

AUTOMORPHIC L -FUNCTIONS

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0. Introduction.

The goal of this course is to give a proof of functoriality of symmetric cube and symmetric fourth of cuspidal representations of $GL_2(\mathbb{A})$, where \mathbb{A} is the ring of adeles of a number field F . Let $\pi = \otimes_v \pi_v$ be a cuspidal representation of $GL_2(\mathbb{A})$. Let $Sym^m : GL_2(\mathbb{C}) \rightarrow GL_{m+1}(\mathbb{C})$ be the symmetric m th power representation. By the local Langlands' correspondence, $Sym^m(\pi_v)$ is a well-defined irreducible admissible representation of $GL_{m+1}(F_v)$ for each v . Then $Sym^m(\pi) = \otimes_v Sym^m(\pi_v)$ is an irreducible admissible representation of $GL_{m+1}(\mathbb{A})$.

Conjecture 0.1 (Langlands). *$Sym^m(\pi)$ is an automorphic representation.*

Theorem 0.2.

- (1) (Gelbart-Jacquet) $Sym^2(\pi)$ is an automorphic representation of $GL_3(\mathbb{A}_F)$.
- (2) (Kim-Shahidi) $Sym^3(\pi)$ is an automorphic representation of $GL_4(\mathbb{A}_F)$.
- (3) (Kim) $Sym^4(\pi)$ is an automorphic representation of $GL_5(\mathbb{A}_F)$.

We use the Langlands-Shahidi method and the converse theorem of Cogdell-Piatetski-Shapiro. For this, we need exceptional groups of type E_6, E_7 and D_{2n} (spin groups). We will first develop necessary background. The following is a syllabus for the course.

- (1) Chevalley groups and their properties
- (2) Cuspidal representations
- (3) L -groups and automorphic L -functions
- (4) Induced representations and intertwining operators
- (5) Eisenstein series and constant terms
- (6) L -functions in the constant terms
- (7) Meromorphic continuation of L -functions
- (8) Generic representations and their Whittaker models
- (9) Local coefficients and non-constant terms
- (10) Local Langlands conjecture
- (11) Local L -functions and functional equations
- (12) Normalization of intertwining operators

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Recall that $g \in \mathbf{G}$ is semi-simple if g is similar to a diagonal matrix; g is unipotent if $(g - 1)^m = 0$ for some positive integer m . By Jordan decomposition, any g can be written uniquely $g = g_s g_u$, where g_s is semi-simple, g_u unipotent and g_s, g_u commute.

Definition 1.3. \mathbf{G} is called semi-simple if $R(\mathbf{G}) = 1$; \mathbf{G} is called reductive if $R_u(\mathbf{G}) = 1$.

One can think of reductive groups as groups like $GL(n)$. Semi-simple groups are like $SL(n)$, where the center is finite.

Proposition 1.4. (1) (Levi decomposition) Suppose \mathbf{G} is a connected algebraic group defined over a field F of characteristic zero. Then there exists a reductive subgroup $\mathbf{M} \subset \mathbf{G}$ such that $\mathbf{G} = \mathbf{M}R_u(\mathbf{G})$ (semi-direct product).

(2) Suppose \mathbf{G} is reductive. Then $\mathbf{G} = R(\mathbf{G}) \cdot \mathbf{G}'$ (almost direct product, i.e., the intersection is finite), where \mathbf{G}' is the derived group, i.e., $\mathbf{G}' = [\mathbf{G}, \mathbf{G}]$. Also $R(\mathbf{G})$ is the connected component of the center of \mathbf{G} .

We will use the Levi decomposition mostly in the case of parabolic subgroups.

Definition 1.5. An algebraic group \mathbf{T} defined over F is called torus if \mathbf{T} is isomorphic to $GL(1)^n$ for some $n \in \mathbb{Z}_+$. A torus \mathbf{T} is called split over F if the isomorphism is defined by a polynomial with coefficients in F .

Examples 1.6. Let $D(n)$ be the group of diagonal matrices in $GL(n)$. Then clearly, $D(n)$ is a torus. The algebraic group $\mathbf{G} = R_{\mathbb{Q}(i)/\mathbb{Q}}GL(1)$ in examples 1.1, is a torus.

In fact, $\mathbf{G} \simeq GL(1)^2$. The isomorphism is $\begin{pmatrix} x & y \\ -y & x \end{pmatrix} \mapsto \begin{pmatrix} x + yi & 0 \\ 0 & x - yi \end{pmatrix}$.

Notice that the isomorphism is not defined by polynomials with coefficients in \mathbb{Q} . This is an example of quasi-split torus. In this course, we will only deal with split tori.

Definition 1.7. Let \mathbf{G} be an algebraic group. Define $X^*(\mathbf{G})$ to be the group of characters defined over F , i.e., the group of homomorphisms $\mathbf{G} \rightarrow GL(1)$, defined by a polynomial.

Proposition 1.8. $X^*(GL(1)^n) \simeq \mathbb{Z}^n$.

We can give the characters explicitly; $\chi(x_1, \dots, x_n) = x_1^{m_1} \cdots x_n^{m_n}$ for $m_i \in \mathbb{Z}$.

Proposition 1.9. Let \mathbf{G} be reductive and $\mathbf{G} = S \cdot \mathbf{G}'$, where $S = R(\mathbf{G})$ and \mathbf{G}' is the derived group. Then S is a torus and $X^*(\mathbf{G})$ is a subgroup of $X^*(S)$ with finite index.

Proof. We first show that $X^*(\mathbf{G}) = 1$ if \mathbf{G} is semi-simple. If \mathbf{G} is simple (i.e., it has no proper closed normal subgroup of dimension > 0), then given $\chi : \mathbf{G} \rightarrow GL(1)$, $\ker \chi$ is a closed normal subgroup. By dimension formula, $\dim \mathbf{G} = \dim(\text{Im} \chi) + \dim(\ker \chi)$. Hence $\dim(\ker \chi) \geq 1$. So $\ker \chi = \mathbf{G}$. If \mathbf{G} is semi-simple, there is an isogeny (surjective homomorphism with a finite kernel) $\prod_{i=1}^k \mathbf{G}_i \rightarrow \mathbf{G}$, where \mathbf{G}_i is simple. Then $X^*(\mathbf{G}) \rightarrow \prod_{i=1}^k X^*(\mathbf{G}_i)$ is an injection. Hence $X^*(\mathbf{G}) =$

1. Suppose \mathbf{G} is reductive. Then there exists an isogeny $S \times \mathbf{G}' \rightarrow \mathbf{G}$. Then $X^*(\mathbf{G}) \rightarrow X^*(S) \times X^*(\mathbf{G}') = X^*(S)$ is an injection with finite index. \square

Example 1.10. Suppose $\mathbf{G} = GL(n) = Z \cdot SL(n)$, where $Z = \{aI_n | a \in GL(1)\}$. Then $X^*(Z) = \langle \chi : aI_n \mapsto a \rangle \simeq \mathbb{Z}$. But $X^*(GL(n)) = \langle \det \rangle$, where \det is the character $g \mapsto \det(g)$. But $\det(aI_n) = a^n$.

1.2 Roots and coroots. Let \mathbf{G} be an algebraic group. We can define its Lie algebra, denoted by \mathfrak{g} . It is the set of left invariant derivations of the algebra of algebraic functions on \mathbf{G} . Rather than defining it abstractly, we show how to find it in the case when $\mathbf{G} \subset GL(n, \Omega)$: Take t such that $t^2 = 0$. Then $\mathfrak{g} = \{X \in M(n, \Omega) | 1 + tX \in \mathbf{G}\}$. Note that \mathfrak{g} is a vector space whose dimension is $\dim \mathbf{G}$.

Examples 1.11. (1) $\mathbf{G} = SL(n)$. Then $\mathfrak{g} = \{X \in M(n) | \det(1 + tX) = 1\} = \{X \in M(n) | \text{tr } X = 0\}$.

(2) $\mathbf{G} = Sp(2n) = \{g \in SL(2n) | {}^t g J g = J\}$. Then $\mathfrak{g} = \{X \in M(n) | {}^t X J + J X = 0\}$.

Definition 1.12. We have an adjoint representation $Ad : \mathbf{G} \rightarrow \text{End}(\mathfrak{g})$, defined by $Ad(g)(X) = gXg^{-1}$.

Let \mathbf{T} be a maximal torus in \mathbf{G} . Then $Ad(\mathbf{T})$ is a set of diagonalizable commuting endomorphisms $\mathfrak{g} \rightarrow \mathfrak{g}$. Hence they are simultaneously diagonalizable. Eigenvalues are characters of \mathbf{T} . Hence we have

$$\mathfrak{g} = \mathfrak{g}_0^{(\mathbf{T})} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_\alpha^{(\mathbf{T})},$$

where $\mathfrak{g}_\alpha^{(\mathbf{T})} = \{X \in \mathfrak{g} | Ad(t)(X) = \alpha(t)X\}$, and $\alpha \in X^*(\mathbf{T})$, $\alpha \neq 0$. Only finitely many such α 's appear. We call Φ the set of roots of \mathbf{G} with respect to \mathbf{T} .

Example 1.13. Let $\mathbf{G} = Sp(4)$. Then $\mathbf{T} = \{x(t_1, t_2) = \text{diag}(t_1, t_2, t_2^{-1}, t_1^{-1})\}$ and $\mathfrak{g} = \left\{ \begin{pmatrix} A & B \\ C & A' \end{pmatrix} \right\}$, where $A = \begin{pmatrix} u & v \\ w & x \end{pmatrix}$, $A' = \begin{pmatrix} -x & -v \\ -w & -u \end{pmatrix}$, and B, C are of the form $\begin{pmatrix} a & b \\ b & a \end{pmatrix}$. The roots are $\{\pm(e_1 \pm e_2), \pm 2e_1, \pm 2e_2\}$, where $e_1 : x(t_1, t_2) \mapsto t_1, e_2 : x(t_1, t_2) \mapsto t_2$. Then $\mathfrak{g}_{e_1 - e_2} = \left\{ \begin{pmatrix} A & \\ & A' \end{pmatrix} \right\}$, where $A = \begin{pmatrix} 0 & v \\ 0 & 0 \end{pmatrix}$, $\mathfrak{g}_{2e_1} = \left\{ \begin{pmatrix} O & B \\ O & O \end{pmatrix} \right\}$, where $B = \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix}$, $\mathfrak{g}_{2e_2} = \left\{ \begin{pmatrix} O & B \\ O & O \end{pmatrix} \right\}$, where $B = \begin{pmatrix} 0 & 0 \\ c & 0 \end{pmatrix}$, $\mathfrak{g}_{e_1 + e_2} = \left\{ \begin{pmatrix} O & B \\ O & O \end{pmatrix} \right\}$, where $B = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$.

Let $N(\mathbf{T}), Z(\mathbf{T})$ be the normalizer and centralizer of \mathbf{T} in \mathbf{G} . Then $Z(\mathbf{T}) = \mathbf{T}$ and $W = N(\mathbf{T})/\mathbf{T}$ is finite, called the Weyl group of \mathbf{G} relative to \mathbf{T} . For $s \in N(\mathbf{T})$, we can define $w_s : \mathbf{T} \rightarrow \mathbf{T}$, by $w_s(t) = sts^{-1}$. It induces an isomorphism $w'_s : X^*(\mathbf{T}) \rightarrow X^*(\mathbf{T})$ by $w'_s(\chi) = \chi \circ w_s$. We will identify s with w_s and w'_s .

Let $X_*(\mathbf{T}) = \text{Hom}(GL(1), \mathbf{T})$ be the group of cocharacters. Then there is a natural pairing $\langle, \rangle : X^*(\mathbf{T}) \times X_*(\mathbf{T}) \rightarrow \mathbb{Z}$. For $\chi \in X^*(\mathbf{T}), \mu \in X_*(\mathbf{T})$, we define $\langle \chi, \mu \rangle \in \mathbb{Z}$ as follows: $\chi \circ \mu : GL(1) \rightarrow GL(1)$. Since $X^*(GL(1)) \simeq \mathbb{Z}$,

there exists $k \in \mathbb{Z}$ such that $\chi \circ \mu(t) = t^k$. Define $\langle \chi, \mu \rangle = k$. Using this pairing, we identify $X_*(\mathbf{T})$ with $\text{Hom}(X^*(\mathbf{T}), \mathbb{Z})$.

For each $\alpha \in \Phi$, we define the coroot $\alpha^\vee \in X_*(\mathbf{T})$ as follows: Since $\alpha : \mathbf{T} \rightarrow GL(1)$, $(\ker \alpha)^0 \subset \mathbf{T}$ is a subtorus of codimension one. $((\ker \alpha)^0$ is the connected component of the identity of $\ker \alpha$.) Let Z_α be the centralizer of $(\ker \alpha)^0$ in \mathbf{G} . It is a connected, reductive group with \mathbf{T} as a maximal torus. Let G_α be the derived group of Z_α . Then $G_\alpha \simeq SL(2)$ or $PGL(2)$, and G_α has a maximal torus $T_\alpha \subset \mathbf{T}$. Define $\alpha^\vee : GL(1) \rightarrow T_\alpha$ be the unique homomorphism such that $\langle \alpha, \alpha^\vee \rangle = 2$.

Definition 1.14. Suppose \mathbf{G} is reductive and \mathbf{T} is a maximal torus. Then $(X^*(\mathbf{T}), \Phi, X_*(\mathbf{T}), \Phi^\vee)$ is called a root datum of \mathbf{G} .

Examples 1.15. (1) $\mathbf{G} = GL(n)$. Let α be a root such that $\alpha(\text{diag}(t_1, \dots, t_n)) = t_i t_{i+1}^{-1}$. Then $\ker \alpha = \{\text{diag}(t_1, \dots, t_{i-1}, tI_2, t_{i+2}, \dots, t_n)\}$. It is connected. So $Z_\alpha = \{\text{diag}(t_1, \dots, t_{i-1}, GL(2), t_{i+2}, \dots, t_n)\}$ and $G_\alpha = \{\text{diag}(1, \dots, 1, SL(2), 1, \dots, 1)\}$. Hence $\alpha^\vee(t) = (1, \dots, 1, t, t^{-1}, 1, \dots, 1)$.

(2) $\mathbf{G} = Sp(4)$. Let $\alpha_1 = e_1 - e_2, \alpha_2 = 2e_2$ in Example 1.13. Then $\alpha_1^\vee(t) = \text{diag}(t, t^{-1}, t, t^{-1})$ and $\alpha_2^\vee(t) = \text{diag}(1, t, t^{-1}, 1)$.

Suppose \mathbf{G} is semi-simple, and \mathbf{T} is a maximal torus. Let $X = X^*(\mathbf{T})$. Then (X, Φ, W) is a root system: X is a free module of rank l ($l = \dim \mathbf{T}$); Φ is finite subset of X ; W is a finite automorphism group of X such that

- (1) $0 \notin \Phi$; if $\alpha \in \Phi$, then $-\alpha \in \Phi$
- (2) If $\alpha \in \Phi$, and $c\alpha \in \Phi$ for $c \in \mathbb{Q}$, then $c = \pm 1$
- (3) To each $\alpha \in \Phi$, there corresponds $w_\alpha \in W$ such that $w_\alpha(\chi) = \chi - \alpha^\vee(\chi)\alpha$ for $\chi \in X$. Also $w_\alpha(\Phi) = \Phi$.
- (4) $X_\mathbb{Q} (= X \otimes_\mathbb{Z} \mathbb{Q})$ is generated by Φ as a vector space over \mathbb{Q}
- (5) W is generated by $\{w_\alpha : \alpha \in \Phi\}$

If \mathbf{G} is reductive, then we need to take $X = X^*(\mathbf{T}/S)$, where $S = Z(\mathbf{G})^0$. Since W is finite, one can introduce W -invariant positive definite symmetric bilinear form (\cdot, \cdot) on $X_\mathbb{Q}$. (Take any positive definite symmetric bilinear form $\langle \cdot, \cdot \rangle$ and define $(x, y) = \frac{1}{|W|} \sum_{w \in W} \langle wx, wy \rangle$.)

From the relation $(w_\alpha(\chi), w_\alpha(\chi)) = (\chi, \chi)$ and $w_\alpha(\chi) = \chi - \alpha^\vee(\chi)\alpha$, we have $\alpha^\vee(\chi) = \frac{2(\alpha, \chi)}{(\alpha, \alpha)}$. Using this, we can identify α^\vee with $\frac{2\alpha}{(\alpha, \alpha)}$. Also we see that $w_\alpha^2 = 1$, $w_\alpha(\alpha) = -\alpha$ and w_α leaves fixed the hyperplane $H_\alpha = \{\chi \in X_\mathbb{R} : (\alpha, \chi) = 0\}$. We call w_α "reflection" or "symmetry" with respect to α .

For $\alpha, \beta \in \Phi$, $c_{\alpha\beta} = \frac{2(\alpha, \beta)}{(\alpha, \alpha)} \in \mathbb{Z}$. It is called Cartan integer.

1.3 Classification of root systems. The space $X_\mathbb{R} - \bigcup_{\alpha \in \Phi} H_\alpha$ is a finite union of disjoint connected components; such a component is called a Weyl chamber. To each Weyl chamber C^0 , is associated a linear order in X ; $\alpha > 0$ if $(\alpha, \chi) > 0$ for all $\chi \in C^0$. We denote the set of positive roots by Φ_+ .

Definition 1.16. A positive root α is said to be simple if α cannot be expressed in the form $\beta + \gamma$ for $\beta, \gamma \in \Phi_+$.

We denote the set of simple roots of Φ_+ by Δ . It is called a fundamental system.

Theorem 1.17 (Main properties of fundamental system).

- (1) *The fundamental system consists of l linear independent roots $\alpha_1, \dots, \alpha_l$.*
- (2) *Every root $\alpha \in \Phi$ can be written uniquely in the form*

$$\alpha = \pm \sum_{i=1}^l m_i \alpha_i,$$

where $m_i \in \mathbb{Z}_+ \cup \{0\}$.

- (3) *W is generated by $\{w_{\alpha_i} : \alpha_i \in \Delta\}$*
- (4) *Every root $\alpha \in \Phi$ can be written in the form*

$$\alpha = w_{\alpha_{i_r}} \cdots w_{\alpha_{i_1}} \alpha_{i_0},$$

where $\alpha_{i_0}, \dots, \alpha_{i_r} \in \Delta$

- (5) *W acts simply transitively on the set of Weyl chambers. Namely, there is a one to one correspondence between fundamental systems of Φ and Weyl chambers.*

Lemma 1.18. *If α, β are two nonproportional roots, and $(\alpha, \beta) > 0$, then $\alpha - \beta$ is a root.*

Proof. Recall that $c_{\alpha\beta} = \frac{2(\alpha, \beta)}{(\alpha, \alpha)} \in \mathbb{Z}$. By Cauchy-Schwartz inequality, $c_{\alpha\beta} c_{\beta\alpha} < 4$. Hence if $(\alpha, \beta) > 0$, then $c_{\alpha\beta}$ or $c_{\beta\alpha} = 1$. If $c_{\beta\alpha} = 1$, $\alpha - \beta = \alpha - c_{\beta\alpha}\beta = w_{\beta}(\alpha) \in \Phi$. The other case is the same. \square

Corollary 1.19. *For $\alpha_i, \alpha_j \in \Delta$, $(\alpha_i, \alpha_j) \leq 0$, and $c_{\alpha_i, \alpha_j} \in \{0, -1, -2, -3\}$.*

Definition 1.20. *A root system Φ is reducible if $\Phi = \Phi_1 \cup \Phi_2$, where Φ_1, Φ_2 are non-empty subsystems of Φ and $\Phi_1 \perp \Phi_2$. A root system Φ is called irreducible if it is not reducible.*

Given an irreducible fundamental root system $\Delta = \{\alpha_1, \dots, \alpha_l\}$, we call the matrix (c_{α_i, α_j}) Cartan matrix. We can attach Dynkin diagram to Δ in the following way: To each vector $\alpha_i \in \Delta$, associate a vertex, and connect vertices corresponding to α_i and α_j with a single, double, or triple line according to whether $c_{\alpha_i, \alpha_j} = -1, -2, -3$. The arrows point from a longer to a shorter vector, when the lengths are different.

The following is a list of the Dynkin diagrams of irreducible fundamental systems (See [Se] or [Hum]):

Theorem 1.21 (Classification of irreducible root systems).

$$\begin{aligned} A_l (SL(l+1)) : & o \text{---} o \text{---} \cdots \text{---} o \text{---} o \\ B_l (SO(2l+1)) : & o \text{---} o \text{---} \cdots \text{---} o \text{---} o \Longrightarrow o \\ C_l (Sp(2l)) : & o \text{---} o \text{---} \cdots \text{---} o \text{---} o \Longleftarrow o \\ D_l : & \end{aligned}$$

$$\begin{array}{c} o \text{---} o \text{---} \cdots \text{---} o \text{---} o \text{---} o \\ | \\ o \end{array}$$

$E_6:$

$$\begin{array}{c} o_1 - o_2 - o_3 - o_4 - o_5 \\ | \\ o_6 \end{array}$$

$E_7:$

$$\begin{array}{c} o_1 - o_2 - o_3 - o_4 - o_5 - o_6 \\ | \\ o_7 \end{array}$$

$E_8:$

$$\begin{array}{c} o_1 - o_2 - o_3 - o_4 - o_5 - o_6 - o_7 \\ | \\ o_8 \end{array}$$

$$\begin{aligned} F_4 : o_1 - o_2 &\Leftarrow o_3 - o_4 \\ G_2 : o_1 &\Leftarrow o_2 \end{aligned}$$

Theorem 1.22. *There exists a one to one correspondence between irreducible root systems and simple Lie algebras.*

Complex Lie groups attached to the above irreducible root systems have been known before Chevalley. Chevalley observed that they can be constructed as algebraic groups. The following theorem gives a one to one correspondence between irreducible root systems and isogeny classes of split simple algebraic groups defined over a prime field (Assume that it is of characteristic zero).

Theorem 1.23 (Fundamental Theorem of Chevalley). (1) *Given a root system (X, Φ) , there exists a connected semi-simple algebraic group \mathbf{G} , defined over a prime field having (X, Φ) as its root system (with respect to a split maximal torus \mathbf{T} of \mathbf{G}). We denote \mathbf{G} by $G(X, \Phi)$.*

(2) *Suppose $(X_1, \Phi), (X_2, \Phi)$ are two root systems with associated algebraic groups $\mathbf{G}_1, \mathbf{G}_2$. Suppose there is an injection $\rho : X_2 \rightarrow X_1$ such that ρ is an identity on Φ . Then there exists an isogeny $\phi : \mathbf{G}_1 \rightarrow \mathbf{G}_2$.*

The above group $G(X, \Phi)$ is called "Chevalley group," or "split group", since it has a maximal torus which is split over the prime field. These days, any split reductive groups are called Chevalley groups.

Let (X, Φ) be a root system. Let

$$\begin{aligned} X_0 &= \{\Delta\}_{\mathbb{Z}} = \{\Phi\}_{\mathbb{Z}}, \\ X^0 &= \{\Phi^\vee\}_{\mathbb{Z}}^\wedge = \{\chi \in X_{\mathbb{Q}} : (\chi, \alpha^\vee) \in \mathbb{Z}, \text{ for all } \alpha^\vee \in \Phi^\vee\}. \end{aligned}$$

X_0 is called the root module of Φ ; X^0 is called the weight module of Φ . We have inclusions: $X_0 \subset X \subset X^0$. By the fundamental theorem of Chevalley, there exist isogenies

$$G(X^0, \Phi) \rightarrow G(X, \Phi) \rightarrow G(X_0, \Phi).$$

Proposition 1.24. *The center of $G(X^0, \Phi)$ is finite and it is isomorphic to X^0/X_0 .*

Hence given a root system, there exist only a finitely many Chevalley groups in the isogeny class.

Definition 1.25. *The group $G(X^0, \Phi)$ is called simply connected group of type Φ . The group $G(X_0, \Phi)$ is called adjoint group of type Φ . (Note that the center of $G(X_0, \Phi)$ is trivial.)*

1.4 Construction of Chevalley groups: simply connected type. Let Φ be a root system and let \mathfrak{g} be a semi-simple Lie algebra determined by Φ . Hence

$$\mathfrak{g} = \mathfrak{h} \oplus \sum_{\alpha \in \Phi} \mathfrak{g}_\alpha,$$

where $\dim \mathfrak{g}_\alpha = 1$ for each $\alpha \in \Phi$. Let $\Delta = \{\alpha_1, \dots, \alpha_l\}$ be simple roots; $l = \dim \mathfrak{h}$. For each α , let $H'_\alpha \in \mathfrak{h}$ such that $(H, H'_\alpha) = \alpha(H)$ for all $H \in \mathfrak{h}$. Define $H_\alpha = \frac{2}{(\alpha, \alpha)} H'_\alpha$, and write H_i for H_{α_i} .

Theorem 1.26 (Existence of Chevalley basis). *Given the H_i , $i = 1, \dots, l$, chosen above, one can find $E_\alpha \in \mathfrak{g}_\alpha$, $E_\alpha \neq 0$, for each $\alpha \in \Phi$ such that H_i, E_α together form a basis for \mathfrak{g} relative to which the equations of structure are as follows:*

- (1) $[H_i, H_j] = 0$
- (2) $[H_i, E_\alpha] = c_{\alpha_i, \alpha} E_\alpha$
- (3) $[E_\alpha, E_{-\alpha}] = H_\alpha = \text{integral combination of } H_i \text{'s}$
- (4) $[E_\alpha, E_\beta] = \pm(r+1)E_{\alpha+\beta}$ if $\alpha + \beta$ is a root, where r is such that $\beta - r\alpha$ is a root, and $\beta - (r+1)\alpha$ is not a root.
- (5) $[E_\alpha, E_\beta] = 0$ if $\alpha + \beta \neq 0$ and $\alpha + \beta \neq 0$ is not a root.

When $r = 0$, all roots in Φ have the same lengths and Φ is called simply laced root system. They are root systems of type $A-D-E$. In this case, we can determine the sign in (4) easily: Let $[E_\alpha, E_\beta] = s_{\alpha\beta} E_{\alpha+\beta}$ if $\alpha + \beta \in \Phi$. Let $A = (c_{\alpha_i, \alpha_j}) = B + {}^t B$ be the Cartan matrix. Here B is an upper triangular matrix. It gives rise to an integral valued bilinear form $B(\alpha, \beta)$ such that $(\alpha, \beta) = B(\alpha) + B(\beta, \alpha)$ and

$$B(\alpha_i, \alpha_j) = \begin{cases} \frac{1}{2}(\alpha_i, \alpha_j), & \text{if } i = j \\ 0, & \text{if } i > j \\ (\alpha_i, \alpha_j), & \text{if } i < j \end{cases}$$

Then $s_{\alpha\beta} = (-1)^{B(\alpha, \beta)}$.

Since E_α is nilpotent, the exponential map $\exp(E_\alpha)$ is well-defined. For $t \in \bar{F}$, let $e_\alpha(t) = \exp(tE_\alpha)$ for each $\alpha \in \Phi$. Let $U_\alpha = \{e_\alpha(t) : t \in \bar{F}\}$.

Theorem 1.27. *The simply connected Chevalley group $\mathbf{G} = G(X^0, \Phi)$ is generated by U_α for all $\alpha \in \Phi$.*

For $t \in \bar{F}^*$, let $w_\alpha(t) = e_\alpha(t)e_{-\alpha}(t^{-1})e_\alpha(t)$ and $h_\alpha(t) = w_\alpha(t)w_\alpha(1)^{-1}$. Then $\alpha^\vee(t) = h_\alpha(t)$.

Let \mathbf{U} be the subgroup of \mathbf{G} generated by U_α for all $\alpha \in \Phi_+$, and let \mathbf{T} be the subgroup of \mathbf{G} generated by all $h_\alpha(t)$, $\alpha \in \Phi$. Let \mathbf{B} be the group generated by \mathbf{U} and \mathbf{T} . Then $\mathbf{B} = \mathbf{T} \cdot \mathbf{U}$ (semi-direct product) and $\mathbf{T} \cap \mathbf{U} = \{e\}$.

Theorem 1.28. \mathbf{B} is a Borel subgroup (maximal connected solvable subgroup of \mathbf{G}) and \mathbf{T} is a maximal torus.

Example 1.29. Suppose $\mathfrak{g} = \mathfrak{sl}(2)$. Then $\Phi = \{\alpha, -\alpha\}$ and

$$H_\alpha = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad E_\alpha = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad E_{-\alpha} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Hence

$$h_\alpha(t) = \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}, \quad e_\alpha(t) = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}, \quad w_\alpha(t) = \begin{pmatrix} 0 & t \\ -t^{-1} & 0 \end{pmatrix}.$$

We will use the following proposition to reduce many calculations on \mathbf{G} to those of $SL(2)$.

Proposition 1.30. If $\alpha \in \Phi$, there exists an injective homomorphism $\phi_\alpha : SL(2) \rightarrow \mathbf{G}$ such that

$$\phi_\alpha \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} = h_\alpha(t), \quad \phi_\alpha \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} = e_\alpha(t), \quad \phi_\alpha \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = w_\alpha(1).$$

Let F be a p -adic field and \mathcal{O} be its ring of integers. Let K be the subgroup of $\mathbf{G}(F)$ generated by $\{e_\alpha(t) : t \in \mathcal{O}, \alpha \in \Phi\}$. Then K is a maximal compact subgroup of $\mathbf{G}(F)$, and $\mathbf{G}(F) = K\mathbf{B}(F)$ (Iwasawa decomposition). We usually denote K by $\mathbf{G}(\mathcal{O})$.

Note that any $t \in \mathbf{T}$ can be written uniquely $t = \prod_{i=1}^l h_{\alpha_i}(t_i)$, $t_i \in \bar{F}^*$. So the center of \mathbf{G} is given by

$$Z(G) = \left\{ \prod_{i=1}^l h_{\alpha_i}(t_i) : \prod_{i=1}^l t_i^{(\beta_j, \alpha_i)} = 1, \text{ for all } \beta_j \in \Delta \right\}.$$

Example 1.31. Let $\mathbf{G} = Spin(2n)$ be the simply connected group of type D_n . The simple roots are $\Delta = \{\alpha_1 = e_1 - e_2, \dots, \alpha_{n-3} = e_{n-3} - e_{n-2}, \alpha_{n-1} = e_{n-1} - e_n, \alpha_n = e_{n-1} + e_n\}$. Then

$$Z(G) = \begin{cases} \{\prod_{i=1}^{n-2} h_{\alpha_i}((-1)^i) h_{\alpha_{n-1}}(-t) h_{\alpha_n}(t), \text{ and } h_{\alpha_{n-1}}(t) h_{\alpha_n}(t) : t^2 = 1\}, & \text{if } n \text{ is even} \\ \{h_{\alpha_1}(t^2) \cdots h_{\alpha_{n-2}}(t^{2(n-2)}) h_{\alpha_{n-1}}(t) h_{\alpha_n}(t^3) : t^4 = 1\}, & \text{if } n \text{ is odd.} \end{cases}$$

We set $c = h_{\alpha_{n-1}}(-1) h_{\alpha_n}(-1)$, and

$$z = \begin{cases} \prod_{i=1}^{n-2} h_{\alpha_i}((-1)^i) h_{\alpha_{n-1}}(-1), & \text{if } n \text{ is even} \\ \prod_{i=1}^{n-2} h_{\alpha_i}((-1)^i) h_{\alpha_{n-1}}(\sqrt{-1}) h_{\alpha_n}(\sqrt{-1}), & \text{if } n \text{ is odd.} \end{cases}$$

Note that $c = z^2$ if n is odd. Hence $Z(G) \simeq \mathbb{Z}/4\mathbb{Z}$ if n is odd, and $Z(G) \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ if n is even. This fact implies that when n is odd, there is, up to isomorphism, a unique non simply-connected, non-adjoint group of type D_n , namely, $SO(2n)$. However, when n is even, there are two non-isomorphic, non simply-connected, non-adjoint group of type D_n ; one is $SO(2n) \simeq Spin(2n)/\{1, c\}$. The other is $HS(2n) \simeq Spin(2n)/\{1, z\}$, the so-called half-spin group.

1.5 Structure of parabolic subgroups. Let $\mathbf{G} = G(X^0, \Phi)$ be the simply connected Chevalley group, which corresponds to a root system Φ . Let \mathbf{T} be a maximal torus.

Theorem 1.32. *There is a one to one correspondence between Borel subgroups containing \mathbf{T} and fundamental systems Δ of Φ . The correspondence is $\mathbf{B} = B_\Delta \longleftrightarrow \Delta \subset \Phi$;*

$$B_\Delta = \mathbf{T} \cdot \prod_{\alpha \in \Phi_+} U_\alpha,$$

where Φ_+ is the set of positive roots in Φ determined by Δ .

From now on we fix a Borel subgroup \mathbf{B} , i.e., a fundamental system Δ .

Definition 1.33. *A subgroup of \mathbf{G} which contains a Borel subgroup is called parabolic subgroup of \mathbf{G} .*

Theorem 1.34. *There is a one to one correspondence between parabolic subgroups \mathbf{P} containing B_Δ and subset $\theta \subset \Delta$. The correspondence is $\mathbf{P} = P_\theta \longleftrightarrow \theta \subset \Delta$;*

$$P_\theta = G(\Sigma_\theta) \cdot T_\theta \cdot U_\theta^+ = M_\theta N_\theta,$$

where $M_\theta = G(\Sigma_\theta) \cdot T_\theta$ is the Levi subgroup of P_θ , and $N_\theta = U_\theta^+ = \prod_{\alpha \in \Phi_+ - \Sigma_\theta^+} U_\alpha$ is the unipotent radical of P_θ , where $\Sigma_\theta^+ = \{\theta\}_\mathbb{Z} \cap \Phi_+$. Here $T_\theta = (\cap_{\alpha \in \theta} \ker \alpha)^0$, the subtorus of \mathbf{T} annihilated by θ , and $G(\Sigma_\theta)$ is the subgroup generated by $U_\alpha, \alpha \in \Sigma_\theta = \{\theta\}_\mathbb{Z} \cap \Phi$

Lemma 1.35 (additional properties of parabolic subgroups).

- (1) M_θ is the centralizer of T_θ in \mathbf{G} , i.e., T_θ is the connected component of the center of M_θ .
- (2) $G(\Sigma_\theta)$ is the derived group of M_θ .
- (3) $T_\theta \cap G(\Sigma(\theta))$ is finite.
- (4) $G(\Sigma_\theta)$ is simply connected.

Especially, the Borel subgroup \mathbf{B} corresponds to the empty set in Δ . Also note that if $\theta_1 \subset \theta_2 \subset \Delta$, then $P_{\theta_1} \subset P_{\theta_2}$. If $\theta = \Delta - \{\alpha\}$ for $\alpha \in \Delta$, $\mathbf{P} = P_\theta$ is called a maximal parabolic subgroup.

Examples 1.36. (1) $\mathbf{G} = Sp(2n)$; $\Delta = \{e_1 - e_2, e_2 - e_3, \dots, e_{n-1} - e_n, 2e_n\}$. Let $\theta = \Delta - \{2e_n\}$. Then $\mathbf{T} = \{\text{diag}(t_1, \dots, t_n, t_n^{-1}, \dots, t_1^{-1})\}$ and $T_\theta = \{\text{diag}(t, \dots, t, t^{-1}, \dots, t^{-1})\}$. Hence $P_\theta = M_\theta N_\theta$, $M_\theta = \{\text{diag}(A, -J_n^t A^{-1} J_n) : A \in GL(n)\}$. This is called Siegel parabolic subgroup.

We need the following three examples for our proof of the functoriality of symmetric cube.

(2) (E_6-1 case) Let \mathbf{G} be a simply connected group of type E_6 . Let $\theta = \Delta - \{\alpha_3\}$. Let $P_\theta = \mathbf{M}\mathbf{N}$ and \mathbf{A} be the connected component of the center of \mathbf{M} . Then $\mathbf{A} = \{a(t) : t \in \overline{F}^*\}$, where

$$a(t) = h_{\alpha_1}(t^2)h_{\alpha_2}(t^4)h_{\alpha_3}(t^6)h_{\alpha_4}(t^4)h_{\alpha_5}(t^2)h_{\alpha_6}(t^3).$$

By Lemma 1.35, the derived group \mathbf{M}_D of \mathbf{M} is simply connected, and hence $\mathbf{M}_D \simeq SL_3 \times SL_3 \times SL_2$. We identify \mathbf{A} with GL_1 . We fix an identification of \mathbf{M}_D

and $SL_3 \times SL_3 \times SL_2$ under which the element $h_{\alpha_1}(t)h_{\alpha_2}(t^2)$ goes to the diagonal element $diag(t, t, t^{-2})$ of SL_3 , $h_{\alpha_4}(t^2)h_{\alpha_5}(t)$ to $diag(t, t, t^{-2})$ of SL_3 , and $h_{\alpha_6}(t)$ to $diag(t, t^{-1})$ of SL_2 . We define a map $f : \mathbf{A} \times \mathbf{M}_D \longrightarrow GL_1 \times GL_1 \times GL_1 \times SL_3 \times SL_3 \times SL_2$ by

$$\bar{f} : (a(t), x, y, z) \longmapsto (t^2, t^2, t^3, x, y, z).$$

Now, $\mathbf{M} \simeq (GL_1 \times SL_3 \times SL_3 \times SL_2)/S$, where

$$S = \{(a(t), t^2 I_3, t^2 I_3, t^3 I_2) : t^6 = 1\}.$$

We obtain an injection $f : \mathbf{M} \longrightarrow GL_3 \times GL_3 \times GL_2$ so that

$$f(h_{\alpha_3}(t)) = (diag(1, 1, t), diag(1, 1, t), diag(1, t)).$$

Since f is rational, it induces an injection

$$f : \mathbf{M}(\mathbb{A}) \longrightarrow GL_3(\mathbb{A}) \times GL_3(\mathbb{A}) \times GL_2(\mathbb{A}),$$

such that $\mathbf{M}(\mathbb{A})(\mathbb{A}^*)^2$ is co-compact in $GL_3(\mathbb{A}) \times GL_3(\mathbb{A}) \times GL_2(\mathbb{A})$, where $(\mathbb{A}^*)^2$ is embedded as a center of the first two factors.

(3) ($E_7 - 1$ case) Let \mathbf{G} be a simply connected group of type E_7 . Let $\theta = \Delta - \{\alpha_4\}$. Let $P_\theta = \mathbf{MN}$. Then $\mathbf{A} = \{a(t) : t \in \overline{F}^*\}$, where

$$a(t) = h_{\alpha_1}(t^3)h_{\alpha_2}(t^6)h_{\alpha_3}(t^9)h_{\alpha_4}(t^{12})h_{\alpha_5}(t^8)h_{\alpha_6}(t^4)h_{\alpha_7}(t^6).$$

By Lemma 1.35, the derived group \mathbf{M}_D of \mathbf{M} is simply connected, and hence $\mathbf{M}_D \simeq SL_2 \times SL_3 \times SL_4$. Now we proceed exactly the same way as in $E_6 - 1$ case; under the identification of \mathbf{M}_D with $SL_2 \times SL_3 \times SL_4$, $\mathbf{M} \simeq (GL_1 \times SL_2 \times SL_3 \times SL_4)/S$, where

$$S = \{(a(t), t^6 I_2, t^4 I_3, t^3 I_4) : t^{12} = 1\}.$$

We also construct an injection $f : \mathbf{M} \longrightarrow GL_2 \times GL_3 \times GL_4$ so that

$$f(h_{\alpha_4}(t)) = (diag(1, t), diag(1, 1, t), diag(1, 1, 1, t)).$$

(4) ($D_n - 2$ case) Let $\mathbf{G} = Spin(2n)$ be a split spin group and $\theta = \Delta - \{\alpha_{n-2}\}$. Let $P_\theta = \mathbf{MN}$: $\mathbf{A} = \{a(t) : t \in \overline{F}^*\}$, where

$$a(t) = \begin{cases} h_{\alpha_1}(t)h_{\alpha_2}(t^2) \cdots h_{\alpha_{n-2}}(t^{n-2})h_{\alpha_{n-1}}(t^{\frac{n-2}{2}})h_{\alpha_n}(t^{\frac{n-2}{2}}), & \text{if } n \text{ even} \\ h_{\alpha_1}(t^2)h_{\alpha_2}(t^4) \cdots h_{\alpha_{n-2}}(t^{2(n-2)})h_{\alpha_{n-1}}(t^{n-2})h_{\alpha_n}(t^{n-2}), & \text{if } n \text{ odd} \end{cases}$$

By Lemma 1.35, the derived group \mathbf{M}_D of \mathbf{M} is simply connected, and hence $\mathbf{M}_D \simeq SL_{n-2} \times SL_2 \times SL_2$. As in the above, we have, $\mathbf{M} \simeq (GL_1 \times SL_{n-2} \times SL_2 \times SL_2)/S$, where

$$S = \begin{cases} \{(a(t), tI_{n-2}, t^{\frac{n-2}{2}}I_2, t^{\frac{n-2}{2}}I_2) : t^{n-2} = 1\}, & \text{if } n \text{ even} \\ \{(a(t), t^2I_{n-2}, t^{n-2}I_2, t^{n-2}I_2) : t^{2(n-2)} = 1\}, & \text{if } n \text{ odd} \end{cases}$$

We obtain an injection $f : \mathbf{M} \longrightarrow GL_{n-2} \times GL_2 \times GL_2$ so that

$$f(h_{\alpha_{n-2}}(t)) = (\text{diag}(1, \dots, 1, t), \text{diag}(1, t), \text{diag}(1, t)).$$

We need the following example for our proof of the functoriality of symmetric fourth.

(5) ($D_n - 3$ case) Let $\mathbf{G} = Spin(2n)$ be a split spin group and $\theta = \Delta - \{\alpha_{n-3}\}$. Let $P_\theta = \mathbf{MN}$: $\mathbf{A} = \{a(t) : t \in \overline{F}^*\}$, where

$$a(t) = \begin{cases} h_{\alpha_1}(t^2)h_{\alpha_2}(t^4) \cdots h_{\alpha_{n-3}}(t^{2(n-3)})h_{\alpha_{n-2}}(t^{2(n-3)})h_{\alpha_{n-1}}(t^{n-3})h_{\alpha_n}(t^{n-3}), & \text{if } n \text{ even} \\ h_{\alpha_1}(t)h_{\alpha_2}(t^2) \cdots h_{\alpha_{n-3}}(t^{n-3})h_{\alpha_{n-2}}(t^{n-3})h_{\alpha_{n-1}}(t^{\frac{n-3}{2}})h_{\alpha_n}(t^{\frac{n-3}{2}}), & \text{if } n \text{ odd} \end{cases}$$

By Lemma 1.35, the derived group \mathbf{M}_D of \mathbf{M} is simply connected, and hence $\mathbf{M}_D \simeq SL_{n-3} \times SL_4$. Now, $\mathbf{M} \simeq (GL_1 \times SL_{n-3} \times SL_4)/S$, where

$$S = \begin{cases} \{(a(t), t^2 I_{n-3}, t^{n-3} I_4) : t^{2(n-3)} = 1\}, & \text{if } n \text{ even} \\ \{(a(t), t I_{n-3}, t^{\frac{n-3}{2}} I_4) : t^{n-3} = 1\}, & \text{if } n \text{ odd} \end{cases}$$

We obtain an injection $f : \mathbf{M} \longrightarrow GL_{n-3} \times GL_4$ so that

$$f(h_{\alpha_{n-3}}(t)) = (\text{diag}(1, \dots, 1, t), \text{diag}(1, 1, t, t)).$$

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