

### 3. AUTOMORPHIC REPRESENTATIONS

We have defined our spaces of automorphic forms. Now we turn to our tools. We will analyze  $\mathcal{A}$ ,  $\mathcal{A}^\infty$ , or  $L^2(\omega)$  as representation spaces for certain algebras or groups. Throughout we will let  $G = GL_n$ , although the results remain true for any reductive algebraic  $G$ , let  $k$  be a number field, and retain all notations from before.

**3.1. ( $K$ -finite) automorphic representations.** As we have noted the space  $\mathcal{A}$  of ( $K$ -finite) automorphic forms does not give a representation of  $G(\mathbb{A})$ . It will be a representation space for the global Hecke algebra  $\mathcal{H}$ .

**3.1.1. The Hecke algebra.** The global Hecke algebra  $\mathcal{H}$  will be a restricted tensor product of local Hecke algebras:  $\mathcal{H} = \otimes' \mathcal{H}_v$ .  $\mathcal{H}$  and each  $\mathcal{H}_v$  will be idempotent algebras under convolution. So there will be a directed family of fundamental idempotents  $\{\xi_i\}$  such that

$$\mathcal{H} = \varinjlim_i \xi_i * \mathcal{H} * \xi_i = \bigcup_i \xi_i * \mathcal{H} * \xi_i$$

and

$$\mathcal{H}_v = \varinjlim_i \xi_{i,v} * \mathcal{H}_v * \xi_{i,v} = \bigcup_i \xi_{i,v} * \mathcal{H} * \xi_{i,v}.$$

Neither  $\mathcal{H}$  nor any  $\mathcal{H}_v$  will have an identity, but for each  $\xi_i$  the subalgebra  $\xi_i * \mathcal{H} * \xi_i$  will have  $\xi_i$  as an identity.

(i) If  $v < \infty$  is an archimedean place of  $k$  then  $\mathcal{H}_v = C_c^\infty(G(k_v))$  is the algebra of smooth (locally compact) compactly supported functions on  $G_v = G(k_v)$ . It is naturally an algebra under convolution. For each compact open subgroup  $L_v \subset G_v$  there is a fundamental idempotent

$$\xi_{L_v} = \frac{1}{\text{Vol}(L_v)} \mathfrak{X}_{L_v}$$

where  $\mathfrak{X}_{L_v}$  is the characteristic function of  $L_v$ . Then  $\xi_{L_v} * \mathcal{H}_v * \xi_{L_v} = \mathcal{H}(G_v // L_v)$  is the algebra of  $L_v$ -bi-invariant compactly supported functions on  $G_v$ . In any representation of  $\mathcal{H}_v$  the idempotent  $\xi_{L_v}$  will act as a projection onto the  $L_v$ -fixed vectors. We will let  $\xi_v^\circ$  denote the fundamental idempotent associated to the maximal compact subgroup  $K_v$ . Note that if  $k = \mathbb{Q}$ ,  $G = GL_2$ , and  $L_p = K_p = GL_2(\mathbb{Z}_p)$  then  $\xi_p^\circ * \mathcal{H}_p * \xi_p^\circ = \mathcal{H}(GL_2(\mathbb{Q}_p) // GL_2(\mathbb{Z}_p))$  is isomorphic to the complex algebra spanned by the classical Hecke operators  $\langle T_p \rangle$ .

(ii) If  $v|\infty$  is an archimedean place of  $k$  then  $\mathcal{H}_v$  is the convolution algebra of bi- $K_v$ -finite distributions on  $G_v$  with support in  $K_v$ . Then  $\mathcal{H}_v$  contains both

$$\mathcal{U}(\mathfrak{g}) : \text{distributions supported at the identity}$$

and

$$A(K_v) : \text{finite measures on } K_v$$

and in fact

$$\mathcal{H}_v = \mathcal{U}(\mathfrak{g}_v) \otimes_{\mathcal{U}(\mathfrak{k}_v)} A(K_v).$$

For each finite dimensional representation  $\delta_v$  of  $K_v$  we have a fundamental idempotent

$$\xi_{\delta_v} = \frac{1}{\deg(\delta_v)} \Theta_{\delta_v}$$

where  $\deg(\delta_v)$  is the degree and  $\Theta_{\delta_v}$  is the character of  $\delta_v$ . In any representation  $\delta_v$  should act as the projection onto the  $\delta_v$ -isotypic component.

(iii) The global Hecke algebra  $\mathcal{H}$  is then the restricted tensor product of the local algebras  $\mathcal{H}_v$  with respect to the idempotents  $\{\xi_v^\circ\}$  at the non-archimedean places, i.e.,

$$\mathcal{H} = \otimes'_v \mathcal{H}_v = \varinjlim_S ((\otimes_{v \in S} \mathcal{H}_v) \otimes (\otimes_{v \notin S} \xi_v^\circ))$$

as  $S$  runs over finite sets of places of  $k$  which contain all archimedean places  $\mathcal{V}_\infty$ . Let us write  $\mathcal{H} = \mathcal{H}_\infty \otimes \mathcal{H}_f$  where, as usual,

$$\mathcal{H}_\infty = \otimes_{v|\infty} \mathcal{H}_v \quad \text{and} \quad \mathcal{H}_f = \otimes'_{v < \infty} \mathcal{H}_v.$$

Then the fundamental idempotents in  $\mathcal{H}$  are of the form  $\xi = \xi_\infty \otimes \xi_f$  where

$$\xi_\infty = \xi_\delta = \otimes_{v|\infty} \xi_{\delta_v} \in \mathcal{H}_\infty$$

is associated to a finite dimensional representation  $\delta = \otimes_{v|\infty} \delta_v$  of  $K_\infty$  and

$$\xi_f = \xi_L = \otimes_{v < \infty} \xi_{L_v} \in \mathcal{H}_f$$

is associated to a compact open subgroup  $L = \prod L_v$  of  $G_f$  (so for almost all places  $L_v = K_v$  and  $\xi_{L_v} = \xi_v^\circ$ ).

**3.1.2. The representation on automorphic forms.** The space  $\mathcal{A}$  of  $K$ -finite automorphic forms is naturally an  $\mathcal{H}$ -module by right convolution. For  $\xi \in \mathcal{H}$  and  $\varphi \in \mathcal{A}$  set

$$R(\xi)\varphi(g) = \varphi * \check{\xi}(g) = \int_{G(\mathbb{A})} \varphi(gh)\xi(h) dh$$

where  $\check{\xi}(g) = \xi(g^{-1})$ . Note that with this action the  $K$ -finiteness condition on  $\varphi \in \mathcal{A}$  can now be stated as: there exists a fundamental idempotent  $\xi = \xi_\infty \otimes \xi_f = \xi_\delta \otimes \xi_L$  such that  $R(\xi)\varphi = \varphi$ .

The representations that we will be most interested in will be *admissible* representations of  $\mathcal{H}$ .

**Definition 3.1.** *A representation  $(\pi_v, V_v)$  of a local Hecke algebra  $\mathcal{H}_v$  is admissible if for every fundamental idempotent  $\xi_v$  we have*

$$\dim_{\mathbb{C}}(\pi_v(\xi_v)V_v) < \infty.$$

*Similarly a representation  $(\pi, V)$  of the global Hecke algebra  $\mathcal{H}$  is admissible if for every global fundamental idempotent  $\xi \in \mathcal{H}$  the subspace  $\pi(\xi)V$  is finite dimensional.*

One consequence of admissibility, which we state in the global case, is that as a representation of  $K$  the space  $V$  decomposes into a direct sum of irreducibles with finite multiplicities:

$$V = \bigoplus_{\tau \in \hat{K}} m(\tau, V) V_\tau.$$

The reason for our interest in admissible representations is the following fundamental result of Harish-Chandra (probably first due to Jacquet and Langlands for  $GL_2$ ).

**Theorem 3.1.** *Suppose  $\varphi \in \mathcal{A}$ . Then the  $\mathcal{H}$ -module generated by  $\varphi$ , namely*

$$V_\varphi = R(\mathcal{H})\varphi = \varphi * \mathcal{H} \subset \mathcal{A},$$

*is an admissible  $\mathcal{H}$ -module.*

This makes the following definition reasonable.

**Definition 3.2.** *An automorphic representation  $(\pi, V)$  of  $\mathcal{H}$  is an irreducible (hence admissible) sub-quotient of  $\mathcal{A}(G(k) \backslash G(\mathbb{A}))$ .*

There is a canonical way to construct admissible representations of  $\mathcal{H}$  abstractly using the restricted tensor product structure  $\mathcal{H} = \otimes' \mathcal{H}_v$ . Suppose we have a collection  $\{(\pi_v, V_v)\}$  of admissible representations of the local Hecke algebras  $\mathcal{H}_v$  such that for almost all finite places the representation  $V_v$  contains a (fixed)  $K_v$ -invariant vector, say  $u_v^\circ$ . Then

we can define the restricted tensor product of these representations with respect to the  $\{u_v^\circ\}$  in the (by now) usual manner:

$$V = \otimes'_v V_v = \varinjlim_S ((\otimes_{v \in S} V_v) \otimes (\otimes_{v \notin S} u_v^\circ)).$$

Note that since  $u_v^\circ$  is  $K_v$ -fixed, then  $\pi_v(\xi_v^\circ)u_v^\circ = u_v^\circ$  so this space does carry a natural representation of  $\mathcal{H}$ , coming from its restricted tensor product decomposition, which we will denote by  $\pi = \otimes'_v \pi_v$ . We leave it as an exercise to verify that if each of the  $(\pi_v, V_v)$  is admissible then so is  $(\pi, V)$  and if each  $(\pi_v, V_v)$  is irreducible, then so is  $(\pi, V)$ .

An important fact for us, which is a purely algebraic fact about  $\mathcal{H}$ -modules, is the converse to this construction.

**Theorem 3.2** (Decomposition Theorem). *If  $(\pi, V)$  is an irreducible admissible representation of  $\mathcal{H}$  then for each place  $v$  of  $k$  there exists an irreducible admissible representation  $(\pi_v, V_v)$  of  $\mathcal{H}_v$ , having a  $K_v$ -fixed vector for almost all  $v$ , such that  $\pi = \otimes'_v \pi_v$ .*

Therefore in the context of automorphic representations of  $\mathcal{H}$  we have the following corollary.

**Corollary 3.2.1.** *If  $(\pi, V)$  is an automorphic representation, then  $\pi$  decomposes into a restricted tensor product of local irreducible admissible representations:  $\pi = \otimes'_v \pi_v$ .*

Note that the decomposition given in this corollary is an abstract decomposition. It does not give a factorization of automorphic forms into a product of functions on the local groups  $G(k_v)$ .

**3.2. Smooth automorphic representations.** Now things are more straight forward on the one hand, since  $G(\mathbb{A})$  acts in  $\mathcal{A}^\infty(G(k) \backslash G(\mathbb{A}))$  by right translation. However the representation theory is now a bit more complicated. More precisely, for every compact open subgroup  $L \subset K_f$  the space of  $L$ -invariant functions  $(\mathcal{A}^\infty)^L$  in  $\mathcal{A}^\infty$ , namely

$$(\mathcal{A}^\infty)^L = \{\varphi \in \mathcal{A}^\infty \mid \varphi(g\ell) = \varphi(g) \text{ for } \ell \in L\},$$

is a representation for  $G_\infty$ . The spaces  $(\mathcal{A}^\infty)^L$  all carry compatible limits of smooth Fréchet topologies coming from the uniform moderate growth semi-norms on  $\mathcal{A}^\infty$  and the representation of  $G_\infty$  on these spaces are limits of smooth Fréchet representation of moderate growth. Then as a topological representation

$$\mathcal{A}^\infty = \bigcup_L (\mathcal{A}^\infty)^L = \varinjlim_L (\mathcal{A}^\infty)^L$$

also carries a limit-Fréchet topology. Without going into details on such representations, let us state the results we will need analogous to those for representations of  $\mathcal{H}$ .

**Theorem 3.3** (Wallach). *If  $\varphi \in \mathcal{A}^\infty$  is a smooth automorphic form then the (closed) sub-representation generated by  $\varphi$ , namely*

$$V_\varphi = \overline{R(G(\mathbb{A}))\varphi} \subset \mathcal{A}^\infty,$$

*is admissible in the sense that its (dense) subspace of  $K$ -finite vectors  $(V_\varphi)_K$  is admissible as an  $\mathcal{H}$ -module.*

Then we can make the following definition.

**Definition 3.3.** *A smooth automorphic representation  $(\pi, V)$  of  $G(\mathbb{A})$  is a (closed) irreducible sub-quotient of  $\mathcal{A}^\infty(G(k)\backslash G(\mathbb{A}))$ .*

Note that the smooth automorphic representations are automatically admissible in the above sense. We still have a version of the Decomposition Theorem, which we state as follows.

**Theorem 3.4** (Decomposition Theorem). *If  $(\pi, V)$  is a smooth automorphic representation of  $G(\mathbb{A})$  then there exist irreducible admissible smooth representations  $(\pi_v, V_v)$  of  $G(k_v)$ , which are smooth Fréchet representations of moderate growth if  $v|\infty$ , such that  $\pi = \pi_\infty \otimes \pi_f$  where*

$$\pi_\infty = \widehat{\otimes}_{v|\infty} \pi_v$$

*is the topological tensor product of smooth Fréchet representations and*

$$\pi_f = \otimes'_{v<\infty} \pi_v$$

*is the restricted tensor product of smooth representations of the  $G(k_v)$ . Moreover, if  $(\pi_K, V_K)$  is the associated irreducible  $\mathcal{H}$ -module of  $K$ -finite vectors in  $V$  then in the decomposition  $\pi_K = \otimes' (\pi_K)_v$  we have  $\pi_v = (\pi_K)_v$  for  $v < \infty$  while for  $v|\infty$  we have  $(\pi_v)_K = (\pi_K)_v$  and  $\pi_v = \widehat{(\pi_K)_v}$  is the Casselman-Wallach canonical completion of the  $\mathcal{H}_v$ -module  $(\pi_K)_v$ .*

Even though the theory of smooth automorphic representations is topological, according to Wallach it is also quite algebraic. These representations will be algebraically irreducible as representations of the global Schwartz algebra  $\mathcal{S} = \mathcal{S}(G(\mathbb{A}))$ . This is a restricted tensor product of the local Schwartz algebras  $\mathcal{S}_v = \mathcal{S}(G(k_v))$ . For archimedean places  $v|\infty$  then  $\mathcal{S}_v$  is the usual space of smooth (infinitely differentiable) rapidly decreasing functions on  $G(k_v)$ . At the non-archimedean

places rapidly decreasing is interpreted as having compact support, so  $\mathcal{S}_v$  is the space of smooth (locally constant) compactly supported functions on  $G(k_v)$ , that is,  $\mathcal{S}_v = \mathcal{H}_v$ . Then  $\mathcal{S} = \mathcal{S}_\infty \otimes \mathcal{S}_f$  where now

$$\mathcal{S}_\infty = \mathcal{S}(G_\infty) = \widehat{\otimes}_{v|\infty} \mathcal{S}_v \quad \text{and} \quad \mathcal{S}_f = \otimes'_{v<\infty} \mathcal{S}_v = \mathcal{H}_f.$$

**3.3.  $L^2$ -automorphic representations.** If we now fix a unitary central character  $\omega : k^\times \backslash \mathbb{A}^\times \rightarrow \mathbb{C}^\times$  and consider the associated space of  $L^2$ -automorphic forms  $L^2(G(k) \backslash G(\mathbb{A}); \omega)$  then this space is a Hilbert space and affords a unitary representation of  $G(\mathbb{A})$  acting by right translation. In some sense this is the easiest situation to be in.

**Theorem 3.5** (Harish-Chandra). *If  $\varphi \in L^2(\omega)$  then*

$$V_\varphi = \overline{R(G(\mathbb{A}))\varphi} \subset L^2(\omega)$$

*is an admissible sub-representation in the sense that the (dense) subspace  $(V_\varphi)_K$  of  $K$ -finite vectors is admissible as  $\mathcal{H}$ -module.*

**Definition 3.4.** *An  $L^2$ -automorphic representation  $(\pi, V)$  is an irreducible constituent in the  $L^2$ -decomposition of some  $L^2(\omega)$ .*

In the context of  $L^2$ -automorphic representations, the Decomposition Theorem predates the algebraic one and is due to Gelfand and Piatetski-Shapiro.

**Theorem 3.6.** *If  $(\pi, V)$  is an  $L^2$ -automorphic representation then there exist irreducible unitary representations  $(\pi_v, V_v)$  of  $G(k_v)$  such that  $\pi = \widehat{\otimes}' \pi_v$  is a restricted Hilbert tensor product of local representations.*

**3.4. Cuspidal representations.** Since the cuspidality condition is defined by the vanishing of a left unipotent integration

$$\int_{U(k) \backslash U(\mathbb{A})} \varphi(ug) \, du = 0,$$

which is a closed condition, and our actions of  $\mathcal{H}$  or  $G(\mathbb{A})$  on the spaces of automorphic forms are by right convolution or right translations we see that the spaces of cusp forms  $\mathcal{A}_0$ ,  $\mathcal{A}_0^\infty$ , or  $L_0^2(\omega)$  are all (closed) sub-representations of the relevant spaces of automorphic forms.

A fundamental result of the space of  $L^2$ -cusp forms is the following result of Gelfand and Piatetski-Shapiro.

**Theorem 3.7.** *The space  $L_0^2(\omega)$  of  $L^2$ -cusp forms decomposes into a discrete Hilbert direct sum with finite multiplicities of irreducible unitary sub-representations:*

$$L_0^2(\omega) = \oplus m(\pi) V_\pi \quad \text{with} \quad m(\pi) < \infty.$$

We can then make the following definition.

**Definition 3.5.** *The irreducible constituents  $(\pi, V_\pi)$  of the various  $L_0^2(\omega)$  are the  $L^2$ -cuspidal representations.*

Recall that for a fixed unitary central character  $\omega$  we have, as a consequence of the rapid decrease of cusp forms, the inclusions

$$\mathcal{A}_0(\omega) \subset \mathcal{A}_0^\infty(\omega) \subset L_0^2(\omega)$$

and in fact upon passing to smooth vectors and then  $K$ -finite vectors we have

$$\mathcal{A}_0^\infty(\omega) = L_0^2(\omega)^\infty \quad \text{and} \quad \mathcal{A}_0(\omega) = \mathcal{A}_0^\infty(\omega)_K = L_0^2(\omega)_K$$

so we can deduce the decompositions

$$\mathcal{A}_0^\infty(\omega) = \oplus m(\pi) V_\pi^\infty \quad \text{and} \quad \mathcal{A}_0(\omega) = \oplus m(\pi) (V_\pi)_K.$$

**Definition 3.6.** *The irreducible constituents of  $\mathcal{A}_0(\omega)$  are the unitary ( $K$ -finite) cuspidal representations of  $G(\mathbb{A})$  and the irreducible constituents of  $\mathcal{A}_0^\infty(\omega)$  are the unitary smooth cuspidal representations of  $G(\mathbb{A})$ .*

Note that if  $(\pi, V_\pi)$  is a cuspidal representation (in any context) then the elements of  $V_\pi$  are indeed cusp forms, that is,  $V_\pi \subset \mathcal{A}_0$  as a subspace not a more general sub-quotient.

In general any irreducible subrepresentation of  $\mathcal{A}_0$  or  $\mathcal{A}_0^\infty$  will be called a cuspidal representation. Due to the rapid decrease of cusp forms, any cuspidal representation  $(\pi, V_\pi)$  will be an unramified twist of a unitary cuspidal representation, that is, if we define for any character  $\chi : k^\times \backslash \mathbb{A}^\times \rightarrow \mathbb{C}^\times$  the twisted representation  $\pi \otimes \chi$  as the representation by right translation on the space  $V \otimes \chi = \{\varphi(g)\chi(\det g) \mid \varphi \in V_\pi\}$ , then one can always find an unramified character  $\chi$  such that  $\pi \otimes \chi$  is a unitary cuspidal representation as above. Some choose to call the non-unitary cuspidal representations quasi-cuspidal.

**3.5. Connections with classical forms.** Suppose we return to a classical cusp form  $f$  for  $SL_2(\mathbb{Z})$  of weight  $m$ . If we follow our passage  $f \mapsto \varphi \mapsto (\pi_\varphi, V_\varphi)$  then  $(\pi_\varphi, V_\varphi)$  is an admissible subspace of the space of cuspidal automorphic forms. It need not be irreducible. However, if in addition  $f$  is a simultaneous eigen-function for all the classical Hecke operators, then  $(\pi_\varphi, V_\varphi)$  is irreducible and hence a cuspidal representation. Then the Decomposition Theorem lets us decompose  $\pi_\varphi$  as  $\pi_\varphi = \pi_\infty \otimes (\otimes' \pi_p)$ . In this decomposition

- (i)  $\pi_\infty$  is completely determined by the weight  $m$  of  $f$
- (ii)  $\pi_p$  is completely determined by the Hecke eigen-value  $\lambda(p)$  of  $T_p$  acting on  $f$ .

In fact, as we shall see, the Decomposition Theorem for  $\pi_\varphi$  is equivalent to the Euler product factorization for the completed  $L$ -function  $\Lambda(s, f)$ .

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