

A quasibialgebra H is an associative k -algebra equipped with a non-coassociative comultiplication $\Delta: H \rightarrow H \otimes H$, a counit $\varepsilon: H \rightarrow k$, and an invertible element $\phi \in H \otimes H \otimes H$ such that the category ${}_H\mathcal{M}$ of left H -modules is a monoidal category with respect to tensor product over k ,

$$h \cdot (v \otimes w) = h_{(1)}v \otimes h_{(2)}w \text{ for } V, W \in {}_H\mathcal{M}, v \in V, w \in W$$

$$\Phi: (U \otimes V) \otimes W \rightarrow U \otimes (V \otimes W)$$

$$u \otimes v \otimes w \mapsto \phi \cdot (u \otimes v \otimes w)$$

$$= \phi^{(1)}u \otimes \phi^{(2)}v \otimes \phi^{(3)}w$$

The element ϕ , the associator, has to satisfy suitable axioms so that we get a monoidal category as stated:

But we vow never to use these at all!!

...though they're not hard to find, really:

$$(H \otimes \Delta)\Delta(h) \cdot \phi = \phi \cdot (\Delta \otimes H)\Delta(h)$$

$$\begin{aligned} (H \otimes H \otimes \Delta)(\phi) \cdot (\Delta \otimes H \otimes H)(\phi) \\ = (1 \otimes \phi) \cdot (H \otimes \Delta \otimes H)(\phi) \cdot (\phi \otimes 1) \end{aligned}$$

$$(\varepsilon \otimes H)\Delta(h) = h = (H \otimes \varepsilon)\Delta(h)$$

$$(H \otimes \varepsilon \otimes H)(\phi) = 1$$

Why not use the axioms?

- Calculations with ϕ and the axioms are complicated and not very conceptual.
- There's extra notation for the “components”
 $\phi = \phi^{(1)} \otimes \phi^{(2)} \otimes \phi^{(3)}$ and the inverse
 $\phi^{-1} = \phi^{(-1)} \otimes \phi^{(-2)} \otimes \phi^{(-3)}.$
- Especially bad mess if we need several copies of $\phi...$
- We can expect to get away without the mess!

What shall we use instead?

We will use the monoidal category structure of ${}_H\mathcal{M}$, which ought to contain all there is to know about the quasibialgebra H .

We will also use the monoidal category structure of ${}_H\mathcal{M}_H$, where the associator isomorphism is given by

$$\begin{aligned}\Phi: (U \otimes V) \otimes W &\rightarrow U \otimes (V \otimes W) \\ u \otimes v \otimes w &\mapsto \phi(u \otimes v \otimes w)\phi^{-1} \\ &= \phi^{(1)}u\phi^{(-1)} \otimes \phi^{(2)}v\phi^{(-2)} \otimes \phi^{(3)}w\phi^{(-3)}.\end{aligned}$$

(For once, we actually use the axioms of ϕ here.)

The key observation:

H is a coassociative coalgebra within the monoidal category ${}_H\mathcal{M}_H$.

This is nothing but the modified coassociativity axiom

$$\begin{aligned}(H \otimes \Delta)\Delta(h) &= \phi \cdot (\Delta \otimes H)\Delta(h) \cdot \phi^{-1} \\ &= \Phi(\Delta \otimes H)\Delta(h)\end{aligned}$$

$$\begin{array}{ccc} H & \xrightarrow{\Delta} & H \otimes H \\ \Delta \downarrow & & \downarrow \Delta \otimes H \\ H \otimes H & \xrightarrow{H \otimes \Delta} & H \otimes (H \otimes H) \\ & \nwarrow \Phi & \\ & (H \otimes H) \otimes H & \end{array}$$

First target, “classical” Hopf case

Theorem 1 (The structure theorem for Hopf modules). *Let H be a Hopf algebra.*

A category equivalence $\mathcal{M}_k \cong \mathcal{M}_H^H$ is given by

$V \mapsto V \otimes H$:

Definition 2. A Hopf module $M \in \mathcal{M}_H^H$ is a right H -module and **-comodule** such that $(mh)_{(0)} \otimes (mh)_{(1)} = m_{(0)}h_{(1)} \otimes m_{(1)}h_{(2)}$ for $m \in M$ and $h \in H$.

Obviously, this makes no sense in the quasi-Hopf case.

Note, though, that the Hopf module condition says that

$M \rightarrow M_{\bullet} \otimes H_{\bullet}$ is an H -module map,

or shorter: M is an H -comodule in \mathcal{M}_H .

(Quasi-)Hopf (bi-)modules

Definition 3. Let H be a quasi-bialgebra.

A Hopf module in ${}_H\mathcal{M}_H^H$ is an H -comodule within the monoidal category ${}_H\mathcal{M}_H$.

Theorem 4. *Let H be a finite quasi-bialgebra.*

The following are equivalent:

1. H is a quasi-Hopf algebra.
2. The functor $\mathcal{R}: {}_H\mathcal{M} \rightarrow {}_H\mathcal{M}_H^H$ is a category equivalence.
3. The category ${}_H\mathcal{M}_{\text{f.d.}}$ of finite left H -modules is rigid.

(1) \Rightarrow (3) is already in Drinfeld (without “finite”).

(1) \Rightarrow (2) is due to Hausser and Nill (without “finite”).

(3) \Rightarrow (1) for bialgebras is due to Ulbrich.

We shall discuss (2) \Leftrightarrow (3) \Rightarrow (1), but first...

The dual case

It goes without saying that there is a dual notion of a coquasibialgebra, involving $\phi: H \otimes H \otimes H \rightarrow k$ instead of $\phi \in H \otimes H \otimes H$, and a nonassociative multiplication in place of a non-coassociative comultiplication.

For a coquasibialgebra H , both \mathcal{M}^H and ${}^H\mathcal{M}^H$ are monoidal categories,

H is an associative algebra in ${}^H\mathcal{M}^H$,

one can define Hopf modules in ${}^H_H\mathcal{M}^H$,

and a functor $\mathcal{L}: \mathcal{M}^H \rightarrow {}^H_H\mathcal{M}^H$.

Theorem 4*: *Let H be a coquasibialgebra.*

The following are equivalent:

- 1. H is a coquasi-Hopf algebra.*
- 2. The functor $\mathcal{L}: \mathcal{M}^H \rightarrow {}^H_H\mathcal{M}^H$ is a category equivalence.*
- 3. The category $\mathcal{M}_{\text{f.d.}}^H$ of finite right H -comodules is rigid.*

$(1) \Rightarrow (3)$ is formally dual to Drinfeld.

$(3) \Rightarrow (1)$ is due to Ulbrich for bialgebras.

$(1) \Rightarrow (2)$ can be proved by arguments formally dual to those of Hausser and Nill.

$(2) \Leftrightarrow (3)$ can be proved by formally dual arguments to those we shall give below for Theorem 4.

But $(3) \Rightarrow (1)$ is false for $\dim H = \infty$.

Recall that a monoidal category \mathcal{C} is rigid if for all $V \in \mathcal{C}$ there exists a dual object $(V^\vee, \text{ev}, \text{db})$,

where $V^\vee \in \mathcal{C}$, $\text{ev}: V^\vee \otimes V \rightarrow I$ and $\text{db}: I \rightarrow V \otimes V^\vee$ satisfy

$$\begin{aligned} \left(V \xrightarrow{\text{db} \otimes V} (V \otimes V^\vee) \otimes V \xrightarrow{\Phi} V \otimes (V^\vee \otimes V) \xrightarrow{V \otimes \text{ev}} V \right) &= \text{id} \\ \left(V^\vee \xrightarrow{V^\vee \otimes \text{db}} V^\vee \otimes (V \otimes V^\vee) \xrightarrow{\Phi} (V^\vee \otimes V) \otimes V^\vee \xrightarrow{\text{ev} \otimes V^\vee} V^\vee \right) &= \text{id} \end{aligned}$$

A quasiantipode for a quasibialgebra H is a triple (S, α, β) where S is an algebra anti-endomorphism of H and $\alpha, \beta \in H$ satisfy

$$\begin{aligned} S(h_{(1)})\alpha h_{(2)} &= \varepsilon(h)\alpha & h_{(1)}\beta S(h_{(2)}) &= \varepsilon(h)\beta \\ \phi^{(1)}\beta S(\phi^{(2)})\alpha\phi^{(3)} &= 1 & S(\phi^{(-1)})\alpha\phi^{(-2)}\beta\phi^{(-3)} &= 1 \end{aligned}$$

The definition of a coquasi-Hopf algebra is, of course, formally dual!

If H is a quasi-Hopf algebra (i.e. has a quasiantipode) then $V \in {}_H\mathcal{M}_{\text{f.d.}}$ has left dual $V^\vee = V^*$ with module structure via S , evaluation and coevaluation

$$\begin{array}{ll} V^* \otimes V \rightarrow k & k \rightarrow V \otimes V^* \\ \varphi \otimes v \mapsto \varphi(\alpha v) & 1 \rightarrow \beta v_i \otimes v^i \end{array}$$

In particular, ${}_H\mathcal{M}_{\text{f.d.}}$ is rigid when H is a quasi-Hopf algebra, and $\dim(V^\vee) = \dim(V)$ for all $V \in {}_H\mathcal{M}_{\text{f.d.}}$.

Of course, the same holds true for coquasi-Hopf algebras!

However, there is an example of a coquasibialgebra H such that $\mathcal{M}_{\text{f.d.}}^H$ is rigid, and there is $V \in \mathcal{M}_{\text{f.d.}}^H$ with $\dim(V^\vee) \neq \dim(V)$.

In particular, H is not a coquasi-Hopf algebra.

...now, back to business!

To prepare for Theorem 4 we have to establish a nice functor

$$\mathcal{R}: {}_H\mathcal{M} \rightarrow {}_H\mathcal{M}_H^H$$

...by just using generalities on monoidal categories:

Since H is a coalgebra in the monoidal category ${}_H\mathcal{M}_H$,

the underlying functor ${}_H\mathcal{M}_H^H \rightarrow {}_H\mathcal{M}_H$

forgetting the comodule structure of an H -comodule in ${}_H\mathcal{M}_H$

has a right adjoint.

$$\begin{array}{ccccc} & & \bullet P \bullet & \mapsto & \bullet P \bullet \otimes \bullet H \bullet \\ \mathcal{R} := (& {}_H\mathcal{M} & \rightarrow & {}_H\mathcal{M}_H & \xrightarrow{\tilde{R}} & {}_H\mathcal{M}_H^H) \\ & V & \mapsto & \bullet V_\varepsilon & \mapsto & \bullet V \otimes \bullet H \bullet \end{array}$$

Now we will sketch a proof of

Theorem 5. *Let H be a finite quasibialgebra. Then the following are equivalent:*

1. *The functor $\mathcal{R}: {}_H\mathcal{M} \rightarrow {}_H\mathcal{M}_H^H$ is an equivalence.*
 2. *The category ${}_H\mathcal{M}_{\text{f.d.}}$ is rigid.*
- We start by an observation on ${}_H\mathcal{M}_H^H$ which is **not** a general categorical fact. The category ${}_H\mathcal{M}_H^H$ is a monoidal category with respect to the tensor product **over H** ; i.e. for $M, N \in {}_H\mathcal{M}_H^H$ we have $M \otimes_H N \in {}_H\mathcal{M}_H^H$ with the codiagonal comodule structure. The associator is trivial!
 - Moreover, the functor \mathcal{R} is a monoidal functor. More generally $\mathcal{R}(V) \otimes_H M \cong (V \otimes H) \otimes_H M \cong \bullet V \otimes \bullet M \bullet$ for all $V \in {}_H\mathcal{M}$ and $M \in {}_H\mathcal{M}_H^H$. **Really!**

- It is easy to check that \mathcal{R} is fully faithful and exact. So the question is whether it is essentially surjective. The image of \mathcal{R} is closed under limits, colimits, and tensor products.
- We can form cotensor products in the monoidal category $\mathcal{C} = {}_H\mathcal{M}_H$. So for $M \in {}_H\mathcal{M}_H^H$ we have $M \cong M \square_H H$ which amounts to an equalizer

$$0 \rightarrow .M_{\bullet} \rightarrow .M_{\bullet} \otimes .H_{\bullet} \rightrightarrows (.M_{\bullet} \otimes .H_{\bullet}) \otimes .H_{\bullet}$$

Thus we need only know when objects of the form $P \otimes H$ with $P \in {}_H\mathcal{M}_H$, are in the image of \mathcal{R} .

- For $P \in {}_H\mathcal{M}_H$ we have $P \cong P \otimes_H H$; that is, we have a coequalizer

$${}_P \otimes H \otimes H \rightrightarrows {}_P \otimes H \rightarrow {}_P \rightarrow 0.$$

So we need only check when $P \otimes H$ is in the image of \mathcal{R} in the case $P = {}_P V \otimes U$ for $V \in {}_H\mathcal{M}$ and $U \in \mathcal{M}_H$.

- Since $(\cdot V \otimes U \cdot) \otimes \cdot H \cdot \cong (\cdot V \otimes \cdot H \cdot) \otimes_H (U \cdot \otimes \cdot H \cdot)$ and \mathcal{R} is monoidal, we actually need only check that $U \cdot \otimes \cdot H \cdot$ is in the image of \mathcal{R} when $U \in \mathcal{M}_H$.
- We may assume U is finite-dimensional, so $U = V^*$ for some $V \in {}_H\mathcal{M}_{\text{f.d.}}$.

Claim: All such $V^* \otimes H$ are in the image of \mathcal{R} iff ${}_H\mathcal{M}_{\text{f.d.}}$ is rigid.

To prove this, one shows that $V^* \otimes H$ is something's dual.

Lemma: Let $V \in {}_H\mathcal{M}_{\text{f.d.}}$. Then $(V^*) \bullet \otimes \bullet H \bullet$ is a dual object of $\bullet V \otimes \bullet H \bullet$ in ${}_H\mathcal{M}_H^H$. Evaluation and coevaluation are given according to the identification

$$V^* \otimes H \cong \text{Hom}_{-H}(V \otimes H, H)$$

of $V^* \otimes H$ with the dual of the finitely generated projective right H -module $V \otimes H$.

End of proof of Theorem 5: If $V \in {}_H\mathcal{M}_{\text{f.d.}}$ has a dual V^\vee , then $V^* \otimes H \cong \mathcal{R}(V^\vee)$, since monoidal functors preserve duals.

If \mathcal{R} is an equivalence, the Lemma shows that any finite $M \in {}_H\mathcal{M}_H^H$ has a dual, hence so does any $V \in {}_H\mathcal{M}_{\text{f.d.}}$.

If H is a quasi-Hopf algebra, we learn more from the proof: In this case, for $V \in {}_H\mathcal{M}$ and $U = V^* \in \mathcal{M}_H$ we have $V^\vee = {}_S U$, hence $U_\bullet \otimes \bullet H_\bullet \cong \mathcal{R}({}_S U)$, and further, for $V \in {}_H\mathcal{M}$,

$$\begin{aligned}
(\bullet V \otimes U_\bullet) \otimes \bullet H_\bullet &\cong (\bullet V \otimes \bullet H_\bullet) \otimes_H (U_\bullet \otimes \bullet H_\bullet) \\
&\cong \mathcal{R}(V) \otimes_H \mathcal{R}({}_S U) \\
&\cong \mathcal{R}(V \otimes {}_S U) \\
&\cong \mathcal{R}({}_{\text{ad}}(\bullet V \otimes U_\bullet))
\end{aligned}$$

Where, for $P \in {}_H\mathcal{M}_H$, we define ${}_{\text{ad}}P \in {}_H\mathcal{M}$ to have the action $h \rightharpoonup p = h_{(1)}pS(h_{(2)})$. More generally, we see that $\bullet P_\bullet \otimes \bullet H_\bullet \cong \mathcal{R}({}_{\text{ad}}P)$

Next target: The Double

...first, the ordinary Hopf picture:

If H is a finite Hopf algebra, its double $D(H)$ is a quasitriangular Hopf algebra, with underlying vector space $H^* \otimes H$.

The module category ${}_{D(H)}\mathcal{M}$ is isomorphic to the center $\mathcal{Z}({}_H\mathcal{M})$.

By definition, objects of $\mathcal{Z}(\mathcal{C})$ are objects of \mathcal{C} plus a specified way of commuting them past objects of \mathcal{C} .

So $\mathcal{Z}({}_H\mathcal{M}) \ni (V, \sigma_{V,-})$, where $\sigma_{VX} : V \otimes X \rightarrow X \otimes V$ is an isomorphism, natural in $X \in {}_H\mathcal{M}$. There are axioms, of course!

Without axioms, any $\sigma_{V,-}$ as above has to have the form

$$\sigma_{VX} : V \otimes X \ni v \otimes x \mapsto v_{(-1)} \cdot x \otimes v_{(0)} \in X \otimes V$$

For some “coaction” $V \ni v \mapsto v_{(-1)} \otimes v_{(0)} \in H \otimes V$.

To actually define an object in $\mathcal{Z}({}_H\mathcal{M})$, the “coaction” has to turn V into a Yetter-Drinfeld module $V \in {}^H_H\mathcal{YD}$.

To get from here to the center, one turns the coaction into an action of H^* .

And one turns the Yetter-Drinfeld condition into a commutation relation between the actions of H and H^* .

And one turns this into the definition of an algebra structure on $H^* \otimes H$and that’s the Drinfeld double.

There’s an obvious reason why it can’t work like that in the quasi-Hopf case:

H^* isn’t even an associative algebra.

So how is it going to embed into an associative $H^* \otimes H$?

...of course one can try...

In fact everything works just fine in the quasi-Hopf case, except for the last two steps. Even the Yetter-Drinfeld condition stays the same:

$$h_{(1)}v_{(-1)} \otimes h_{(2)}v_{(0)} = (h_{(1)}v)_{(-1)}h_{(2)} \otimes (h_{(1)}v)_{(0)}.$$

In the Hopf case, one turns this into

$$\begin{array}{ccc}
 h_{(1)}v_{(-1)}S(h_{(3)}) \otimes h_{(2)}v_{(0)} & \Longrightarrow & (hv)_{(-1)} \otimes (hv)_{(0)} \\
 \uparrow \text{⋮} & & \uparrow \text{⋮} \\
 \text{coact first} & & \text{act first}
 \end{array}$$

That won't work for the quasi-Hopf case (Antipodes are too complicated)

However, everything has been fixed by Hausser and Nill...by rather unwieldy calculations.

We'll do an approach with less calculations and more categories...

Let \mathcal{C} be a monoidal category. A \mathcal{C} -actegory is a category \mathcal{D} on which \mathcal{C} acts. This means that there is a functor $\diamond: \mathcal{C} \times \mathcal{D} \rightarrow \mathcal{D}$ and a natural isomorphism $\Psi: (P \otimes Q) \diamond V \rightarrow P \diamond (Q \diamond V)$, where $P, Q \in \mathcal{C}$ and $V \in \mathcal{D}$, which is coherent.

If C is a coalgebra in \mathcal{C} , then surely the comodule category \mathcal{C}^C is a \mathcal{C} -actegory. Just take $P \diamond V = P \otimes V^\bullet$.

So in particular ${}_H\mathcal{M}_H^H$ is a ${}_H\mathcal{M}_H$ -actegory.

But ${}_H\mathcal{M}_H^H \cong {}_H\mathcal{M}$, so ${}_H\mathcal{M}$ is a ${}_H\mathcal{M}_H$ -actegory, too.

The action \diamond comes via the equivalence, so, for $P \in {}_H\mathcal{M}_H, V \in {}_H\mathcal{M}$,

$$\begin{aligned} \mathcal{R}(P \diamond V) &\cong P \otimes \mathcal{R}(V) \cong P \otimes (V \otimes H) \\ &\cong (P \otimes V) \otimes H \cong \mathcal{R}_{\text{ad}}(P \otimes V) \end{aligned}$$

and $P \diamond V \cong_{\text{ad}} (P \otimes V)$.

We can turn the action into a “representation”, a monoidal functor

$$\begin{array}{ccccc}
 & & P \mapsto P \diamond H & & \\
 & \nearrow & & \searrow & \\
 {}_H\mathcal{M}_H & \xrightarrow{\quad} & \mathfrak{Fun}({}_H\mathcal{M}, {}_H\mathcal{M}) & \xleftarrow[\text{Watts}]{\sim} & {}_H\mathcal{M}_H \\
 \uparrow \text{.....} & & & & \uparrow \text{.....} \\
 \text{tensor product} & & & & \text{tensor product} \\
 \text{over } k \text{ with} & & & & \text{over } H \text{ with} \\
 \text{nontrivial associator} & & & & \text{trivial associator}
 \end{array}$$

This will turn any (co)algebra in ${}_H\mathcal{M}_H$ (a non-(co)associative ordinary (co)algebra) into a (co)algebra in ${}_H\mathcal{M}_H$, or an H -(co)ring.

In particular, this applies to the coalgebra $H \in {}_H\mathcal{M}_H$, giving an H -coring $H \diamond H$.

Or to the algebra $H^\vee \cong H^* \in {}_H\mathcal{M}_H$, giving an H -ring and hence k -algebra $H^\vee \diamond H \cong H^* \otimes H$.

It turns out that $D(H) = H^\vee \diamond H$ is the Drinfeld double.

For any \mathcal{C} -actegory \mathcal{D} and any coalgebra C it makes sense to talk about the category ${}^C\mathcal{D}$ of C -comodules in \mathcal{D} . Use this formalism to calculate

$${}_{D(H)}\mathcal{M} = {}_{H^\vee \diamond H}\mathcal{M} \cong {}_{H^\vee}({}_H\mathcal{M}) \cong {}^H({}_H\mathcal{M}) \cong {}^H({}_H\mathcal{M}_H^H) \cong {}_H^H\mathcal{M}_H^H$$

and deduce that ${}_{D(H)}\mathcal{M}$ is a monoidal category, hence $D(H)$ is a quasibialgebra.