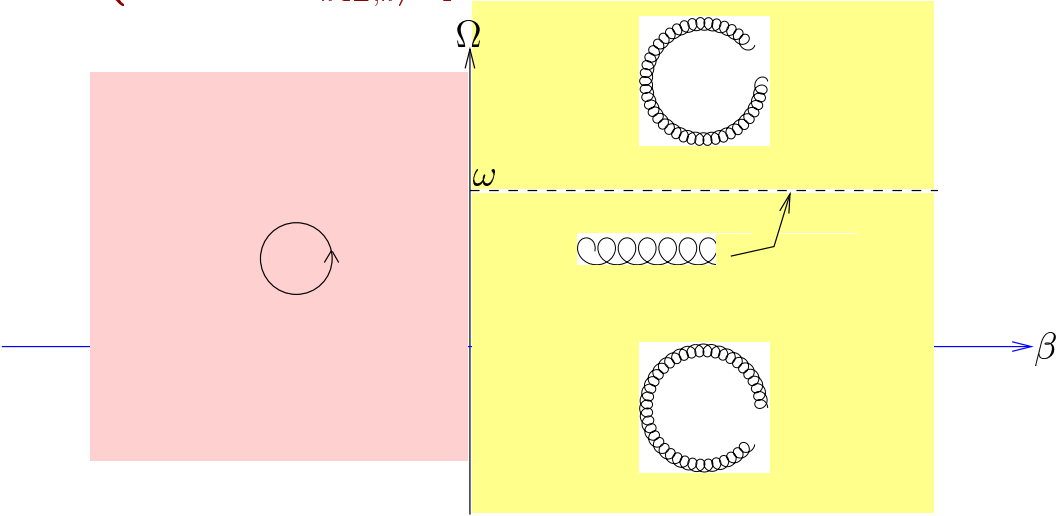
$$\theta(t) = \omega t + \theta_0$$

$$p(t) = p_0$$



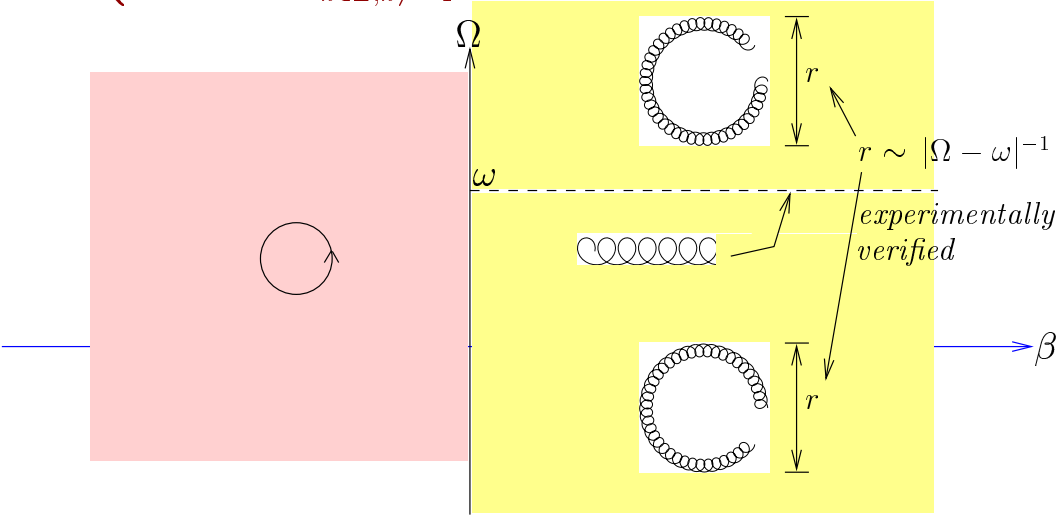
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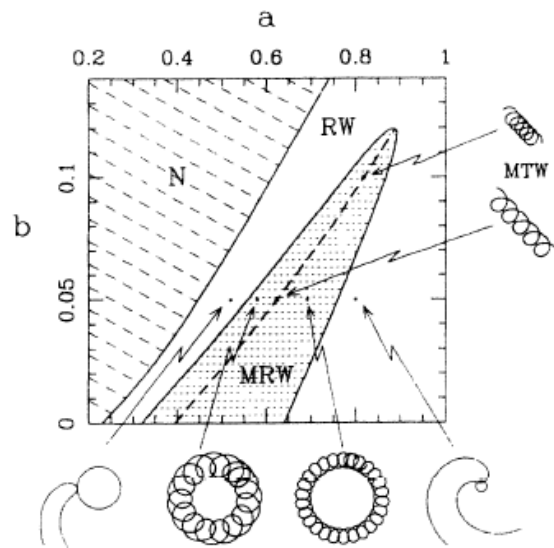


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Transition to meandering



D. Barkley (1994) PRL

Numerical simulation of a model for cardiac electrophysiology

Transition to meandering

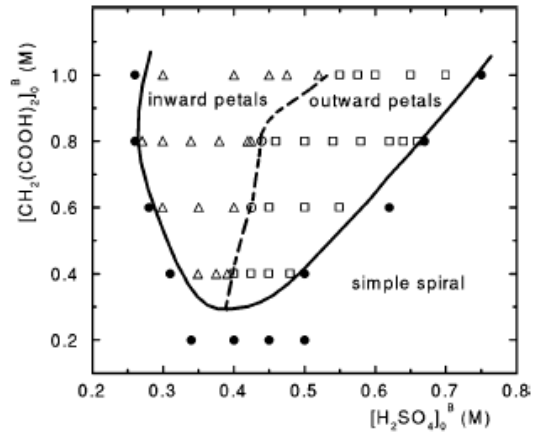


FIG. 4. Dynamics of spirals as a function of $[\text{H}_2\text{SO}_4]_0^B$ and $[\text{CH}_2(\text{COOH})_2]_0^B$ (with other conditions as in Fig. 1). The solid line marks the transition from simple spirals (●) to meandering spirals with inward (△) and outward (□) petals. Traveling spirals (○) exist along the dashed line that separates the two types of meandering spirals.

Li, Ouyang, Petrov & Swinney (1996) PRL
Actual chemical reaction

Broken symmetry

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- **Boundaries and inhomogeneities break translational symmetry**
- **Anisotropy breaks rotational symmetry (especially relevant in cardiac tissue)**
- **Experiments confirm effects of symmetry breaking :**

Boundary drifting (Zykov & Muller)

Spiral anchoring (Munuzuri et al., Jalife et al.)

Phase locking / drifting of meandering waves in anisotropic tissue (Roth)

**We can explain these experimentally observed phenomena
using finite–dimensional center–bundle ODEs (forced
symmetry–breaking) similarly to what was presented here**

LeBlanc & Wulff, JNS (2000)

LeBlanc (2002)

and make some predictions which were verified experimentally

LeBlanc & Roth (2003)

Conclusions and ongoing work

- **Model-independent approach**

*characterize the fundamental dynamical properties
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- **Uses techniques from many fields of mathematics**

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- **Current and future work includes**

*combined forced symmetry-breaking
spiral waves in spherical and quasi-spherical domains
scroll waves in 3-d media*

Ottawa–Carleton Institute

- **Applied Mathematics**
- **Logic and Foundations of Computing, Discrete Maths**
- **Algebra**
- **Analysis**
- **Stats and Probability**
- **Topology and Geometry**
- **Number Theory**

Euclidean Symmetry and the Dynamics of Spiral Waves

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